### Prompt neutrino fluxes from forward heavy quark production in pp(pA)

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Probing QCD at High Energy Frontier, ECT\* Trento, Italia, May 24, 2018

# Outline

- Motivation: ultrahigh energy neutrino astronomy
- Atmospheric neutrinos: conventional and prompt
- Cross section for charm production at forward rapidities: collinear, dipole and  $k_T$  factorization calculations
- Prompt neutrino fluxes

Work in collaboration with

A. Bhattacharya, R. Enberg, Y. S. Jeong, C. S. Kim, M. H. Reno, I. Sarcevic

# Neutrino astronomy

- Universe not transparent to extragalactic photons with energy > 10 TeV
- Weakly interacting: neutrinos can travel large distances without distortion

Interaction lengths (at I TeV):

$$\mathcal{L}_{
m int}^{\gamma} \sim 100\,{
m g/cm^2}$$

$$\mathcal{L}_{\mathrm{int}}^{\nu} \sim 250 \times 10^9 \,\mathrm{g/cm^2}$$

 $\nu_{\mu}$ 

1/2

- Trajectories of protons and nuclei are distorted by the magnetic fields
- Neutrinos can point back to their sources

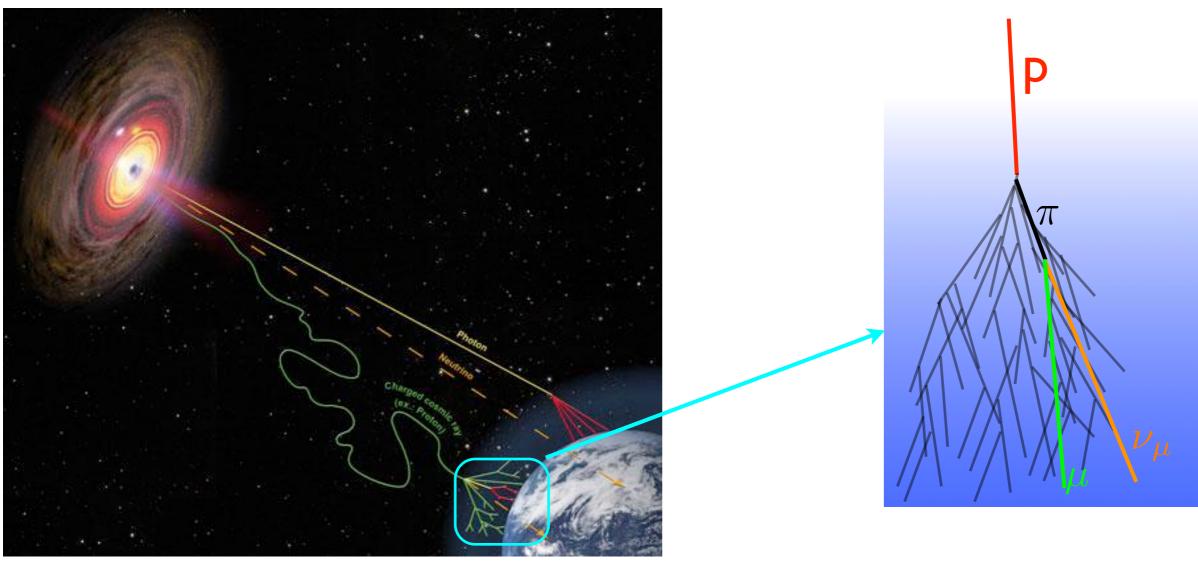
Angular distortion

$$\delta\phi\simeq rac{0.7^o}{(E_{\nu}/{
m TeV})^{0.7}}$$

## Sources of high energy neutrinos

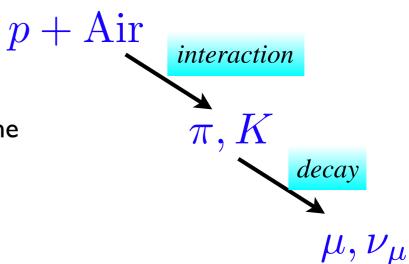
- Atmospheric: interactions of cosmic rays with nuclei in the atmosphere.
- Interactions of cosmic rays with gas, for example around supernova remnants.
   Interaction with microwave background (GZK neutrinos).
- Production at some source: radio galaxies, Active Galactic Nuclei, Gamma Ray bursts.
- More exotic scenarios: WIMP annihilation (in the center of Sun or Earth), decays of metastable relic particles,...

# Atmospheric neutrinos



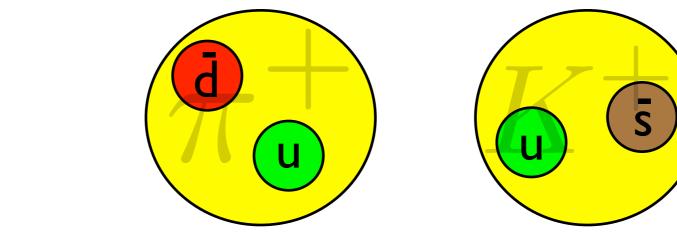
(credit: <u>www.hap-astroparticle.org</u>/ A. Chantelauze)

Neutrinos in the atmosphere originate from the interactions of cosmic rays (etc. protons) with nuclei.



# Atmospheric neutrinos

• Conventional: decays of lighter mesons

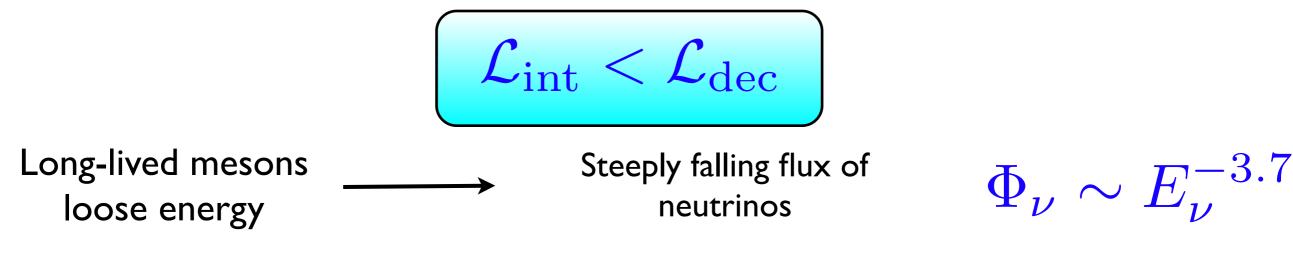


Mean lifetime:  $\tau \sim 10^{-8}$  s

 $\pi^{\pm}, K^{\pm}$ 

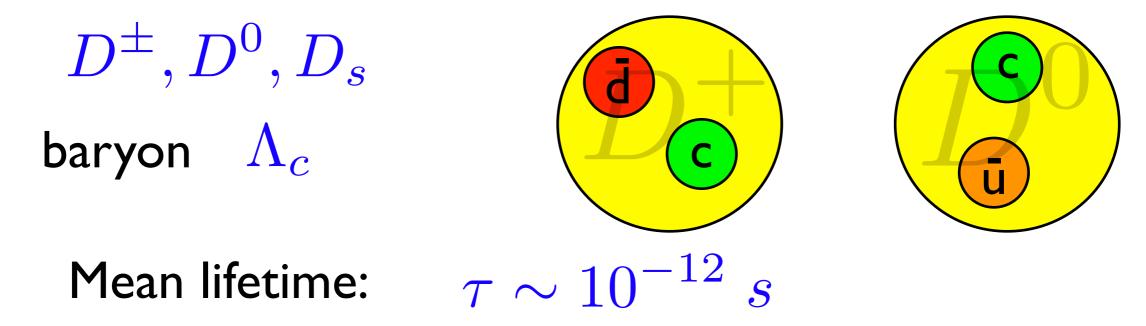


Long lifetime: interaction occurs before decay



# Prompt neutrinos

• Prompt: decays of heavier, charmed or bottom mesons



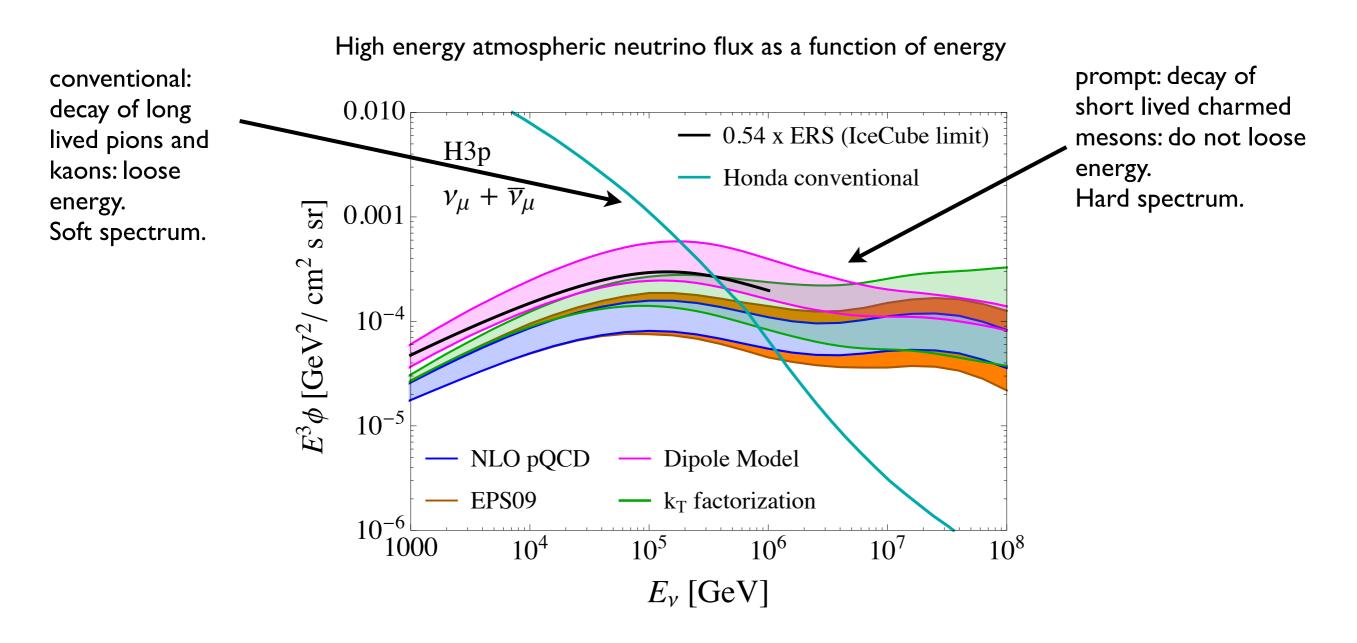
Short lifetime: decay, no interaction

$$\mathcal{L}_{\mathrm{int}} > \mathcal{L}_{\mathrm{dec}}$$

 $\Phi_{\nu} \sim E_{\nu}^{-2.7}$ 

Flat flux, more energy transferred to neutrino

#### Prompt vs conventional flux

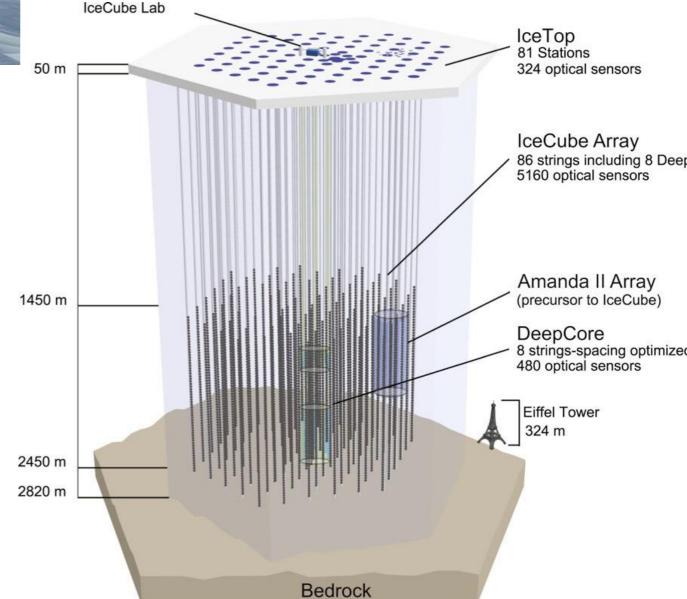


•Conventional flux: constrained by the low energy neutrino data.

•Prompt flux: poorly known, large uncertainties. Essential to evaluate as it can dominate the background for searches for extraterrestrial high energy neutrinos.

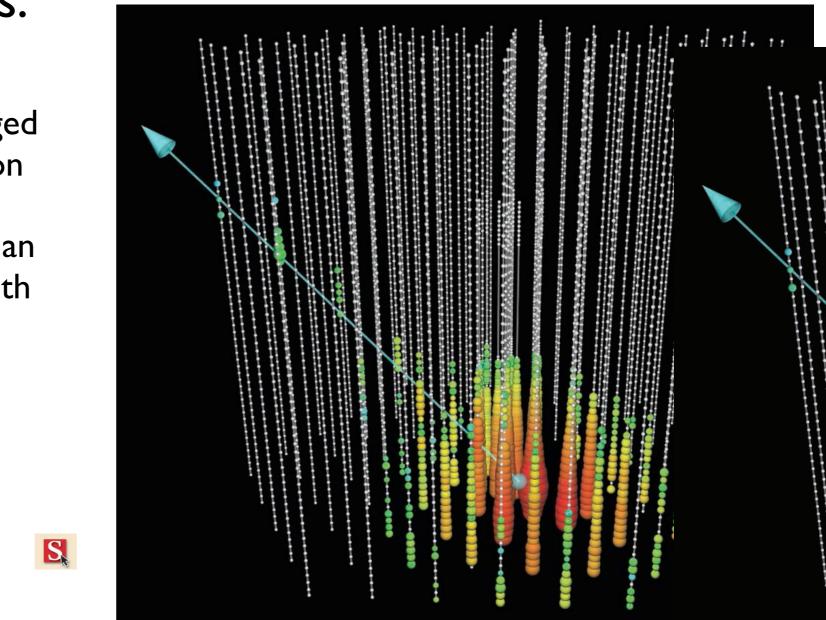
# IceCube





- UHE neutrinos measured in IceCube Antarctic detector
- Neutrinos detected using Cherenkov light produced by charged particles after neutrinos interact
- Sensitivity to high energy >100 GeV neutrinos (>10 GeV with Deep Core)

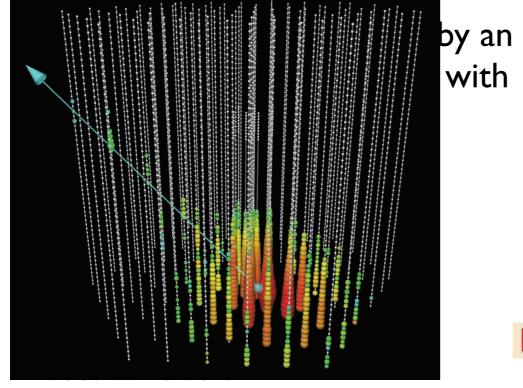
# IceCube results



**A 250 TeV neutrino interaction in IceCube.** At the neutrino interaction point (bottom), a large particle shower is visible, with a muon produced in the interaction leaving up and to the left. The direction of the muon indicates the direction of the original neutrino.

#### Two classes of events:

<u>Showers</u>: from secondary charged leptons and hadron dissociation

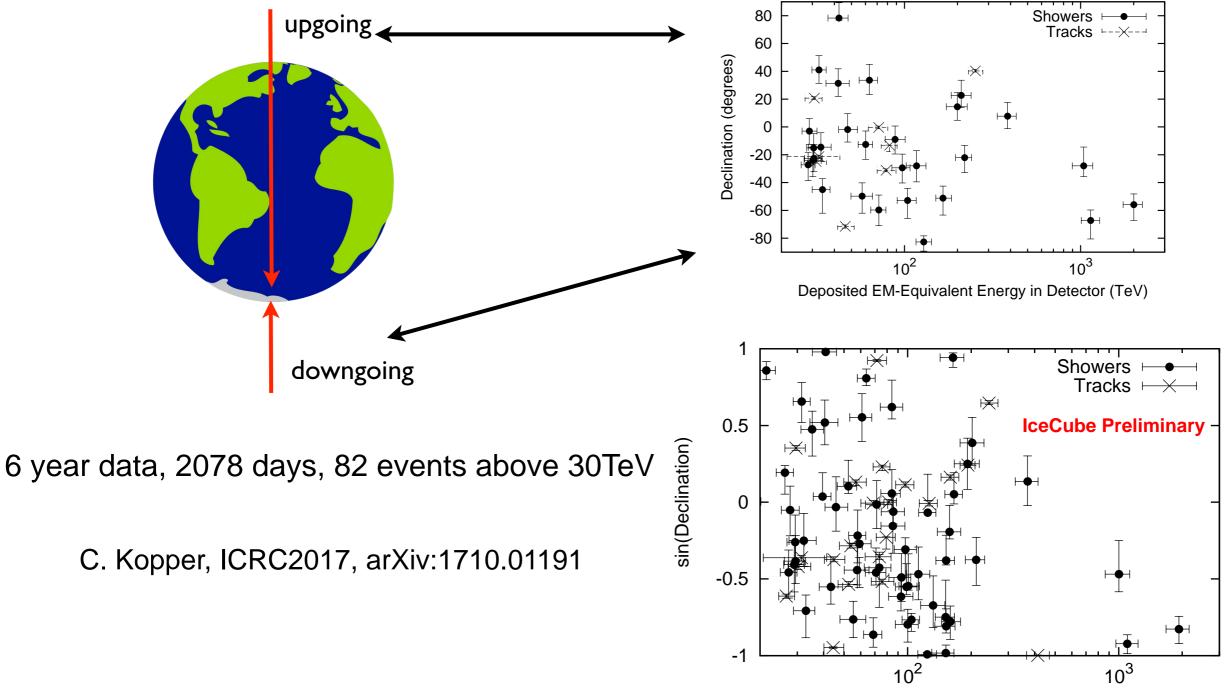


IceCube Collaboration\*

SCIENCE VOL 342 22 NOVEMBER 2013

## IceCube results

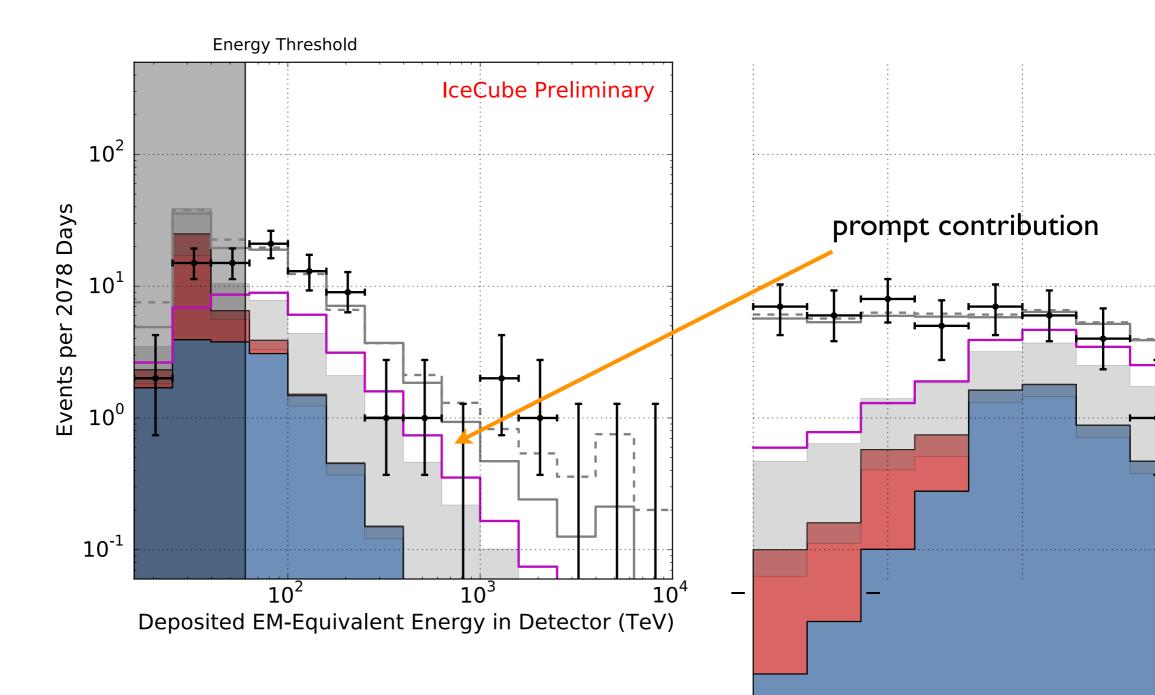
IceCube Coll. Phys.Rev.Lett. 113 (2014) 101101; Observation of High-Energy Astrophysical Neutrinos in Three Years of IceCube Data 988 day sample, 37 events observed (after selection with entering muon veto) with energies between 30-2000 TeV

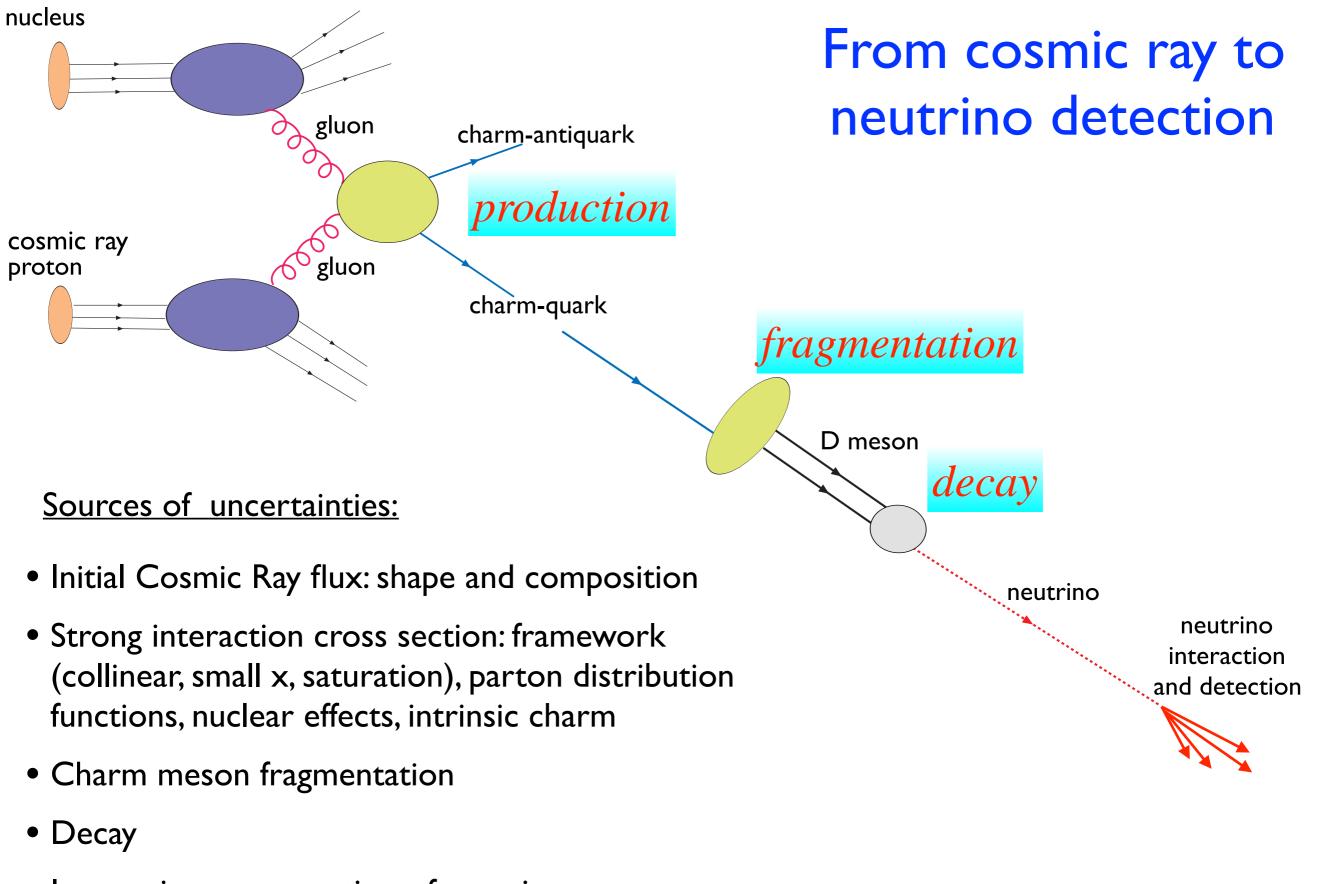


Deposited EM-Equivalent Energy in Detector (TeV)

#### **Motivation**

- Atmospheric origin of signal excess is excluded with 5 sigma.
- Still, prompt neutrino is the most background for the astrophysical flux of neutrinos. It dominates the uncertainty at high energies.
- Neutrino production at these range of energies is sensitive to small x physics.





Interaction cross section of neutrino

### Frameworks for heavy quark production

- Standard NLO perturbative QCD collinear calculation.
- High-energy factorization with small x BFKL/DGLAP resummed evolution, including saturation effects (through nonlinear evolution equation).
- Small x dipole model with saturation.

Also:

Nuclear corrections.

b quark contribution.

### Heavy quark production in hadron collisions

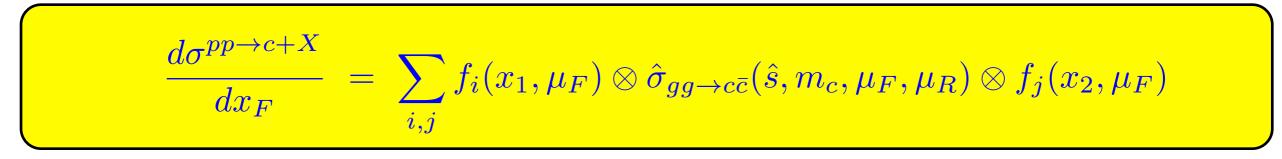
Schematic representation of charm production in pp scattering:

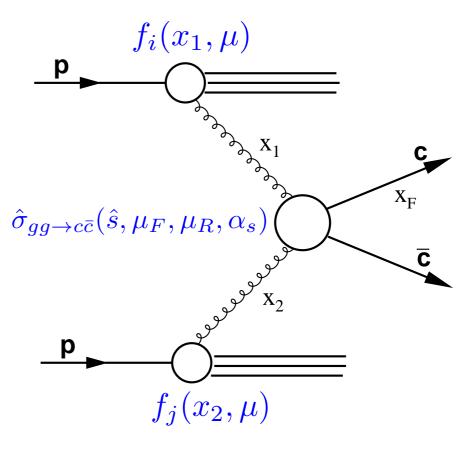
 $f_i(x,\mu)$  parton distribution function at scale  $\mu$ parametrized at scale  $\mu_0$ evolved to higher scales with QCD evolution equations

 $x_1, x_2$  longitudinal momentum fractions (of a proton momentum) of gluons participating in a scattering process

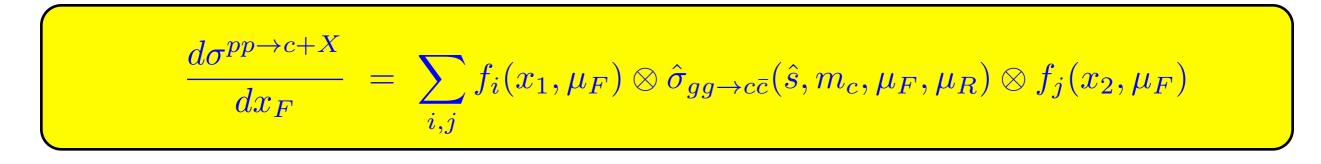
 $\hat{\sigma}_{gg \to c\bar{c}}(\hat{s}, \mu_F, \mu_R, \alpha_s)$  partonic cross section calculable in a perturbative way in QCD

#### Factorization formula for cross section:

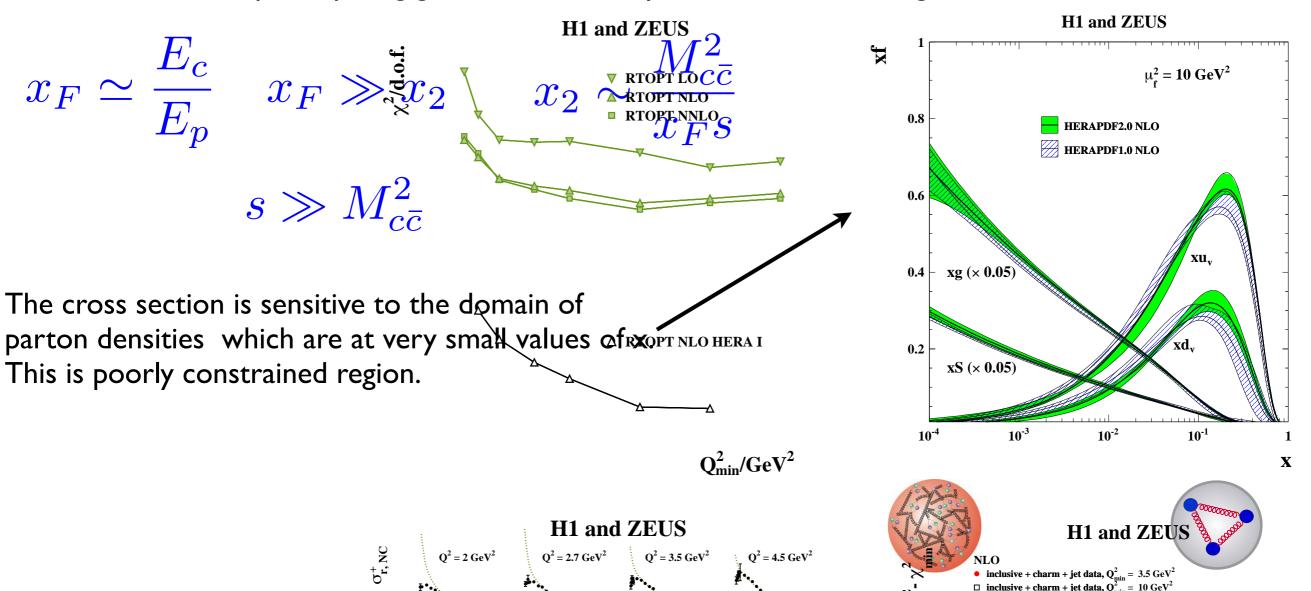




## pQCD collinear calculation



For the cosmic ray interactions we are interested in the forward production: charm quark is produced with very high fraction of the momentum of the incoming cosmic ray projectile. Other participating gluon will have very small fraction of longitudinal momentum:



## Hybrid $k_T$ factorization calculation

Use  $k_T$  factorization for heavy quarks with off-shell gluon and unintegrated parton density. Suitable for the high energy - low x regime.

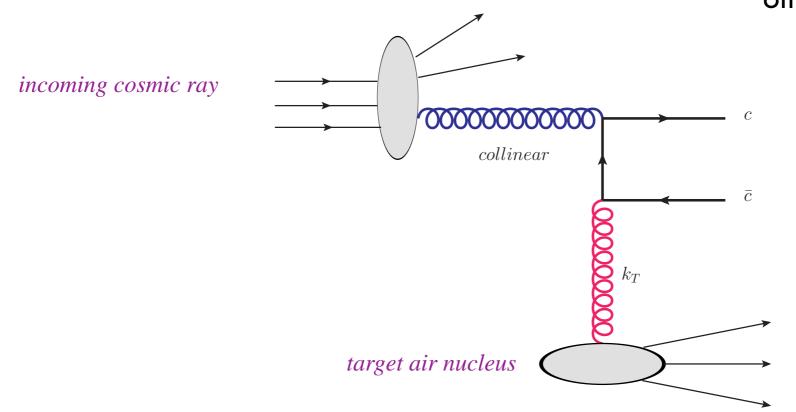
Catan, Ciafaloni, Hautmann; Collins, Ellis; Levin, Ryskin, Shabelski, Shuvaev

Since it is forward production, use 'hybrid' calculation: treat large x gluon as collinear, and small x gluon as Toffesha pair production cross section in hybrid formalism:

collinear gluon

$$\sigma(pp \to q\bar{q}X) = \int \frac{dx_1}{x_1} \frac{dx_2}{x_2} dz dx_F \,\delta(zx_1 - x_F) x_1 g(x_1, M_F)$$
$$\times \int \frac{dk_T^2}{k_T^2} \hat{\sigma}^{\text{off}}(z, \hat{s}, k_T) f(x_2, k_T^2)$$

off-shell gluon with  $k_T$  dependence



## Hybrid $k_T$ factorization calculation

Unintegrated gluon density obtained from the resummed small x evolution equation with non-linear term:

$$\begin{split} f(x,k^2) &= \tilde{f}^{(0)}(x,k^2) + & \text{BFKL term with kinematical constraint} \\ &+ \underbrace{\alpha_s(k^2)N_c}{\pi}k^2 \int_x^1 \frac{dz}{z} \int_{k_0^2} \frac{dk'^2}{k'^2} \left\{ \frac{f(\frac{x}{z},k'^2) \Theta(\frac{k^2}{z} - k'^2) - f(\frac{x}{z},k^2)}{|k'^2 - k^2|} + \frac{f(\frac{x}{z},k^2)}{|4k'^4 + k^4|^{\frac{1}{2}}} \right\} + \\ &\text{DGLAP with nonsingular splitting} + \frac{\alpha_s(k^2)N_c}{\pi} \int_x^1 dz \, \bar{P}_{gg}(z) \int_{k_0^2}^{k^2} \frac{dk'^2}{k'^2} f(\frac{x}{z},k'^2) - \\ &- \left(1 - k^2 \frac{d}{dk^2}\right)^2 \frac{k^2}{R^2} \int_x^1 \frac{dz}{z} \left[ \int_{k^2}^\infty \frac{dk'^2}{k'^4} \alpha_s(k'^2) \ln\left(\frac{k'^2}{k^2}\right) f(z,k'^2) \right]^2 \end{split}$$

#### non-linear term

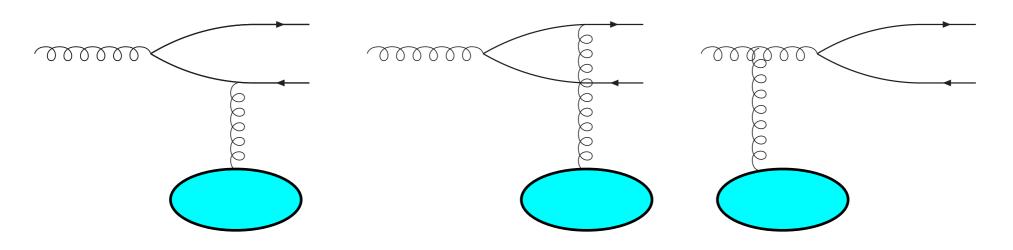
Nonlinear term responsible for taming the growth of the gluon density Unintegrated parton density fitted to the inclusive structure function data at HERA Two scenarios: linear and non-linear. Included A dependence in the nonlinear term.

#### Kutak, Sapeta; based on KMS (Kwiecinski, Martin, AS)

## Dipole model calculation

Mueller; Nikolaev, Zakharov; Kopeliovich, Tarasov; Raufeisen, Peng

At high energy the production of the heavy quark pair is viewed as interaction of color dipole:



Gluon fluctuation into heavy quark-antiquark pair : color dipole Interaction of the color dipole with the hadronic target.

Advantage of this framework: saturation and nuclear effects can be easily included as multiple scattering of the color dipole off the target.

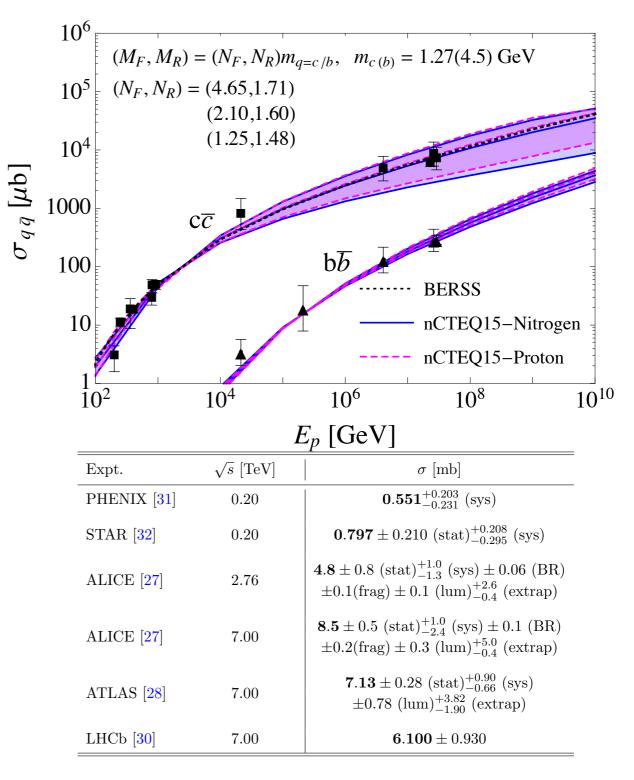
$$\sigma_{d}(x,\vec{r}) \xrightarrow{9} [\sigma_{d,em}(x,z\vec{r}) + \sigma_{d,em}(x,(1-a)\vec{r})] \xrightarrow{1} \sigma_{d,em}(x,\vec{r})$$

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### Total charm production cross section

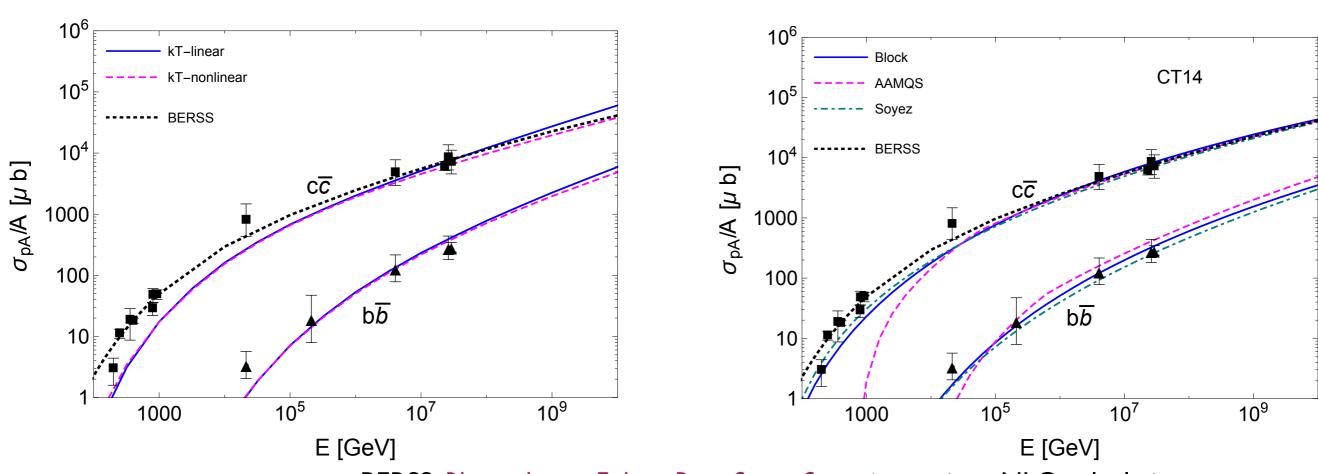
- NLO collinear calculation, HVQ, Nason, Dawson, Ellis; Mangano, Nason, Ridolfi
- Default parton distribution set is CT15 Central.
- Charm quark mass  $m_c = 1.27 \text{ GeV}$
- Variation of factorization and renormalization scales with respect to charm quark mass. Using range provided by Nelson, Vogt, Frawley
- Magenta-free nucleons, blue-nitrogen
- Comparison with RHIC and LHC data. Data are extrapolated with NLO QCD from measurements in the limited phase space region.



**Table 1**: Total cross-section for  $pp(pN) \rightarrow c\bar{c}X$  in hadronic collisions, extrapolated based on NLO QCD by the experimental collaborations from charmed hadron production measurements in a limited phase space region.

### Total charm production cross section

kт

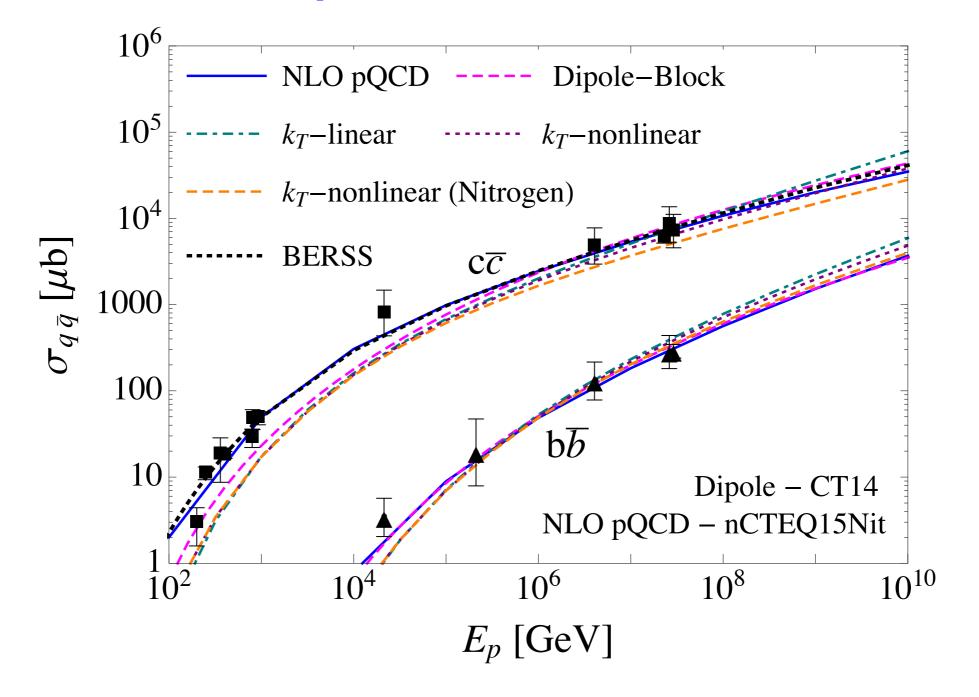


dipole model

• BERSS: Bhattacharya, Enberg, Reno, Stasto, Sarcevic: previous NLO calculation

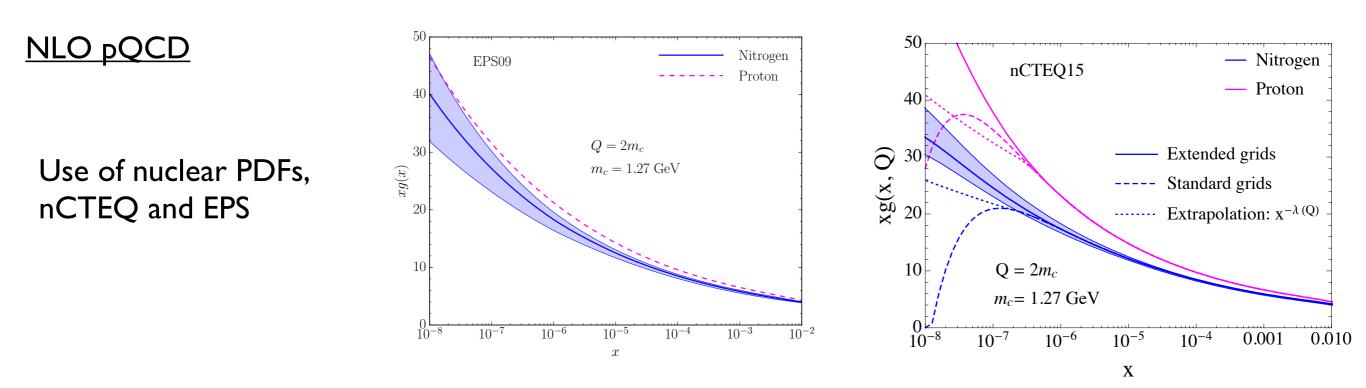
- AAMQS, Albacete, Armesto, Milhano, Quiroga-Arias, Salgado: rcBK
- Soyez: based on lancu, ltakura, Munier parametrization inspired by BK solution
- Block: phenomenological parametrization of the structure function
- $k_{\rm T}$  calculation underestimates data at low energy.
- Need additional diagrams there (or energy dependent K-factor).

### Total charm production cross section



- Total charm production cross section described well by all models (at high energy).
- Nuclear effects very small for the total cross section.

### Nuclear corrections



#### Dipole model

Glauber-Gribov formalism for nuclear rescattering

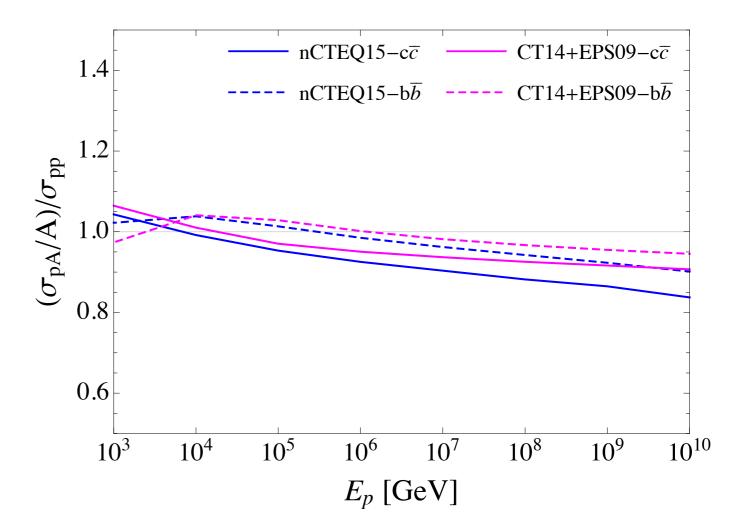
#### $k_{T}$ factorization

Small x evolution with the nonlinear density term enhanced by factor proportional to mass number A

#### Nuclear corrections

Nuclear modifications to the total charm production cross section are small:

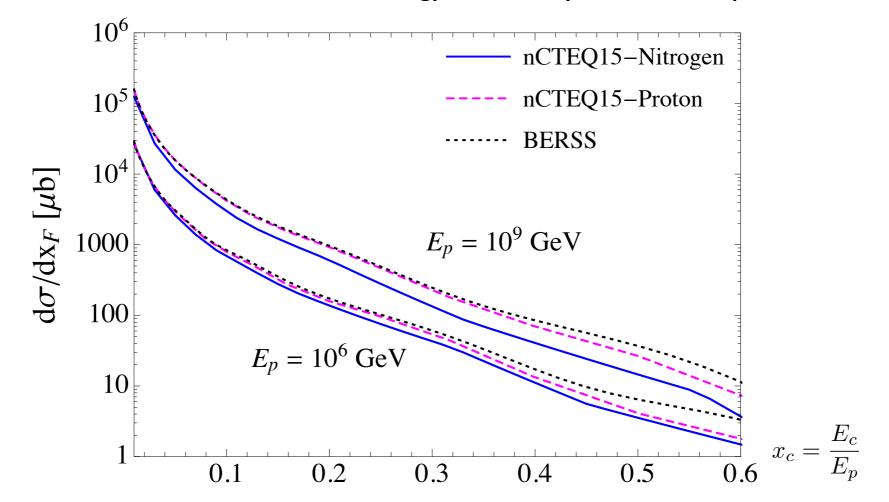
10%-15% for charm 5%-10% for bottom



$E_p$	$\sigma(pp \to c\bar{c}X) \ [\mu b]$		$\sigma(pA \to c\bar{c}X)/A \ [\mu b]$		$[\sigma_{pA}/A]/[\sigma_{pp}]$	
	$M_{F,R} \propto m_T$	$M_{F,R} \propto m_c$	$M_{F,R} \propto m_T$	$M_{F,R} \propto m_c$	$M_{F,R} \propto m_T$	$M_{F,R} \propto m_c$
$10^{2}$	1.51	1.87	1.64	1.99	1.09	1.06
$10^{3}$	$3.84 \times 10^1$	$4.72 \times 10^1$	$4.03 \times 10^1$	$4.92 \times 10^1$	1.05	1.04
$10^{4}$	$2.52 \times 10^2$	$3.06 \times 10^2$	$2.52 \times 10^2$	$3.03 \times 10^2$	1.00	0.99
$10^{5}$	$8.58 \times 10^2$	$1.03 \times 10^3$	$8.22 \times 10^2$	$9.77 \times 10^2$	0.96	0.95
$10^{6}$	$2.25 \times 10^3$	$2.63 \times 10^3$	$2.10 \times 10^3$	$2.43 \times 10^3$	0.93	0.92
$10^{7}$	$5.36 \times 10^3$	$5.92 \times 10^3$	$4.90 \times 10^3$	$5.35 \times 10^3$	0.91	0.90
$10^{8}$	$1.21 \times 10^4$	$1.23 \times 10^4$	$1.08 \times 10^4$	$1.09 \times 10^4$	0.89	0.89
$10^{9}$	$2.67 \times 10^4$	$2.44 \times 10^4$	$2.35 \times 10^4$	$2.11 \times 10^4$	0.88	0.86
10 <sup>10</sup>	$5.66 \times 10^4$	$4.67 \times 10^4$	$4.94 \times 10^4$	$3.91 \times 10^4$	0.87	0.84

### Differential charm cross section

Differential charm cross section in proton-nucleon collision as a function of the fraction of the incident beam energy carried by the charm quark.

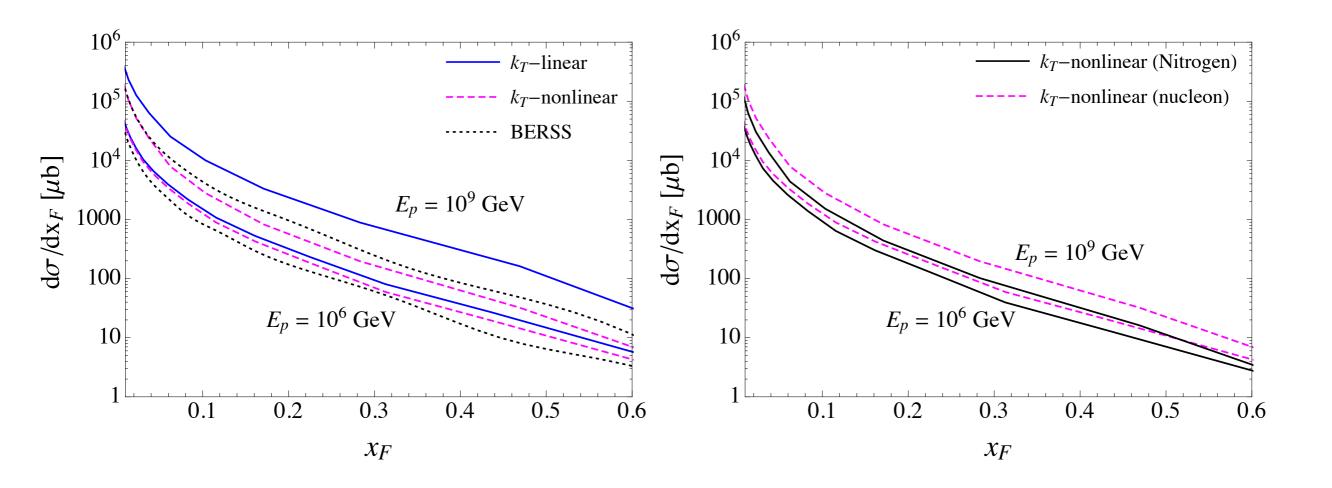


Differential charmed hadron cross section as a function of the energy: need to convolute with the fragmentation function

$$\frac{d\sigma}{dE_h} = \sum_k \int \frac{d\sigma}{dE_k} (AB \to kX) D_k^h \left(\frac{E_h}{E_k}\right) \frac{dE_k}{E_k} \qquad h = D^{\pm}, D^0(\bar{D}^0), D_s^{\pm}, \Lambda_c^{\pm}$$

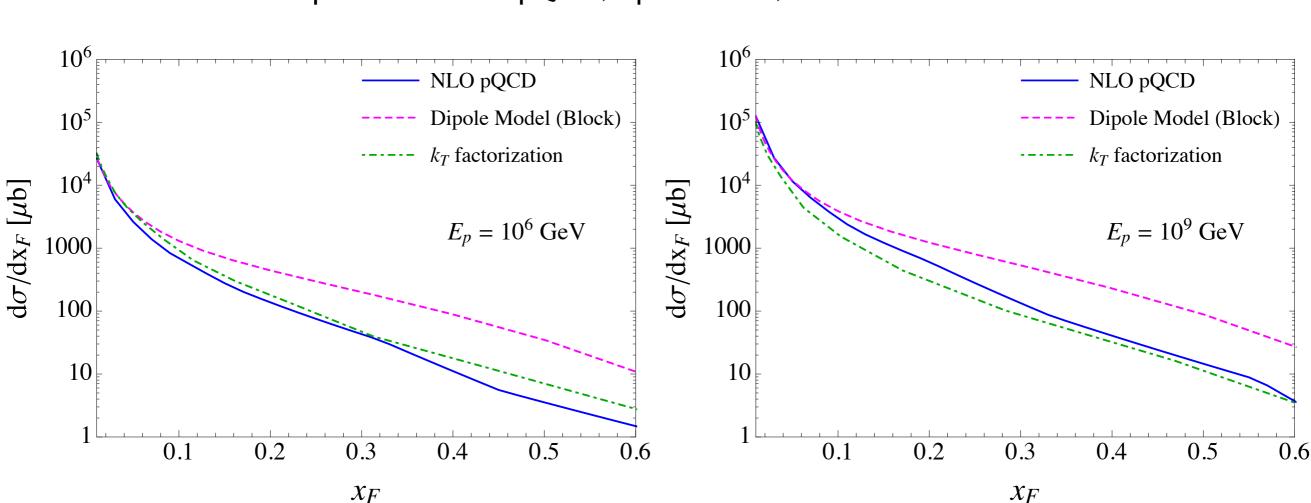
Using Kniehl, Kramer fragmentation functions.

### Differential charm cross section



- Parton saturation effects affect the differential cross section more than the integrated cross section.
- Reduction of the cross section, at large energy of the charm quark.
- Nuclear effects in nitrogen are non-negligible at these energies.

### Differential charm cross section



Comparison of NLO pQCD, dipole model, and  $k_T$  factorization

- NLO calculation and  $k_T$  factorization calculation consistent with each other.
- Dipole calculation systematically above the other two : need for improvements in this model.

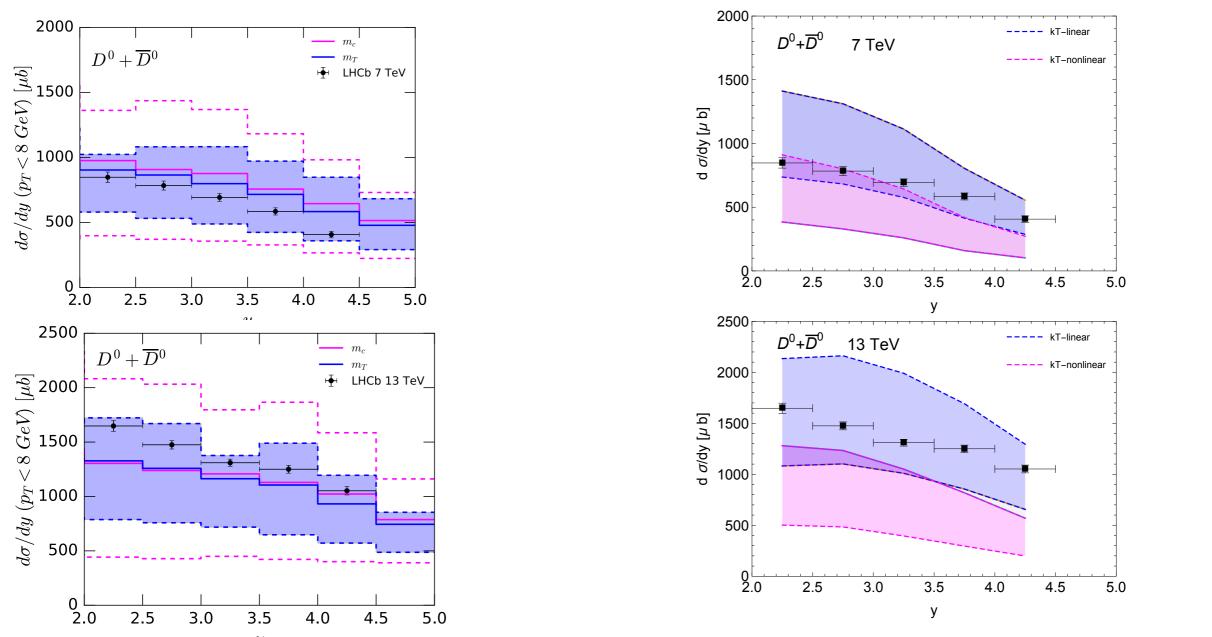
### Comparison with LHCb 7 and 13 TeV

kт

**Resummed BFKL+DGLAP** 

#### Rapidity distributions

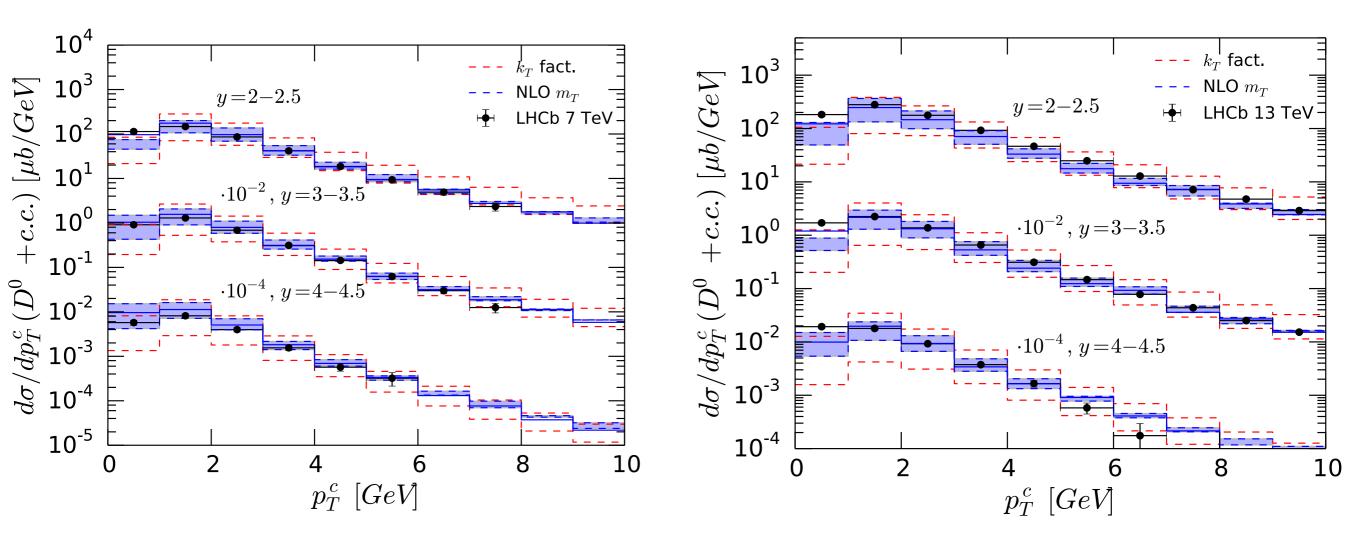
#### NLO collinear



Bands in NLO calculation come from variation of scale : quark mass and transverse mass Bands in kT factorization come from varying the upper cutoff on transverse momentum integral between the transverse mass of the quark and maximum value

### Comparison with LHCb 7 and 13 TeV

#### Transverse momentum distributions



- NLO pQCD and  $k_T$  factorization consistent with each other.
- Bands on NLO pQCD calculation correspond to scale variation.
- Two lines in  $k_T$  factorization correspond to the saturation/no-saturation calculation.

### Comparison with LHCb 7 and 13 TeV

Integrated cross section for charm-anticharm production at 7 and 13 TeV.

 $1 < p_T < 8 \text{ GeV/c}$ 

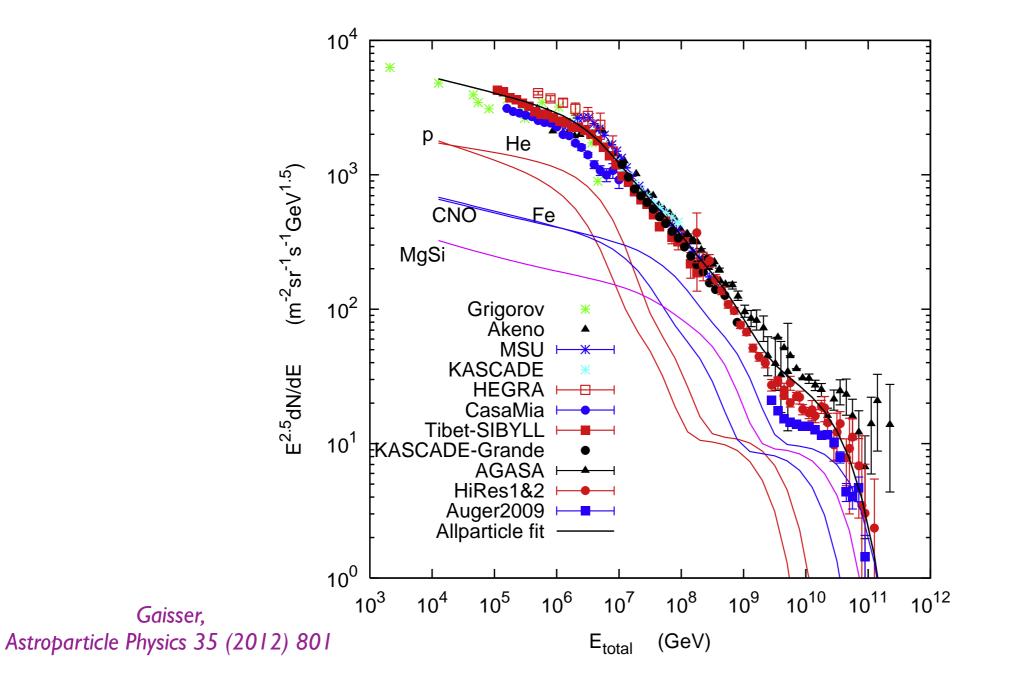
2.0 < y < 4.5

	$\sigma(pp \to c\bar{c}X) \ [\mu b]$						
$\sqrt{s}$	NLO $(\mu \propto m_T)$		DM	$k_T$	Experiment		
7 TeV	$1610^{+480}_{-620}$	$1730^{+900}_{-1020}$	$1619^{+726}_{-705}$	$1347 \div 1961$	$1419 \pm 134$		
$13 { m TeV}$	$2410^{+700}_{-960}$	$2460^{+1440}_{-1560}$	$2395^{+1276}_{-1176}$	$2191 \div 3722$	$2369 \pm 192$		

# Cosmic ray flux

Important ingredient for lepton fluxes: initial cosmic ray flux.

Parametrization by Gaisser (2012) with three populations and five nuclei groups: H,He,CNO,Fe,MgSi



# Cosmic ray flux

Multicomponent parametrization by Gaisser (2012) with three populations:

Ist population: supernova remnants 2nd population: higher energy galactic component 3nd population: extragalactic component

$$\phi_i(E) = \sum_{j=1}^3 a_{i,j} E^{-\gamma_{i,j}} \times \exp\left[-\frac{E}{Z_i R_{c,j}}\right]$$

normalization  $a_{i,j}$ spectral index  $\gamma_{i,j}$  $R_{c,j}$ magnetic rigidity

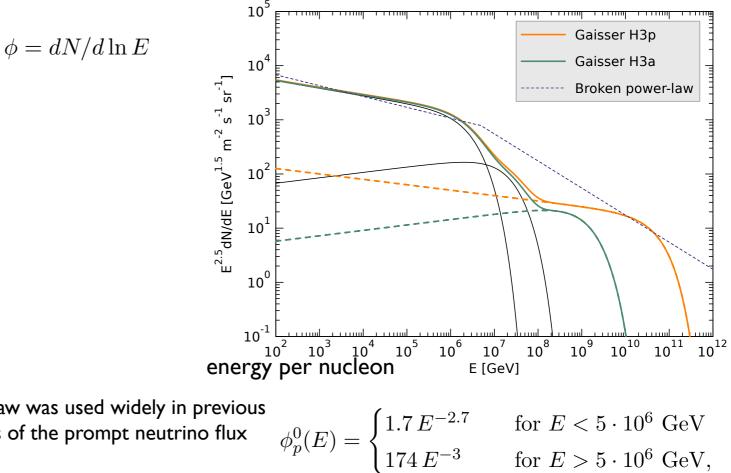
 $E_{\text{tot}}^c = Ze \times R_c$ 

#### Converting to nucleon spectrum

 $\phi_{i,N}(E_N) = A \times \phi_i(AE_N)$ 

#### for each component

This power law was used widely in previous evaluations of the prompt neutrino flux



#### Development of air shower: cascade equations

Production of prompt neutrinos:

 $\begin{array}{c} {\sf p} \stackrel{\rm production}{\longrightarrow} {\sf c} \stackrel{\rm fragmentation}{\longrightarrow} {\sf M} \stackrel{\rm decay}{\longrightarrow} \nu\\ \text{where } {\sf M}{=}D^{\pm}, D^0, D_s, \Lambda_c \end{array}$ 

Use set of cascade equations in depth X

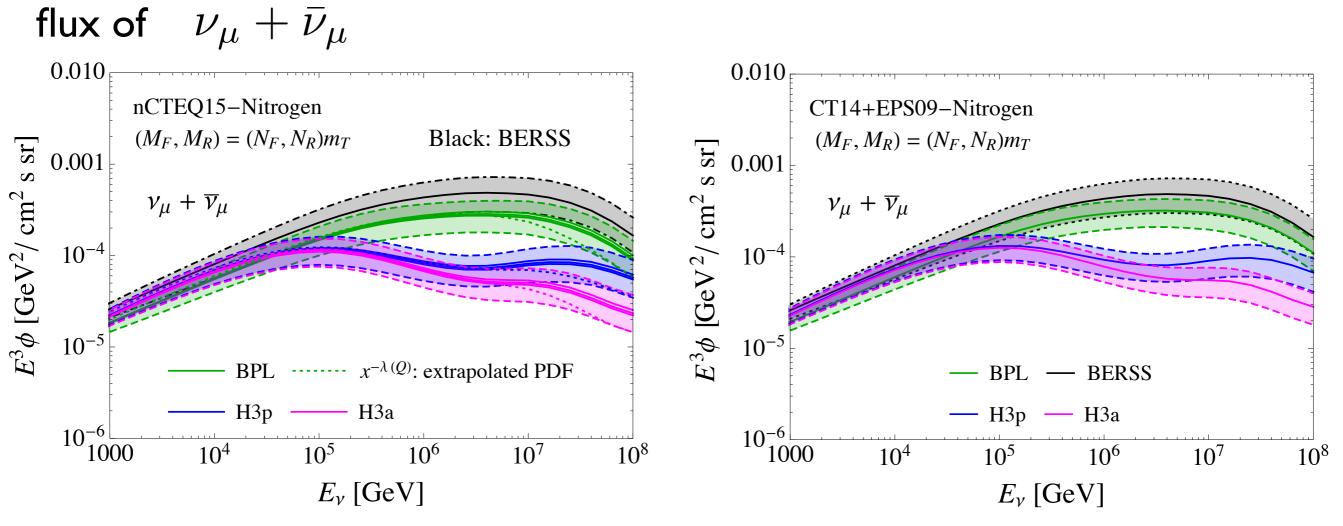
$$X = \int_{h}^{\infty} \rho(h') dh'$$
  
$$\frac{d\Phi_{j}}{dX} = -\frac{\Phi_{j}}{\lambda_{j}} - \frac{\Phi_{j}}{\lambda_{j}^{dec}} + \sum_{k} \int_{E}^{\infty} dE_{k} \frac{\Phi_{k}(E_{k}, X)}{\lambda_{k}(E_{k})} \frac{dn_{k \to j}(E; E_{k})}{dE}$$

 $\lambda_j$  interaction length and  $\lambda_j^{dec} = \gamma c \tau_j \rho(X)$  decay length  $\frac{dn_k \rightarrow j}{dE}$  production or decay distribution

$$\frac{1}{\sigma_k} \frac{d\sigma_{k \to j}(E, E_k)}{dE} \qquad \qquad \frac{1}{\Gamma_k} \frac{d\Gamma_{k \to j}(E, E_k)}{dE}$$

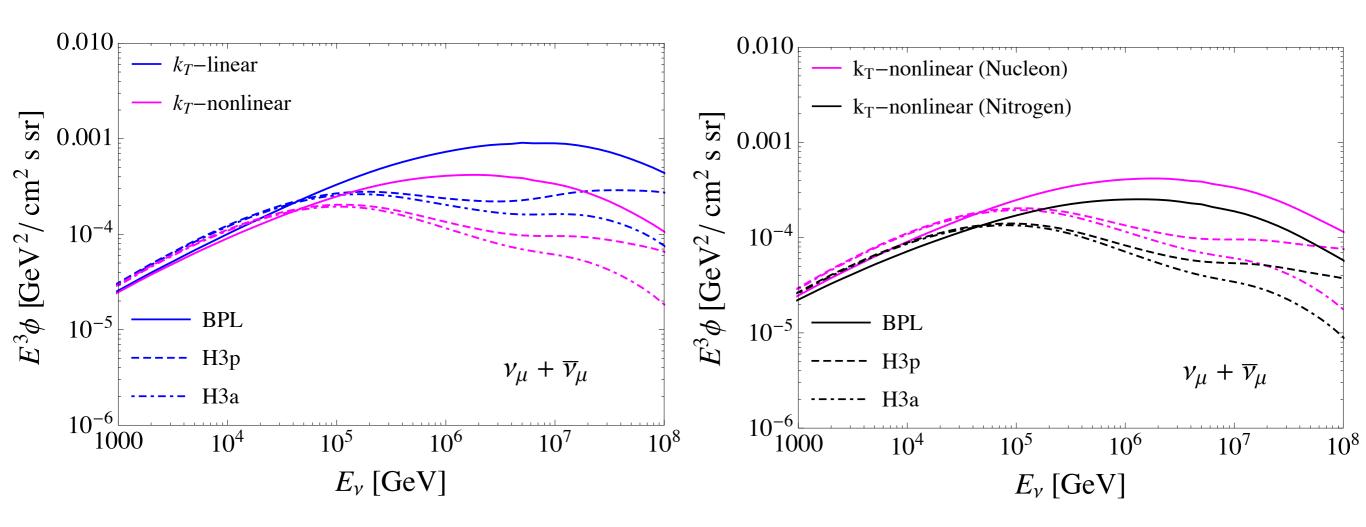
Need to solve these equations simultaneously assuming non-zero initial proton flux.

## Neutrino fluxes



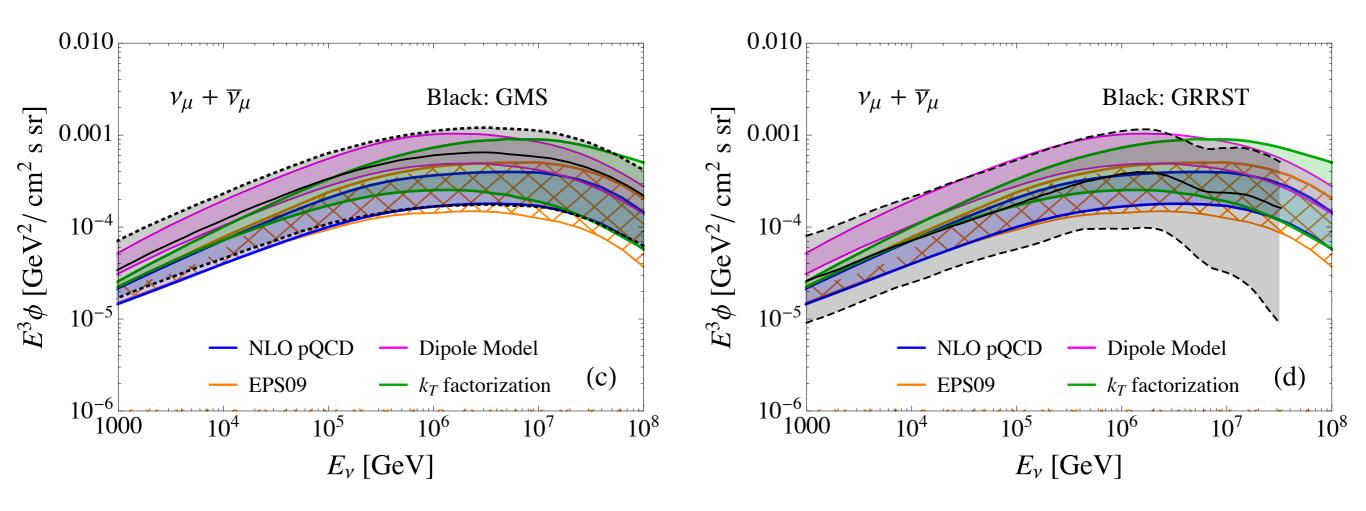
- Significant reduction (factor 2-3) due to the updated cosmic ray spectrum with respect to the broken power law.
- The reduction is in the region of interest, where prompt neutrino component should dominate over the atmospheric one.
- Black band: previous calculation.
- The updated fragmentation function reduces flux by 20%.
- B hadron contribution increases flux by about 5-10%.
- Nuclear effects: 20-35%.
- Combined effects: reduction by 45% at highest energies.

## Neutrino fluxes



- Sizeable reduction of the flux due to the changes from linear to nonlinear evolution in  $k_T$  factorization.
- Further reduction of the flux when nuclear effects in nitrogen are included.

## Neutrino fluxes



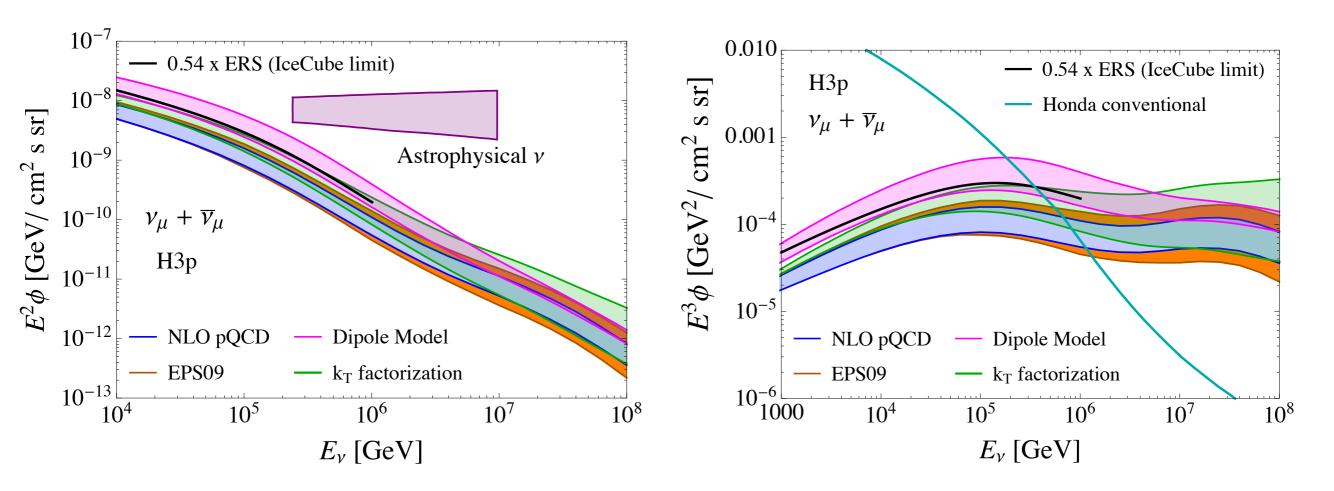
Comparison with other calculations:

GMS: Garzelli, Moch, Sigl

GRRST: Gauld, Rojo, Rotolli, Sarkar, Talbert

Consistency within the error bands.

## Predictions and IceCube limit



- IceCube limit on prompt neutrino flux (PoS(ICRC2015)1079).
- NLO perturbative and  $k_T$  factorization within the limit.
- Dipole model calculation is in slight tension with the IceCube limit.
- Overall the flux is well below the astrophysical flux measured by IceCube.

### Summary and outlook

- Calculation of the prompt neutrino flux using NLO and new PDFs. Charm cross section matched to LHC and RHIC data. Consistent with LHCb data on forward charm production.
- Updated cosmic ray flux gives lower values (as compared with earlier ERS and BERSS evaluation) for the atmospheric neutrino flux.
- Prompt neutrino component is rather small. The data are significantly above, new calculation can change the evaluation of the statistical significance of the astrophysical signal for IC.
- Nuclear effects in the target. Further reduction of the flux by about 20-35%. Estimate of nuclear corrections within the NLO pQCD consistent with the small x calculation.
- Alternative calculations: dipole and k<sub>T</sub> factorization. Small x resummation leads to enhancement, saturation to the reduction of the flux. Dipole model larger than other calculations at low energies, needs improvement.
- Other calculations also on the market: consistent but still large uncertainties. Largest uncertainties due to the QCD scale variation, PDF uncertainties and CR flux.
- Outstanding questions: fragmentation (forward production, hadronic-nuclear environment, differences between PYTHIA and fragmentation functions, recent measurements by LHCb); intrinsic charm.

# Backup

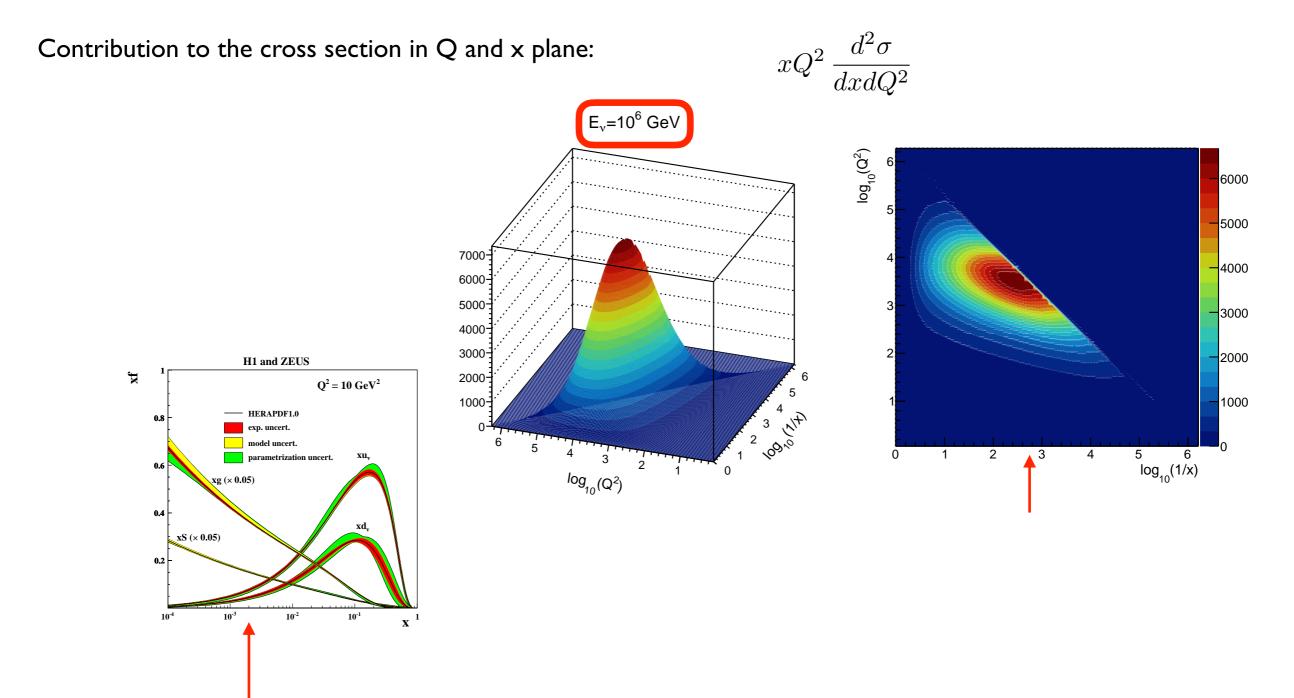
$$\frac{d^2 \sigma^{CC}}{dxdy} = \frac{2G_F^2 M_N E_\nu}{\pi} \left(\frac{M_W^2}{Q^2 + M_W^2}\right)^2 \cdot \left[xq(x,Q^2) + x\bar{q}(x,Q^2)(1-y)^2\right]$$

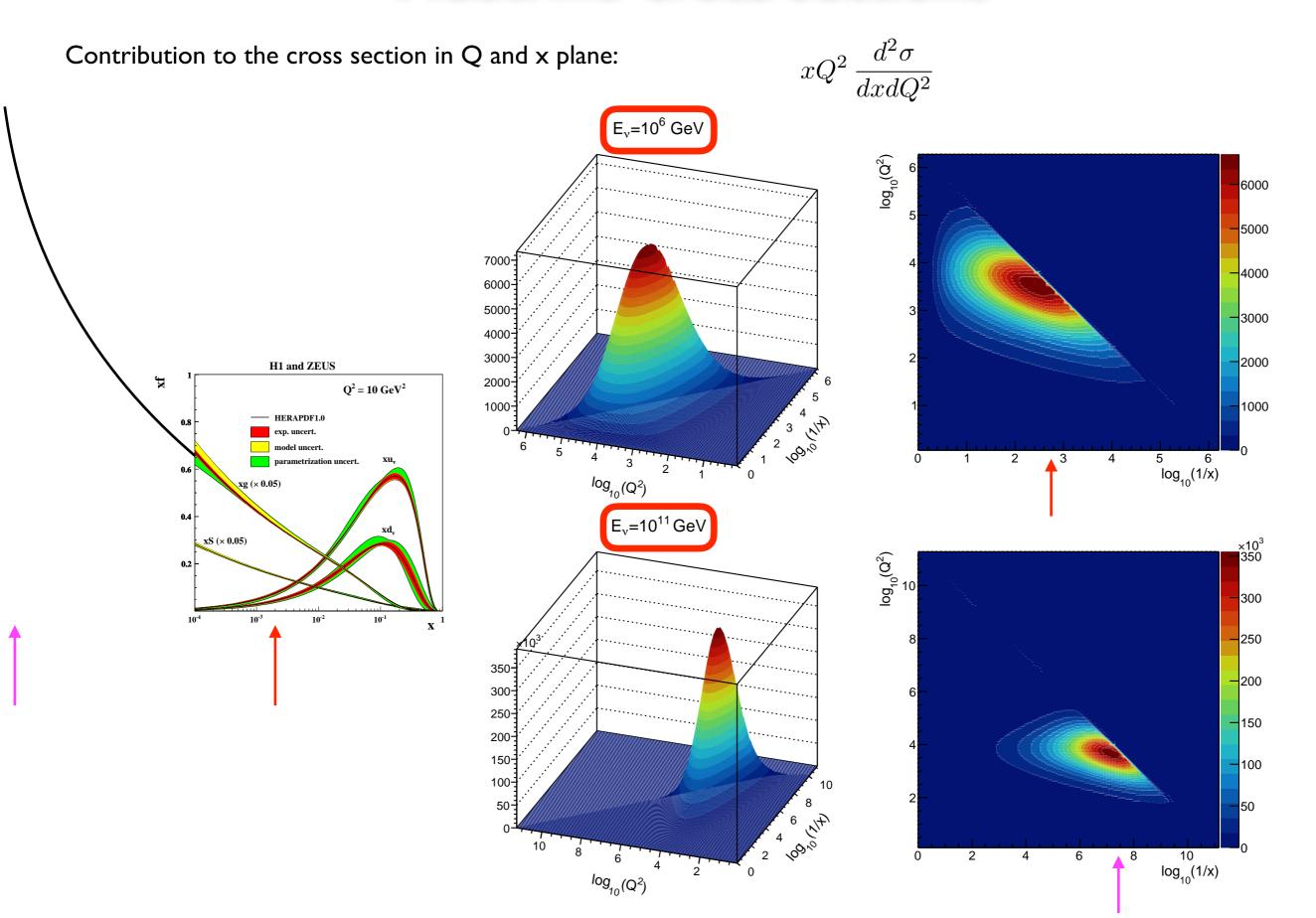
Ghandi, Quigg, Reno, Sarcevic

 $xq(x,Q^2)$ ,  $x\bar{q}(x,Q^2)$  are parton densities. Since  $xq(x,Q^2) \sim x^{-\lambda}$  this implies that

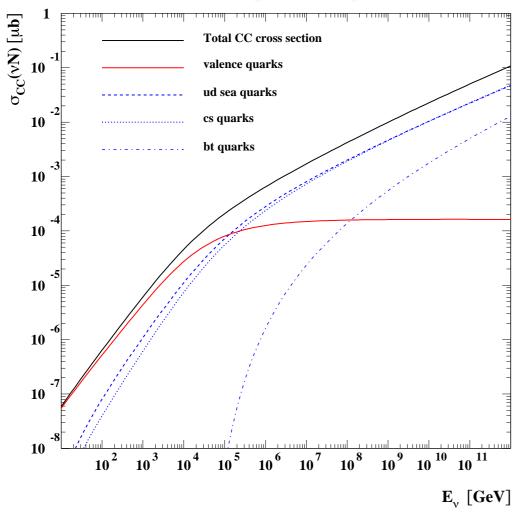
$$\sigma(E_{\nu}) = \int dx dy \frac{d^2 \sigma^{CC}}{dx dy} \sim E_{\nu}^{\lambda}$$

Need extrapolations of parton densities to very small x



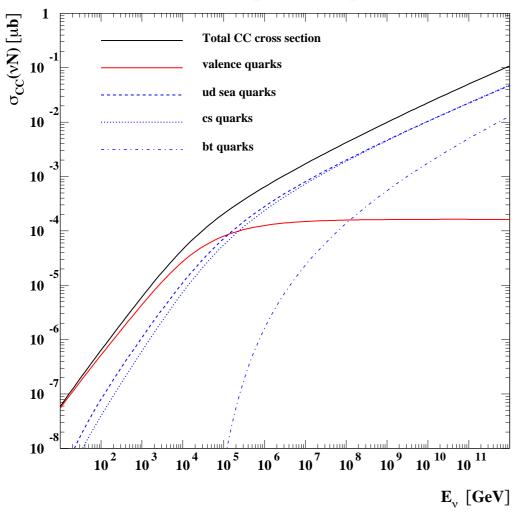


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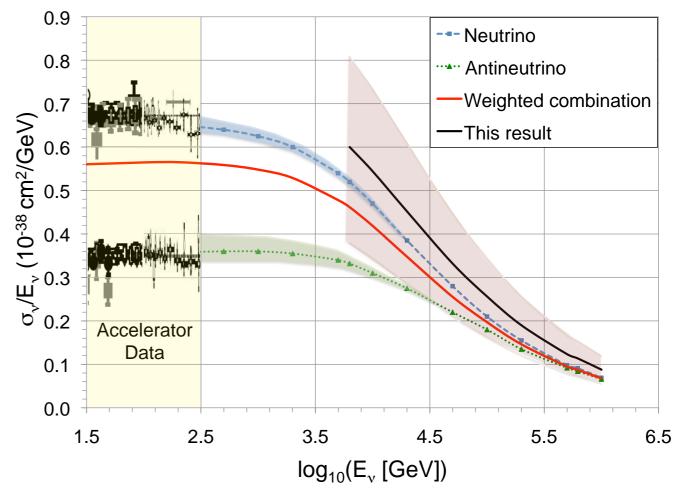
Calculation of the neutrino cross section using unified DGLAP/BFKL evolution: including small x resummation effects.

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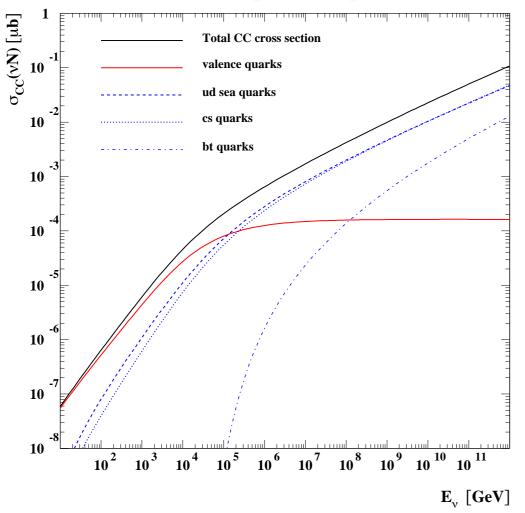


Calculation of the neutrino cross section using unified DGLAP/BFKL evolution: including small x resummation effects.

ICECUBE result

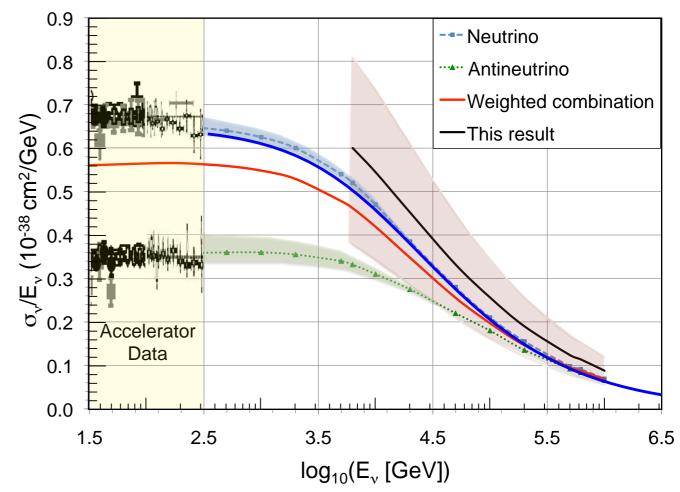


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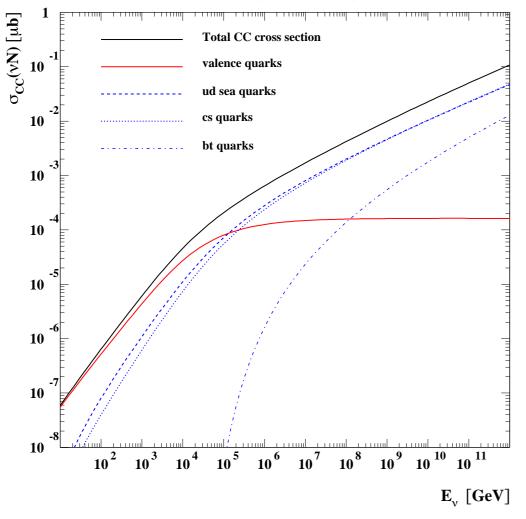


Calculation of the neutrino cross section using unified DGLAP/BFKL evolution: including small x resummation effects.

ICECUBE result



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Resummation predictions are very stable: consistent with the more recent standard DGLAP extrapolations and the new measurement by the ICECUBE collaboration (the sampled x values are not very small for this kinematics though)

Calculation of the neutrino cross section using unified DGLAP/BFKL evolution: including small x resummation effects. **ICECUBE** result 0.9 --- Neutrino 0.8 ...... Antineutrino Weighted combination 20 α/E
 40.38 cm<sup>2</sup>/GeV
 40.0
 50 α/2
 50 α/2 This result Accelerator Data 0.1 0.0 1.5 2.5 3.5 4.5 6.5 5.5  $\log_{10}(E_v [GeV])$