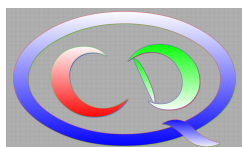




Aspects of strong CP violation

Ulf-G. Meißner, Univ. Bonn & FZ Jülich

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by ERC, EXOTIC



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- Brief introduction
- θ -dependence of light nuclei and nucleosynthesis
- Aspects of axion phenomenology
- Summary and outlook

Short introduction

Strong CP violation

- QCD has non-trivial topological vacua: $|\theta\rangle = \sum_n e^{i n \theta} |n\rangle$
- Consider strong CP-violation induced by the θ -vacuum
- QCD in the presence of strong CP-violation

$$\mathcal{L}_{QCD} = -\frac{1}{4} G_{\mu\nu}^a G^{a,\mu\nu} + \sum_{\text{flavors}} \bar{q} (i\not{D} - \mathcal{M}) q + \theta \frac{g^2}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

- Connection to the $U(1)_A$ anomaly (mixing w/ the quarks):

$$\hookrightarrow \text{effective } \theta\text{-angle: } \bar{\theta} = \theta + \text{Arg det } \mathcal{M}$$

- A non-vanishing vacuum angle $\bar{\theta}$ entails $d_n \neq 0$

$$\hookrightarrow \bar{\theta} = \mathcal{O}(10^{-11})$$

Solutions to the strong CP problem

- A massless quark?

↪ **ruled out** by phenomenology and lattice QCD

PDG (2022), FLAG (2022)

- Anthropic principle?

↪ probably **not!**

Ubaldi (2010), Lee, UGM, Olive, Shifman, Vonk (2020)

↪ first part of this talk

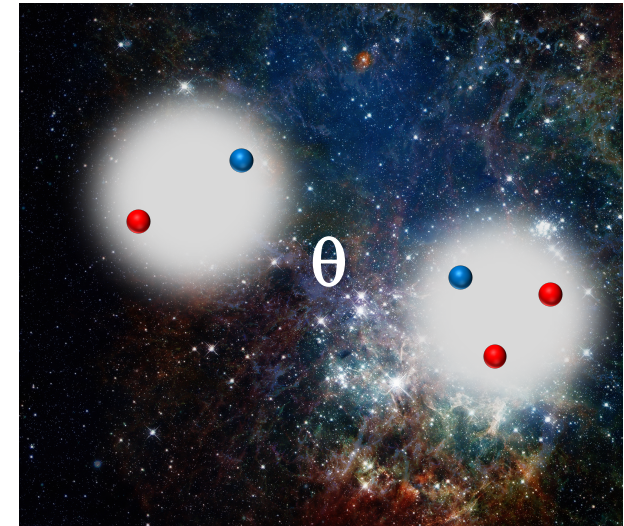
- A hidden $U(1)_A$ symmetry?

↪ Peccei-Quinn mechanism Peccei, Quinn (1977)

↪ **Axions** Weinberg (1978), Wilczek (1978)

↪ second part of this talk

- Other ideas?

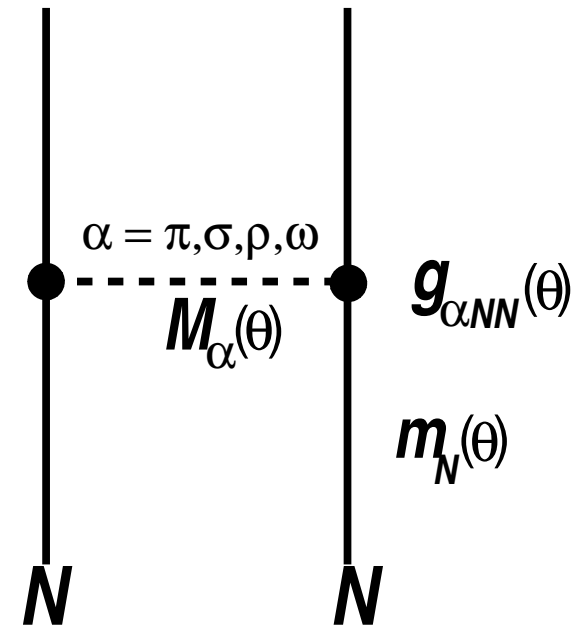


θ -dependence of light nuclei and nucleosynthesis

Lee, UGM, Olive, Shifman, Vonk, Phys. Rev. Res. **2** (2020) 033392

General framework I

- Calculate hadron properties from chiral perturbation theory in the θ -vacuum
 \hookrightarrow pion (π) and nucleon (N) masses and couplings, neutron lifetime
- Calculate scalar (σ) and vector (ρ, ω) meson properties using unitarized chiral perturbation theory
- Calculate di-nucleon (np, nn, pp) properties from a One-Boson-Exchange (OBE) model ($\pi, \sigma, \rho, \omega$) with θ -dependent meson and nucleon masses and coupling constants
- Calculate properties of light nuclei using the approximate Wigner SU(4) symmetry



General framework: θ -dependent EFT

- Chiral perturbation theory in the presence of θ [show only LO, Goldstones]:

$$\mathcal{L}_2 = \frac{F^2}{4} \langle D_\mu U^\dagger D^\mu U \rangle + \frac{F^2}{4} \langle \chi U^\dagger + \chi^\dagger U \rangle, \quad \chi = 2B\mathcal{M} \exp(i\theta/N)$$

↪ 2 LECs: $F, B \equiv \Sigma/F^2$ [Σ = quark condensate in c.l.]

- Vacuum alignment from minimizing the potential energy:

↪ $U(x) = U_0 \tilde{U}(x)$ ground state $U_0 \times$ quantum fluctuations \tilde{U} (Goldstones)


↪ Ansatz: $U_0 = \text{diag} \{ e^{i\varphi_1}, e^{i\varphi_2}, \dots, e^{i\varphi_N} \}$, $\sum_f \varphi_f = 2n\pi$ ($n \in \mathbb{Z}$)

$$\hookrightarrow V_2 = -\Sigma \text{Re} \langle e^{-i\theta/N} U_0 \mathcal{M} \rangle = -\Sigma \sum_f \cos \left(\varphi_f - \frac{\theta}{N} \right) m_f$$

↪ for SU(2): $\tan \varphi = \varepsilon \tan \frac{\theta}{2}$, $\varepsilon = \frac{m_d - m_u}{m_d + m_u}$

General framework: θ -dependent EFT II

- Much more details on EFTs and θ -vacua in:



Effective Field Theories

AUTHORS:
Ulf-G Meißner, Rheinische Friedrich-Wilhelms-Universität Bonn
Akaki Rusetsky, Rheinische Friedrich-Wilhelms-Universität Bonn

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θ -dependence of hadron masses and couplings I

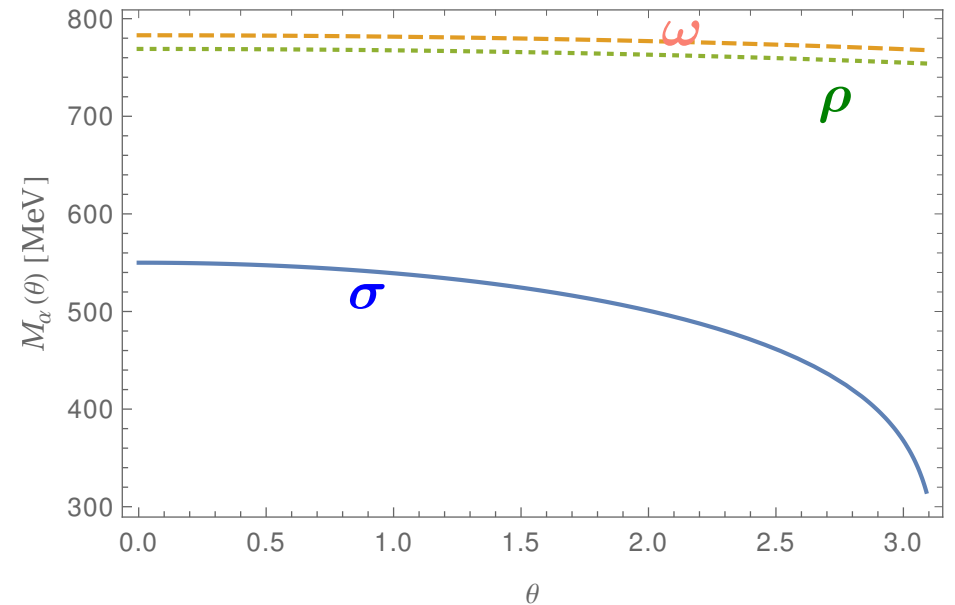
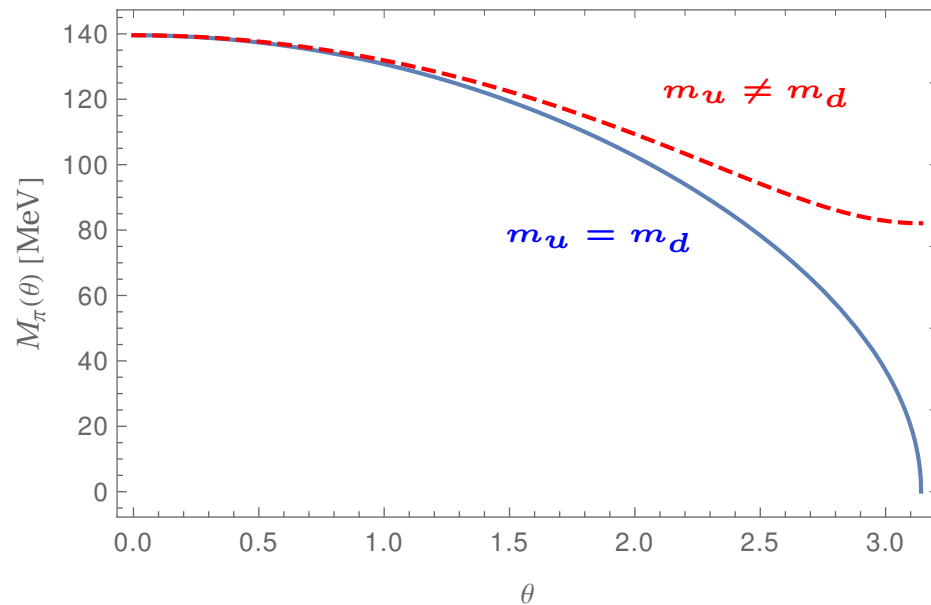
- Pions – use SU(2) chiral perturbation theory in the θ -vacuum

Brower, Chandrasekharan, Negele, Wiese, Phys. Lett. B **560** (2003) 64

$$M_\pi^2(\theta) = M_\pi^2 \cos \frac{\theta}{2} \sqrt{1 + \left(\frac{m_d - m_u}{m_d + m_u} \right)^2 \tan^2 \frac{\theta}{2}}$$

- σ , ρ , ω – use unitarized SU(2) $\pi\pi$ scattering amplitude

Acharya, Guo, Mai, UGM, Phys. Rev. D **92** (2015) 054023



θ -dependence of hadron masses and couplings II

- Nucleons - use SU(2) heavy baryon chiral perturbation theory

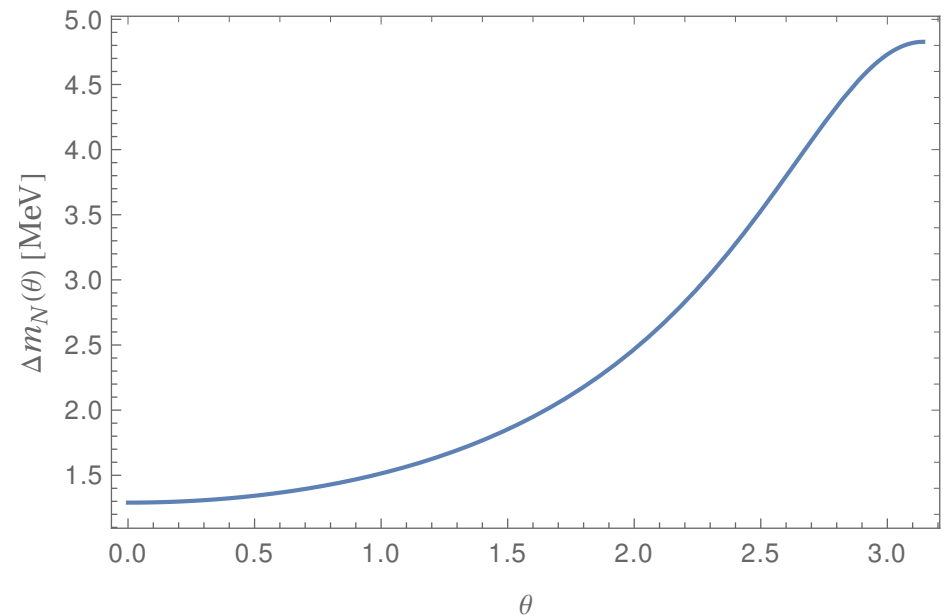
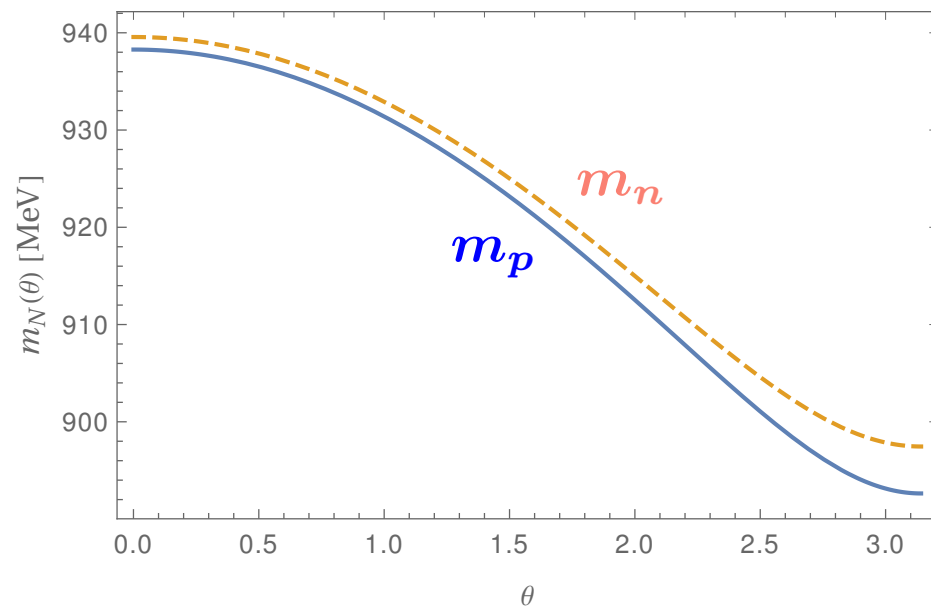
Hoferichter, de Elvira, Kubis, UGM, Phys.Rept. **625** (2016) 1

$$m_N(\theta) = m_0 - 4c_1 M_\pi^2(\theta) - \frac{3g_A^2 M_\pi^3(\theta)}{32\pi F_\pi^2}$$

- Neutron-proton mass difference:

Gasser, Leutwyler, Rusetsky, Phys. Lett. B **814** (2021) 136087

$$(m_n - m_p)^{\text{QCD}}(\theta) = 4c_5 B \frac{M_\pi^2}{M_\pi^2(\theta)} (m_u - m_d) \underbrace{=}_{\theta=0} 1.87 \text{ MeV}$$



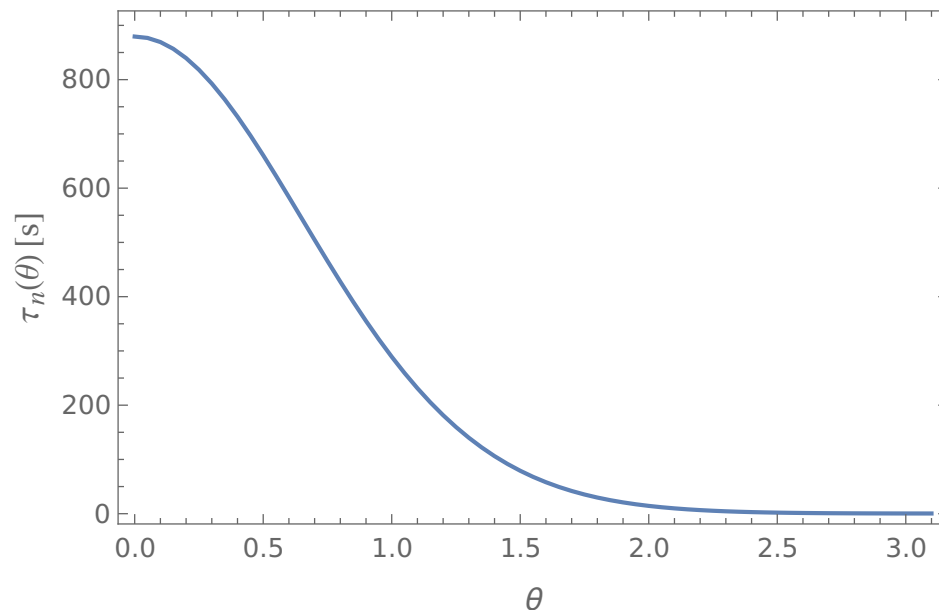
θ -dependence of the neutron lifetime

- Neutron lifetime: $\tau_n(\theta) \propto \frac{1}{f(\theta)}$

- Fermi integral:

$$f(\theta) = \int_0^{\Delta m_N(\theta) - m_e} F(Z, E_e) p_e E_e (\Delta m_N(\theta) - m_e - E_e)^2 dE_e$$

$$\hookrightarrow \tau_n(\theta) = \tau_n(0) \frac{f(0)}{f(\theta)} = 879.4 \text{ s} \frac{f(0)}{f(\theta)}$$



\hookrightarrow rather strong θ -dependence

- Goldberger-Treiman discrepancy

Gasser, Sainio, Svarc, Nucl. Phys. B **307** (1988) 779
UGM, Steininger, Nucl. Phys. A **640** (1998) 199

$$g_{\pi NN}(\theta) = \frac{g_A m_N(\theta)}{F_\pi} \left(1 - \frac{2M_\pi^2(\theta) \bar{d}_{18}}{g_A} \right)$$

- $g_{\sigma NN}$ form resonance saturation, scalar contact interaction

Epelbaum, Glöckle, UGM, Elster, Phys. Rev. C **65** (2002) 044001; Donoghue, Damour, Phys. Rev. D **78** (2008) 014014; Ubbaldi, Phys. Rev. D **81** (2010) 025011

$$H_{\text{contact}} = G_s (\bar{N}N)(\bar{N}N), \quad G_s = -\frac{g_{\sigma NN}^2}{M_\sigma^2}$$

$$\hookrightarrow G_s(\theta) = G_s(0) \left(1.4 - 0.4 \frac{M_\pi^2(\theta)}{M_\pi^2} \right)$$

- Negligible θ -dependence of $g_{\rho NN}$ and $g_{\omega NN}$

Acharya, Guo, Mai, UGM, Phys. Rev. D **92** (2015) 054023

\hookrightarrow keep these couplings constant

θ -dependence of the two-nucleon system

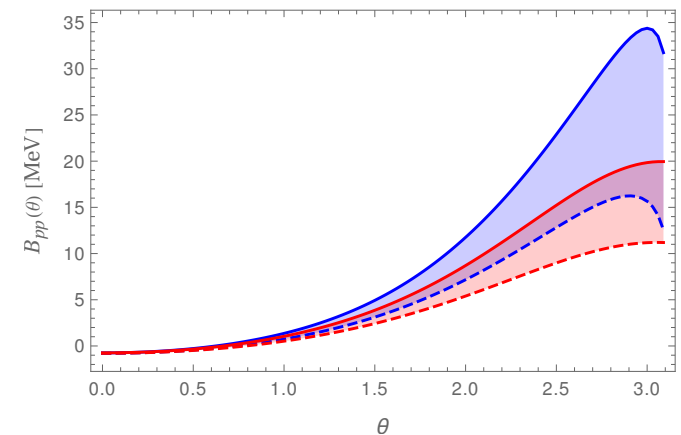
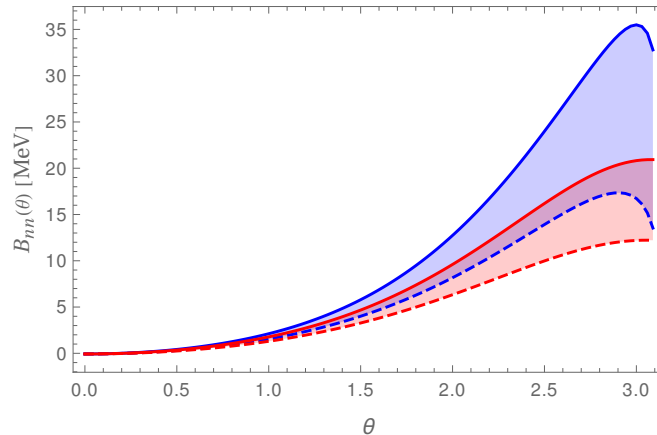
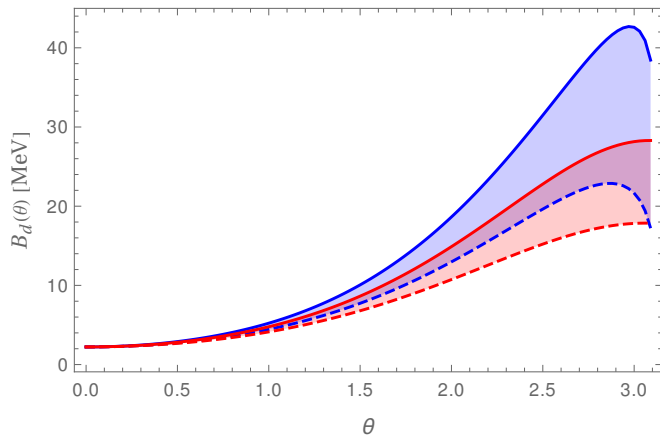
• OBE potential:
$$V_{\text{OBE}}(r, \theta) = \sum_{\alpha=\{\pi, \sigma, \rho, \omega\}} V_{\alpha}(r, \theta) + \frac{\Lambda}{4\pi} \frac{e^{-\Lambda r}}{r}$$

$$V_{\alpha}(r, \theta) \propto g_{\alpha NN}^2(\theta) \left(\frac{M_{\alpha}(\theta)}{m_N(\theta)} \right)^2 \frac{e^{-M_{\alpha}(\theta)r}}{r}$$

Deuteron ($S = 1, I = 0$)

Dineutron ($S = 0, I = 1$)

Diproton ($S = 0, I = 1$)



↪ Binding increases!

↪ Dineutron gets bound!

↪ Diproton gets bound!

$$\theta_{\text{crit}} \simeq 0.2$$

$$\theta_{\text{crit}} \simeq 0.7$$

θ -dependence of three and four nucleons

- How to calculate the θ -dependence of ${}^3\text{H}$, ${}^3\text{He}$, ${}^4\text{He}$?

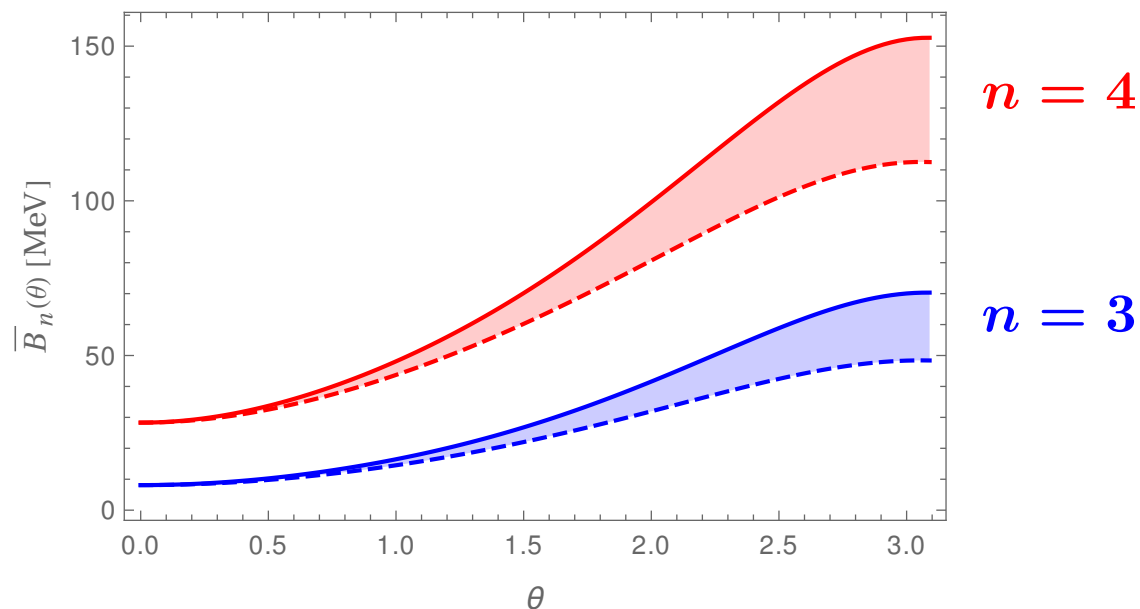
↪ make use of Wigner approximate SU(4) spin-isospin symmetry

↪ gives the average binding energy \bar{B}_n for the n -nucleon system

↪ approximate relation:

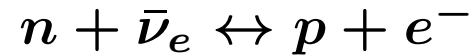
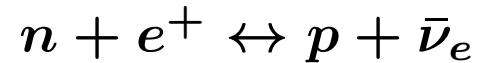
Gattobigio, Kievsky, Viviani, Phys. Rev. A **86** (2012) 042513

$$\left(\frac{\bar{B}_n(\theta)}{\bar{B}_4(0)}\right)^{1/4} - \left(\frac{\bar{B}_2(\theta)}{\bar{B}_4(0)}\right)^{1/4} = \left(\frac{\bar{B}_n(0)}{\bar{B}_4(0)}\right)^{1/4} - \left(\frac{\bar{B}_2(0)}{\bar{B}_4(0)}\right)^{1/4}$$



θ -dependence of Big Bang Nucleosynthesis I

- Before freeze-out, neutrons and protons are in thermal equilibrium

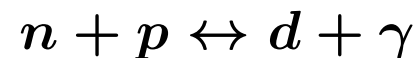


- Boltzmann distribution: $(n/p)(\theta) = \exp(-\Delta m_N(\theta)/T)$

↔ Neutron fraction X_n at freeze-out temperature $T_f \simeq 0.84$ MeV

$$X_n(\theta, T = T_f) = \frac{(n/p)(\theta)}{1 + (n/p)(\theta)}$$

- Deuteron bottleneck at $T_f > T > T_d \simeq 0.1$ MeV (small η_B !)

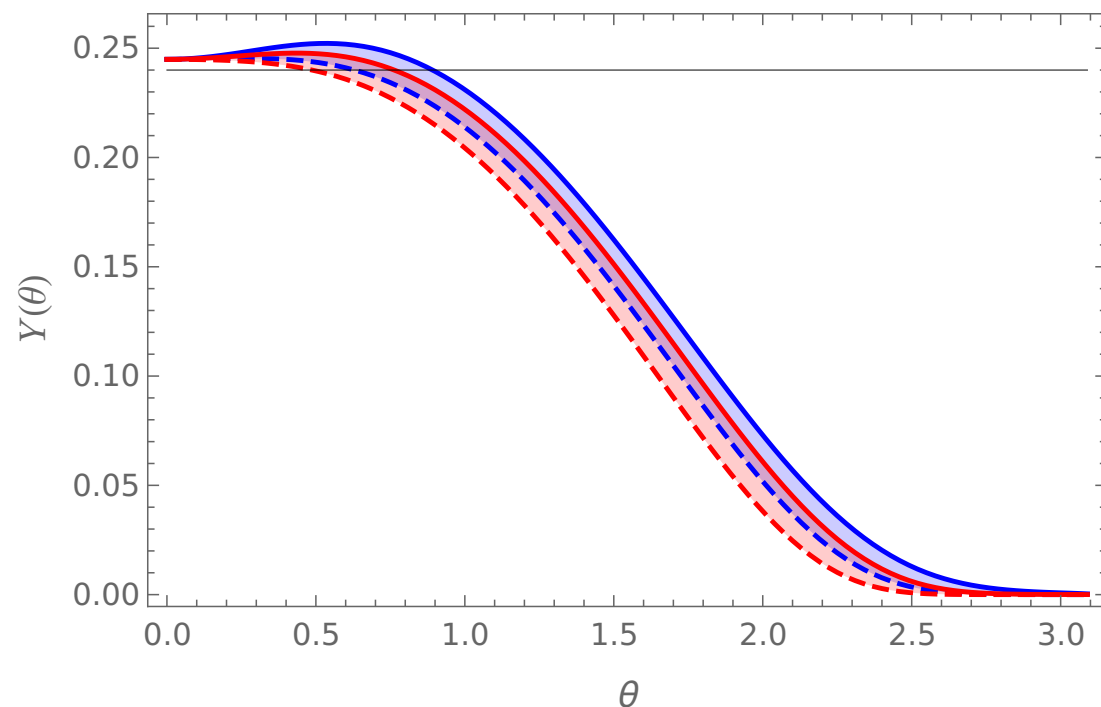


θ -dependence of Big Bang Nucleosynthesis II

- Free neutrons decay! [Remember: $\tau_n(\theta)$ decreases w/ increasing θ]

↪ ${}^4\text{He}$ mass fraction $Y(\theta)$ determined from n/p ratio at T_d

$$Y(\theta) = 2X_n(\theta, T = T_d) \exp\left(-\frac{t_d}{\tau_n(\theta)}\right), \quad t_d \propto T_d^{-2} \propto B_d^{-2}(\theta)$$



$m_u \neq m_d$

$m_u = m_d$

⇒ strong decrease for $\theta \gtrsim 1$

Further aspects of nucleosynthesis

- Impact of bound diproton/dineutron on BBN?

↪ no problem as long as the BE is smaller than that of the deuteron

Kneller, McLaughlin, Phys. Rev. D **70** (2004) 043512; Coc, Nunes, et al., Phys. Rev. D **76** (2007) 023511

- Production of nuclei beyond ${}^7\text{Li}$ in BB?

↪ only slight enhancement for ${}^8\text{Be}$, for heavier nuclei ineffective production rates

Coc, Descouvemont, et al., Phys. Rev. D **86** (2012) 043529

- Stellar nucleosynthesis: hydrogen burning $p + p \rightarrow d + e^+ + \nu_e$?

↪ below $\theta \approx 0.5$ no substantial change

↪ above $\theta \approx 0.7$ faster diproton production, but decays into the deuteron

MacDonald, Mullan, Phys. Rev. D **80** (2009) 043507; Barnes, JCAP **12** (2015) 050

- Stellar nucleosynthesis: ${}^{12}\text{C}$ and ${}^{16}\text{O}$?

↪ triple- α reaction affected (${}^8\text{Be}$ BE, Hoyle state), lack of ${}^{16}\text{O}$ for $\theta \gtrsim 0.1$

⇒ Constraints on θ , but no anthropics: Universe looks similar as long as $\theta \lesssim 0.1$

Axion phenomenology

Why studying the QCD axion?

- Axion-photon coupling in **axion searches**:

↪ cavity haloscopes, axion helioscopes, light shining through a wall, ...

Sikivie, Phys. Rev. Lett. **51** (1983) 1415; Turner, Phys. Rept. **197** (1990) 67; ...

- **Nuclear bremsstrahlung** processes in massive stellar objects

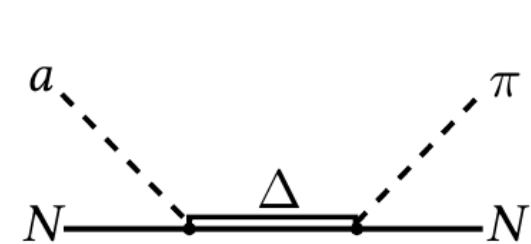
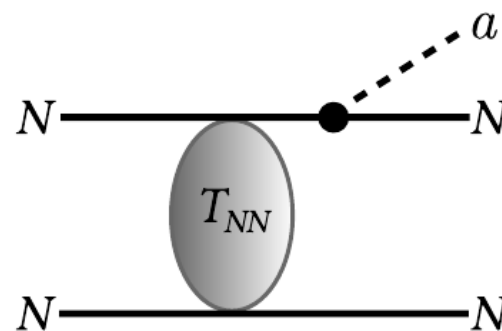
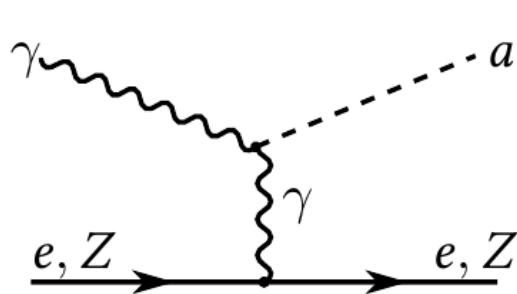
Raffelt, Phys. Rept. **198** (1990) 1; Turner, Phys. Rept. **197** (1990) 67; ...

- **Hyperons** in neutron stars - hyperon puzzle - and its role for axion searches

Tolos, Fabbietti, Prog. Part. Nucl. Phys. **112** (2020) 103770; ...

- Novel perspectives in axion searches through **resonance enhancement**?

Carenza, Fore, Giannotti, Mirizzi, Reddy, Phys. Rev. Lett. **126** (2021) 071102



- QCD Lagrangian supplemented with an axion field

$$\mathcal{L}_{\text{QCD}} = \mathcal{L}_{\text{QCD},0} - \bar{q}\mathcal{M}q + \frac{a}{f_a} \left(\frac{g}{4\pi} \right)^2 \langle G_{\mu\nu} \tilde{G}^{\mu\nu} \rangle + \bar{q} \gamma^\mu \gamma_5 \frac{\partial_\mu a}{2f_a} \mathcal{X}_q q$$

with

- ⊙ quark fields $q = (u, d, s, c, b, t)^T$
 - ⊙ axion field a , axion coupling f_a
 - ⊙ 6×6 mass matrix $\mathcal{M} = \text{diag}\{m_q\}$
 - ⊙ 6×6 axion-quark coupling matrix $\mathcal{X} = \text{diag}\{X_q\}$
 - $\hookrightarrow X_q^{\text{KSVZ}} = 0$
 - $\hookrightarrow X_{u,d,s}^{\text{DFSZ}} = \frac{1}{3} \sin^2 \beta$
 - $X_{c,b,t}^{\text{DFSZ}} = \frac{1}{3} \cos^2 \beta = \frac{1}{3} - X_{u,d,s}^{\text{DFSZ}}$
- } canonical “invisible” axion models

Kim, Phys. Rev. Lett. **43** (1979) 103; Shifman, Vainshtein, Zakharov, Nucl. Phys. B **166** (1980) 493

Dine, Fischler, Srednicki, Phys. Lett. B **104** (1981) 199; Zhitnitsky, Sov. J. Nucl. Phys. **31** (1980) 260

- Suitable axial rotation, so that the axion-quark Lagrangian is:

$$\mathcal{L}_{a-q} = -(\bar{q}_L \mathcal{M}_a q_R + \text{h.c.}) + \bar{q} \gamma^\mu \gamma_5 \frac{\partial_\mu a}{2f_a} (\mathcal{X}_q - \mathcal{Q}_a) q$$

$$\mathcal{M}_a = \exp\left(i \frac{a}{f_a} \mathcal{Q}_a\right) \mathcal{M}_q, \quad \mathcal{Q}_a \approx \frac{1}{1 + \underbrace{z}_{m_u/m_d} + \underbrace{w}_{m_u/m_s}} \text{diag}(1, z, w, 0, 0, 0)$$

- Coupling to external currents \rightarrow amenable to CHPT (for details, see the BOOK):

$$\begin{aligned} \mathcal{L}_{a-q}^{SU(2)} = & -(\bar{q}_L \mathcal{M}_a q_R + \text{h.c.}) + \left(\bar{q} \gamma^\mu \gamma_5 \left(\underbrace{c_{u-d} \frac{\partial_\mu a}{2f_a} \tau_3}_{a_\mu} + \underbrace{c_{u+d} \frac{\partial_\mu a}{2f_a} \mathbb{1}}_{a_{\mu,u+d}^{(s)}} \right) q \right)_{q=(u,d)^T} \\ & + \left(\bar{q} \gamma^\mu \gamma_5 \underbrace{c_q \frac{\partial_\mu a}{2f_a}}_{a_{\mu,q}^{(s)}} q \right)_{q=(s,c,b,t)^T} \end{aligned} \quad c_{u\pm d} = \frac{1}{2} \left(X_u \pm X_d - \frac{1 \pm z}{1 + z + w} \right), c_s = \dots$$

- Chiral meson-baryon Lagrangian [expansion in chiral orders]

$$\mathcal{L}_{\text{MB}} = \mathcal{L}_{\text{MB}}^{(1)} + \mathcal{L}_{\text{MB}}^{(2)} + \mathcal{L}_{\text{MB}}^{(3)} + \cdots + \mathcal{L}_{\text{M}}^{(2)} + \mathcal{L}_{\text{M}}^{(4)} + \cdots$$

- The axion appears in:

$$\triangleright u_\mu = i[u^\dagger \partial_\mu u - u \partial_\mu u^\dagger - iu^\dagger a_\mu u - iua_\mu u^\dagger] \quad [u = \sqrt{U}]$$

$$\triangleright u_{\mu,i} = 2a_{\mu,i}^{(s)}$$

$$\triangleright \chi_\pm = u^\dagger \chi u^\dagger \pm u \chi^\dagger u \quad [\chi = 2B_0 \mathcal{M}]$$

$$\triangleright \Gamma_\mu = \frac{1}{2}[u^\dagger \partial_\mu u + u \partial_\mu u^\dagger - iu^\dagger a_\mu u + iua_\mu u^\dagger]$$

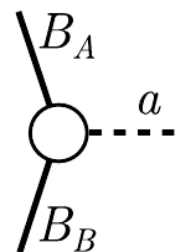
$$\hookrightarrow [\mathcal{D}_\mu, B] = \partial_\mu B + [\Gamma_\mu, B]$$

- this amounts to an expansion in $1/f_a$

$$\triangleright a_\mu, a_{\mu,i}^{(s)} = \mathcal{O}(1/f_a)$$

$$\triangleright \mathcal{M}_a = \mathcal{M}_q + i \frac{a}{f_a} \frac{1}{\langle \mathcal{M}_q^{-1} \rangle} + \mathcal{O}(1/f_a^2)$$

- General form of the axion-baryon vertex:



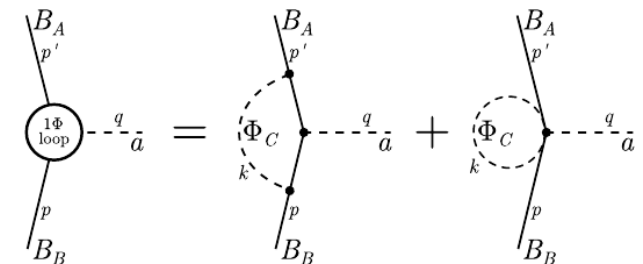
$$= G_{aAB}(S \cdot q), \quad G_{aAB} = -g_{aAB}/f_a + \mathcal{O}(1/f_a^2) \quad [q \text{ incoming}]$$

- Chiral expansion of the aAB coupling in heavy baryon CHPT:

$$g_{aAB} = \underbrace{g_{aAB}^{(1)}}_{\text{LO,tree}} + \underbrace{g_{aAB}^{(2)}}_{\text{NLO, } 1/m_B} + \underbrace{g_{aAB}^{(2)}}_{\text{NNLO, } 1/m_B^2, \text{one-loop}} + \dots$$

▷ in SU(2): $G_{aAB}, g_{aAB} \rightarrow G_{aNN}, g_{aNN}$

▷ in SU(3): G_{aAB}, g_{aAB}
with SU(3) indices A, B
in the physical basis



$$= \text{[Loop diagrams with } \Phi_C \text{ and } B_B \text{]} + \text{[Loop diagrams with } \Phi_C \text{ and } B_B \text{]}$$

Results for axion-baryon couplings at one-loop

- Precision calculation w/ Bayesian analysis for the unknown LECs (dominant uncertainty)

Process	KSVZ	DFSZ
$\Sigma^+ \rightarrow \Sigma^+ + a$	$-0.547(84)$	$-0.709(94) + 0.446(54) \sin^2 \beta$
$\Sigma^- \rightarrow \Sigma^- + a$	$-0.245(80)$	$-0.113(92) - 0.142(54) \sin^2 \beta$
$\Sigma^0 \rightarrow \Sigma^0 + a$	$-0.399(78)$	$-0.417(87) + 0.158(43) \sin^2 \beta$
$p \rightarrow p + a$	$-0.432(86)$	$-0.589(96) + 0.436(53) \sin^2 \beta$
$\Xi^- \rightarrow \Xi^- + a$	$0.166(79)$	$0.299(91) - 0.161(52) \sin^2 \beta$
$n \rightarrow n + a$	$0.003(83)$	$0.271(94) - 0.400(53) \sin^2 \beta$
$\Xi^0 \rightarrow \Xi^0 + a$	$0.303(81)$	$0.570(92) - 0.409(52) \sin^2 \beta$
$\Lambda \rightarrow \Lambda + a$	$0.138(87)$	$0.314(96) - 0.228(47) \sin^2 \beta$
$\Sigma^0 \leftrightarrow \Lambda + a$	$-0.161(24)$	$-0.323(33) + 0.309(32) \sin^2 \beta$

- More precise calculations for g_{ann} and g_{app} based on SU(2) available
- Suppression of g_{ann} compared to g_{app} survives chiral corrections
- The coupling $g_{a\Lambda\Lambda}$ is comparable to g_{app}
 - ↪ revisit bremsstrahlung processes in massive stellar objects

Pion axioproduct through the Δ -resonance

Vonk, Guo, UGM, Phys. Rev. D **105** (2022) 054029

- Recall the large P_{33} PW in πN scattering

↪ well-separated Δ -resonance

- Will the Δ also lead to an enhancement in $aN \leftrightarrow \pi N$?

- Previous estimate: Carenza et al., Phys. Rev. Lett. **126** (2021) 071102

$$\sigma(aN \rightarrow \pi N) \approx \frac{F_\pi^2}{f_a^2} \sigma(\pi N \rightarrow \pi N)$$

↪ dominance over nucleon bremsstrahlung in dense objects

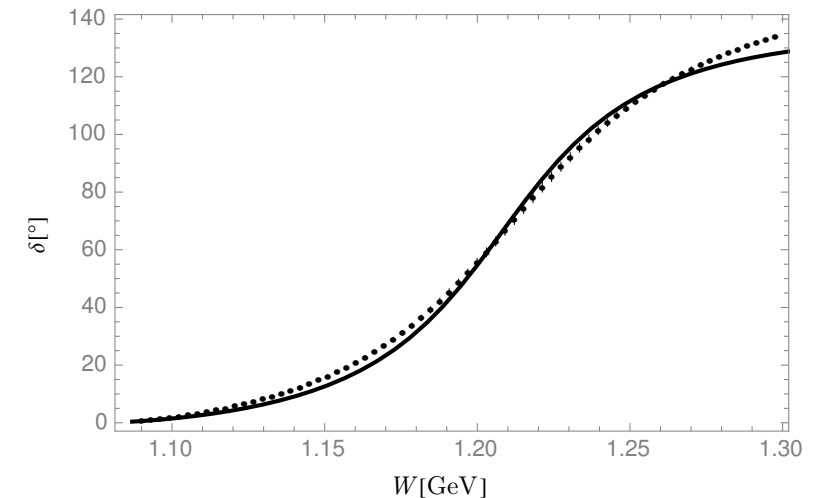
↪ harder axion spectrum

↪ better detection prospects for underground ν detectors

↪ quite a number of citations...

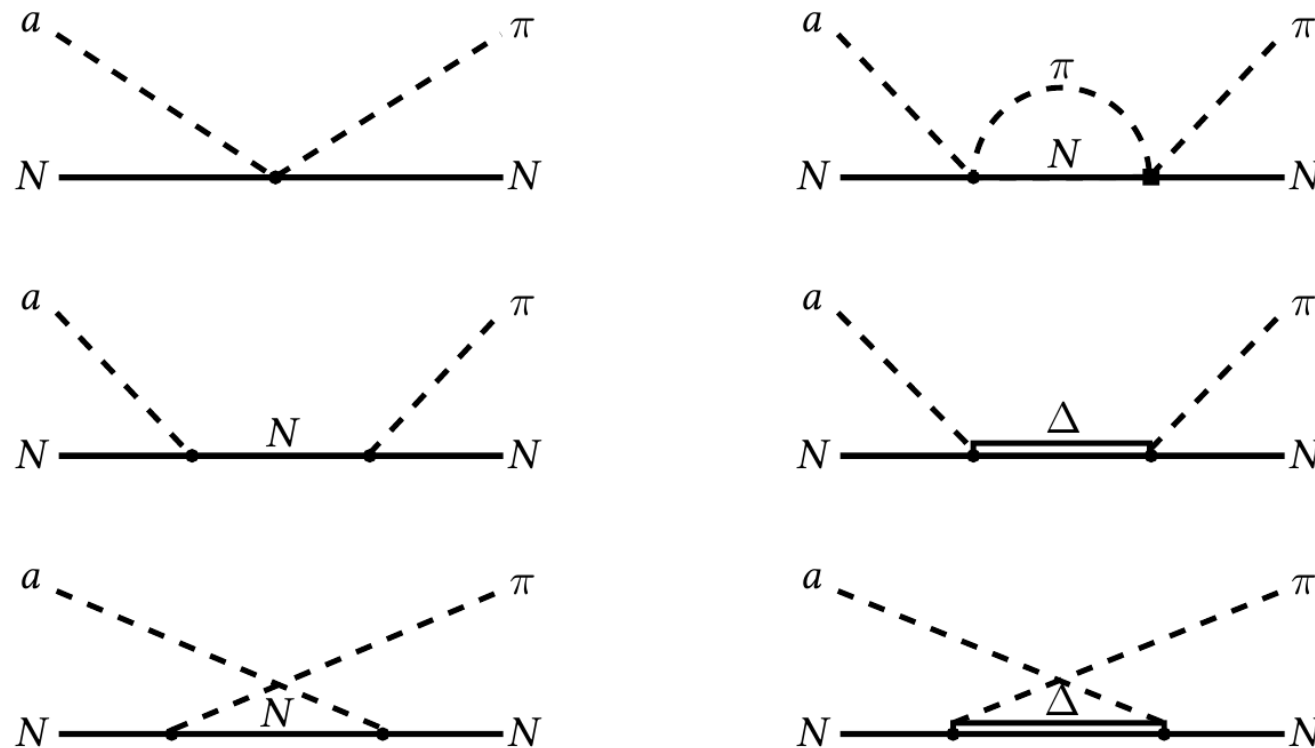
- But this is **wrong** → isospin breaking required! $[0 + \frac{1}{2} \neq 1 + \frac{1}{2}]$

Hoferichter, de Elvira, Kubis, UGM, Phys. Rept. **625** (2016) 1



Pion axioproduct through the Δ -resonance II

- Relevant contributions (tree graphs and pion rescattering):

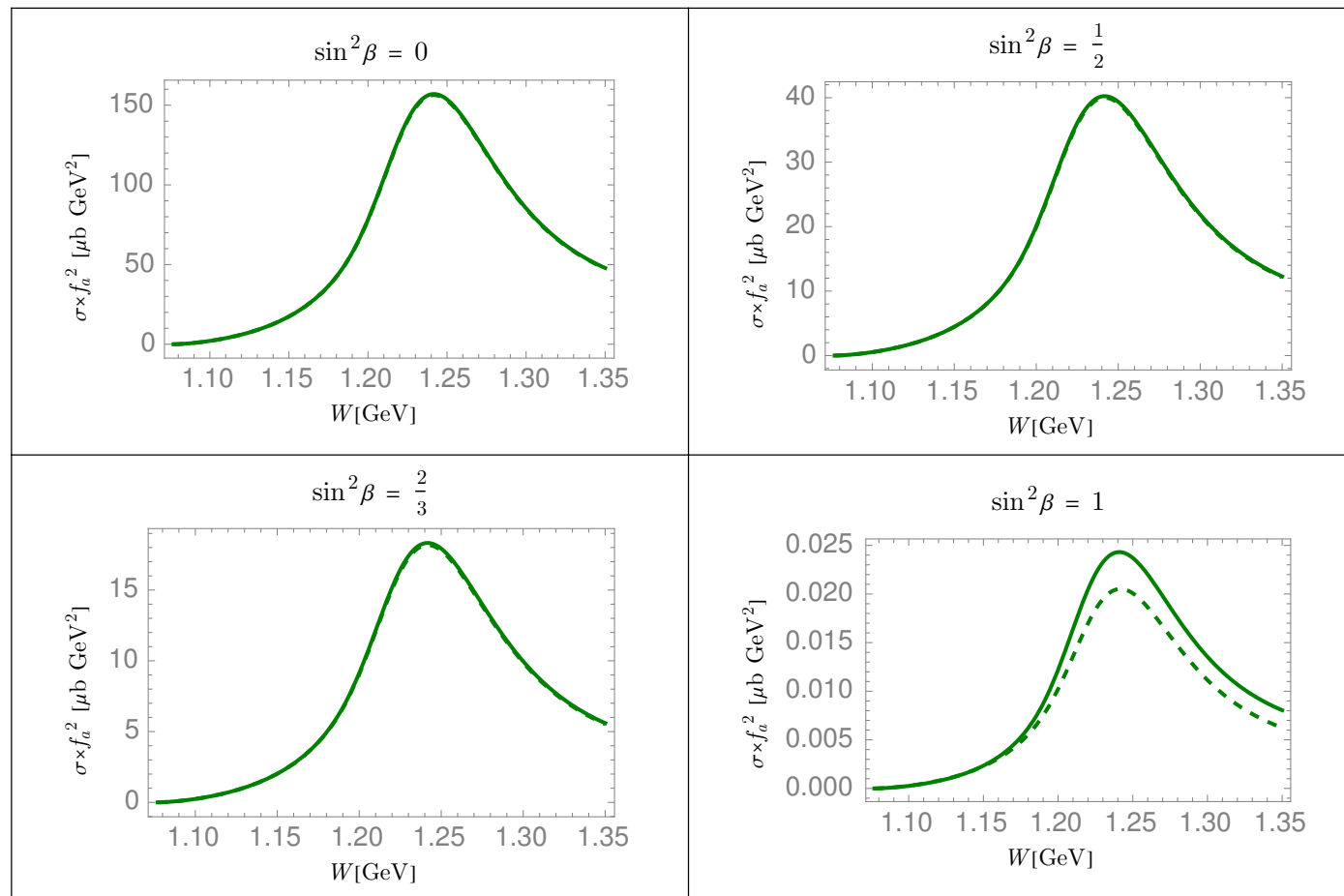


- Use unitarized heavy baryon CHPT incl. isospin breaking

\hookrightarrow physical basis, only the term $\sim a_\mu$ survives

Results for pion axioproductio

- Suppression of 10^{-1} to 10^{-5} depending on the value of β ! [KSVZ $\equiv \sin^2 \beta = 1/2$]



↪ the astonishing enhancement & the consequences are gone!

Summary Part 1

- Calculated the θ -dependence of hadron masses and couplings
- Decreasing neutron lifetime for increasing θ , dramatic for $\theta \gtrsim 2.0$
- Deuteron stronger bound, dineutron & diproton bound for $\theta \gtrsim 0.2$ & $\theta \gtrsim 0.7$
- Binding energies of ${}^3\text{H}$, ${}^3\text{He}$ and ${}^4\text{He}$ also increase
- BBN: ${}^4\text{He}$ mass fraction drops off for $\theta \gtrsim 1.0$
- Stellar evolution: still hydrogen burning, reaction rates of 3α process affected
 \hookrightarrow lack of ${}^{16}\text{O}$ for $\theta \gtrsim 0.1$
- Constraints on θ from nucleosynthesis, as long as $\theta \lesssim 0.1$, the Universe is not much altered
 \hookrightarrow anthropic principle not at work to explain the tiny θ

see also Banks et al. (2004), Dine et al. (2018), Kaloper, Terning (2019)

Summary Part 2

- Determined axion-baryon couplings [flavor-diagonal models]
- In unfavorable cases g_{ann} might be suppressed or vanish
- Model-dependence of g_{aAB}
- Axions couple also to hyperons: $g_{a\Lambda\Lambda} \simeq g_{app}$
- Uncertainties at higher orders increase due to unknown LECs
- f_a still the biggest unknown, any coupling is $\mathcal{O}(1/f_a)$
 - ↪ recall the axion window $10^9 \text{ GeV} \lesssim f_a \lesssim 10^{12} \text{ GeV}$
- No enhanced $aN \rightarrow \pi N$ XS due to the Δ resonance due to isospin breaking!
 - ↪ Bremsstrahlung still dominant source of axion radiation in dense objects

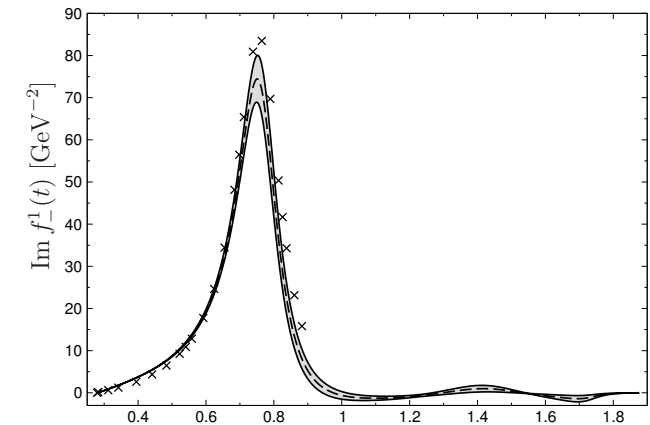
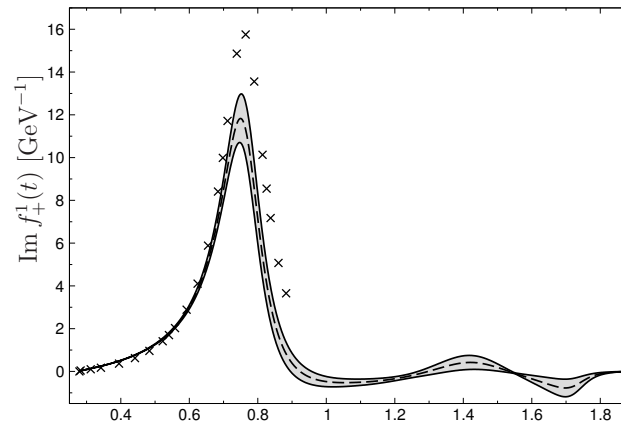
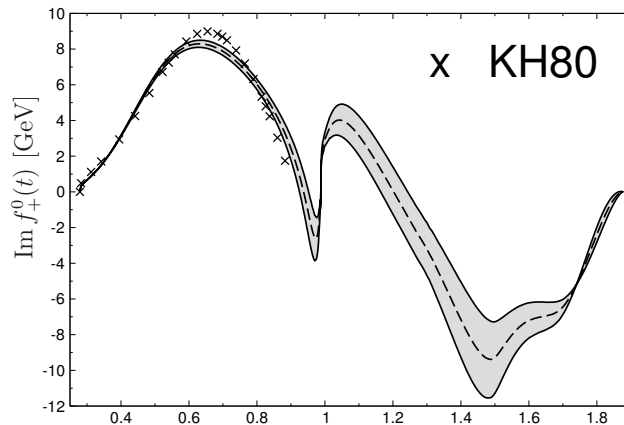
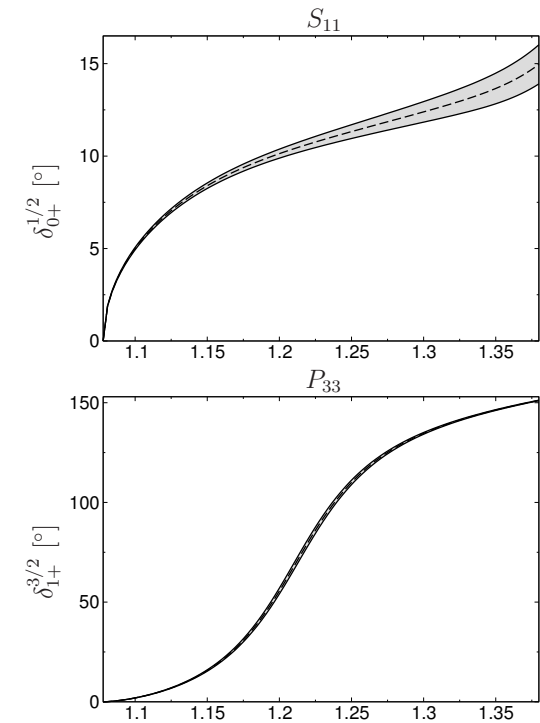


Spares

ROY-STEINER EQUATION ANALYSIS

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- improve the isovector spectral functions by
 - ↪ updated πN amplitudes from Roy-Steiner equations
 - ↪ include modern data (esp. pionic hydrogen & deuterium)
 - ↪ better treatment of isospin-violating effects
 - ↪ construct the pion FF from precise knowledge of $\delta_1^1(s)$
 - ↪ perform systematic error analysis



Hoferichter, Ruiz de Elvira, Kubis, UGM, Phys. Rev. Lett. **115** (2015) 092301; Phys. Rev. Lett. **115** (2015) 192301; Phys. Rept. **625** (2016) 1; J.Phys. G**45** (2018) 024001

