

# $U_A(1)$ breaking from the lattice and its topological origin

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May 30, 2018

# Outline

- 1 The  $U_A(1)$  puzzle in QCD
- 2 Our results
- 3 Topological structures and  $U_A(1)$  breaking
- 4 Summary and outlook

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- **Origin:**

Anomalous  $U_A(1)$  not an exact symmetry of QCD yet may affect the order of phase transition for  $N_f = 2$  [Pisarski & Wilczek, 83].

- In model QFT with same symmetries as QCD, it is not possible to quantify the  $U_A(1)$  effects in observables.
- Need lattice studies with fermions having exact chiral/flavour symmetry + reproduce exactly anomaly on the lattice.

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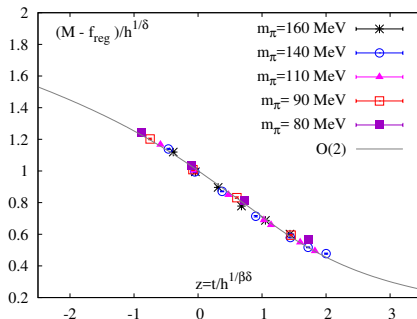
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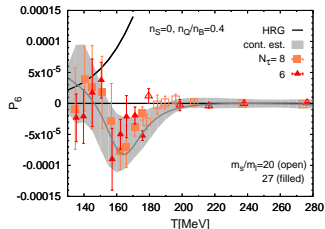
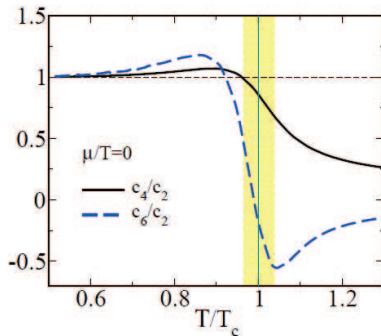
# Why is it important?

- $m_{u,d} \ll \Lambda_{QCD}$ , chiral symmetry drives phase transition at  $\mu_B \rightarrow 0$
- The singular part of free energy should show critical scaling  $\rightarrow$  hints of criticality from lattice studies [BI-BNL collaboration, 09].



# Why is it important?

- Criticality at  $\mu = 0$  changes on whether  $U_A(1)$  is effectively restored
  - $O(4)$  critical exponents for  $U_A(1)$  broken
  - $U(2) \times U(2)$  if  $U_A(1)$  effectively restored
- Effects should be visible in higher order fluctuations measured in the experiments [Karsch & Redlich, 11, Bielefeld-BNL-CCNU collaboration, 1701.04325]





# Why is it important?

- Could affect the EoS relevant for anomalous hydrodynamics with chiral imbalance?
- Softening of  $\eta'$  mass near freezeout? [Grahl & Rischke, 14,15]
- Consequences for the critical end-point at finite  $\mu_B$ ?
- Lattice QCD can answer such questions from first principles.
- The microscopic constituents responsible for it may also be responsible for characteristic  $T$  dependence of topological susceptibility.

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# The major issues with the lattice studies so far

- Finite volume effects → ensure presence of topological objects in a box.
- Most studies done with lattice fermions with reasonably good remnant of continuum chiral symmetry + explicitly broken  $U_A(1)$  which is restored in the continuum limit [S. Chandrasekharan, 96, H. Ohno et. al 12, V. Dick et. al., 15].
- Studies done with chiral fermions are in a fixed topological sector + small volume [JLQCD collaboration, 13].
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# Observables sensitive to $U_A(1)$ breaking..

- Not an exact symmetry  $\rightarrow$  no order-parameter  $\rightarrow$
- Important to look at **all** point correlation functions between axial
- Atleast for the integrated 2 point correlators [Shuryak, 94]

$$\chi_\pi - \chi_\delta = \int d^4x [\langle i\pi^+(x)i\pi^-(0) \rangle - \langle \delta^+(x)\delta^-(0) \rangle]$$

- Equivalently study  $\rho(\lambda, m_f)$  of the Dirac operator [Cohen, 95, Hatsuda & Lee, 95]

$$\chi_\pi - \chi_\delta \xrightarrow{V \rightarrow \infty} \int_0^\infty d\lambda \frac{4m_f^2 \rho(\lambda, m_f)}{(\lambda^2 + m_f^2)^2}, \quad \langle \bar{\psi}\psi \rangle \xrightarrow{V \rightarrow \infty} \int_0^\infty d\lambda \frac{2m_f \rho(\lambda, m_f)}{(\lambda^2 + m_f^2)}$$

- Chiral symmetry restored:  $\lim_{m_f \rightarrow 0} \lim_{V \rightarrow \infty} \rho(0, m_f) \rightarrow 0 \Rightarrow U_A(1)$  restored.
- Chiral symmetry restored +  $U_A(1)$  **broken** if

$$\lim_{\lambda \rightarrow 0} \rho(\lambda, m_f) \rightarrow \delta(\lambda) m_f^\alpha, \quad 1 < \alpha < 2.$$

# Spectral density of Dirac operator at finite $T$ : Analytics

- Very little known. Only recently there are interesting results  
[Aoki, Fukaya & Taniguchi, 12].
- Assuming  $\rho(\lambda, m)$  to be analytic in  $m^2, \lambda$ , look at chiral Ward identities of  $n$ -point function of scalar & pseudo-scalar currents.
- $\rho(\lambda, m \rightarrow 0) \sim \lambda^3 \Rightarrow U_A(1)$  breaking effects invisible in these sectors for upto 6-point functions.
- Look for non-analyticities + analytic rise in the infrared QCD Dirac spectrum

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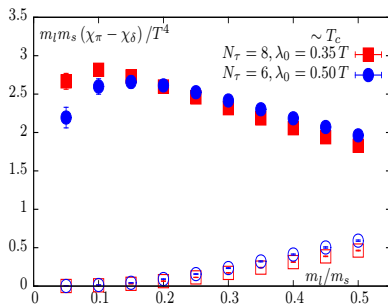
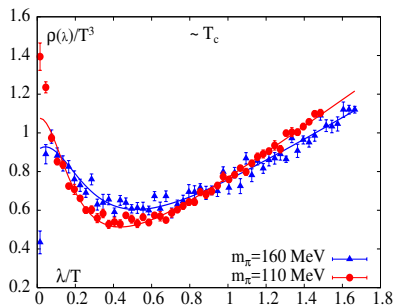
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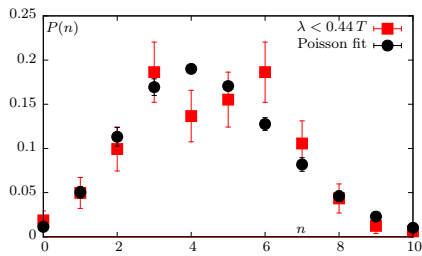
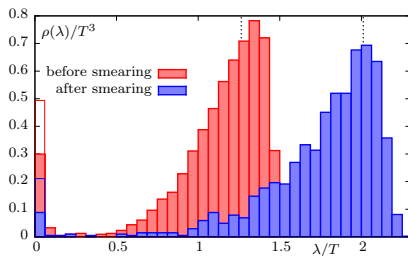
# Results for QCD with staggered quarks

- $D_{ov}$  has an exact index theorem like in the continuum  $\Rightarrow$  the zero modes of  $D_{ov}$  related to topological structures of the underlying gauge field.  
[Hasenfratz, Laliena & Niedermeyer, 98].
- Used overlap as **valence operator** to probe the infrared spectrum of Highly Improved Staggered Quarks (HISQ).
- $U_A(1)$  broken near  $T_c$  and **near-zero** modes primarily responsible for it.



# QCD medium at $1.5 T_c$

- HYP smearing [Hasenfratz & Knechtli, 02] expected to eliminate dislocations



- Smearing does not eliminate the near zero modes.
- At  $1.5 T_c$ , QCD medium is a dilute gas of small instantons  
 $r = 0.23 \text{ fm}$ ,  $\rho = 0.15 \text{ fm}^{-4}$

# Numerical details

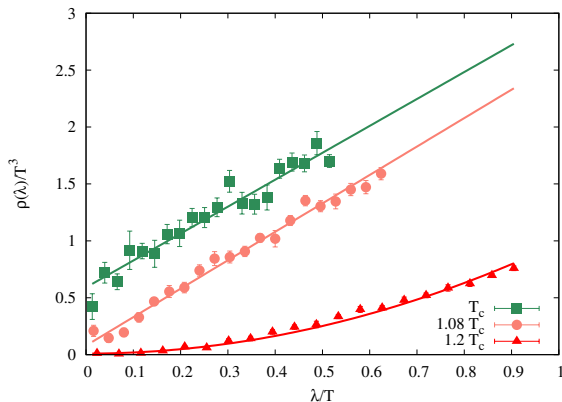
- Möbius domain wall fermions on 5D hypercube with  $N = 32$  sites along each spatial 4-dim,  $N_5 = 16$  and  $N_\tau = 8$  sites along temporal dim. We also have results with staggered (HISQ) fermions.
- Volumes,  $V = N^3 a^3$ , Temperature,  $T = \frac{1}{N_\tau a}$ ,  $a$  is the lattice spacing.
- Box size:  $m_\pi V^{1/3} > 4$
- 2 light+1 heavy flavour
- Input  $m_s$  physical  $\approx 100$  MeV and  $m_s/m_l = 27, 12$   
 $\Rightarrow m_\pi = 135, 200$  MeV. [Columbia-BNL-LLNL, 13,14].
- The sign function and chiral symmetry maintained as precise as  $10^{-10}$ .



# QCD Dirac spectrum at finite $T$

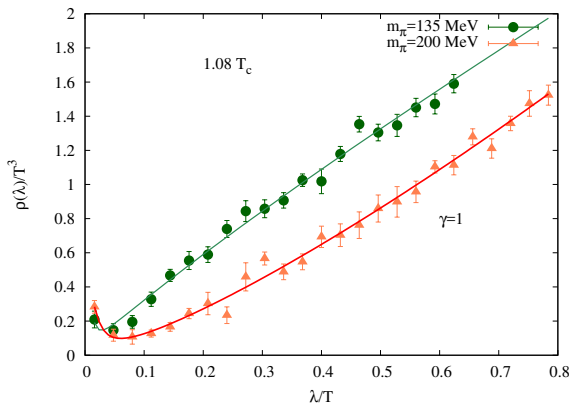
- General features: **Near zero mode peak** + bulk.
- No gap observed upto  $1.2 T_c$  for physical quark mass

[ V. Dick et. al. in prep, also 1602.02197 ].



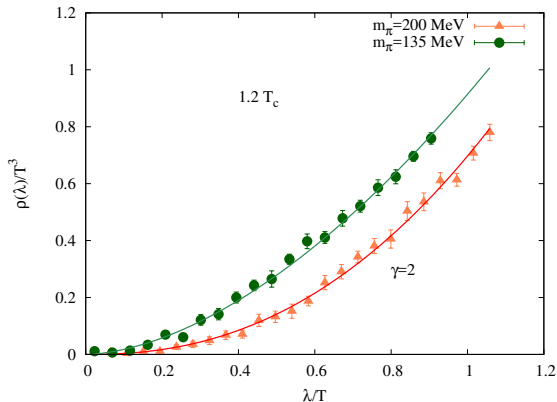
# General Characteristics

- We fit to the ansatz:  $\rho(\lambda) = \frac{A\epsilon}{\lambda^2 + A} + B\lambda^\gamma$ .
- Bulk rises linearly as  $\lambda$  near  $T_c$ .
- No gap even when quark mass reduced!



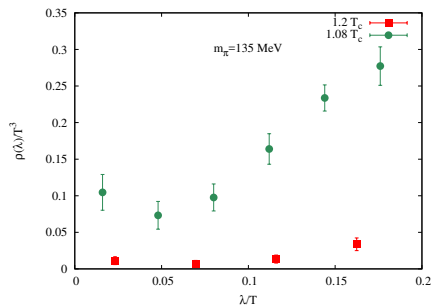
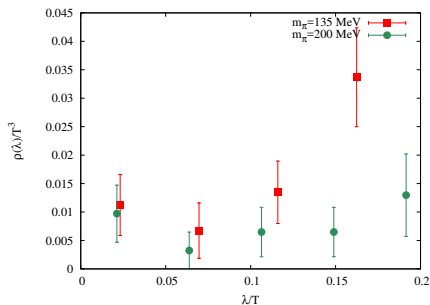
# General Characteristics

- The rise of the bulk is  $\gamma \sim 2 \rightarrow$  Still not consistent with  $\lambda^3$ .
- Infrared modes becomes rarer with a small peak.



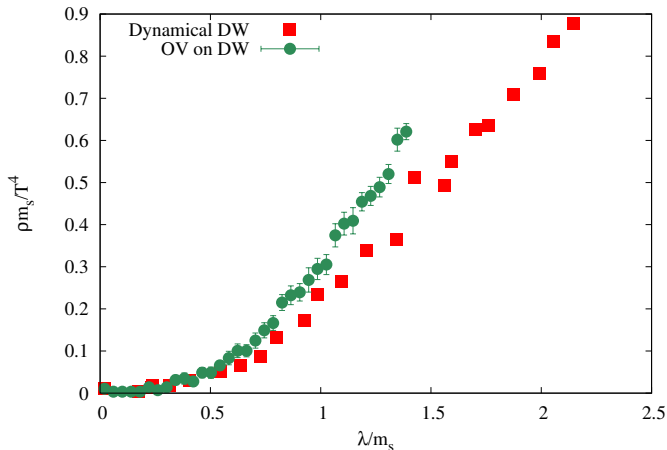
# A closer look at the near-zero modes

- The near-zero modes sensitive to the sea quark mass  $\rightarrow$  sparse when  $m_\pi$  heavier but the peak survives!
- Falls by more than a third at  $1.2T_c$ .



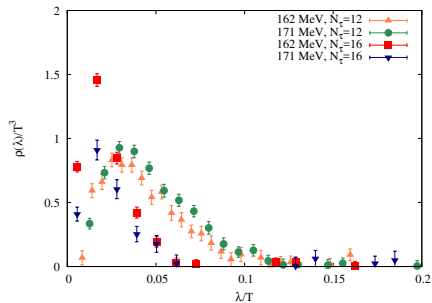
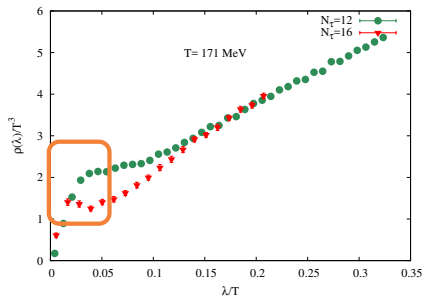
# Comparing with earlier results

- The renormalized spectra of dynamical Domain wall fermions  
[Columbia-BNL-LLNL, 13] agrees very well with what we measured with the overlap.

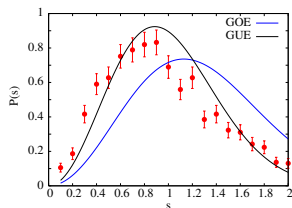
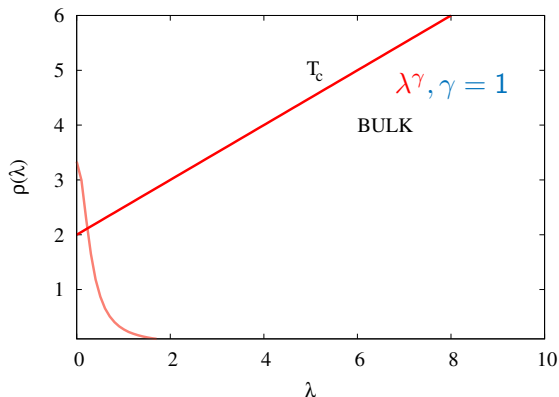


# Comparing eigenspectra for different lattice fermions

- Exponent characterizing the bulk spectra of staggered quarks(HISQ) consistent with domain wall fermions. [HotQCD collab. in prep.]
- The near-zero peak start appearing for finest lattice spacings even with staggered quarks → non-perturbative characteristic of QCD eigenvalue spectrum
- Suffer from strong finite volume effects [G. Cossu et. al, 13, A. Tomiya et. al, 15,16] due to which there has been serious debate on it!

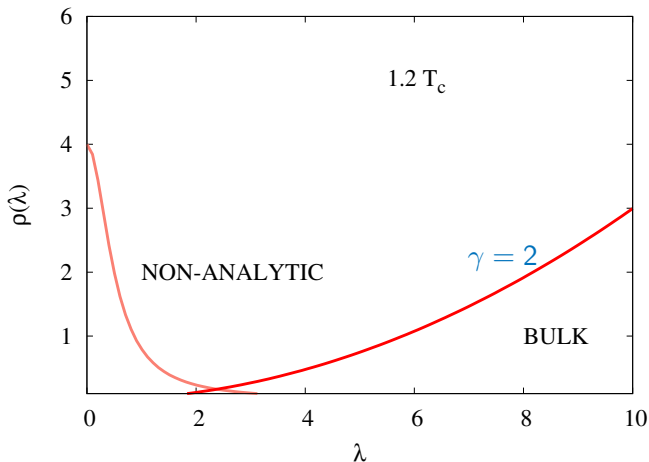


# Summary of eigenvalue spectrum at finite $T$



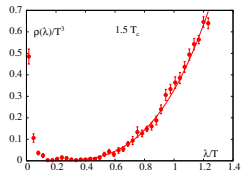
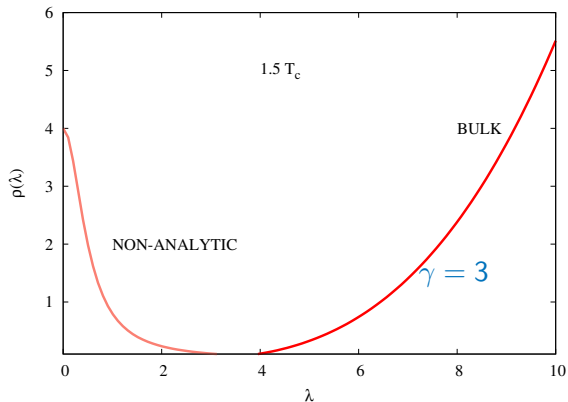
The bulk spectrum has level spacings characteristic from GUE in Random matrix theory

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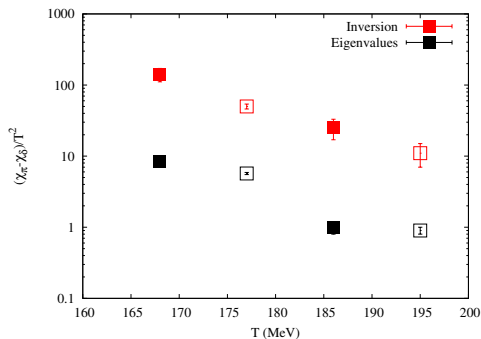
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[ V. Dick, et. al, 1502.06190, 1602.02197 ].

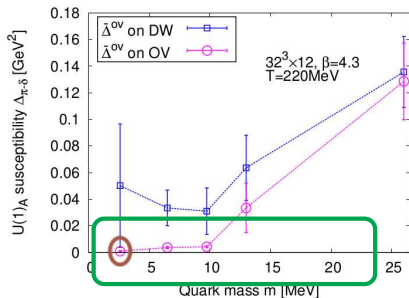
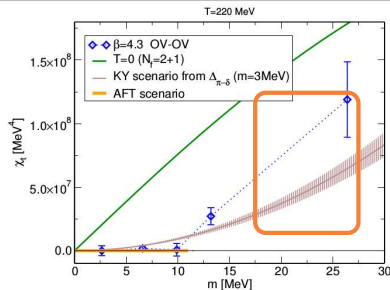
# Fate of $U_A(1)$ near $T_c$

- Contribution to  $U_A(1)$  breaking in 2-point correlation functions mainly come from small eigenvalues.
- First 50 eigenvalues produce most of the breaking obtained from inversion of the Domain wall Dirac operator with good chiral properties. [V. Dick, et. al, 1602.02197, Columbia-BNL-LLNL, 13,14].



# Summary of independent lattice results from JLQCD

Reference: Y. Aoki, XQCD 2018



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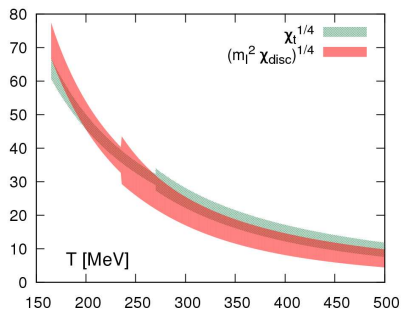
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# What are the constituents of the hot QCD medium?

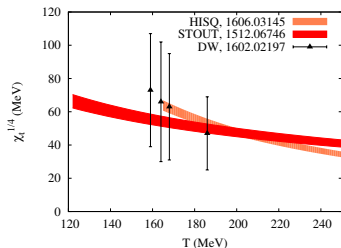
- At  $T = 0$ , anomaly effects related to instantons [t'Hooft, 76].
- Near chiral crossover transition  $T_c$ , a medium consisting of interacting instantons can explain chiral symmetry breaking  $\Rightarrow$  Instanton Liquid Model [Shuryak, 82].
- At  $T \gg T_c$ , medium like a dilute gas of instantons [Gross, Pisarski & Yaffe, 81].  
How high is the  $T$ ?
- What is the medium made up of for  $T_c \leq T \leq 2T_c$ ?

# Independent confirmation: Topological susceptibility

- Topological susceptibility measurement at high  $T$  on the lattice suffers from rare topological tunneling, lattice artifacts.
- Going towards continuum limit difficult due to freezing of topology.
- Fermionic observables  
[L. Giusti, G. C. Rossi, M. Testa, 0402027, HotQCD 1205.3535]  
shown to agree with standard definition of  $\chi_t = \int d^4x \langle F\tilde{F}(x)F\tilde{F}(0) \rangle$  in the continuum even with staggered quarks.  
[P. Petreczky, H-P Schadler, SS, 1606.03145].
- Continuum extrapolated results now available for QCD!



# Independent confirmation: Topological susceptibility



- $T > 300$  MeV: Continuum extrapolated  $b = 1.85(15)$ . Agreement with dilute instanton gas. Confirmed also in an independent study with reweighting techniques.

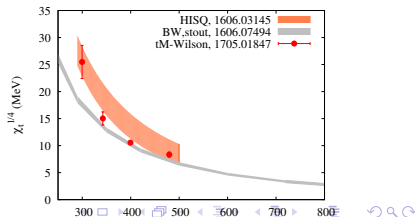
[ Borsanyi et. al, 1606.07494]

Wilson type quarks with  $m$  rescaling agrees quite well

[ F. Burger et. al, 1705.01847, Y. Taniguchi et. al., 1611.02413]

- Fit ansatz:  $\chi_t^{1/4} = AT^{-b}$ .
  - $b = 0.9 - 1.2$  for  $T < 250$  MeV from continuum extrapolated results with HISQ.
- [ P. Petreczky, H-P Schadler, SS, 1606.03145].
- Agrees well with an independent study [ Bonati et. al, 1512.06746] and with results with chiral fermions 1602.02197.
  - Dilute gas prediction:  

$$b = 2 - \frac{11N_c}{12} - \frac{2N_f}{12}.$$



# More Diagnostics!

- Since  $\theta$  is tiny,  $F(\theta) = \frac{1}{2}\chi_t\theta^2 (1 + b_2\theta^2 + \dots)$ .

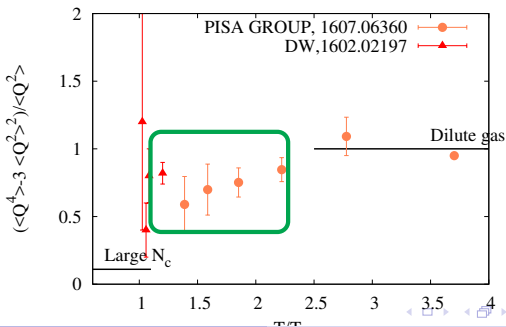
[L. D. Debbio, H. Panagopoulos, E. Vicari, 0407068]

- Strong non-Gaussianity in higher order expansions. Hints about existence of instanton-dyons? Hints observed in lattice studies

[M. Ilgenfritz, M-Mueller Pruessker, et. al. 14, 15].

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New lattice techniques are being discussed to explore them.

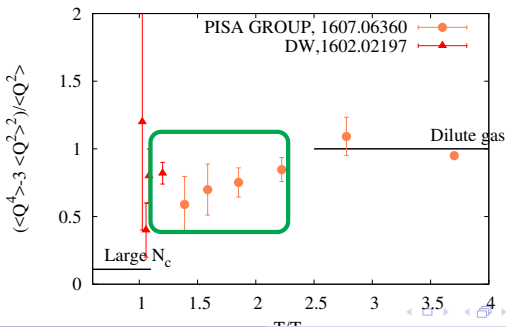
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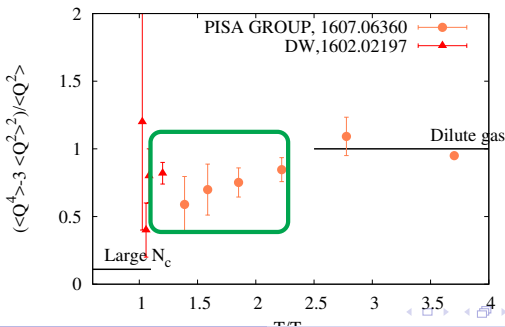
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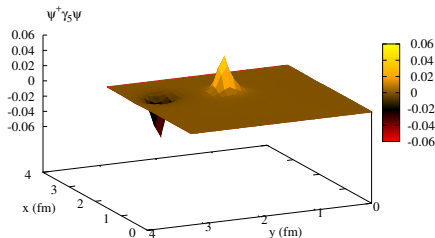
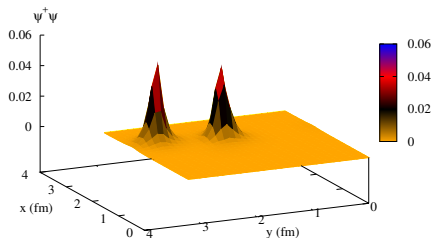


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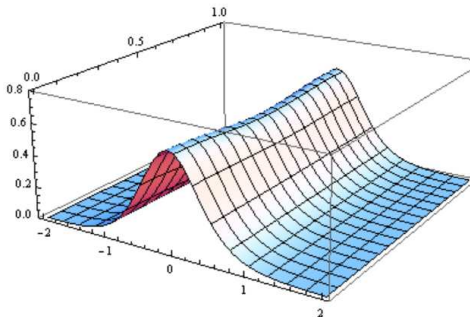
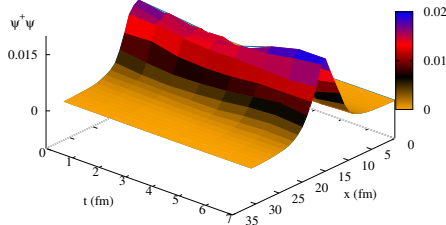
# Near-zero modes at $\sim 200$ MeV



Near-zero modes of QCD Dirac operator at  $1.5 T_c$  due to a weakly interacting instanton-antiinstanton pair!

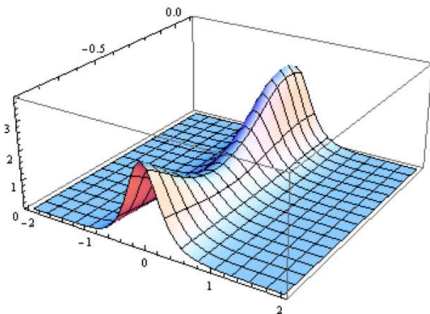
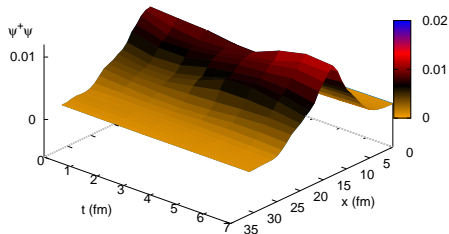
# Zero modes at $1.1 T_c$

- We use twisted b.c. for the overlap on the thermal Domain wall fermion ensembles  $\rightarrow$  detects the different instanton-dyons.
- The shape of the zero mode strongly depends on the separation between the instanton-dyons [R. Larsen, SS, Shuryak, in prep., More in Lattice 2018].



# Zero modes at $1.1 T_c$

- We use twisted b.c. for the overlap on the thermal Domain wall fermion ensembles  $\rightarrow$  detects the different instanton-dyons.
- The shape of the zero mode strongly depends on the separation between the instanton-dyons [R. Larsen, SS, Shuryak, in prep., More in Lattice 2018].



# Outline

- 1 The  $U_A(1)$  puzzle in QCD
- 2 Our results
- 3 Topological structures and  $U_A(1)$  breaking
- 4 Summary and outlook

# Summary

- On **large volume** lattice we found that  $U_A(1)$  broken upto  $T \leq 1.5T_c$ .
- Infrared eigenvalues contribute dominantly to its breaking.
- Consists of near-zero+tail of the bulk modes. The latter quite robust insensitive to lattice cut-off effects.
- Near-zero modes require a careful study.
- One needs to go towards the chiral regime to make a final conclusive statement on the Columbia plot.

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