



# ***Effects of beyond-mean-field correlations and finite temperature on the nuclear monopole response***

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**MICHIGAN STATE**  
**UNIVERSITY**

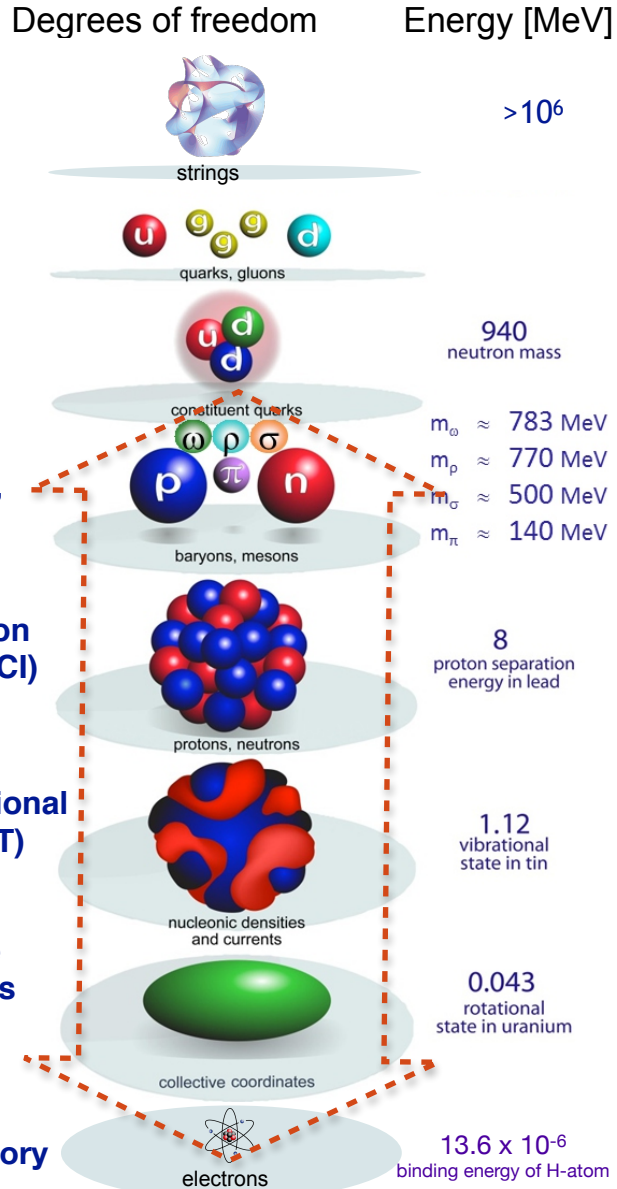


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*ECT\* Workshop “Advances on giant nuclear monopole excitations and applications to multimessenger astrophysics”*

*ECT\* Trento, July 11-15, 2022*

# Hierarchy of energy scales and nuclear many-body problem



• **The major conflict:**

Separation of energy scales => effective field theories  
vs

The physics on a certain scale is governed by the next higher-energy scale

**Hamiltonian:**

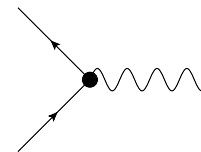
$$H = K + V$$

center of mass

internal degrees of freedom:  
next energy scale

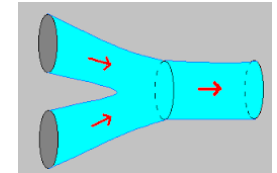
**Standard Model:**

free propagation and interaction, singularities & renormalizations



**String theory:**

merging strings  
NO "Interaction"



• **Possible solution:**

Keep/establish connections between the scales via emergent phenomena

# The Equation of Motion (EOM) method

- Generates EOM's for time-dependent field operators and correlation functions, i.g., in-medium propagators.
- Propagators are linked directly to observables.
- Two-time (one fermion and two-fermion) propagators are most relevant ones for nuclear physics applications.
- Interaction kernels: static (short-range correlations) + dynamical (long-range correlations).
- The exact EOM's for the propagators are coupled into an N-body equation hierarchy via **dynamical kernels**.
- Practical implementations: full or partial decoupling via various approximations.

## **EOM method:**

- D. J. Rowe, *Rev. of Mod. Phys.* 40, 153 (1968).
- P. Schuck, *Z. Phys. A* 279, 31 (1976).
- S. Adachi and P. Schuck, *NPA*496, 485 (1989).
- P. Danielewicz and P. Schuck, *NPA*567, 78 (1994)
- J. Dukelsky, G. Roepke, and P. Schuck, *NPA* 625, 14 (1995).
- P. Schuck and M. Tohyama, *PRB* 93, 165117 (2016).
- P. Schuck et al., *Phys. Rep.* 929, 1 (2021).

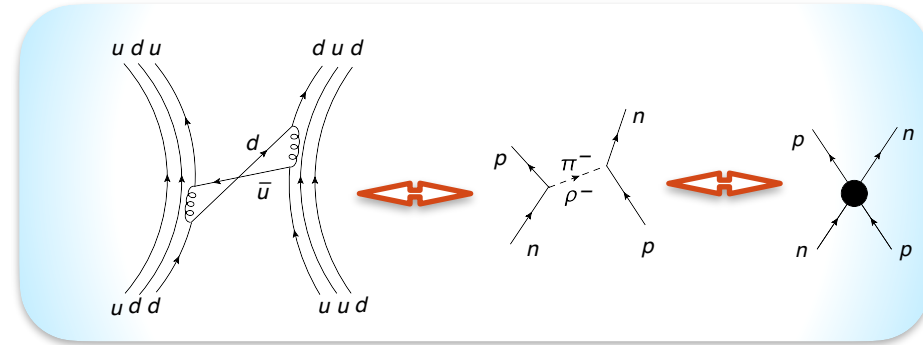
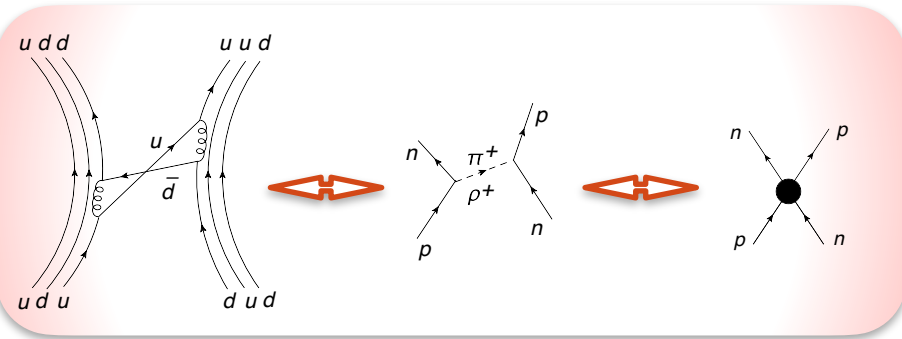
## **Nuclear physics implementations beyond (Q)RPA: 2p2h, 3p3h**

- Nuclear field theory, NFT (P.F. Bortignon, R. Broglia, G. Colo, Milano-Copenhagen; V. Tselyaev, S. Kamerdzhiev et al., St. Petersburg)
- **Quasiparticle-phonon model, QPM** (V.G. Soloviev et al., Dubna; V. Ponomarev, TU-Darmstadt)
- **Multiphonon approach** (N. Lo Iudice, G. De Gregorio et al., Naples & Prague)
- Self-consistent Green functions (W. Dickhoff, C. Barbieri, V. Soma, T. Duguet et al.)
- Second RPA, SRPA (C. Yannouleas, P. Chomaz, S. Drozdz, P. Papakonstantinou et al.)
- **Relativistic NFT** (E.L., P. Ring, P. Schuck, C. Robin, H. Wibowo, Y. Zhang)

# The underlying mechanism of NN-interaction : meson exchange and EFTs

## Charged mesons $\{\pi, \rho\}$ :

Quantum Chromodynamics (QCD, high energy)      Quantum Hadrodynamics (QHD, intermediate energy)      Nuclear Structure (NS, low energy)

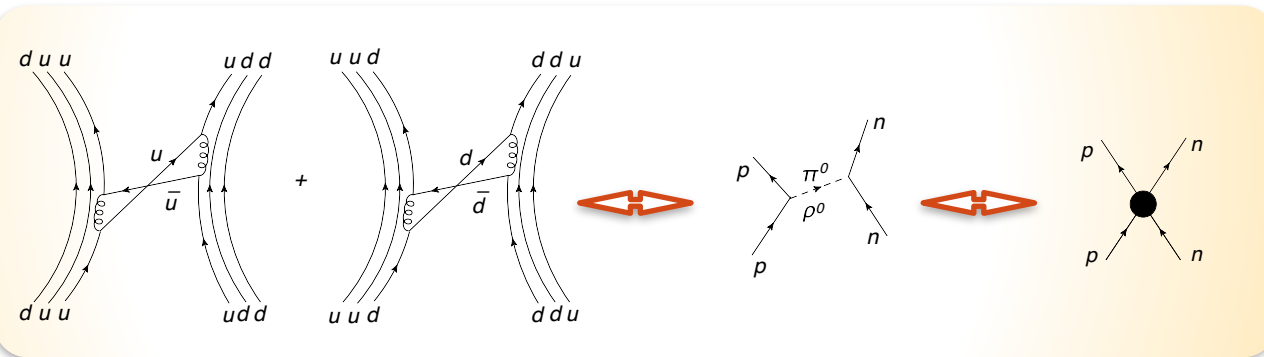


## Neutral mesons $\{\sigma, \omega, \pi, \rho\}$ :

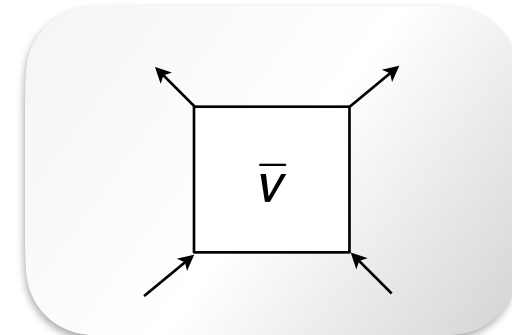
QCD

QHD

NS



Generic interaction: model-independent, ALL channels included:



# A strongly-correlated many body system: single-fermion propagator, particle-hole propagator and related observables

$$H = \sum_{12} t_{12} \psi^\dagger_1 \psi_2 + \frac{1}{4} \sum_{1234} \bar{v}_{1234} \psi^\dagger_1 \psi^\dagger_2 \psi_4 \psi_3$$

**Hamiltonian**, non-relativistic  
or relativistic, extendable to 3-body etc.

$$G_{11'}(t - t') = -i \langle T \psi_1(t) \psi^\dagger_{1'}(t') \rangle$$



**Single-particle propagator**

Fourier image: *observables*

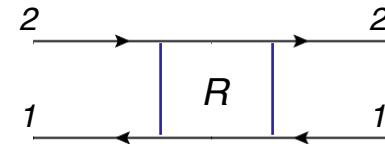
$$G_{11'}(\varepsilon) = \sum_n \frac{\eta_1^n \eta_{1'}^{n*}}{\varepsilon - (E_n^{(N+1)} - E_0^{(N)}) + i\delta} + \sum_m \frac{\eta_1^{m*} \eta_{1'}^m}{\varepsilon + (E_m^{(N-1)} - E_0^{(N)}) - i\delta}$$

**Residues** - spectroscopic  
(occupation) factors

**Poles** - single-particle  
energies

$$\eta_1^n = \langle 0 | \psi_1 | n^{(N+1)} \rangle, \quad \eta_1^m = \langle m^{(N-1)} | \psi_1 | 0 \rangle$$

$$R_{12,1'2'}(t - t') = -i \langle T (\psi_1^\dagger \psi_2)(t) (\psi_2^\dagger \psi_{1'})(t') \rangle$$



**Particle-hole (ph) response function**

Fourier image: *observables*

$$R_{12,1'2'}(\omega) = \sum_\nu \left[ \frac{\rho_{21}^\nu \rho_{2'1'}^{\nu*}}{\omega - \omega_\nu + i\delta} - \frac{\rho_{12}^{\nu*} \rho_{1'2'}^\nu}{\omega + \omega_\nu - i\delta} \right]$$

**Residues** - transition  
densities

**Poles** - excitation energies

$$\rho_{12}^\nu = \langle 0 | \psi_2^\dagger \psi_1 | \nu \rangle$$



# Exact equations of motion (EOM) for binary interactions: one-body problem

$$G_{11'}(t - t') = -i \langle T \psi_1(t) \psi_{1'}^\dagger(t') \rangle$$

**EOM: Dyson Equation**

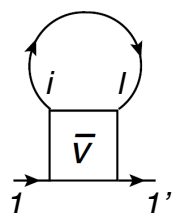
$$G(\omega) = G^{(0)}(\omega) + G^{(0)}(\omega) \Sigma(\omega) G(\omega) \quad (*) \quad \Sigma(\omega) = \Sigma^{(0)} + \Sigma^{(r)}(\omega)$$

Free propagator

Irreducible kernel (Self-energy, exact):

Instantaneous term (Hartree-Fock incl. "tadpole")  
**Short-range correlations**

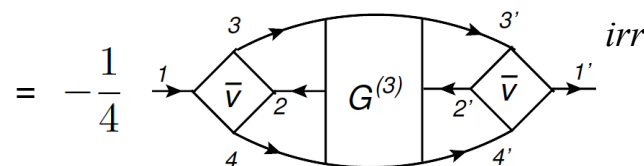
$$\Sigma_{11'}^{(0)} = -\delta(t - t') \langle [[V, \psi_1], \psi_{1'}^\dagger]_+ \rangle$$

$$= -\sum_{jl} \bar{v}_{1j1'l} \rho_{lj} =$$


*t*-dependent (dynamical) term  
**Long-range correlations**

$$\Sigma_{11'}^{(r)}(t - t') = -i \langle T [\psi_1, V](t) [V, \psi_{1'}^\dagger](t') \rangle$$

$$= -\frac{1}{4} \sum_{234} \sum_{2'3'4'} \bar{v}_{1234} G^{irr}(432', 23'4') \bar{v}_{4'3'2'1'}$$



Mean field, where  $\rho_{ij} = -i \lim_{t=t'-0} G_{ij}(t - t')$  is the full solution of (\*): **includes the dynamical term!**

The self-energy and the one-body density are fully determined by the **bare (antisymmetrized) interaction** and by the **three-body correlation function**

# Equation of motion (EOM) for the particle-hole response

Particle-hole response  
(correlation function):

$$R_{12,1'2'}^{(ph)}(t-t') = -i \langle T(\psi_1^\dagger \psi_2)(t)(\psi_2^\dagger \psi_{1'})(t') \rangle$$

spectra of excitations,  
masses, decays, ...

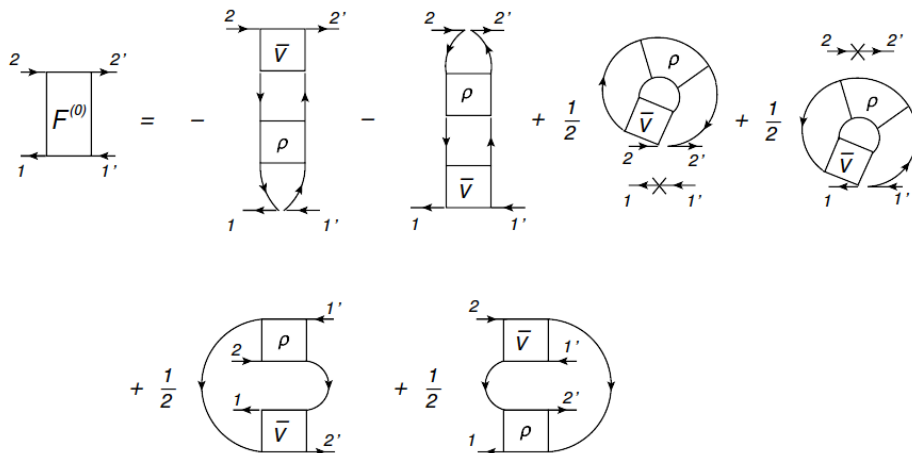
**EOM: Bethe-Salpeter-Dyson Eq.**

$$R(\omega) = R^{(0)}(\omega) + R^{(0)}(\omega)F(\omega)R(\omega) \quad (**) \quad F(t-t') = F^{(0)}\delta(t-t') + F^{(r)}(t-t')$$

Free propagator

Irreducible kernel (exact):

Instantaneous term ("bosonic" mean field):  
**Short-range correlations**

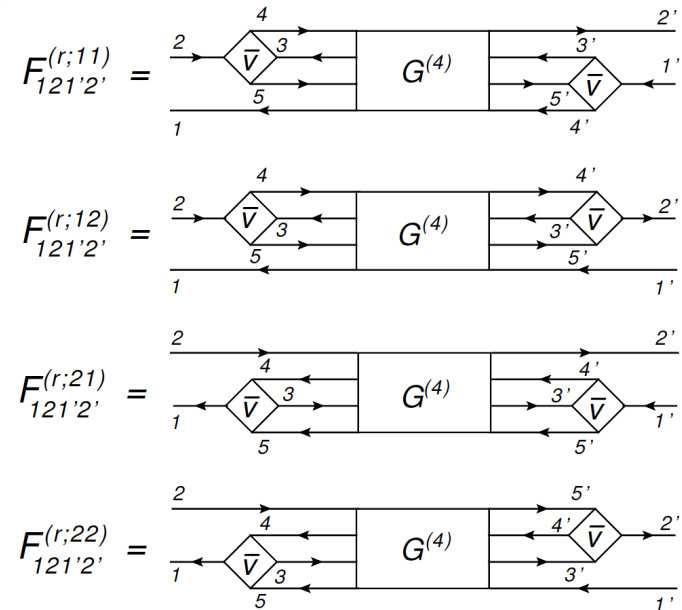


Self-consistent mean field  $F^{(0)}$ , where

$$\rho_{12,1'2'} = \delta_{22'}\rho_{11'} - i \lim_{t' \rightarrow t+0} R_{2'1,21'}(t-t')$$

contains the full solution of (\*\*) including the dynamical term!

$t$ -dependent (dynamical) term:  
**Long-range correlations**



$$F_{12,1'2'}^{(r)}(t-t') = \sum_{ij} F_{12,1'2'}^{(r;ij)}(t-t')$$

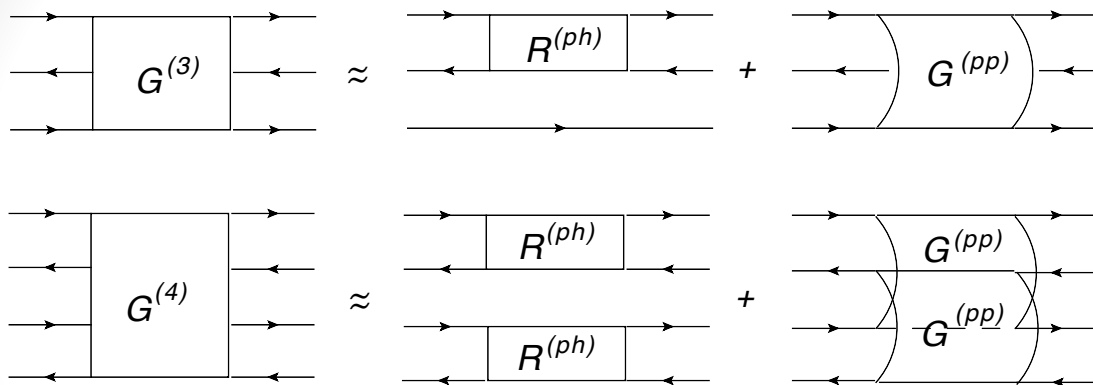
# Non-perturbative treatment of two-point $G^{(n)}$ in the dynamical kernels

• **Quantum many-body problem in a nutshell:** Direct EOM for  $G^{(n)}$  generates  $G^{(n+2)}$  in the (symmetric) dynamical kernels and further high-rank correlation functions (CFs); an equivalent of the BBGKY hierarchy.  $N_{\text{Equations}} = N_{\text{Particles}} \& \text{ Coupled}$  🙊 !!! *Truncation on two-body level*

• **Non-perturbative solution:**  
**Cluster decomposition**

$$\blacklozenge G^{(3)} = G^{(1)} G^{(1)} G^{(1)} + G^{(2)} G^{(1)} + \Xi^{(3)}$$

$$\blacklozenge G^{(4)} = G^{(1)} G^{(1)} G^{(1)} G^{(1)} + G^{(2)} G^{(2)} + G^{(3)} G^{(1)} + \Xi^{(4)}$$



• P. C. Martin and J. S. Schwinger, *Phys. Rev.* 115, 1342 (1959).

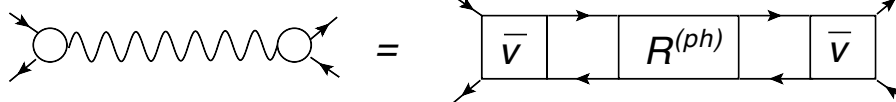
• N. Vinh Mau, *Trieste Lectures* 1069, 931 (1970)

• P. Danielewicz and P. Schuck, *Nucl. Phys.* A567, 78 (1994)

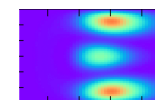
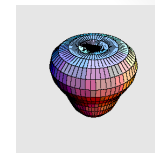
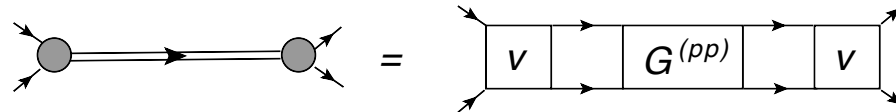
• ...

Exact mapping: particle-hole ( $2q$ ) quasibound states

Emergence of effective "particles" (phonons, vibrations):



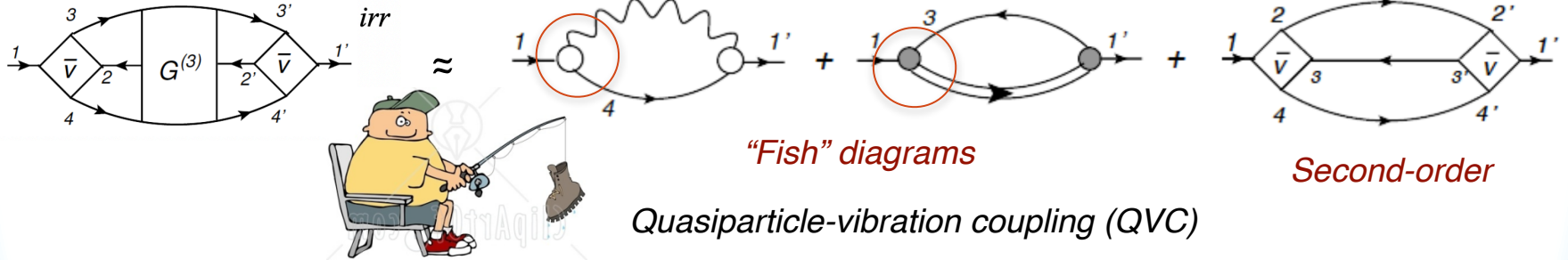
Emergence of superfluidity:





# Emergence of effective degrees of freedom

Dynamical self-energy:

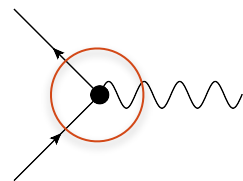


Emergent phonon vertices and propagators: *calculable from the underlying H*, which does not contain phonon degrees of freedom

$$H = \sum_{12} h_{12} \psi_1^\dagger \psi_2 + \frac{1}{4} \sum_{1234} \bar{v}_{1234} \psi_1^\dagger \psi_2^\dagger \psi_4 \psi_3 \quad \text{"Ab-initio"}$$

$$H = \sum_{12} \tilde{h}_{12} \psi_1^\dagger \psi_2 + \sum_{\lambda\lambda'} \mathcal{W}_{\lambda\lambda'} Q_\lambda^\dagger Q_{\lambda'} + \sum_{12\lambda} [\Theta_{12}^\lambda \psi_1^\dagger Q_\lambda^\dagger \psi_2 + h.c.] \quad \text{Effective}$$

Cf.: The Standard Model elementary interaction vertices: boson-exchange interaction is the *input*:



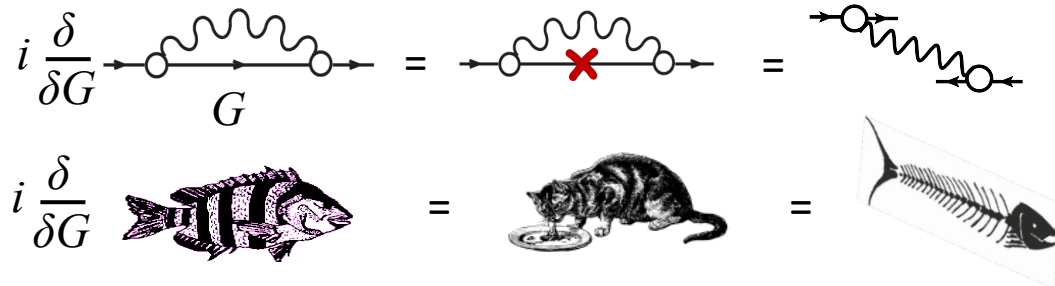
$$\gamma, g, W^\pm, Z^0$$

Possibly derivable?

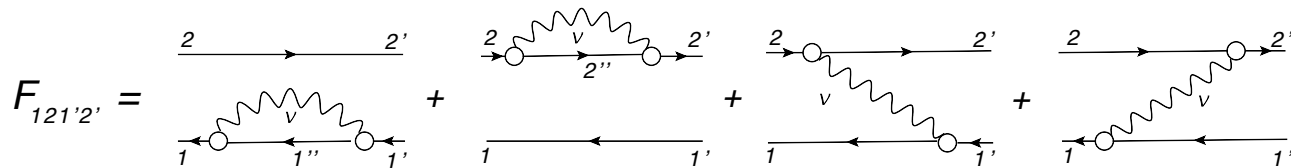
E.L., P. Schuck, PRC 100, 064320 (2019)  
E.L., Y. Zhang, PRC 104, 044303 (2021)

# Dynamical kernel of particle-hole propagator (response)

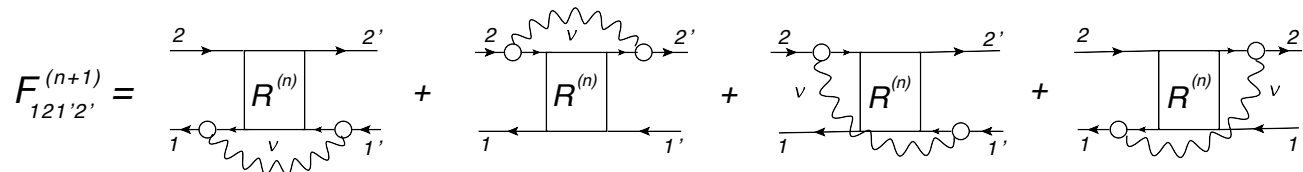
Induced (exchange) terms:  
Consistency condition



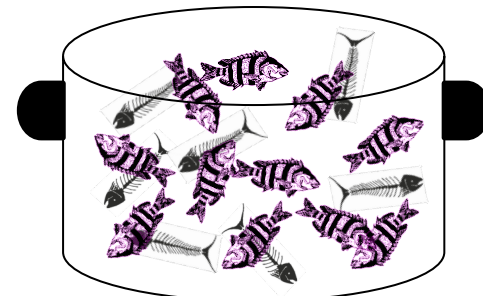
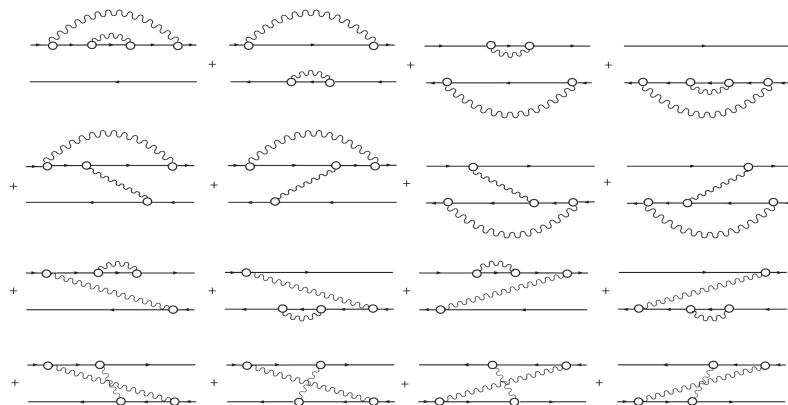
Leading approach  
(this work):



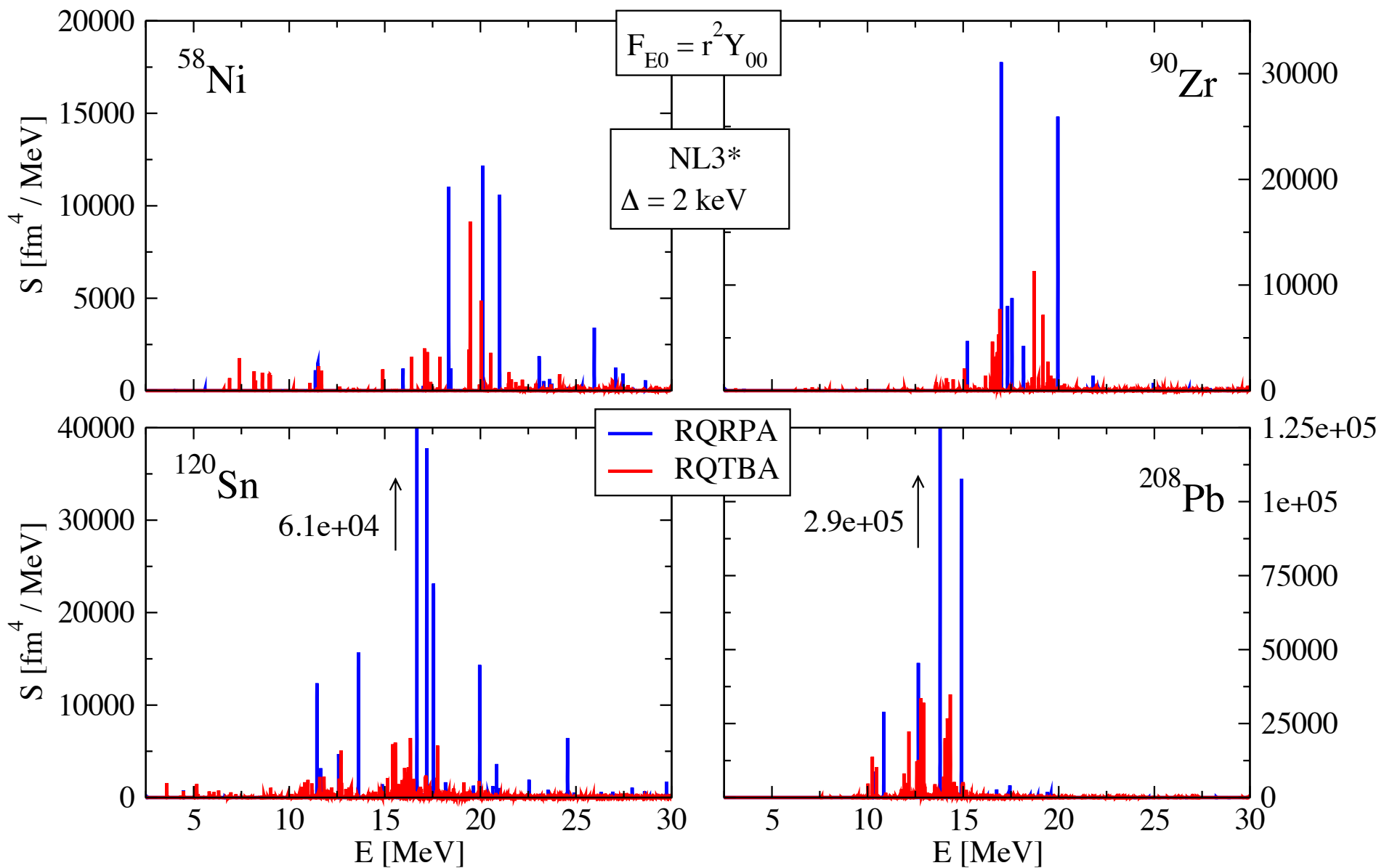
Iterated kernel:



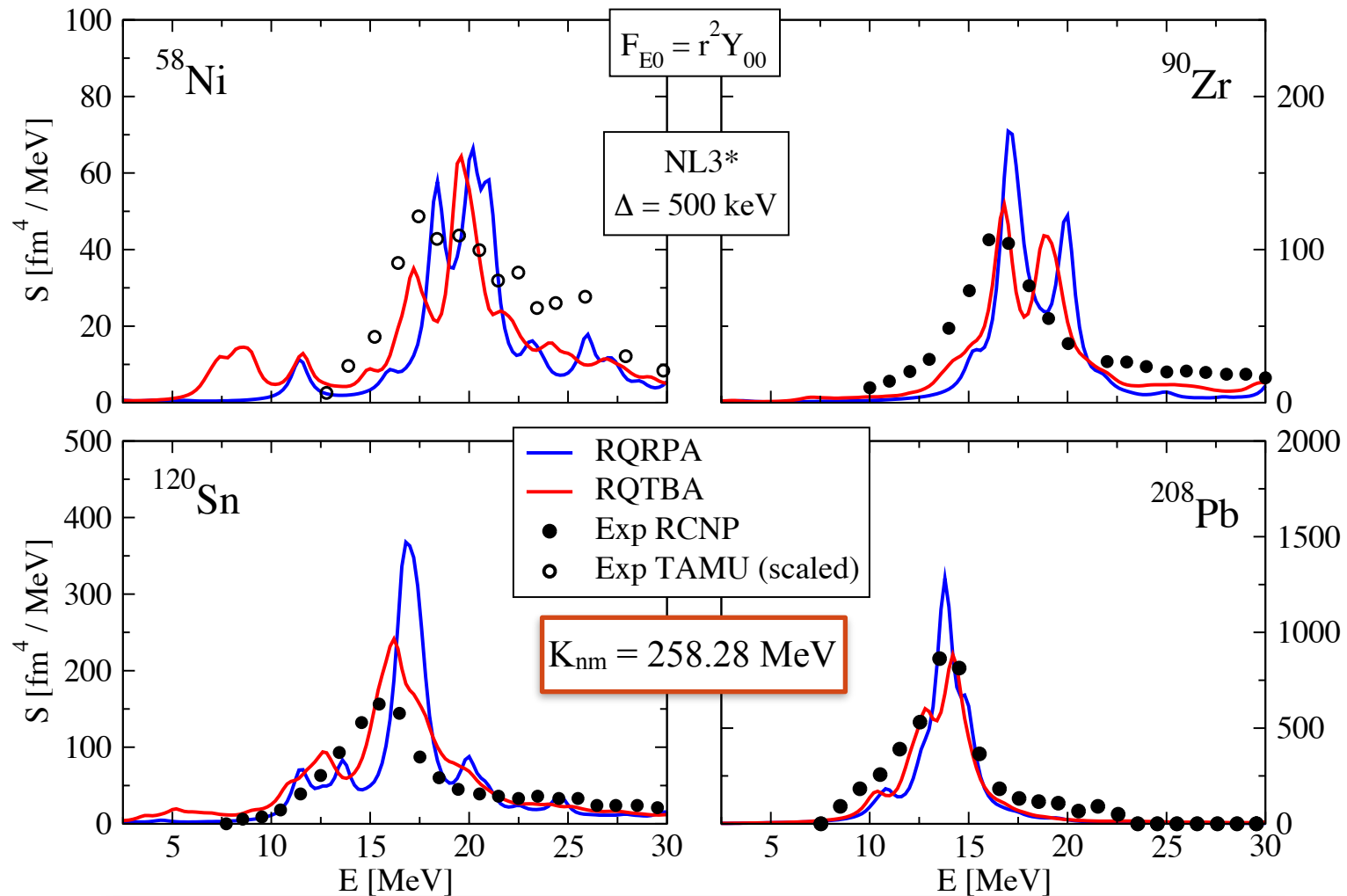
“Nested”  
configurations



The "anatomy" (fine structure) of the ISGMR



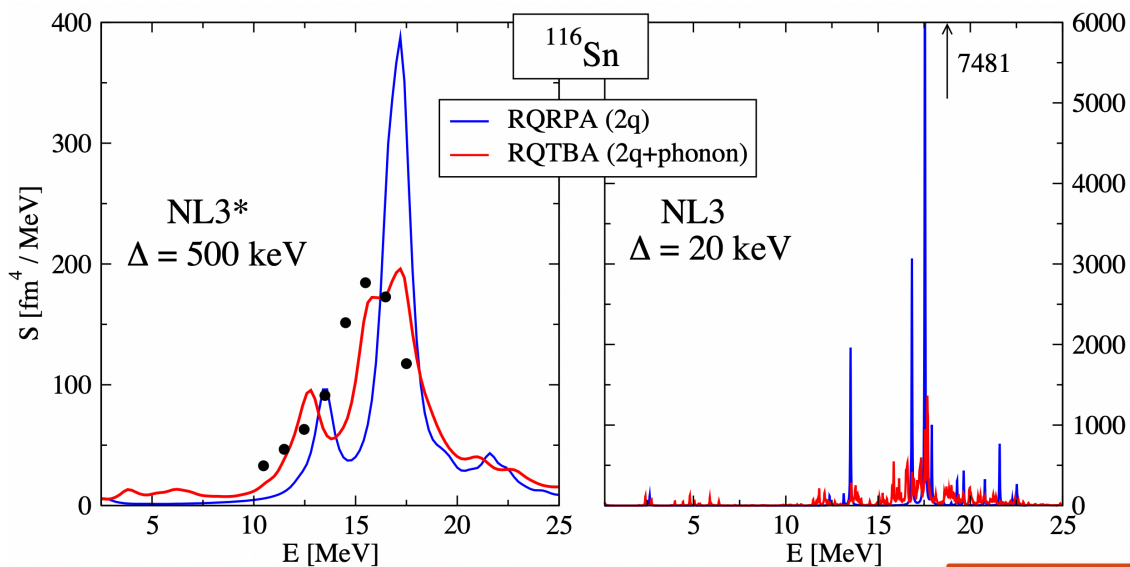
# Isoscalar giant monopole resonance (ISGMR) in medium-mass and heavy nuclei: comparison to data



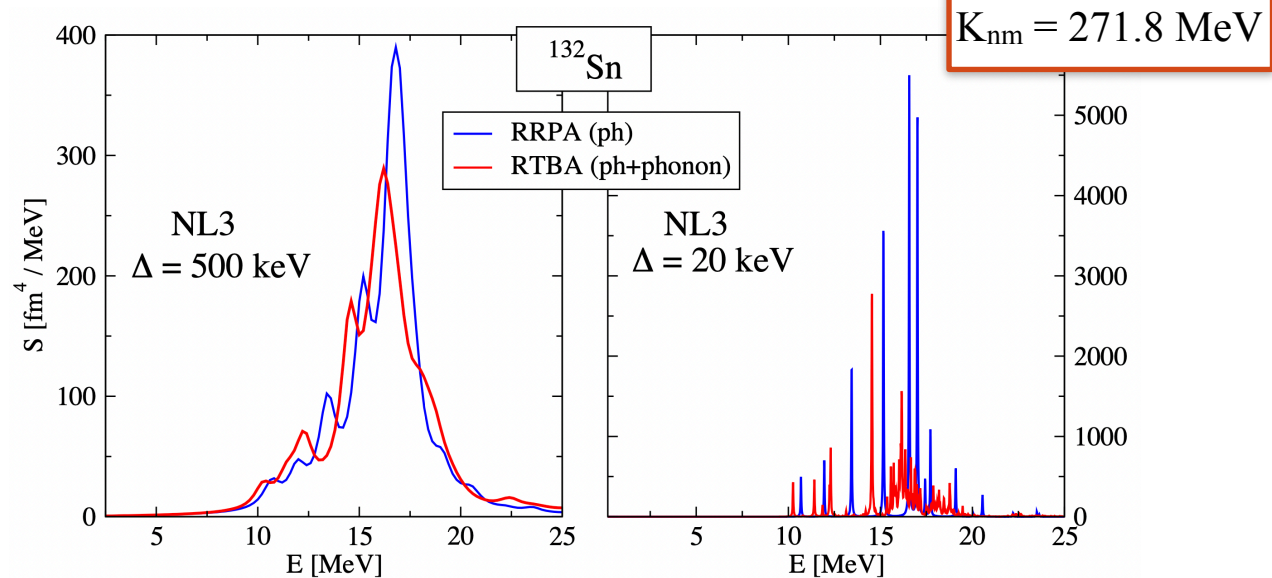
- $\Delta$  is consistent with the experimental resolution:  $\Delta = \Gamma/2$
- Phonon subspace of RQTBA ( $2q$ +phonon):  $J^\pi = 2^+, 3^-, 4^+, 5^-, 6^+$  below 15 MeV
- Further improvable by extending the phonon subspace

# ISGMR in tin: open-shell vs closed-shell

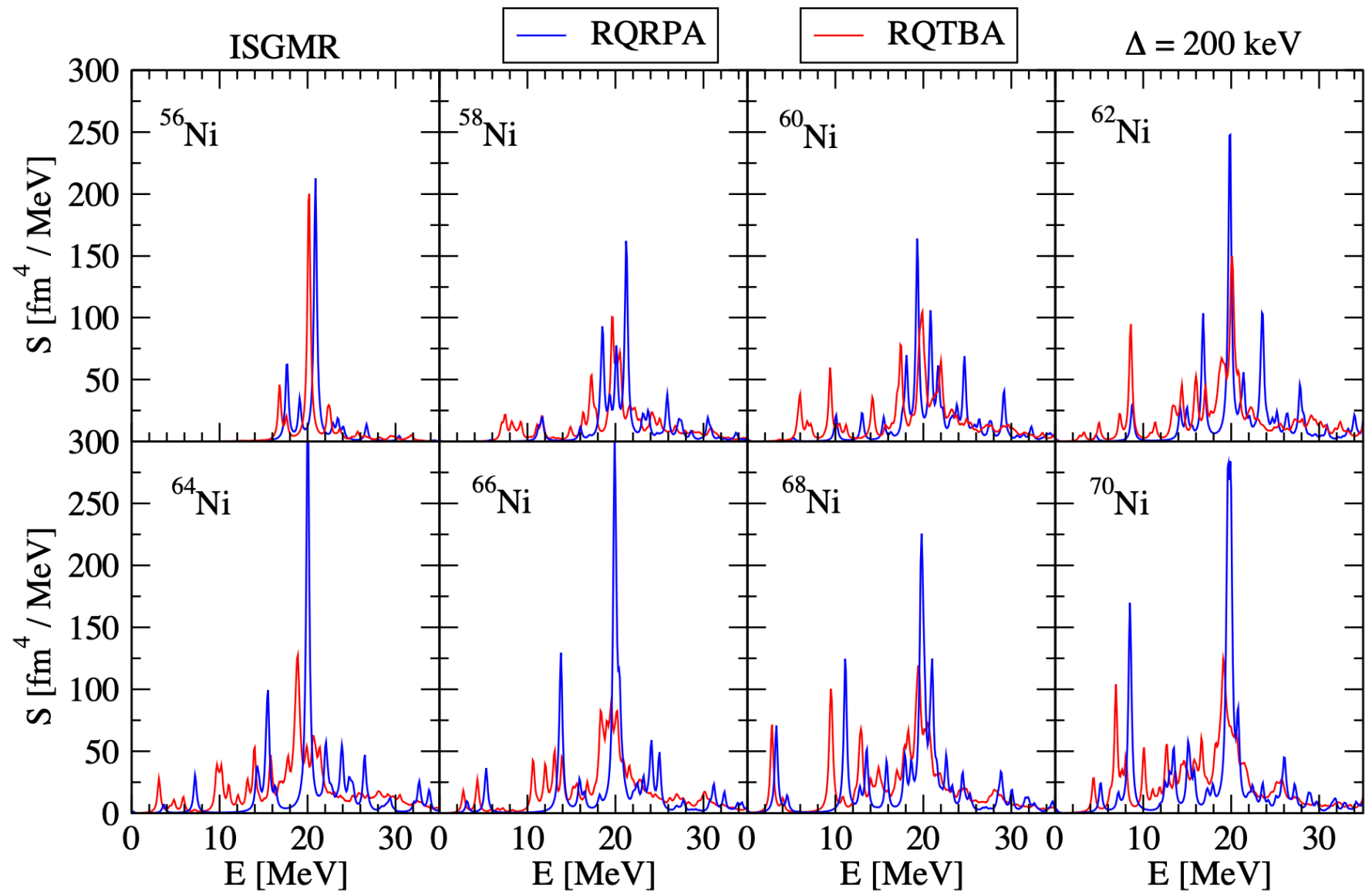
*NL3\* vs NL3?  
A similar downward shift  
in the open-shell Sn*



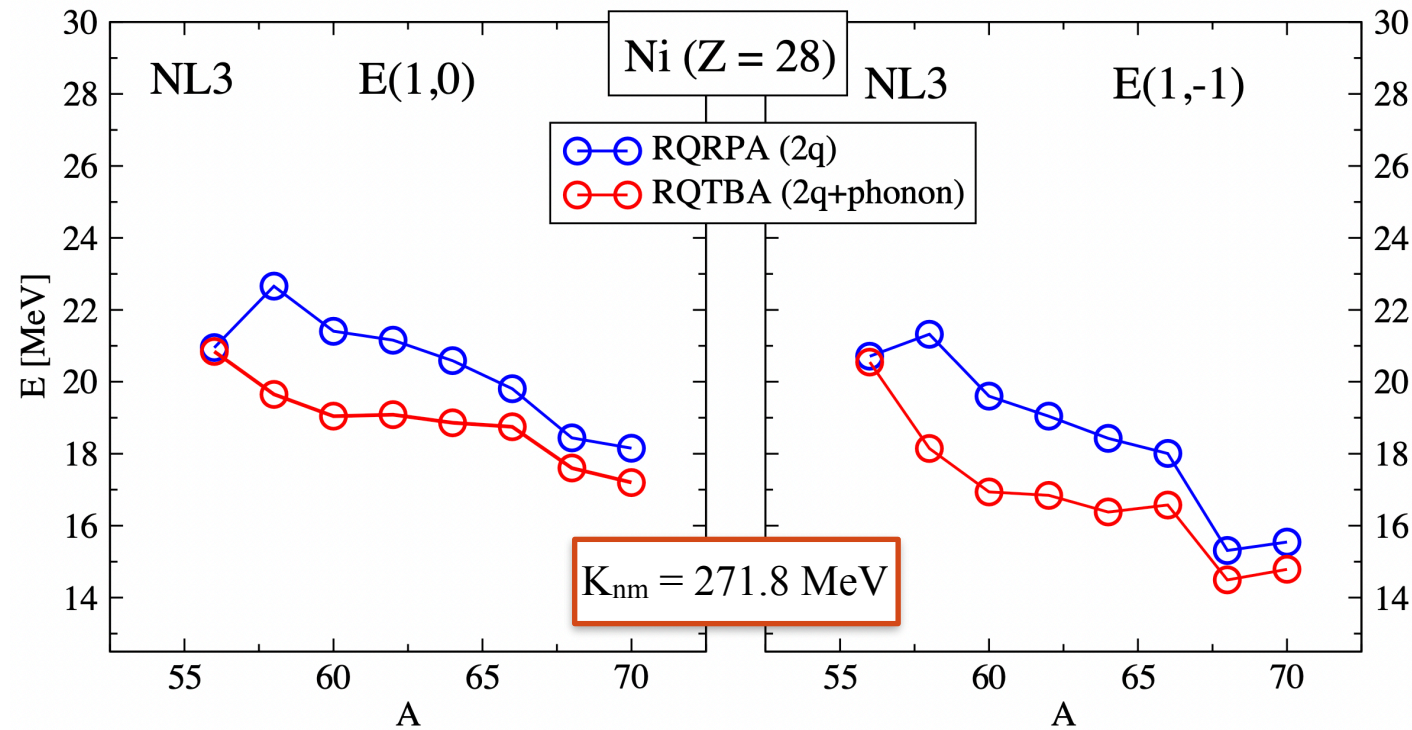
*Ready for comparison  
with data*



# ISGMR systematics in nickel isotopes



# ISGMR systematics in nickel isotopes: the centroids



**“Softness” increases:**

• with the neutron number

• with correlations beyond QRPA (q)PVC

$$K_A = 4 \langle r^2 \rangle_0^2 \frac{d^2}{d \langle r^2 \rangle^2} \left( \frac{E}{A} \right)_{\langle r^2 \rangle = \langle r^2 \rangle_0} = \frac{m \langle r^2 \rangle_0^2}{A \hbar^2} \frac{m_1}{m_{-1}}$$

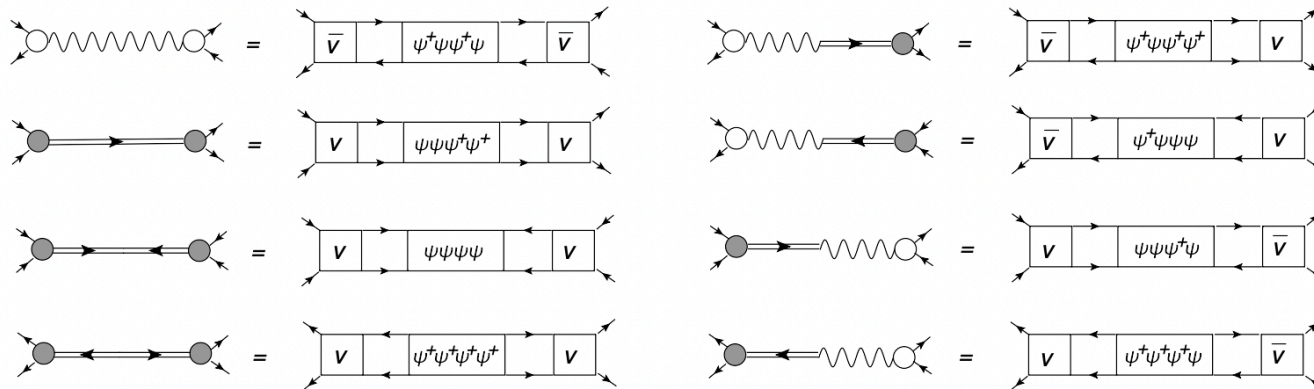
Effective nuclear compression modulus (incompressibility)

$$E_c \equiv \sqrt{\frac{m_1}{m_{-1}}}, \quad E_{\text{ISGMR}} = \sqrt{\frac{\hbar^2 A K_A}{m \langle r^2 \rangle_0}}$$

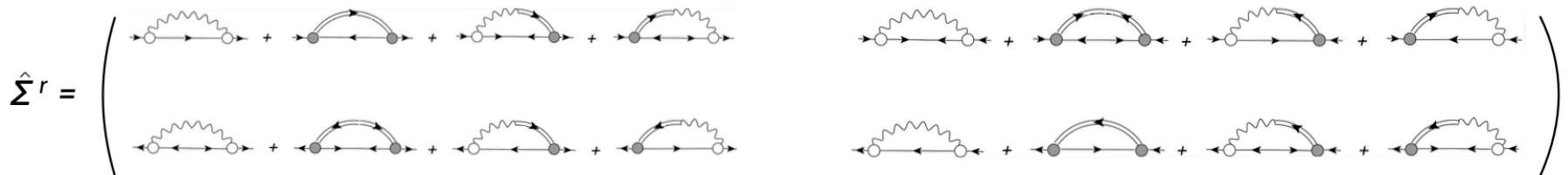
# Deformed nuclei

## Superfluid dynamical kernel: adding particle-number violating contributions

Mapping on the QVC in the canonical basis



Quasiparticle dynamical self-energy (matrix):  
*normal and pairing phonons are unified*



Cf.: Quasiparticle static self-energy (matrix) in HFB

$$\hat{\Sigma}^0 = \begin{pmatrix} \tilde{\Sigma}_{11'} & \Delta_{11'} \\ -\Delta_{11'}^* & -\tilde{\Sigma}_{11'}^T \end{pmatrix}$$



# Transformation to quasiparticle basis

Bogolyubov transformation:

$$\psi_1 = \sum_{\nu} (U_{1\nu} \alpha_{\nu} + V_{1\nu}^* \alpha_{\nu}^{\dagger}), \quad \psi_1^{\dagger} = \sum_{\nu} (V_{1\nu} \alpha_{\nu} + U_{1\nu}^* \alpha_{\nu}^{\dagger})$$

$$G_{\nu\nu'}^{(+)}(\varepsilon) = \sum_{12} \begin{pmatrix} U_{\nu 1}^{\dagger} & V_{\nu 1}^{\dagger} \end{pmatrix} \hat{G}_{12}(\varepsilon) \begin{pmatrix} U_{2\nu'} \\ V_{2\nu'} \end{pmatrix}$$

Propagator becomes diagonal

$$G_{\nu\nu'}^{(-)}(\varepsilon) = \sum_{12} \begin{pmatrix} V_{\nu 1}^T & U_{\nu 1}^T \end{pmatrix} \hat{G}_{12}(\varepsilon) \begin{pmatrix} V_{2\nu'}^* \\ U_{2\nu'}^* \end{pmatrix}$$

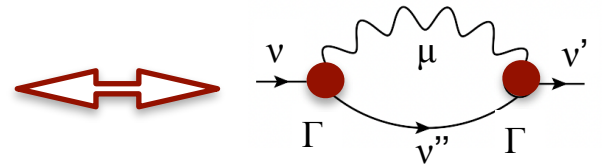
Dyson Eqs. decouple

for  $\eta=1$  and  $\eta=-1$ :

Eq. for  $\eta=-1$  is redundant

$$G_{\nu\nu'}^{(\eta)}(\varepsilon) = \tilde{G}_{\nu\nu'}^{(\eta)}(\varepsilon) + \sum_{\mu\mu'} \tilde{G}_{\nu\mu}^{(\eta)}(\varepsilon) \Sigma_{\mu\mu'}^{r(\eta)}(\varepsilon) G_{\mu'\nu'}^{(\eta)}(\varepsilon)$$

$$\Sigma_{\nu\nu'}^{r(+)}(\varepsilon) = \sum_{\nu''\mu} \left[ \frac{\Gamma_{\nu\nu''}^{(11)\mu} \Gamma_{\nu'\nu''}^{(11)\mu*}}{\varepsilon - E_{\nu''} - \omega_{\mu} + i\delta} + \frac{\Gamma_{\nu\nu''}^{(02)\mu*} \Gamma_{\nu'\nu''}^{(02)\mu}}{\varepsilon + E_{\nu''} + \omega_{\mu} - i\delta} \right]$$



HFB basis

Dynamical self-energy: acquires the same form as the non-superfluid one!

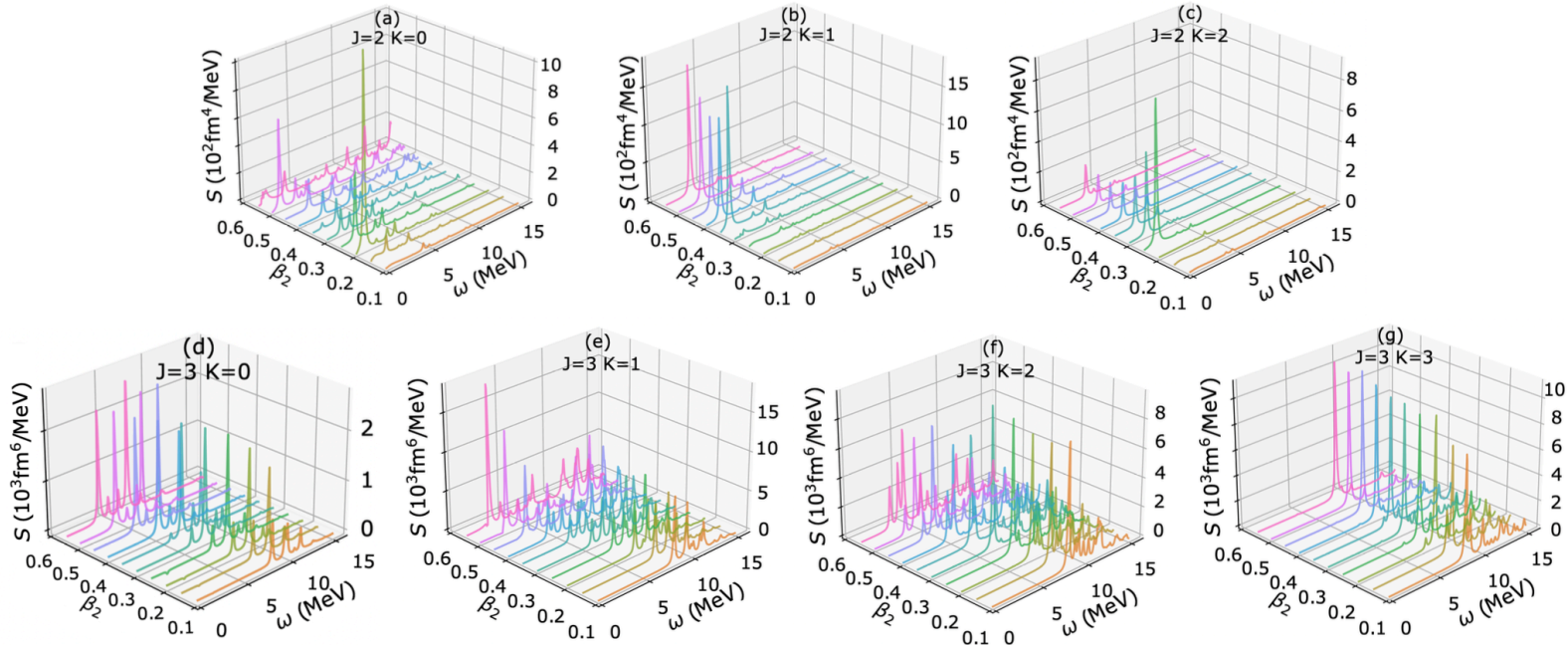
Superfluid quasiparticle-vibration coupling (QVC) vertices:

$$\Gamma_{\nu\nu'}^{(11)\mu} = \sum_{12} \left[ U_{\nu 1}^{\dagger} g_{12}^{\mu} U_{2\nu'} + U_{\nu 1}^{\dagger} \gamma_{12}^{\mu(+)} V_{2\nu'} - V_{\nu 1}^{\dagger} (g_{12}^{\mu})^T V_{2\nu'} - V_{\nu 1}^{\dagger} (\gamma_{12}^{\mu(-)})^T U_{2\nu'} \right]$$

$$\Gamma_{\nu\nu'}^{(02)\mu} = - \sum_{12} \left[ V_{\nu 1}^T g_{12}^{\mu} U_{2\nu'} + V_{\nu 1}^T \gamma_{12}^{\mu(+)} V_{2\nu'} - U_{\nu 1}^T (g_{12}^{\mu})^T V_{2\nu'} - U_{\nu 1}^T (\gamma_{12}^{\mu(-)})^T U_{2\nu'} \right]$$

# The phonon spectrum in $^{38}\text{Si}$ and QVC

(i) Relativistic meson-nucleon Lagrangian + (ii) Relativistic Hartree-Bogoliubov (RHB) + (iii) Quasiparticle random phase approximation (QRPA):  $J = 2^+ - 5^-$ ,  $K = [0, J]$ . Finite amplitude method (FAM): A. Bjelčić et al., CPC 253, 107184 (2020). Relativistic DD-PC1 interaction.



(iv) QVC vertex extraction:

$$\Gamma_{\nu\nu'}^{(ij)\pi} = \lim_{\delta \rightarrow 0} \sqrt{\frac{\delta}{\pi S(\omega_\pi)}} \text{Im} \left( \delta \mathcal{H}_{\nu\nu'}^{(ij)}(\omega_\pi + i\delta) \right)$$

Variation of the HFB Hamiltonian at the QRPA pole

(v) Dyson Eq. solution

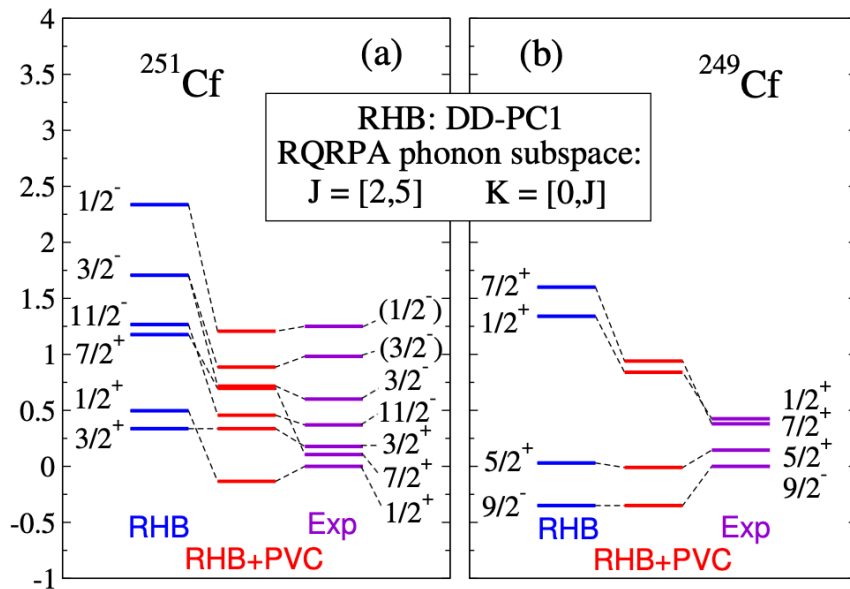
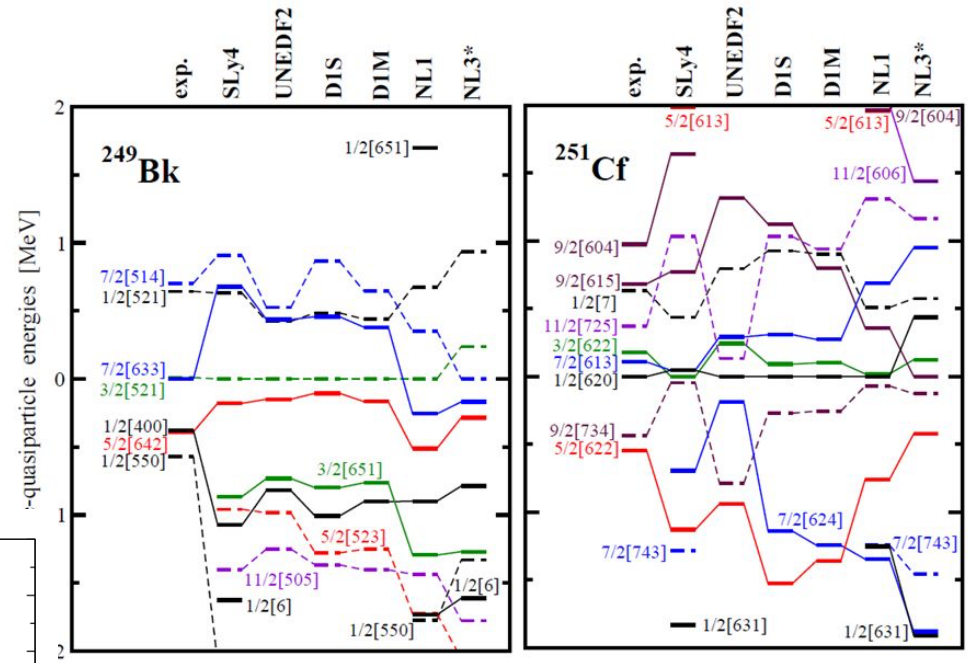
[E.L., Y. Zhang, PRC 104, 044303 (2021)]

# Single-particle states in $^{249,251}\text{Cf}$

A. Afanasjev et al.: Long-standing problem of the description of single-particle states in deformed nuclei.

Systematic studies for  $^{249}\text{Bk}$  and  $^{251}\text{Cf}$  in the mean-field approximation:

Deformed one-quasiparticle states: covariant and non-relativistic mean-field calculations vs experiment:



Beyond mean field: RHB+QVC calculations. Dominant fragments in  $^{251}\text{Cf}$  and  $^{249}\text{Cf}$ .

The spectroscopic factors are quenched even stronger than in spherical nuclei. Can this be measured?

# Extended FAM (preliminary):

QVC vertex extraction:

$$\Gamma_{\nu\nu'}^{(ij)\kappa} = \lim_{\delta \rightarrow 0} \sqrt{\frac{\delta}{\pi S(\omega_\kappa)}} \text{Im} \left( \delta \mathcal{H}_{\nu\nu'}^{(ij)}(\omega_\kappa + i\delta) \right)$$

Variation of the HFB Hamiltonian at the QRPA pole

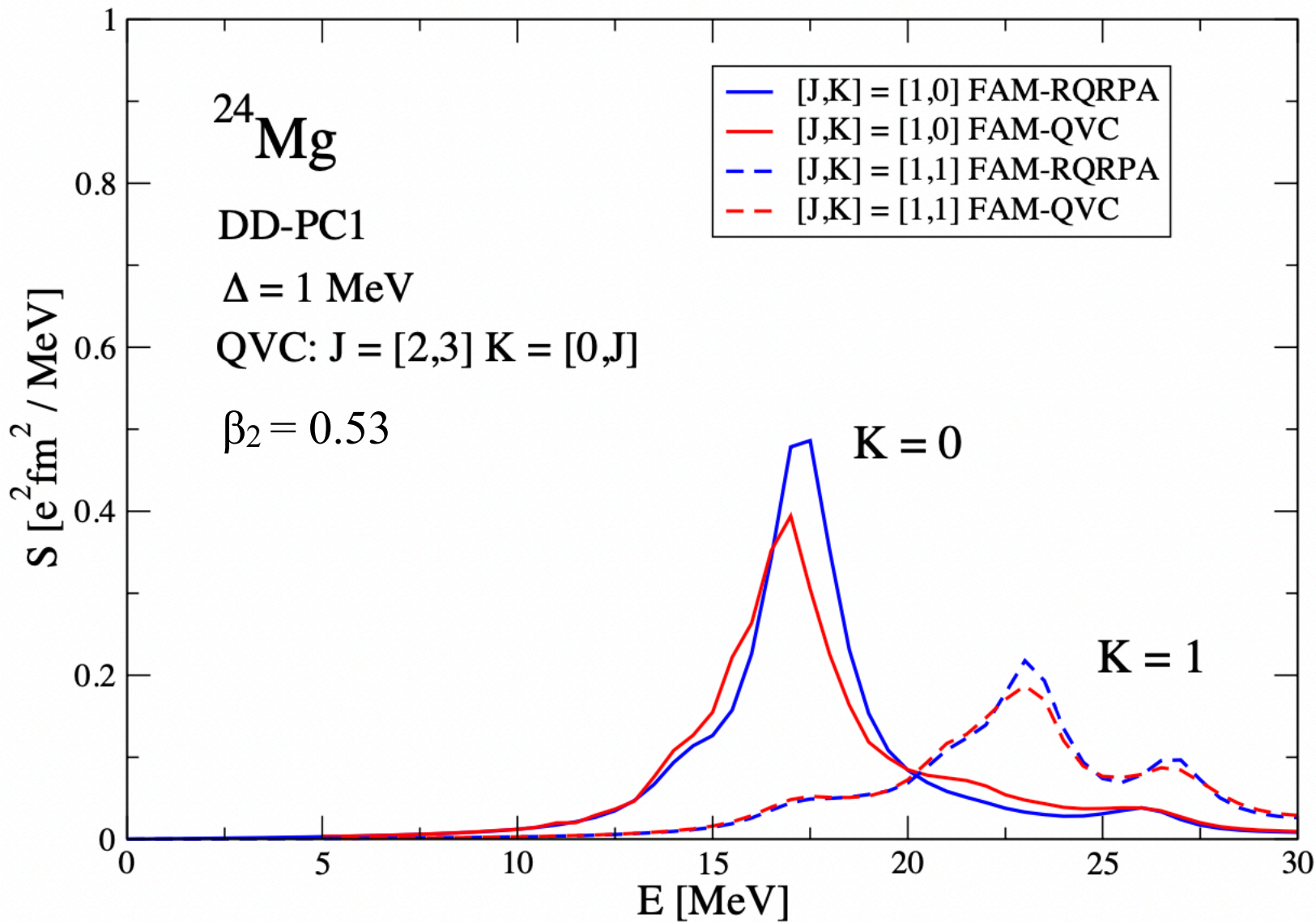
Generalized FAM (FAM-QVC)

$$\delta \mathcal{R}_{\mu\nu}^{(20)}(\omega) = \frac{\delta \mathcal{H}_{\mu\nu}^{20}(\omega) + \sum_{\mu'\nu'} \Phi_{\mu\nu'\nu\mu'}^{(+)}(\omega) \delta \mathcal{R}_{\mu'\nu'}^{(20)}(\omega) + F_{\mu\nu}^{20}}{\omega - E_\mu - E_\nu}$$

$$\delta \mathcal{R}_{\mu\nu}^{(02)}(\omega) = \frac{\delta \mathcal{H}_{\mu\nu}^{02}(\omega) + \sum_{\mu'\nu'} \Phi_{\mu\nu'\nu\mu'}^{(-)}(\omega) \delta \mathcal{R}_{\mu'\nu'}^{(02)}(\omega) + F_{\mu\nu}^{02}}{-\omega - E_\mu - E_\nu}$$

QVC amplitude:

$$\Phi_{\mu\nu'\nu\mu'}^{(+)}(\omega) = \sum_n \left[ \delta_{\mu\mu'} \sum_{\nu''} \frac{\bar{\Gamma}_{\nu''\nu}^{(11)n} \bar{\Gamma}_{\nu''\nu'}^{(11)n*}}{\omega - E_\mu - E_{\nu''} - \omega_n} + \delta_{\nu\nu'} \sum_{\mu''} \frac{\Gamma_{\mu\mu''}^{(11)n} \Gamma_{\mu'\mu''}^{(11)n*}}{\omega - E_{\mu''} - E_\nu - \omega_n} - \frac{\Gamma_{\mu\mu'}^{(11)n} \bar{\Gamma}_{\nu\nu'}^{(11)n*}}{\omega - E_{\mu'} - E_\nu - \omega_n} - \frac{\Gamma_{\mu'\mu}^{(11)n*} \bar{\Gamma}_{\nu'\nu}^{(11)n}}{\omega - E_\mu - E_{\nu'} - \omega_n} \right];$$



# Finite-temperature response: the ph+phonon dynamical kernel

$$R_{12,1'2'}(t-t') = -i \langle \mathcal{T}(\psi_1^\dagger \psi_2)(t) \psi_2^\dagger \psi_{1'}(t') \rangle \rightarrow \mathcal{R}_{12,1'2'}(t-t') = -i \langle \mathcal{T}(\psi_1^\dagger \psi_2)(t) \psi_2^\dagger \psi_{1'}(t') \rangle_T$$

$$\langle \dots \rangle \equiv \langle 0 | \dots | 0 \rangle \rightarrow \langle \dots \rangle_T \equiv \sum_n \exp\left(-\frac{\Omega - E_n - \mu N}{T}\right) \langle n | \dots | n \rangle$$

averages

thermal averages

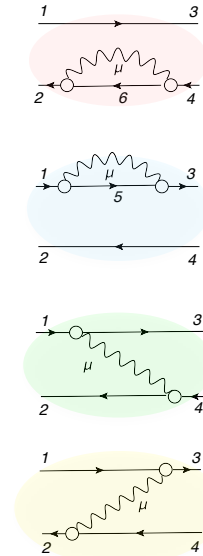
**Method: EOM  
for Matsubara  
Green's functions**

$$\begin{aligned} \mathcal{R}_{14,23}(\omega, T) &= \tilde{\mathcal{R}}_{14,23}^0(\omega, T) + \\ &+ \sum_{1'2'3'4'} \tilde{\mathcal{R}}_{12',21'}^0(\omega, T) [\tilde{V}_{1'4',2'3'}(T) + \delta\Phi_{1'4',2'3'}(\omega, T)] \mathcal{R}_{3'4',4'3}(\omega, T) \\ \delta\Phi_{1'4',2'3'}(\omega, T) &= \Phi_{1'4',2'3'}(\omega, T) - \Phi_{1'4',2'3'}(0, T) \end{aligned}$$

$T > 0$ :

$$\begin{aligned} \Phi_{14,23}^{(ph)}(\omega, T) &= \frac{1}{n_{43}(T)} \sum_{\mu; \eta_\mu = \pm 1} \eta_\mu \left[ \delta_{13} \sum_6 \gamma_{\mu;62}^{\eta_\mu} \gamma_{\mu;64}^{\eta_\mu*} \times \right. \\ &\times \frac{(N(\eta_\mu \Omega_\mu) + n_6(T))(n(\varepsilon_6 - \eta_\mu \Omega_\mu, T) - n_1(T))}{\omega - \varepsilon_1 + \varepsilon_6 - \eta_\mu \Omega_\mu} + \\ &+ \delta_{24} \sum_5 \gamma_{\mu;15}^{\eta_\mu} \gamma_{\mu;35}^{\eta_\mu*} \times \\ &\times \frac{(N(\eta_\mu \Omega_\mu) + n_2(T))(n(\varepsilon_2 - \eta_\mu \Omega_\mu, T) - n_5(T))}{\omega - \varepsilon_5 + \varepsilon_2 - \eta_\mu \Omega_\mu} - \\ &- \gamma_{\mu;13}^{\eta_\mu} \gamma_{\mu;24}^{\eta_\mu*} \times \\ &\times \frac{(N(\eta_\mu \Omega_\mu) + n_2(T))(n(\varepsilon_2 - \eta_\mu \Omega_\mu, T) - n_3(T))}{\omega - \varepsilon_3 + \varepsilon_2 - \eta_\mu \Omega_\mu} - \\ &- \gamma_{\mu;31}^{\eta_\mu*} \gamma_{\mu;42}^{\eta_\mu} \times \\ &\left. \times \frac{(N(\eta_\mu \Omega_\mu) + n_4(T))(n(\varepsilon_4 - \eta_\mu \Omega_\mu, T) - n_1(T))}{\omega - \varepsilon_1 + \varepsilon_4 - \eta_\mu \Omega_\mu} \right], \end{aligned}$$

1p1h+phonon dynamical kernel:



$T = 0$ :

$$\begin{aligned} \Phi_{14,23}^{(ph,ph)}(\omega) &= \sum_{\mu} \times \\ &\times \left[ \delta_{13} \sum_6 \frac{\gamma_{62}^{\mu} \gamma_{64}^{\mu*}}{\omega - \varepsilon_1 + \varepsilon_6 - \Omega_{\mu}} + \right. \\ &+ \delta_{24} \sum_5 \frac{\gamma_{15}^{\mu} \gamma_{35}^{\mu*}}{\omega - \varepsilon_5 + \varepsilon_2 - \Omega_{\mu}} - \\ &- \frac{\gamma_{13}^{\mu} \gamma_{24}^{\mu*}}{\omega - \varepsilon_3 + \varepsilon_2 - \Omega_{\mu}} - \\ &\left. - \frac{\gamma_{31}^{\mu*} \gamma_{42}^{\mu}}{\omega - \varepsilon_1 + \varepsilon_4 - \Omega_{\mu}} \right] \end{aligned}$$

# The role of the exponential factor: low-energy strength

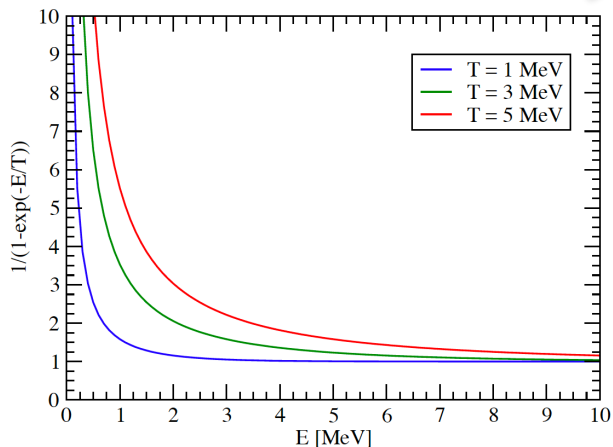
$$S(E, T) = -\frac{1}{\pi} \lim_{\Delta \rightarrow +0} \text{Im} \langle V^{0\dagger} \mathcal{R}(E + i\Delta, T) V^0 \rangle$$

The final strength function at  $T > 0$ :

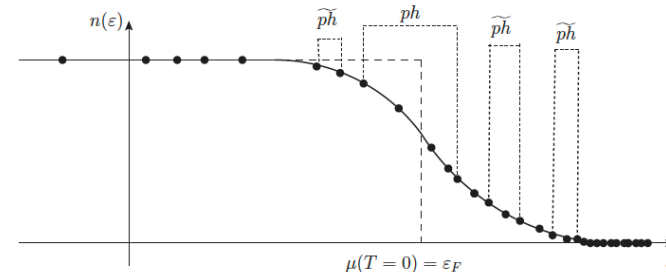
$$\tilde{S}(E) = \frac{1}{1 - e^{-E/T}} S(E)$$

$$\lim_{E \rightarrow 0} S(E, T) = 0$$

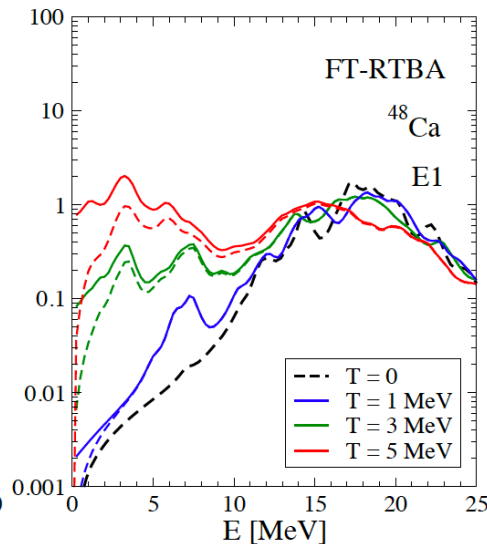
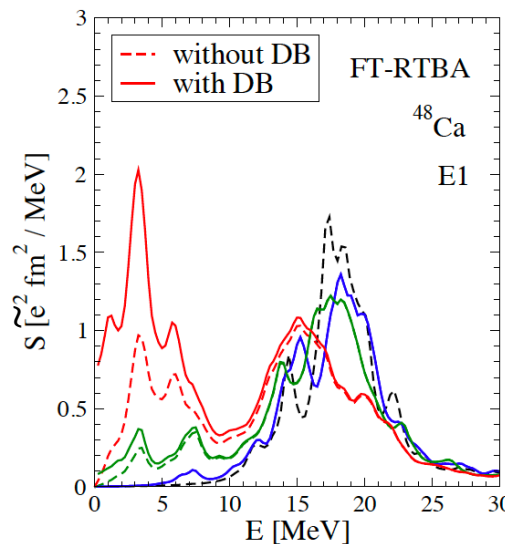
The **generic** exponential factor:



Thermal unblocking:



Dipole strength: absorption at  $T > 0$ :



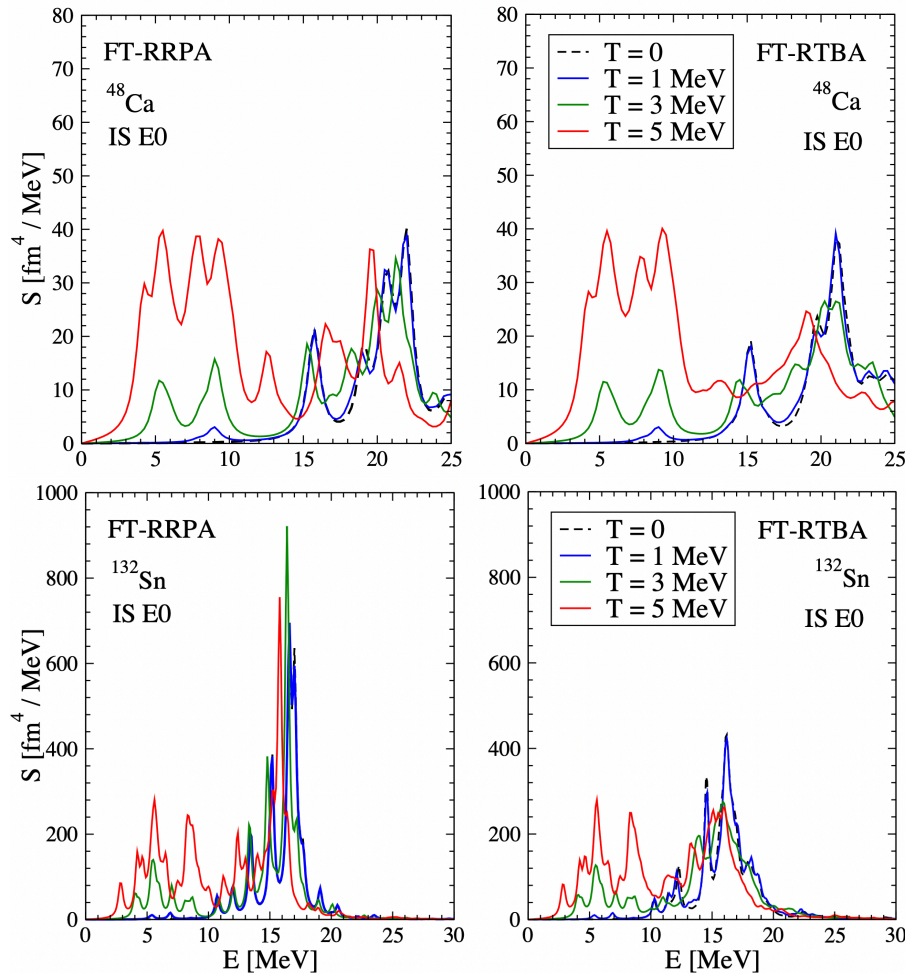
• The exponential factor brings an additional enhancement in  $E < T$  energy region and provides the finite zero-energy limit of the strength (regardless its spin-parity)

E.L., H. Wibowo, *Phys. Rev. Lett.* 121, 082501 (2018)

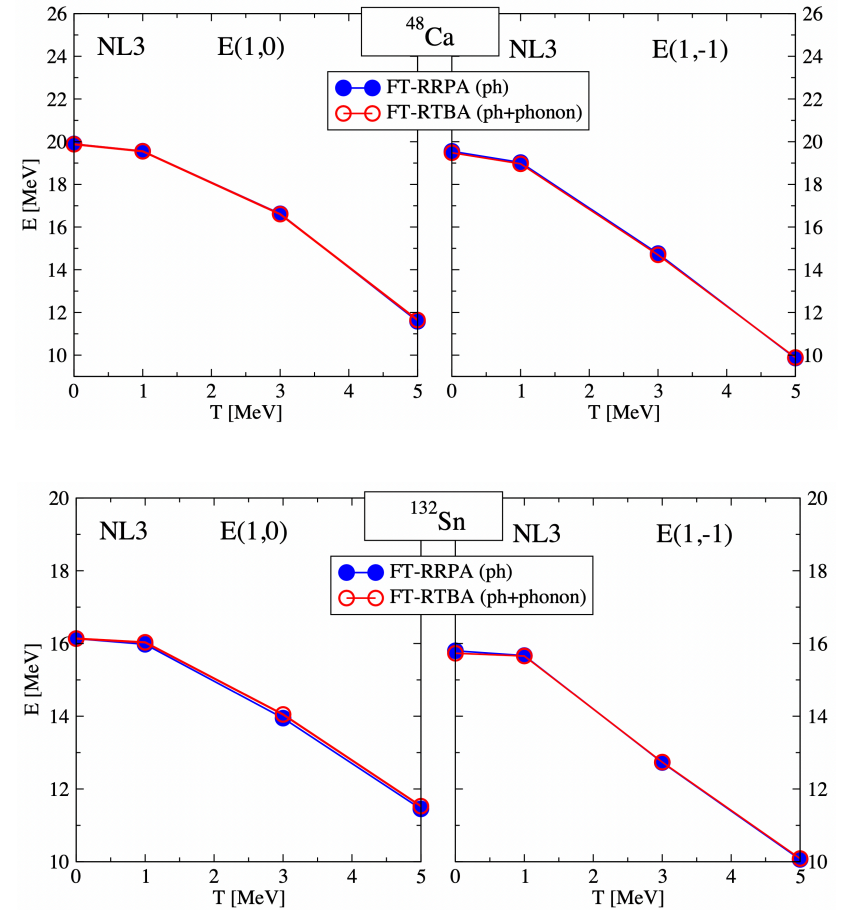
H. Wibowo, E.L., *Phys. Rev. C* 100, 024307 (2019)

# Temperature evolution of the ISGMR

## Strength distribution (Exponential factor not included)



## Centroids



E.L., H. Wibowo, PRL 121, 082501 (2018)

E.L., H. Wibowo, EPJA 55, 223 (2019)

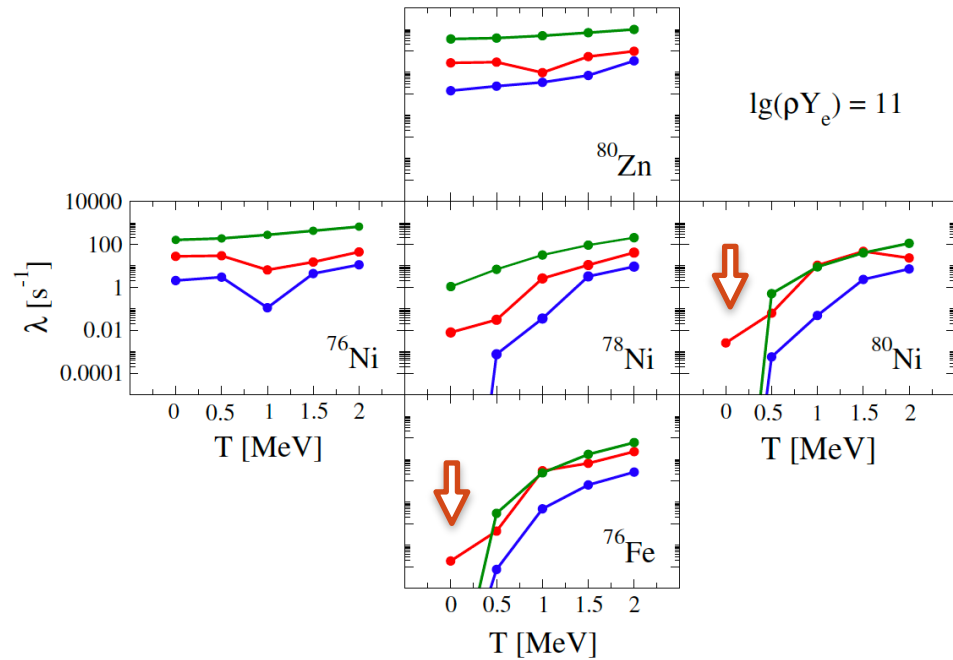
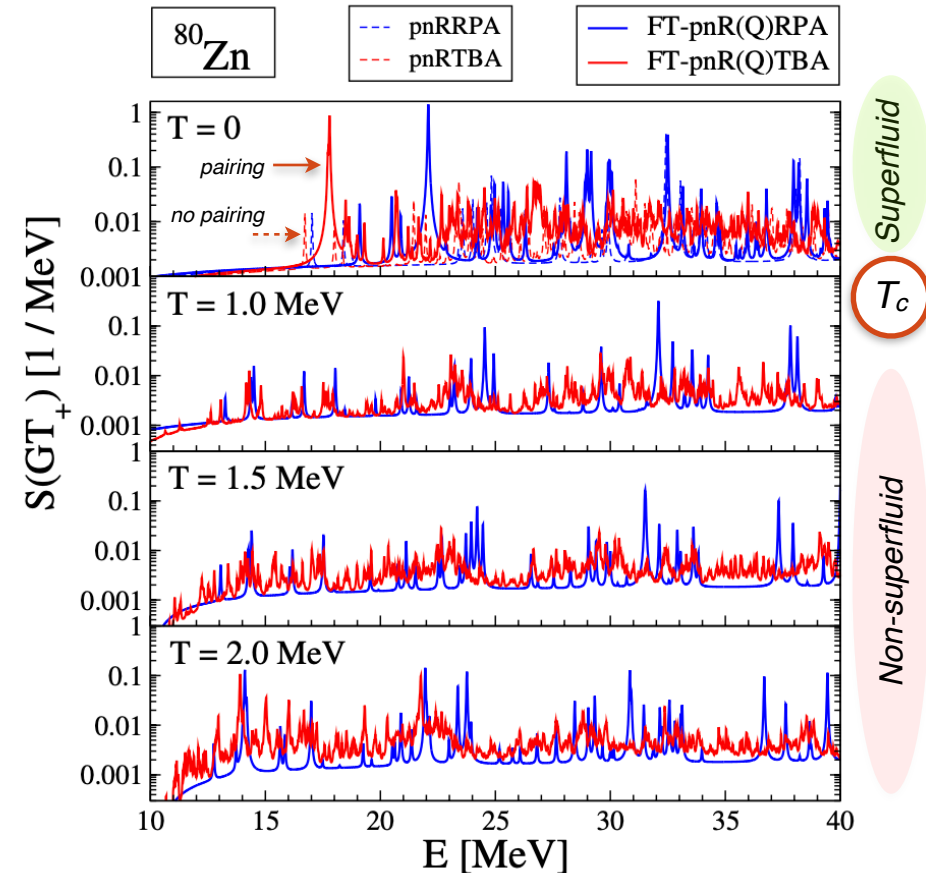
- Major effect: softening as  $T$  grows
- Equation of State (EOS) to be modified



# GT+ response and electron capture (EC) rates at $T > 0$ : the neighborhood of $^{78}\text{Ni}$

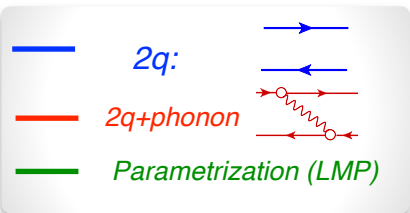
## GT+ response

## Electron capture rates around $^{78}\text{Ni}$



**Interplay** of superfluidity and collective effects  
in core-collapse supernovae:

- Amplifies the EC rates and, consequently,
- Reduces the electron-to-baryon ratio leading to lower pressure
- Promotes the gravitational collapse
- Increases the neutrino flux and effective cooling
- Allows heavy nuclei to survive the collapse



E.L., C. Robin, H. Wibowo, PLB 800,  
135134 (2020)

E.L., H. Wibowo, PRL 121, 082501 (2018)

E.L., C. Robin, PRC 103, 024326 (2021)

# Pairing gap ( $J=0$ ) beyond BCS

Fermionic pair propagator:

$$G(12, 1'2') = (-i)^2 \langle T \psi(1) \psi(2) \psi^\dagger(2') \psi^\dagger(1') \rangle$$

$$iG_{12,1'2'}(\omega) = \sum_{\mu} \frac{\alpha_{21}^{\mu} \alpha_{2'1'}^{\mu*}}{\omega - \omega_{\mu}^{(++)} + i\delta} - \sum_{\kappa} \frac{\beta_{12}^{\kappa*} \beta_{1'2'}}{\omega + \omega_{\kappa}^{(--) - i\delta}}$$

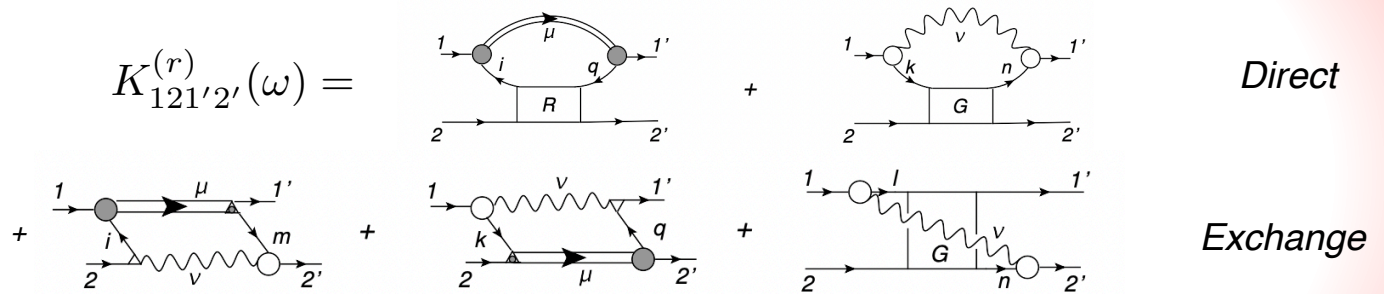
$N+2$   $N-2$

$$\alpha_{12}^{\mu} = \langle 0^{(N)} | \psi_2 \psi_1 | \mu^{(N+2)} \rangle$$

$$\beta_{12}^{\kappa} = \langle 0^{(N)} | \psi_2^\dagger \psi_1^\dagger | \kappa^{(N-2)} \rangle$$

E.L., P.Schuck, Phys. Rev. C 102, 034310 (2020)

Dynamical kernel  
("minimal" truncation):



EOM at  $\omega = \omega_s$ :

$$\alpha_{21}^s = \frac{1 - n_1 - n_2}{\omega_s - \tilde{\epsilon}_1 - \tilde{\epsilon}_2} \frac{1}{4} \sum_{343'4'} \delta_{1234} K_{343'4'}(\omega_s) \alpha_{4'3'}^s \quad \Delta_1 = 2E_1 \alpha_{11}^s$$

$\omega_s \sim 2\lambda$ :

$$\Delta_1 = - \sum_2 \mathcal{V}_{1\bar{1}2\bar{2}} \frac{\Delta_2}{2E_2} \quad \mathcal{V}_{121'2'} = \frac{1}{2} \left( K_{121'2'}^{(0)} + K_{121'2'}^{(r)}(2\lambda) \right)$$

# Formalism at $T > 0$

Averages redefined:

$$R_{12,1'2'}(t-t') = -i \langle \mathcal{T}(\psi_1^\dagger \psi_2)(t) \psi_2^\dagger \psi_1(t') \rangle \rightarrow \mathcal{R}_{12,1'2'}(t-t') = -i \langle \mathcal{T}(\psi_1^\dagger \psi_2)(t) \psi_2^\dagger \psi_1(t') \rangle_T$$

**Grand Canonical average:**  $\langle \dots \rangle \equiv \langle 0 | \dots | 0 \rangle \rightarrow \langle \dots \rangle_T \equiv \sum_n \exp\left(\frac{\Omega - E_n - \mu N}{T}\right) \langle n | \dots | n \rangle$

Matsubara imaginary-time formalism: temperature-dependent dynamical kernel

Direct:

$$\begin{aligned} \mathcal{K}_{121'2'}^{(r;11)}(\omega_n) &= - \sum_{\nu'\nu''} w_{\nu'} w_{\nu''} \\ &\times \left[ \sum_{\nu\mu} \frac{\Theta_{121'2'}^{\mu\nu;\nu'\nu''(+)} }{i\omega_n - \omega_{\nu\nu'} - \omega_{\mu\nu''}^{(++)}} (e^{-(\omega_{\nu\nu'} + \omega_{\mu\nu''}^{(++)})/T} - 1) \right. \\ &\left. - \sum_{\nu\kappa} \frac{\Theta_{121'2'}^{\kappa\nu;\nu'\nu''(-)} }{i\omega_n + \omega_{\nu\nu'} + \omega_{\kappa\nu''}^{(--)}} (e^{-(\omega_{\nu\nu'} + \omega_{\kappa\nu''}^{(--)})/T} - 1) \right] \end{aligned}$$

Exchange:

$$\begin{aligned} \mathcal{K}_{121'2'}^{(r;12)}(\omega_n) &= \sum_{\nu'\nu''} w_{\nu'} w_{\nu''} \\ &\times \left[ \sum_{\nu\mu} \frac{\Sigma_{121'2'}^{\mu\nu;\nu'\nu''(+)} }{i\omega_n - \omega_{\nu\nu'} - \omega_{\mu\nu''}^{(++)}} (e^{-(\omega_{\nu\nu'} + \omega_{\mu\nu''}^{(++)})/T} - 1) \right. \\ &\left. - \sum_{\nu\kappa} \frac{\Sigma_{121'2'}^{\kappa\nu;\nu'\nu''(-)} }{i\omega_n + \omega_{\nu\nu'} + \omega_{\kappa\nu''}^{(--)}} (e^{-(\omega_{\nu\nu'} + \omega_{\kappa\nu''}^{(--)})/T} - 1) \right], \end{aligned}$$

E.L., P.Schuck, Phys. Rev. C 104, 044330 (2021)

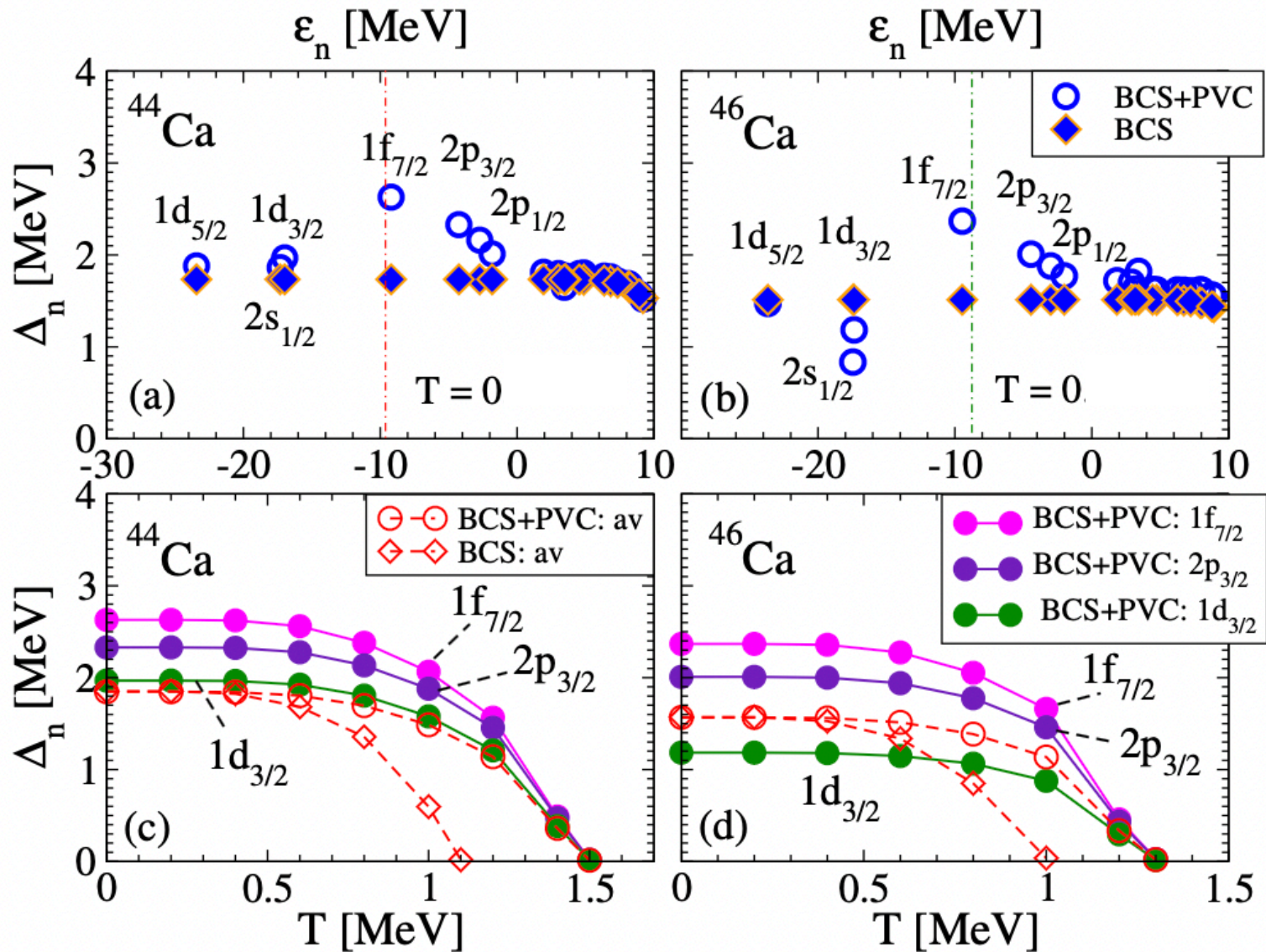
BCS-like gap Eq., but with non-trivial  $T$ -dependence in  $K^{(r)}$ :

$$\Delta_1(T) = - \sum_2 \nu_{1\bar{1}2\bar{2}} \frac{\Delta_2(T)(1 - 2f_2(T))}{2E_2}$$

$$f_1(T) = \frac{1}{\exp(E_1/T) + 1}$$

$$\mathcal{V}_{121'2'} = \frac{1}{2} \left( K_{121'2'}^{(0)} + K_{121'2'}^{(r)}(2\lambda) \right)$$

Pairing gap at  $T = 0$ ,  $T > 0$  and critical temperature





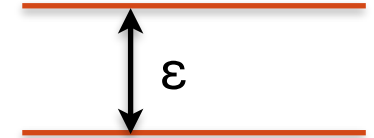
## Are there theoretical limits on accuracy?

- *Higher-rank configurations = higher accuracy? Can we quantify this? How accurately we can describe the observed spectra, in principle?*
- *Spectroscopic accuracy in nuclear structure: experiment (laser spectroscopy [eV], nuclear resonance fluorescence [keV]) ... no standards for theory. ~100 keV?*
- *Chemical accuracy 1 kcal/mol = 0.043 eV is possible with the gold standard for quantum chemistry calculations, namely the canonical coupled cluster (CC) expansion truncated at the second order in the electronic excitation operator and including an approximate treatment of the triple excitations (CCSD(T), where S stands for single, D for double, and (T) for non-iterative triple) [P.J. Ollitrault et al, Phys. Rev. Res. 2, 043140, 2020]*
- *CCSD(T) includes up to (correlated) 3p3h configurations and scales as  $O(N^7)$  with the number of degrees of freedom  $N$  of the model Hamiltonian.*
- *In nuclear structure, there are relatively rare calculations with (correlated) 3p3h configurations for medium-heavy nuclei (QPM, EOM/RQTBA<sup>3</sup>, CC). The results are still not ideal.*
- *Is the problem in the underlying strong “forces”, which are not weak and known with limited accuracy? Or the many-body methods? Likely both.*
- *Working with model (solvable) Hamiltonians allows one to solely focus on the many-body problem. Can be studied with quantum and hybrid algorithms on NISQ devices.*

# Lipkin Hamiltonian on quantum computer

Two-level Lipkin (Meshkov-Glick), LMG, Hamiltonian:

$$\hat{H} = \epsilon \hat{J}_z - \frac{v}{2} \left( \hat{J}_+^2 + \hat{J}_-^2 \right) - \frac{w}{2} \left( \hat{J}_+ \hat{J}_- + \hat{J}_- \hat{J}_+ \right)$$



Quasispin operators:

$$\hat{J}_z = \frac{1}{2} \sum_{p=1}^N \left( \hat{a}_{p,+}^\dagger \hat{a}_{p,+} - \hat{a}_{p,-}^\dagger \hat{a}_{p,-} \right),$$

$$N = 2j + 1$$

$$\hat{J}_+ = \sum_{p=1}^N \hat{a}_{p,+}^\dagger \hat{a}_{p,-} \quad \text{and} \quad \hat{J}_- = \left( \hat{J}_+ \right)^\dagger$$

Monopole  
excitations

Excitation operator:

$$\hat{O}_n^\dagger = \sum_{\alpha} \sum_{\mu_{\alpha}} \left[ X_{\mu_{\alpha}}^{\alpha}(n) \hat{K}_{\mu_{\alpha}}^{\alpha} - Y_{\mu_{\alpha}}^{\alpha}(n) \left( \hat{K}_{\mu_{\alpha}}^{\alpha} \right)^\dagger \right]$$

Configuration complexity:

$$\hat{K}_{\mu_1}^1 = a_i^\dagger a_{j'}$$

$$\hat{K}_{\mu_2}^2 = a_i^\dagger a_j^\dagger a_{j'} a_{i'}$$

...

**The algorithm:** Variational Quantum Eigensolver (VQE) + quantum EOM (qEOM)

- VQE: a minimal encoding scheme is found (“J-scheme”) and implemented, based on the symmetry of the LMG Hamiltonian. Yields an accurate ground state  $|0\rangle$ .
- qEOM generates efficiently the EOM matrix:

$$\begin{bmatrix} \mathcal{A} & \mathcal{B} \\ \mathcal{B}^* & \mathcal{A}^* \end{bmatrix} \begin{bmatrix} X^n \\ Y^n \end{bmatrix} = E_{0n} \begin{bmatrix} \mathcal{C} & \mathcal{D} \\ -\mathcal{D}^* & -\mathcal{C}^* \end{bmatrix} \begin{bmatrix} X^n \\ Y^n \end{bmatrix}$$

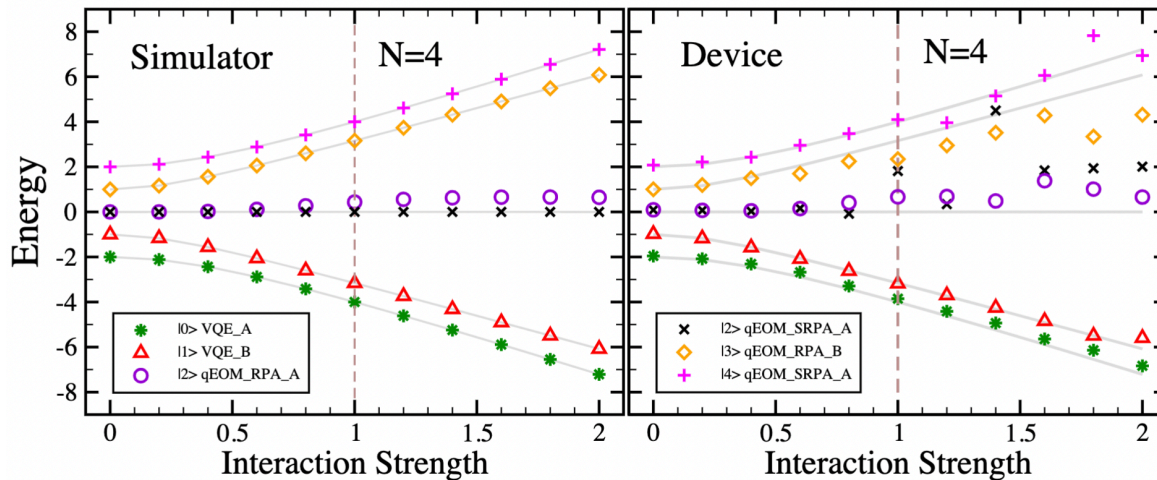
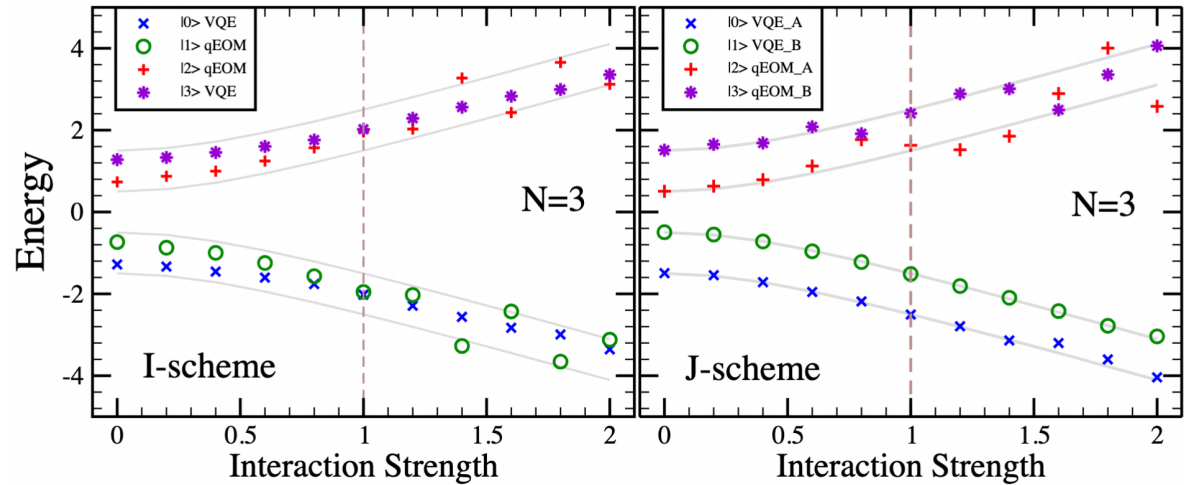
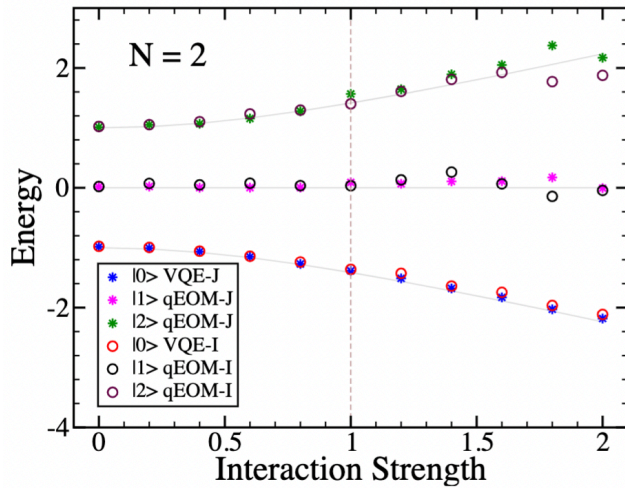
$$\mathcal{A}_{\mu\alpha\nu\beta} = \langle 0 | \left[ \left( \hat{K}_{\mu\alpha}^\alpha \right)^\dagger, \left[ \hat{H}, \hat{K}_{\nu\beta}^\beta \right] \right] | 0 \rangle$$

$$\mathcal{B}_{\mu\alpha\nu\beta} = - \langle 0 | \left[ \left( \hat{K}_{\mu\alpha}^\alpha \right)^\dagger, \left[ \hat{H}, \left( \hat{K}_{\nu\beta}^\beta \right)^\dagger \right] \right] | 0 \rangle$$

$$\mathcal{C}_{\mu\alpha\nu\beta} = \langle 0 | \left[ \left( \hat{K}_{\mu\alpha}^\alpha \right)^\dagger, \hat{K}_{\nu\beta}^\beta \right] | 0 \rangle$$

$$\mathcal{D}_{\mu\alpha\nu\beta} = - \langle 0 | \left[ \left( \hat{K}_{\mu\alpha}^\alpha \right)^\dagger, \left( \hat{K}_{\nu\beta}^\beta \right)^\dagger \right] | 0 \rangle.$$

# Lipkin Hamiltonian on quantum computer: hardware results



## Conventions:

- $n_q$  = number of states
- $N$  = number of particles
- $v = v/\epsilon$  effective interaction strength
- I-scheme:** individual spin basis,  $n_q = 2^N$
- J-scheme:** total spin basis (coupled form), symmetry:  $n_q = N/2 + 1$

## Observations:

- Higher-rank excitation  $\sim$  higher accuracy
- Stronger coupling  $\sim$  lower accuracy
- More particles  $\sim$  lower accuracy
- Less qubits  $\sim$  higher accuracy





# Outlook

## Summary:

- The nuclear field theory (NFT) is formulated and advanced in the Equation of Motion (EOM) framework, with the emphasis on **emergent degrees of freedom**.
- The **emergent collective effects** renormalize interactions in correlated media, underly the spectral fragmentation mechanisms, affect superfluidity and weak decay rates.
- Relativistic NFT is **generalized to finite temperature** and applied to neutral and charge-exchange response of medium-heavy nuclei as well as to the studies of nuclear superfluidity.
- The presented study of the monopole excitations suggest that **correlations beyond mean field/QRPA are significant and enhanced in open-shell nuclei**. Together with the finite-temperature effects, they have important astrophysical implications.

## Current and future developments:

- **Deformed nuclei**: correlations vs shapes; first results on quasiparticle states just released (Yinu Zhang et al.);
- **HFB pairing**: EOM for the response function; formulation underway;
- **Toward an “ab initio” description**: implementations with bare NN-interactions;
- **Superfluid pairing at  $T > 0$**  to extend the application range (r-process);
- **Efficient algorithms for strong coupling regimes**; **quantum computing for increasing  $N$  and  $\alpha$**  (Manqoba Hlatshwayo);
- **Relativistic EOM's, bosonic EOM's, hadron physics, neutron stars, ...**

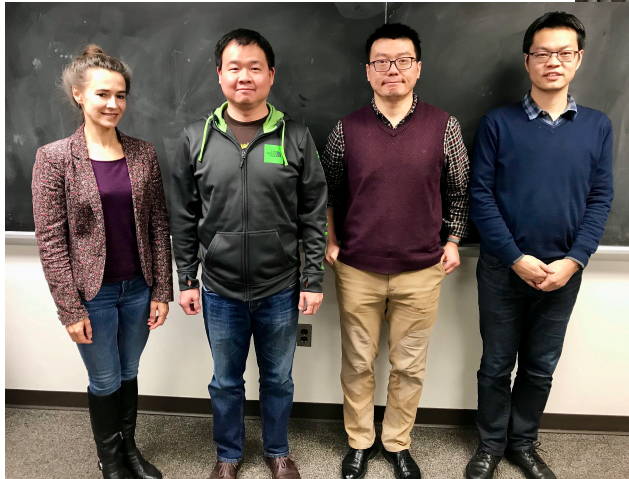
# Many thanks for collaboration and support:

*Yinu Zhang (WMU)*  
*Manqoba Hlatshwayo (WMU)*  
*Herlik Wibowo (AS Taipei)*  
*Caroline Robin (U. Bielefeld & GSI)*  
*Peter Schuck (IPN Orsay)*  
*Peter Ring (TU München)*  
*Tamara Niksic (U Zagreb)*

*US-NSF PHY-1404343 (2014-2018)*  
*NSF CAREER PHY-1654379 (2017-2023)*



2018:



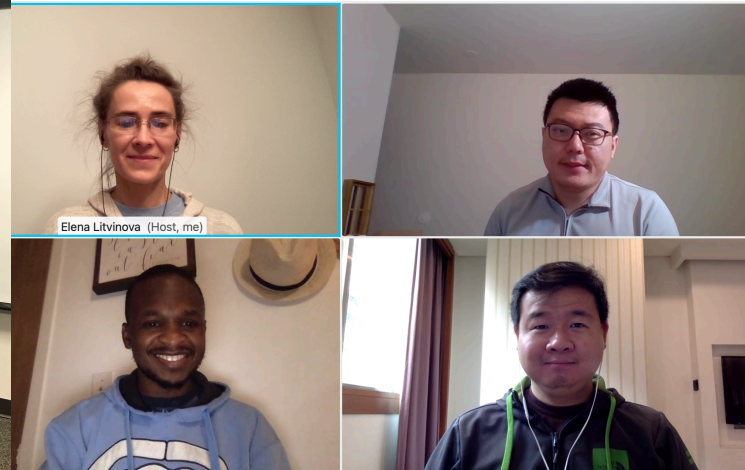
2017:



2019-2020:

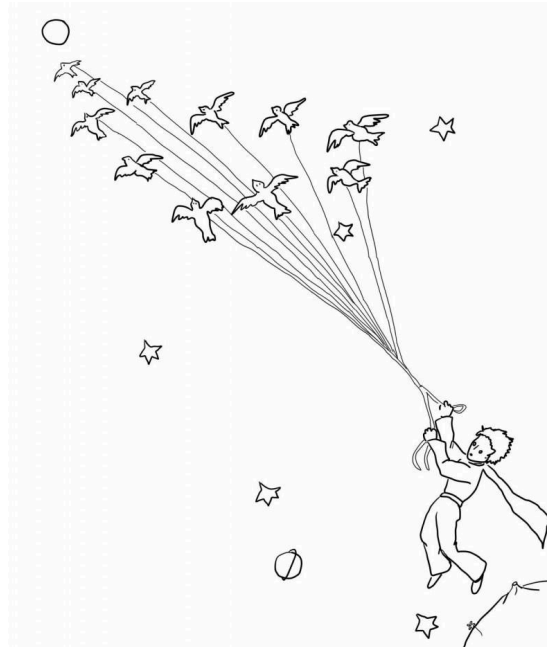


2020-2022:



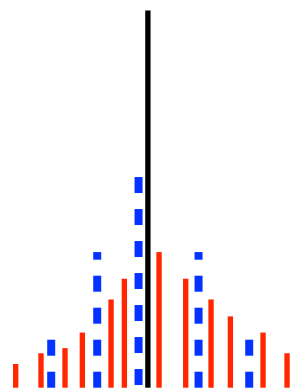


*Thank you!*

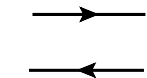


# Excitation spectrum: Hierarchy of configuration complexity

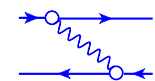
## Fragmentation mechanism



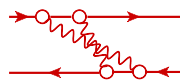
2q:



2q+phonon:



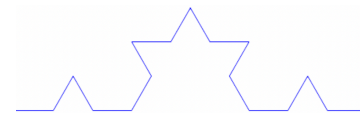
2q+2phonon:



## Fractals: Koch curve



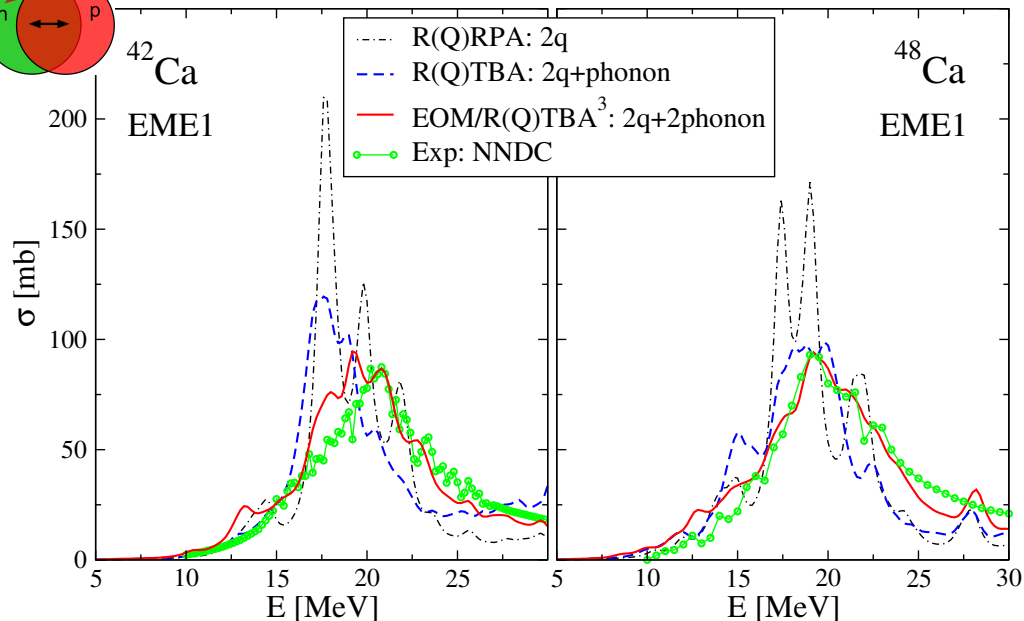
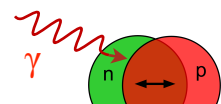
Gross structure



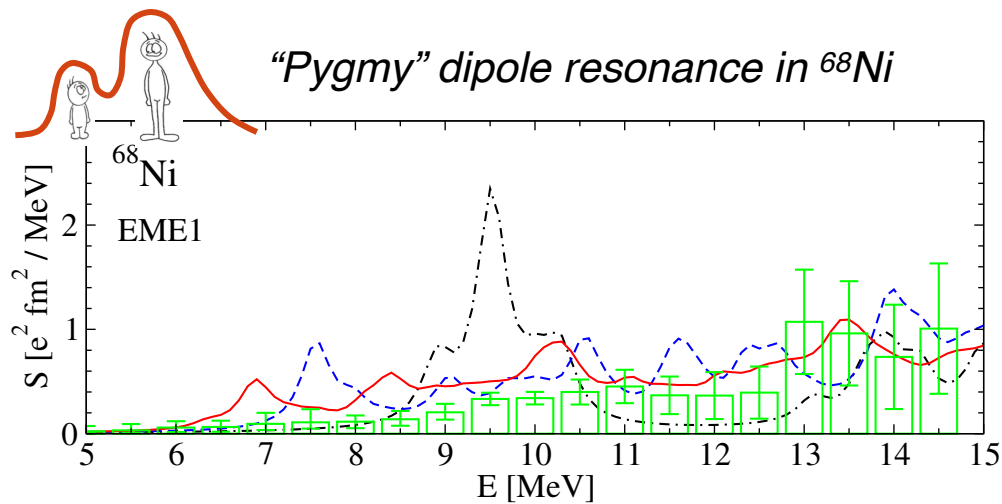
Fine structure



## Giant dipole resonance in $^{42,48}\text{Ca}$

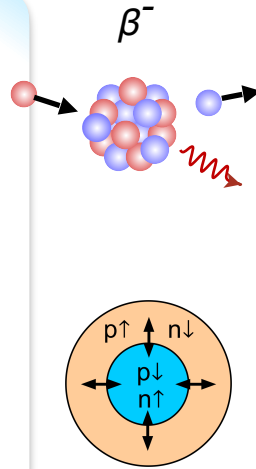
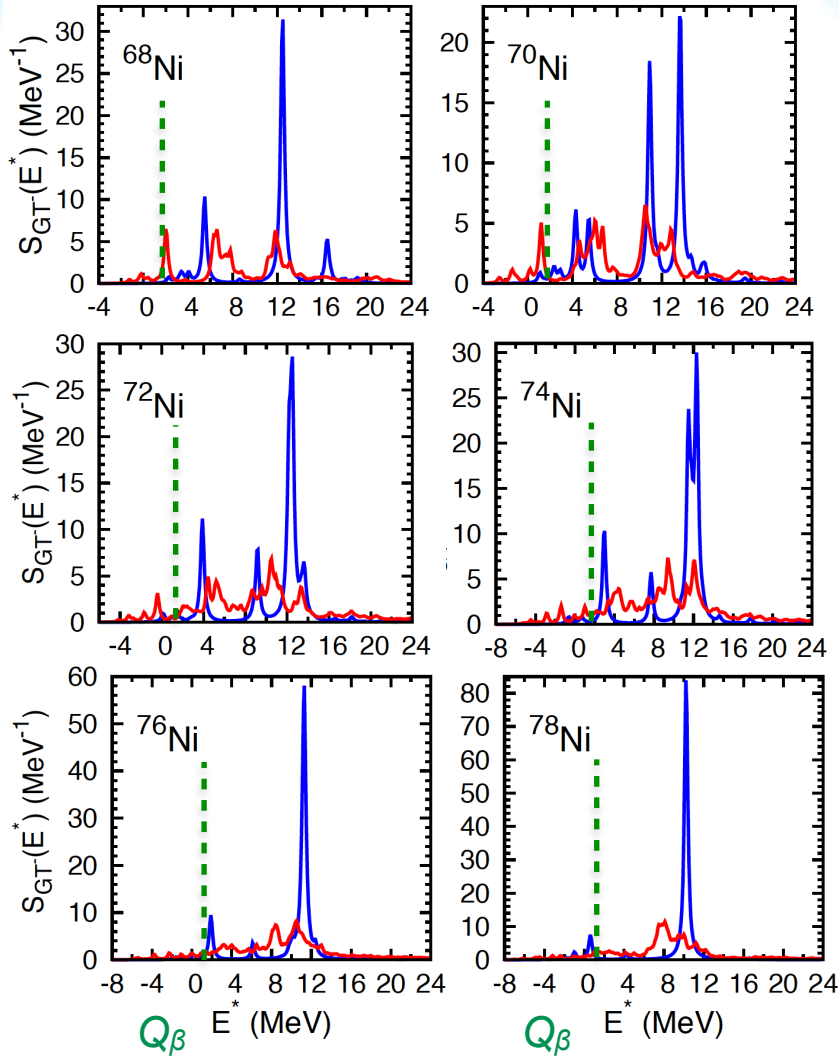


## "Pygmy" dipole resonance in $^{68}\text{Ni}$

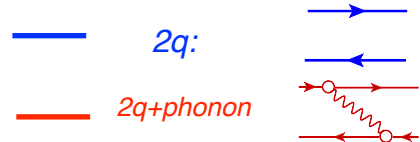


Data: O. Wieland et al., Phys. Rev. C 98, 064313 (2018)

# Spin-isospin excitations: Gamow-Teller resonance in neutron-rich nickel



*Dynamical  
pn-pairing*



C. Robin, E.L., EPJA 52, 205 (2016)  
C. Robin, E.L., PRL 123, 202501 (2019)

Excitation operator:

$$P = \sum_i \sigma^{(i)} \tau_-^{(i)}$$

$\beta^-$  decay half-life

$$T_{1/2}^{-1} = \frac{g_A^2}{D} \int_{Q_\beta} f(Z, \Delta_{np} - E) S(E) dE$$

