

# Non-perturbativeness in Nuclear EFT: A Potential Compromise?

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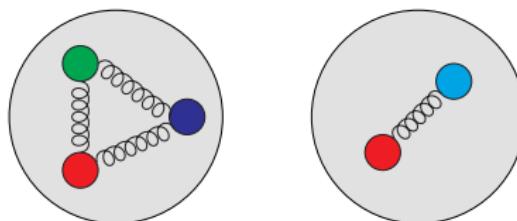
New Ideas in Constraining Nuclear Forces, June 2018, Trento

# Contents

- ▶ What is an effective field theory?
- ▶ Power counting: potentials vs amplitudes
  - ▶ Nuclear physics is non-perturbative
  - ▶ EFT cannot be completely non-perturbative
  - ▶ But this is not a problem, because:  
Nuclear physics is not necessarily completely non-perturbative
- ▶ A potential compromise
  - ▶ To perturb or not to perturb?
  - ▶ The perturbing price of not perturbing:  
Power counting extravaganza
  - ▶ A pretty good deal for potential-based EFT:  
Trading (your non-existent) RG invariance for power counting

# What is an effective field theory?

- ▶ Hadrons are particles composed of quarks and gluons.



- ▶ What is the problem with this?
  - ▶ We know pretty well the dynamics of quarks and gluons:  
**Quantum Chromodynamics**
  - ▶ But explaining hadrons in terms of quarks and gluons  
is **not exactly trivial**

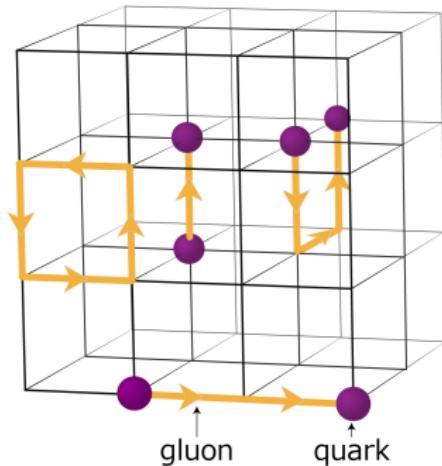
Why?: **Asymptotic Freedom**

# What is an effective field theory

QCD description of hadrons: how? Two strategies come to mind:

## Lattice QCD:

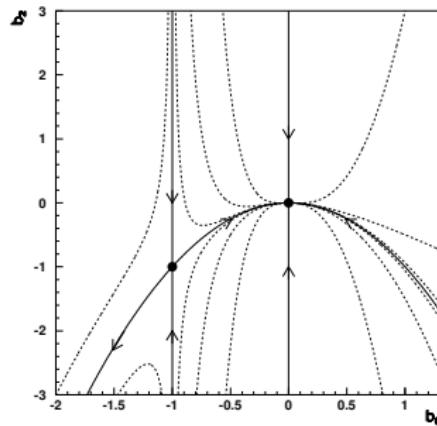
- ▶ Supercomputer
- ▶ Solve QCD, directly



Source: JICFuS webpage

## Effective field theory:

- ▶ Renormalization group
- ▶ Solve QCD, indirectly



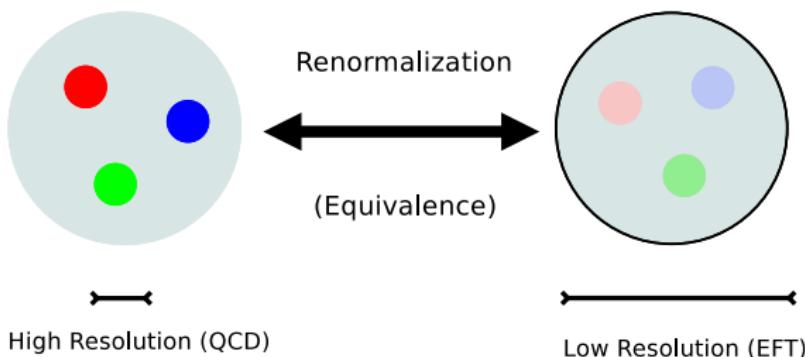
Source: Birse, McGovern, Richardson 98

# What is an effective field theory

If no supercomputer, the right tool is Effective Field Theory:

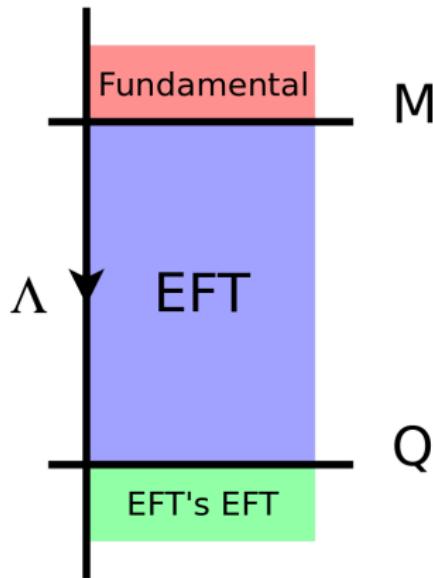
Physics at long distances does not depend  
on the short distance details

Rigorous implementation of this principle: renormalization



The actual problem is how to implement this idea

# Renormalization Group & EFT



Physics is unique, but choice of theory depends on resolution  $\Lambda$ :

- ▶  $\Lambda \geq M$ : Fundamental
- ▶  $M \geq \Lambda \geq Q$ : EFT

For equivalent descriptions:

$$\frac{d}{d\Lambda} \langle \Psi | \mathcal{O} | \Psi \rangle = 0$$

Renormalization group invariance

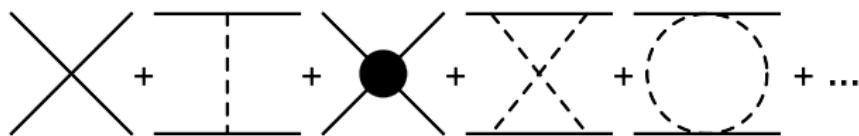
# Renormalization Group & EFT

Begin at  $\Lambda = M$ , two equivalent descriptions

$$\underbrace{\text{quarks \& gluons}}_{\text{high energy}} \quad \iff \quad \underbrace{\text{hadrons}}_{\text{low energy}}$$

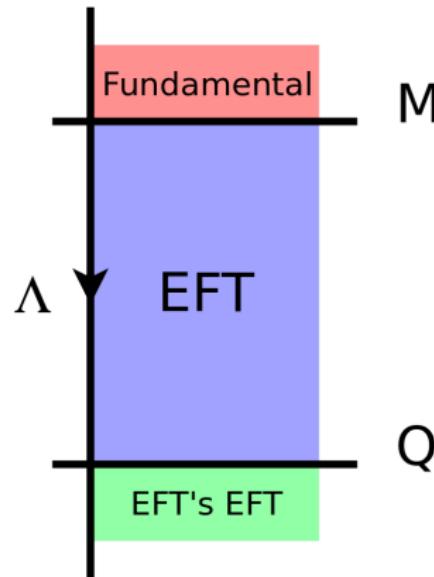
The hadron description equivalent if and only if

- (1) Include correct low energy symmetries
- (2) Consider infinite set of Feynman diagrams consistent with (1)

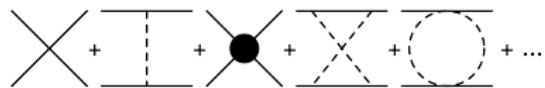


Problem: **infinite diagrams imply no predictive power**

# Renormalization Group & EFT: Power Counting

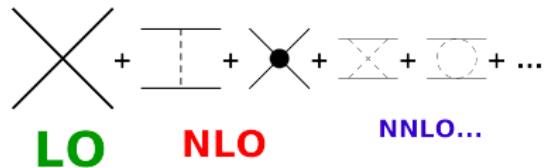


(1) At  $\Lambda \sim M$  there is no order



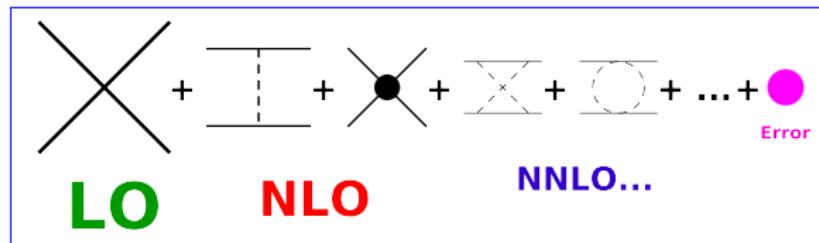
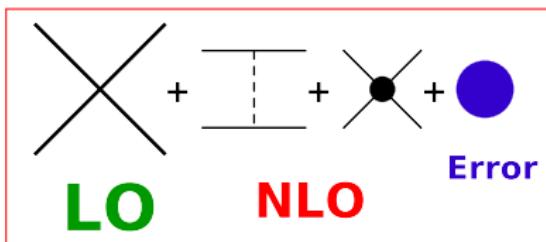
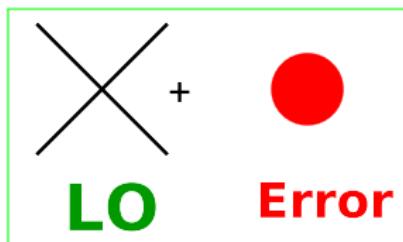
$$\frac{d}{d\Lambda} \langle \Psi | \mathcal{O} | \Psi \rangle = 0$$

(2) while at  $\Lambda \sim Q$  there is order



# Renormalization Group & EFT: Power Counting

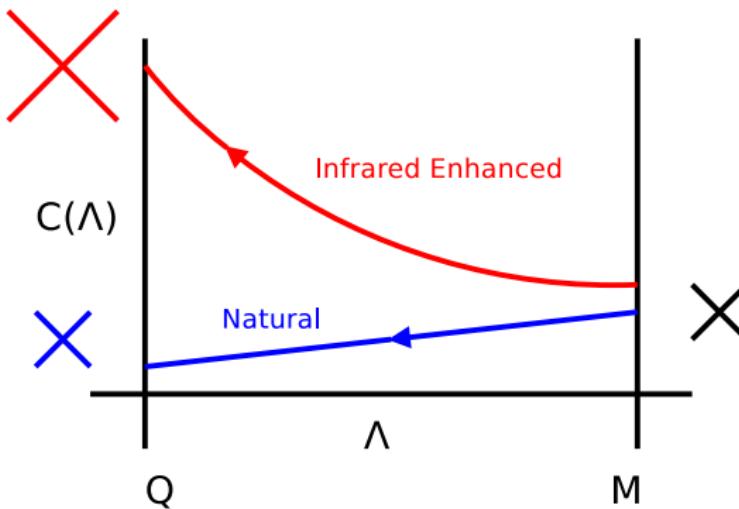
Predictive power: cut the expansion  $\Rightarrow$  systematic error estimations



**Caveat:** Power counting is not unique. Example above: KSW

# Renormalization Group & EFT: Power Counting

RG Equations: no unique solution, rather **families of solutions**



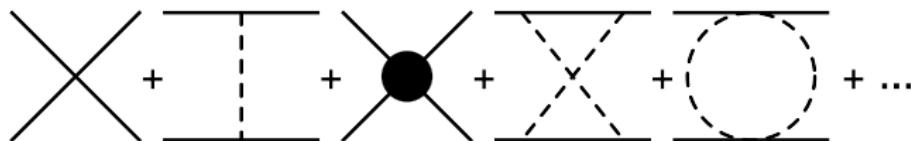
And this implies that **power counting is not unique**

# Nuclear Physics & EFT: How to build it

Nuclear EFT: what's inside?

- ▶ Low energy fields: **pions** & **nucleons** (& optionally **deltas**)
- ▶ Low energy symmetries:
  - ▶ **chiral symmetry** (main low energy remnant of QCD)
  - ▶ standard symmetries: parity, time reversal, rotational...

**First step:** write the diagrams

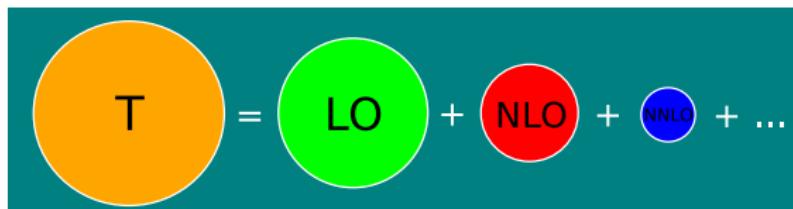


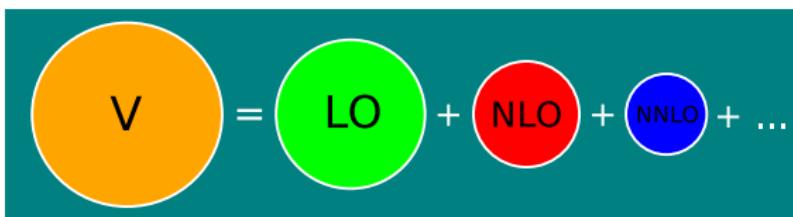
**Second step:** sort the diagrams (i.e. apply RG evolution)

(**Power Counting**)

# Nuclear Physics & EFT: Why do we do it?

- ▶ Everything within EFT is an **expansion**:

$$T = LO + NLO + NNLO + \dots$$
A diagram illustrating the expansion of the total amplitude  $T$ . It shows a large orange circle labeled  $T$  on the left, followed by an equals sign. To the right of the equals sign is a green circle labeled  $LO$ , followed by a plus sign. Next is a red circle labeled  $NLO$ , followed by another plus sign. Then comes a blue circle labeled  $NNLO$ , followed by a final plus sign and three dots indicating higher-order terms.

$$V = LO + NLO + NNLO + \dots$$
A diagram illustrating the expansion of the vertex function  $V$ . It shows a large orange circle labeled  $V$  on the left, followed by an equals sign. To the right of the equals sign is a green circle labeled  $LO$ , followed by a plus sign. Next is a red circle labeled  $NLO$ , followed by another plus sign. Then comes a blue circle labeled  $NNLO$ , followed by a final plus sign and three dots indicating higher-order terms.

Calculations are amenable to **error estimations**  
(that is, the expected size of the next blob)

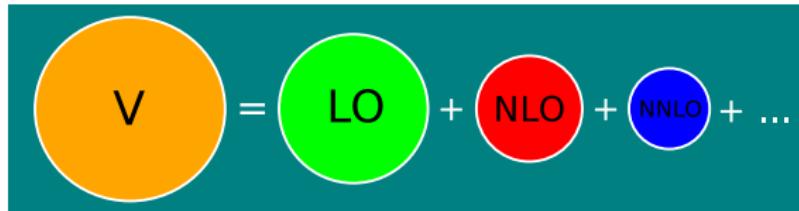
- ▶ Connection to QCD

# Nuclear Physics & EFT: history and path-dependence

Traditional EFT / RG knowledge is perturbative,  
but nuclear physics is not.

We have to iterate something...

Weinberg's idea (90): we know how to count the potential



Simply iterate the EFT potential (or part of it)

# Nuclear Physics & EFT: General idea

Nucleons are heavy: the use of potentials is justified (and useful)

- ▶ We begin with

$$V = \text{LO} + \text{NLO} + \text{NNLO} + \dots$$

- ▶ which we put into  $T = V + VG_0 T$  (or a reexpansion of it)
- ▶ we want the following to happen

$$T = \text{LO} + \text{NLO} + \text{NNLO} + \dots$$

Well, it doesn't automatically happen. **Requires hard work**

# Nuclear Physics & EFT: What can fail?

**The loops will fail**, if not properly renormalized.

- ▶ At short distances, the potential is not counting-friendly

$$V = \text{LO} + \text{NLO} + \text{NNLO} + \dots$$

- ▶ Loops probe the short distance region above.
- ▶ For cut-offs probing short distances, the T-matrix might violate power counting (if not properly renormalized):

$$T = \text{NLO} + \text{NNLO} + \text{LO} + \dots$$

**No counting, no error estimates, no QCD connection**

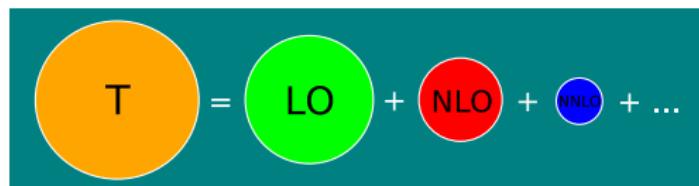
(Lepage (98); Epelbaum and Gegelia (09), though in a different context with a different message.)

# Nuclear Physics & EFT: The Recipe

**Beware iterations: non-perturbative EFT eventually fails**

(MPV & Arriola (05), again in a different context and with a different message.)

**Fool proof recipe for a T-matrix with correct counting:**

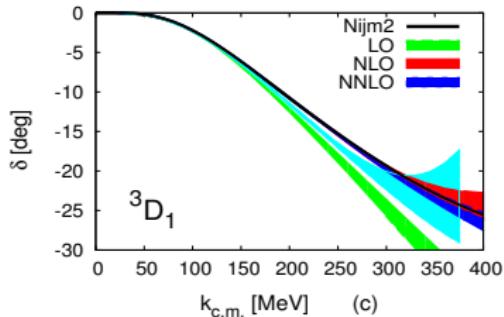
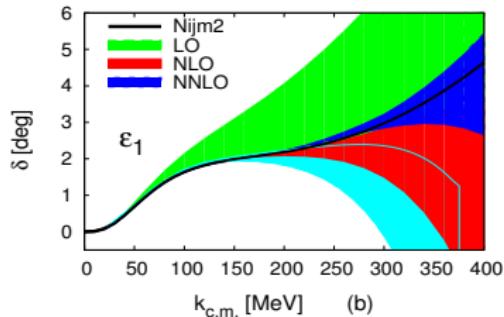
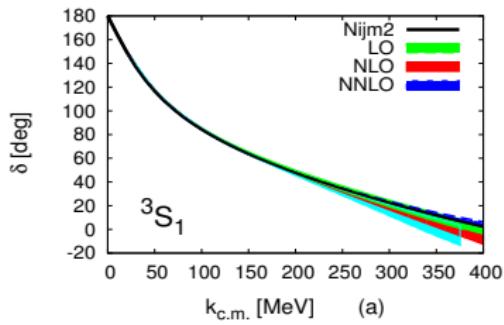
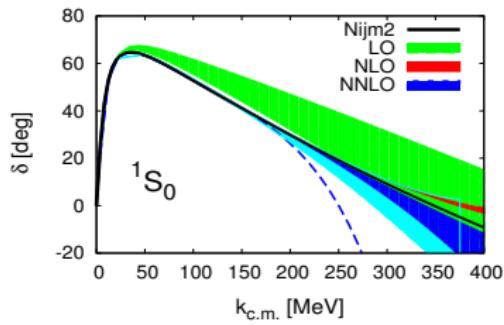


- (1) LO potential **non-perturbative**. We renormalize it.
- (2) NLO, NNLO ... potential: **perturbative**
- (3) We renormalize NLO, NNLO... **perturbatively**

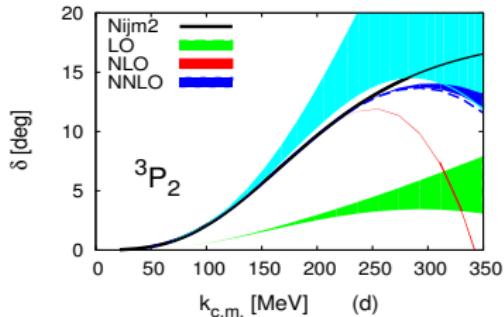
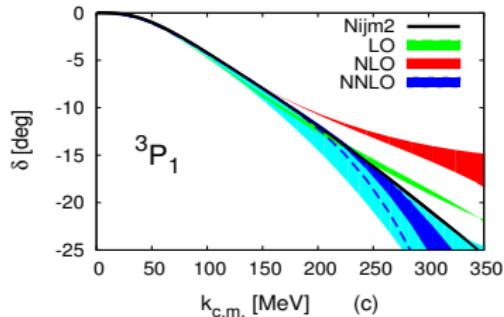
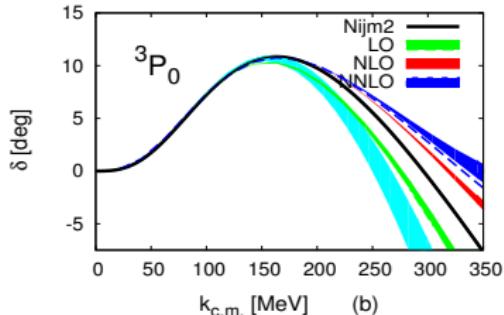
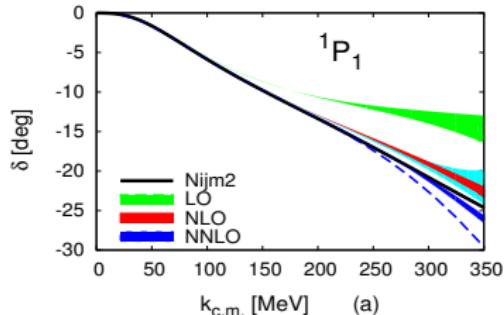
**Mix of perturbative / non-perturbative compatible with EFT**

(MPV 11, 12; Long, Yang 12)

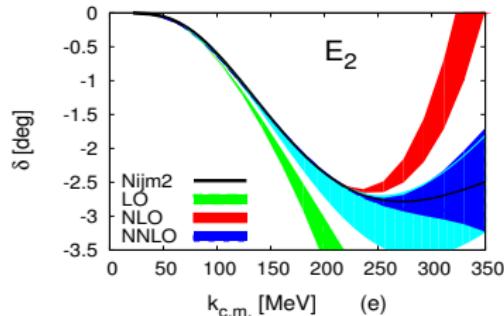
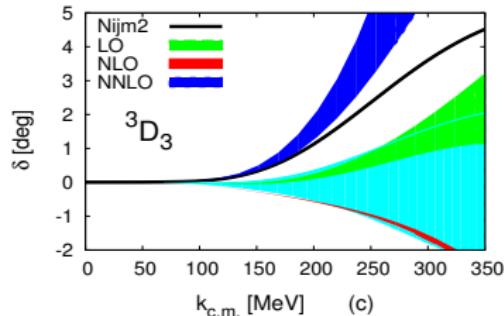
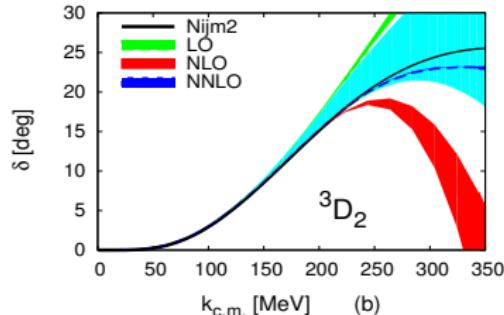
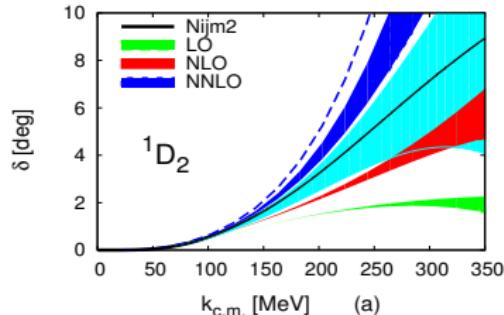
# Nuclear Physics & EFT: two-body phase shifts



# Nuclear Physics & EFT: two-body phase shifts



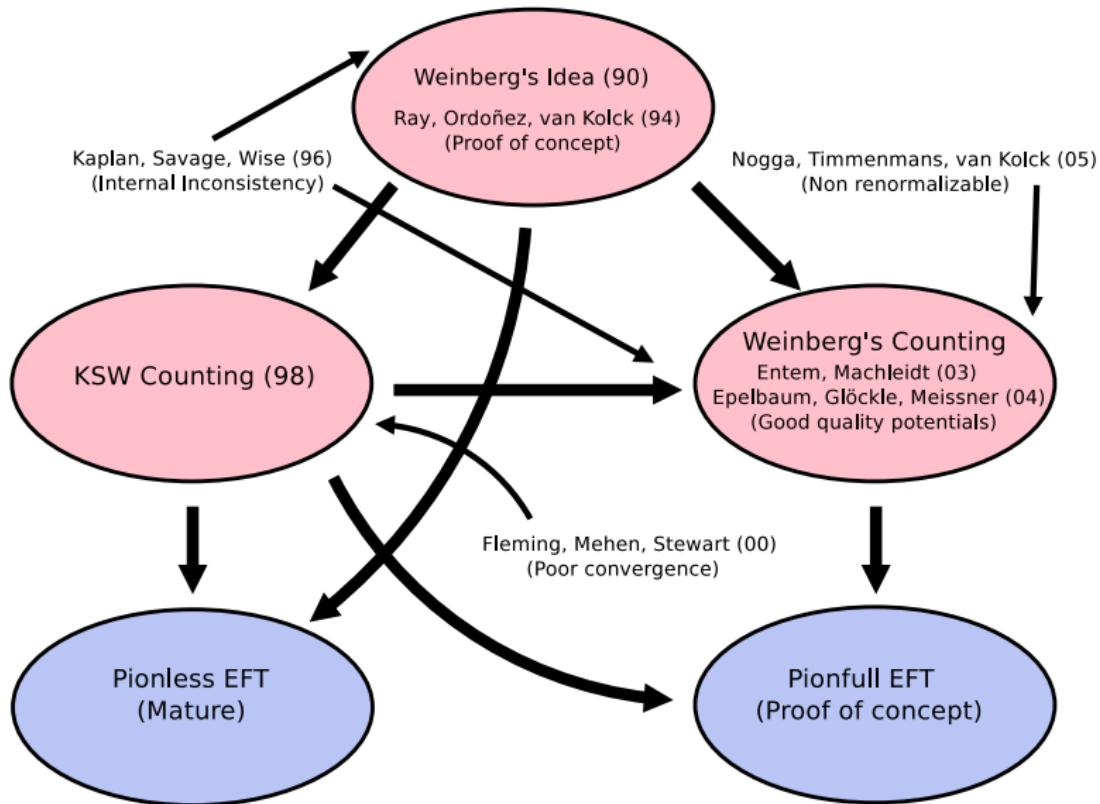
# Nuclear Physics & EFT: two-body phase shifts



# Nuclear Physics & EFT: Long story short

The problem of path dependence...

# Nuclear Physics & EFT: Long story short



# Nuclear Physics & EFT: the Outlook

A Perturbing Match

# Nuclear Physics & EFT: the Outlook

## Fundamental tension:

- ▶ Nuclear EFT: **mixture of perturbative & non-perturbative**
- ▶ Computational nuclear physics: **usually non-perturbative**
  - ▶ A few exceptions: **Lattice EFT** (Lee, Epelbaum, Mei $\beta$ nner, L $\ddot{a}$ hde...)  
Proof of compatibility. Current implementations use inconsistent power counting though. **GFMC**

Computational nuclear physics & EFT **usually incompatible**

We are confronted with a dilemma

- (a) Use my old nuclear codes: **Give up (rigorous) EFT**
- (b) Redo a lot of computational nuclear physics: **Panic!**

# Nuclear Physics & EFT: a potential compromise

**Findind a balance:**  $V = V_{LO} + \delta V$

- ▶ Two-body sector: direct comparison possible

- ▶  $T = V + V G_0 T$

- ▶  $T_{EFT} = T_{LO} + \delta T$

- ▶  $T_{LO} = V_{LO} + V_{LO} G_0 T_{LO}$

- ▶  $\delta T = (1 + T_{LO} G_0) \delta V (G_0 T_{LO} + 1)$

Then we **check** that  $|T - T_{EFT}|$  is **small**

- ▶ Many-body sector: **indirect comparison** possible

Define  $V(\lambda) = V_{LO} + \lambda \delta V$  and compute  $\mathcal{O}(\lambda)$ :

- ▶  $\mathcal{O} = \mathcal{O}(\lambda = 1)$

- ▶  $\mathcal{O}_{EFT} = \mathcal{O}_{LO} + \delta \mathcal{O}$

- ▶  $\mathcal{O}_{LO} = \mathcal{O}(\lambda = 0)$

- ▶  $\delta \mathcal{O}_{EFT} = \left. \frac{d}{d\lambda} \mathcal{O}(\lambda) \right|_{\lambda=0}$

Then we **check** that  $|\mathcal{O} - \mathcal{O}_{EFT}|$  is **small**

# Nuclear Physics & EFT: checking the counting

Illustration of power counting breakdown in **Weinberg's counting**

- ▶ naive dimensional analysis

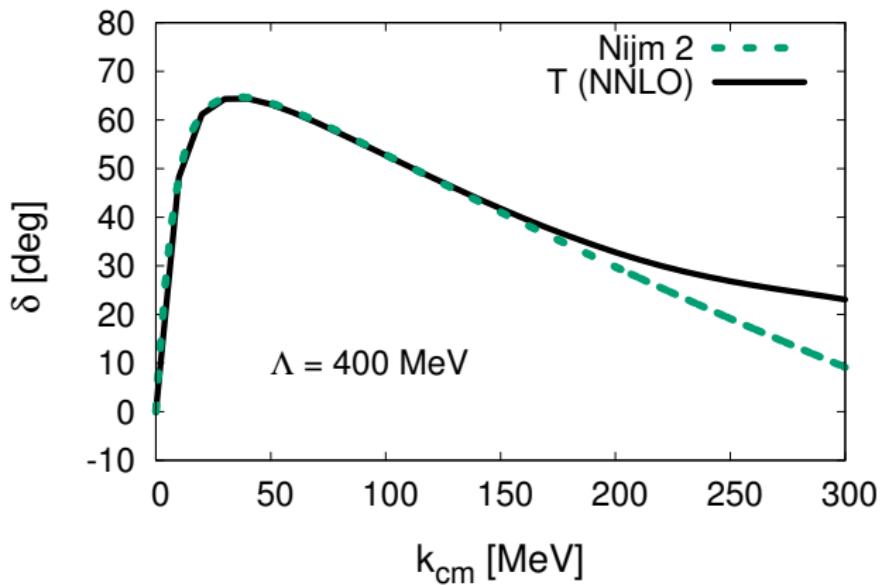
$$V_{\text{EFT}}(\nu_{\max}) = V^{(0)} + V^{(2)} + V^{(3)} + \dots + V^{(\nu_{\max})}$$

- ▶ fully non-perturbative

$$T(\nu_{\max}) = V_{\text{EFT}}(\nu_{\max}) + V_{\text{EFT}}(\nu_{\max}) G_0 T(\nu_{\max})$$

Now we do a calculation at  $\nu_{\max} = 3$  a.k.a. N<sup>2</sup>LO

# Nuclear Physics & EFT: checking the counting



$N^2\text{LO}$  Weinberg counting calculation vs Nijmegen phase shifts

# Nuclear Physics & EFT: checking the counting

Now we analyze **Weinberg's counting**. What we do is:

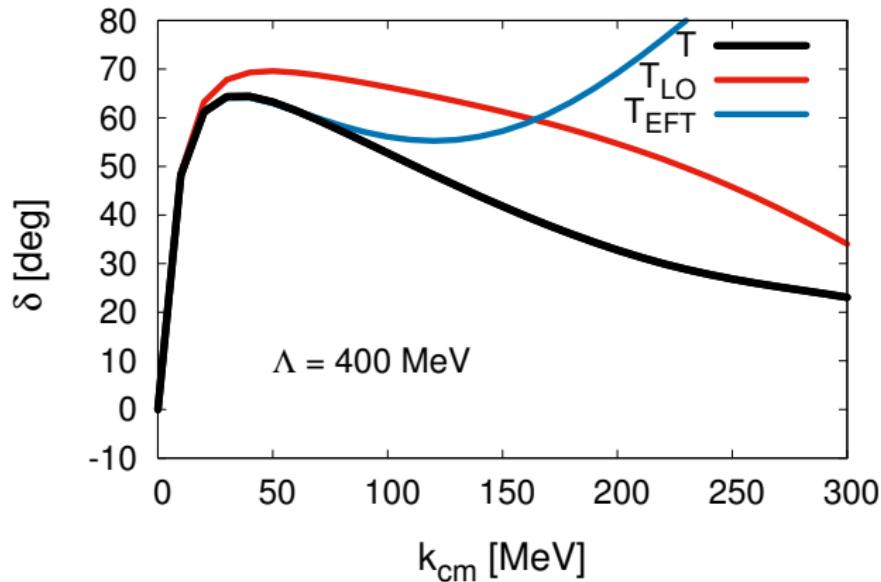
- ▶ The N<sup>2</sup>LO potential is  $V_{\text{EFT}} = V^{(0)} + V^{(2)} + V^{(3)}$
- ▶ The N<sup>2</sup>LO T-matrix is  $T = V_{\text{EFT}} + V_{\text{EFT}} G_0 T$

**What we should have is:**

- ▶ Power counting:  $V^{(0)} \gg V^{(2)}, V^{(3)}$
  - ▶ Subleading potential is small and thus a perturbation
  - ▶ Compute  $T_{\text{EFT}} = T_{\text{LO}} + \delta T$  and compare with  $T$ 
    - ▶  $T_{\text{LO}} = V_{\text{LO}} + V_{\text{LO}} G_0 T_{\text{LO}}$
    - ▶  $\delta T = (1 + T_{\text{LO}} G_0) \delta V (G_0 T_{\text{LO}} + 1)$
- where  $V_{\text{LO}} = V^{(0)}$ ,  $\delta V = V^{(2)} + V^{(3)}$

**Now we check it...**

# Nuclear Physics & EFT: checking the counting



Matching  $T_{\text{EFT}}$  with  $T_{\text{LO}} + \delta T$ : counting works up to  $k \sim 100$  MeV

# Nuclear Physics & EFT: power counting extravaganza

We can play another game to discover the implicit counting in  $T$

- ▶ **Original assumptions:**

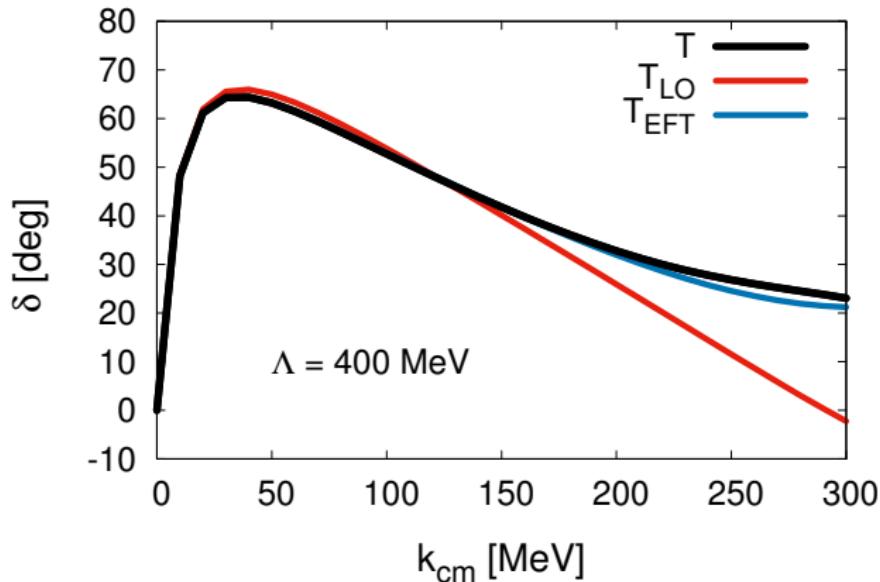
- ▶  $V^{(0)} = V_{\text{OPE}} + C_0$
- ▶  $V^{(2)} + V^{(3)} = V_{\text{TPE}} + C_2(p^2 + p'^2)$

- ▶ **New assumptions:**

- ▶  $V^{(0)} = V_{\text{TPE}} + C_0$
- ▶  $V^{(2)} + V^{(3)} = V_{\text{OPE}} + C_2(p^2 + p'^2)$

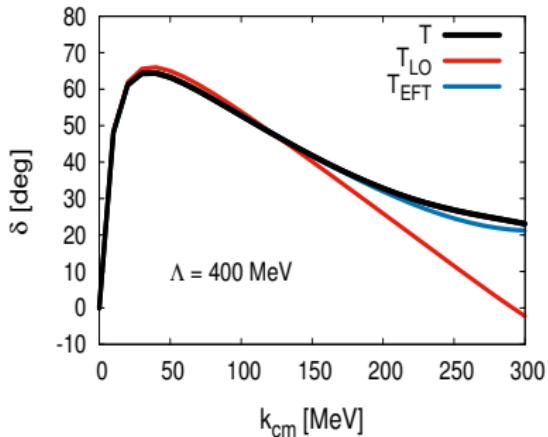
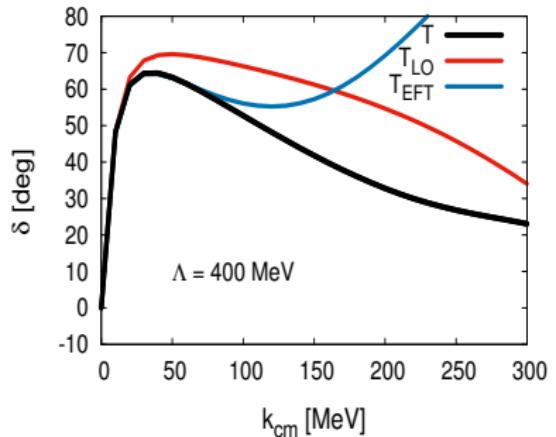
That is, we are **swapping OPE by TPE**

# Nuclear Physics & EFT: power counting extravaganza



Comparison of  $T_{\text{EFT}} = T_{\text{LO}} + \delta T$  with  $T$  after exchanging OPE and TPE. Power counting now works up to  $k \sim 300$  MeV

# Nuclear Physics & EFT: power counting extravaganza



## Power Counting vs Power Counting Extravaganza

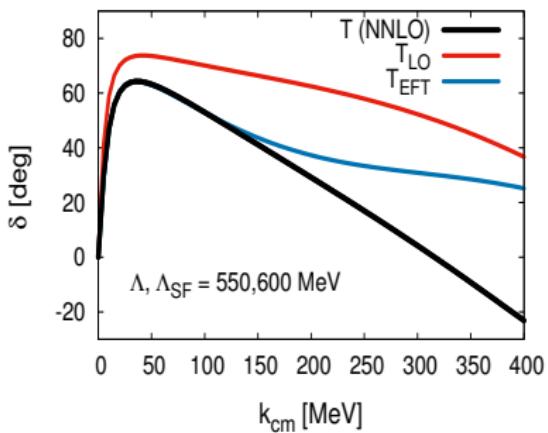
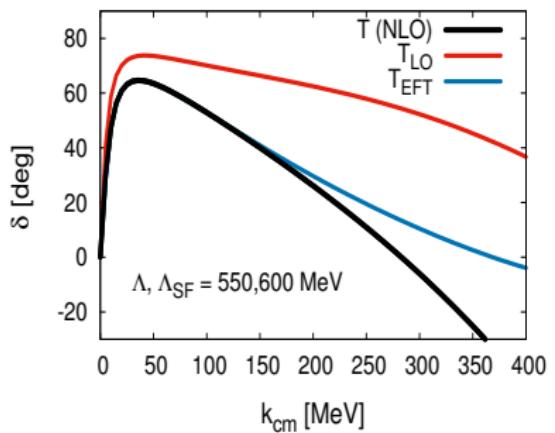
Relation with Epelbaum and Gegelia's Peratization

(again, in a different context and with a different intention)

# Nuclear Physics & EFT: a potential compromise

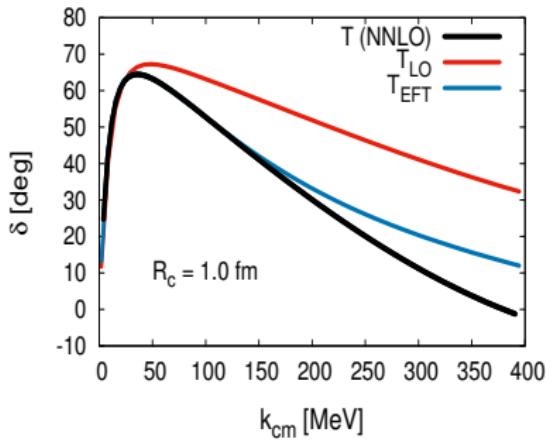
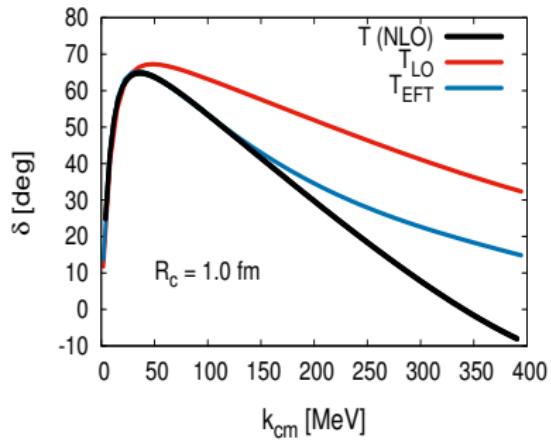
Now we can test this with a few of the chiral potentials in the market

# Nuclear Physics & EFT: a potential compromise



Epelbaum, Glöckle, Mei $\beta$ nner (2003): **moderate breakdown**

# Nuclear Physics & EFT: a potential compromise



Gerzelis, Tews, Epelbaum, Freunek, Gandolfi, Hebeler, Nogga,  
Schwenk (2014): **this looks definitely better**

# Nuclear Physics & EFT: a potential compromise

Now we do it with a real power counting

# Nuclear Physics & EFT: now we use the correct counting

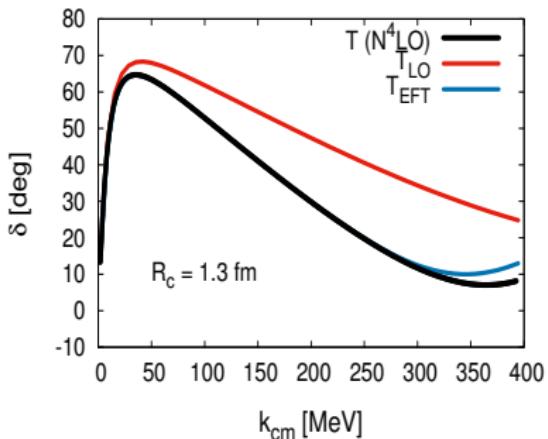
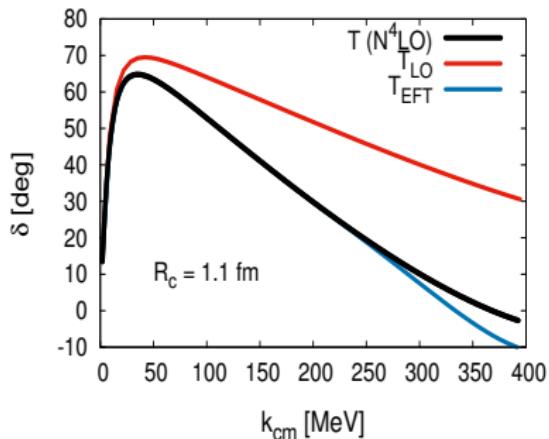
Previous calculations: **naive dimensional analysis**

- ▶ not correct counting: large scattering length implies enhancement of derivatives by two orders (KSW 98, van Kolck 98)
- ▶ not renormalizable (NTvK 05, MPV, Arriola 05)

Power counting for the singlet indeed looks like this:

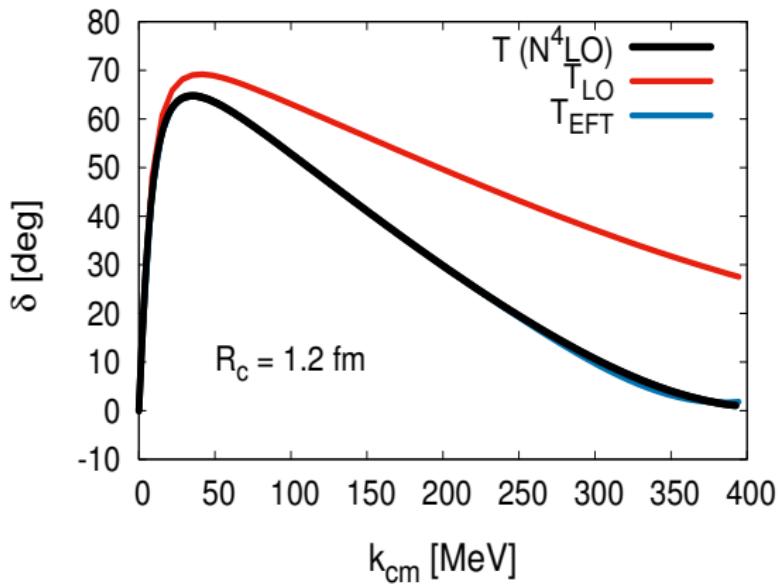
Order	NDA	MPV	MPV'	Long & Yang
$Q^{-1}$	-	$C_0, V_{\text{OPE}}$	$C_0$	$C_0, V_{\text{OPE}}$
$Q^0$	$C_0, V_{\text{OPE}}$	$C_2$	$C_2, V_{\text{OPE}}$	$C_2$
$Q^1$	-	-	-	$C_4, V_{\text{TPE,L}}$
$Q^2$	$C_2, V_{\text{TPE,L}}$	$C_4, V_{\text{TPE,L}}$	$C_4, V_{\text{TPE,L}}$	$C_6, V_{\text{TPE,SL}}$
$Q^3$	$V_{\text{TPE,SL}}$	$V_{\text{TPE,SL}}$	$V_{\text{TPE,SL}}$	$C_8, V_{3\pi}$

# Nuclear Physics & EFT: now we use the correct counting



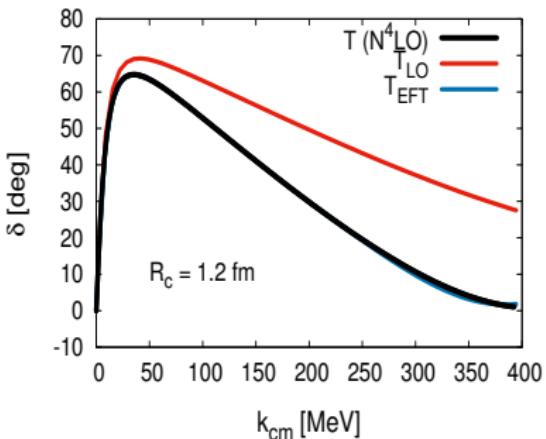
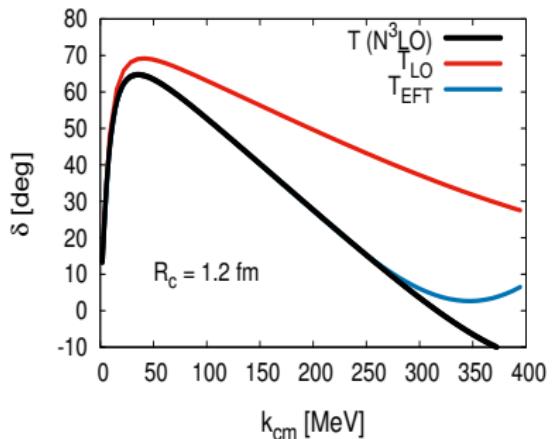
only supposed to work for a particular cut-off range

# Nuclear Physics & EFT: now we use the correct counting



$R_c = 1.2 \text{ fm}$  best cut-off for gaussian regulator

# Nuclear Physics & EFT: now we use the correct counting



comparing the orders for  $R_c = 1.2$  fm

# Nuclear Physics & EFT: now we use the correct counting

$$C^F \quad \text{versus} \quad C^{\text{EFT}} = C^{\text{LO}} + \delta C$$

Coupling	$N^3LO$ (F)	$LO$	$N^3LO$ (EFT)
$C_0$	$0.153 \text{ fm}^2$	$-2.204 \text{ fm}^2$	$-1.804 \text{ fm}^2$
$10C_2$	$3.535 \text{ fm}^4$	-	$4.933 \text{ fm}^4$
$100C_4$	$2.938 \text{ fm}^6$	-	$2.606 \text{ fm}^6$

Coupling	$N^4LO$ (F)	$LO$	$N^4LO$ (EFT)
$C_0$	$3.051 \text{ fm}^2$	$-2.204 \text{ fm}^2$	$2.229 \text{ fm}^2$
$10C_2$	$1.054 \text{ fm}^4$	-	$1.874 \text{ fm}^4$
$100C_4$	$1.374 \text{ fm}^6$	-	$1.713 \text{ fm}^6$

# Questions

- ▶ Is **power counting extravaganza** a serious issue in potential-based approaches (e.g. Weinberg prescription)?
  - ▶ Is the division of **implicit vs explicit counting** relevant?
  - ▶ Should existing potentials be **diagnosed**?
- ▶ Are potential approximations to EFT amplitudes acceptable?
  - ▶ Implicitly **preserve power counting**
  - ▶ Explicitly **break RG invariance**:  
The compromise only works in a specific cut-off window

The End

Thanks For Your Attention!