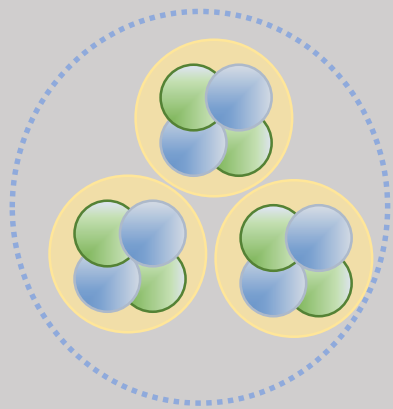


Resonance Ghost Anomaly in 3α -system



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What is Resonance Ghost ?

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8. Summary

1. Introduction (1)

- Information of 'unstable' object X from $Y(a, b)X$

$$a + Y \rightarrow b + X, \quad X \rightarrow c + d + \dots$$

- Combination of $a + x' \rightarrow b$ & $X' \rightarrow X$

Transition amplitude:

$$T \propto f(a + x' \rightarrow b) \langle \Psi_{X \rightarrow c+d+\dots} | \hat{O} | \Psi_{X'} \rangle$$

- Transition strength function

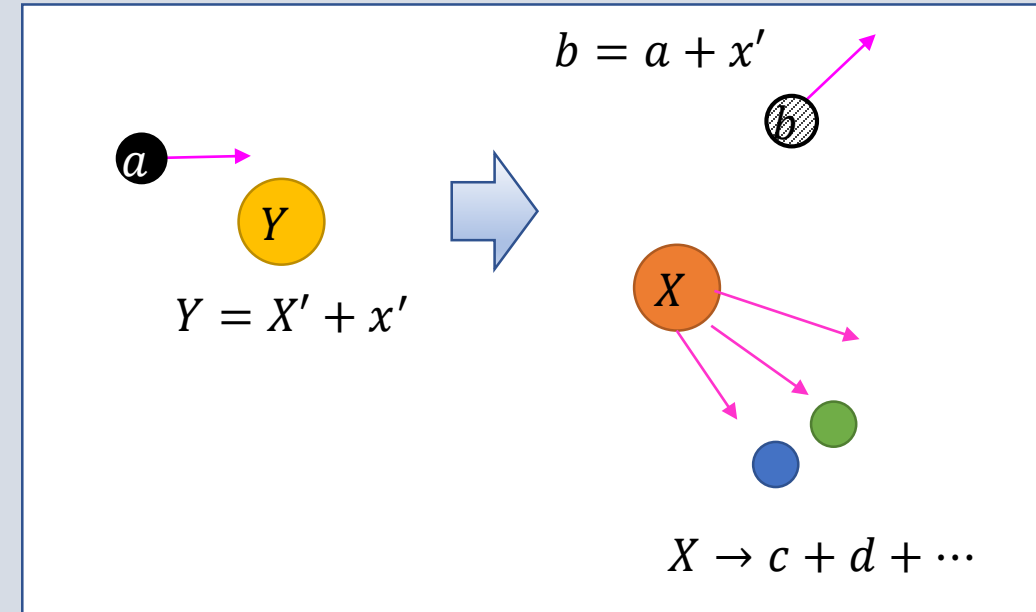
$$S(E) = \int df |\langle \Psi_{X \rightarrow c+d+\dots} | \hat{O} | \Psi_{X'} \rangle|^2 \delta(E - E_f) = -\frac{1}{\pi} \text{Im} \left\langle \Psi_{X'} \left| \hat{O}^\dagger \frac{1}{E + i\varepsilon - H_x} \hat{O} \right| \Psi_{X'} \right\rangle$$

$$H_X |\Psi_X\rangle = E |\Psi_X\rangle, \quad E > 0$$

- If the system X has a complex energy eigen value, $E_r - \frac{i}{2}\Gamma$: \rightarrow

$$S(E) = \frac{S_r}{\pi} \frac{\frac{\Gamma}{2}}{(E - E_r)^2 + \left(\frac{1}{2}\Gamma\right)^2}$$

- When the complex energy is close to real axis (i.e. Γ is small enough) so that $S(E)$ has a peak around $E = E_r$, it is called as a resonance peak.



1. Introduction (2)

Does a peak in $S(E)$ always indicate the existence of a resonance ?

- A resonance close to the threshold \rightarrow a narrow main peak and a broad but weaker ghost peak
F.C. Barker & P. B. Treacy, NP38 (1962) 33
- Example: ${}^8\text{Be}$ (2α system): ${}^9\text{Be}(p, d) {}^8\text{Be}^*$

${}^8\text{Be}(0^+)$

$$E_r = 0.092 \text{ MeV}, \Gamma = 5.6 \times 10^{-6} \text{ MeV}$$

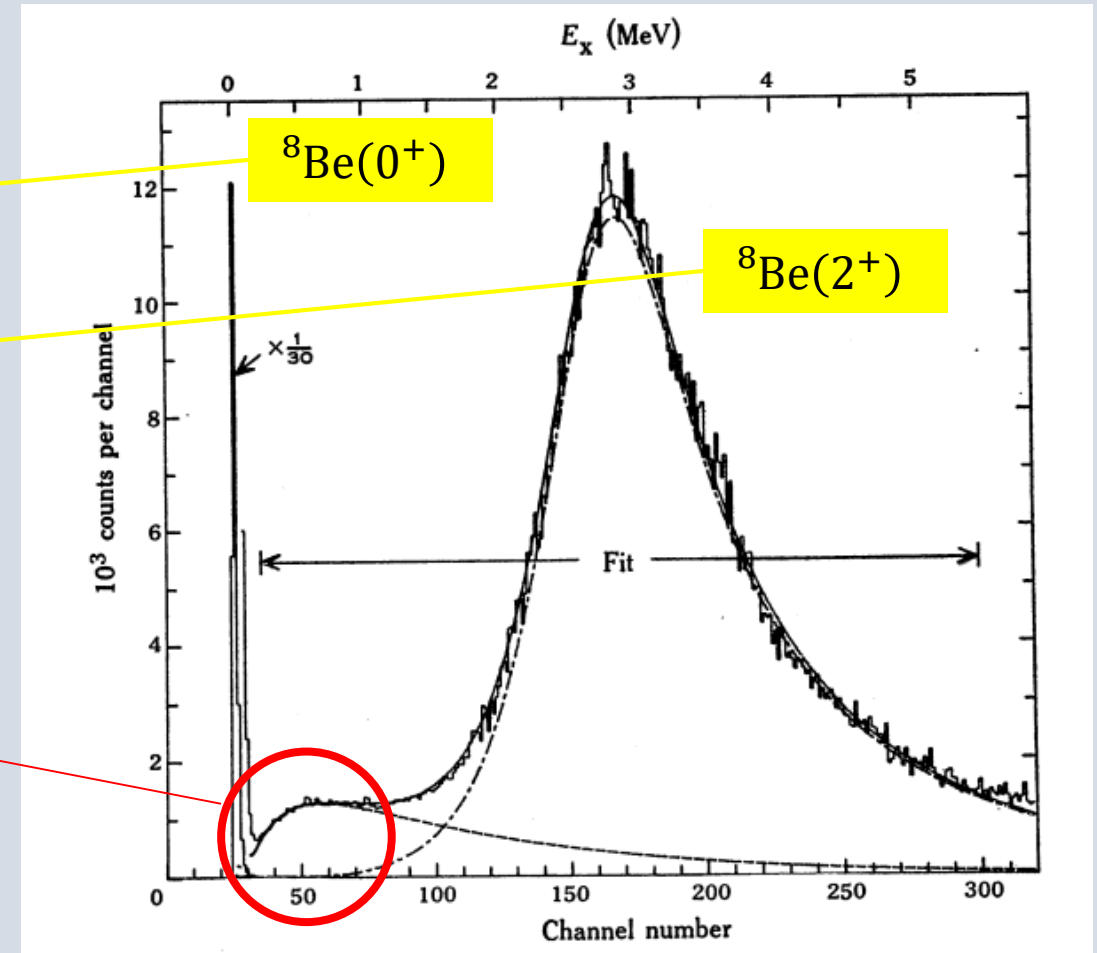
${}^8\text{Be}(2^+)$

$$E_r = 3.18 \text{ MeV}, \Gamma = 1.5 \text{ MeV}$$

“Ghost peak at $E \approx 0.6 \text{ MeV}$ ”

$${}^9\text{Be}(p, d) {}^8\text{Be}^*, E_p = 39.91 \text{ MeV}, \theta = 10^\circ$$

F.C. Baker et al., Aust. J. Phys. **29** (1976) 245



1. Introduction (3)

An explanation of the ghost peak

- Resonance formula (R-matrix theory) with energy-dependent width: $\Gamma(E) = 2P(E)\gamma^2$

$$\rho(E) = \frac{\frac{\Gamma(E)}{2}}{(E - \Delta(E) - E_r)^2 + \left(\frac{1}{2}\Gamma(E)\right)^2},$$

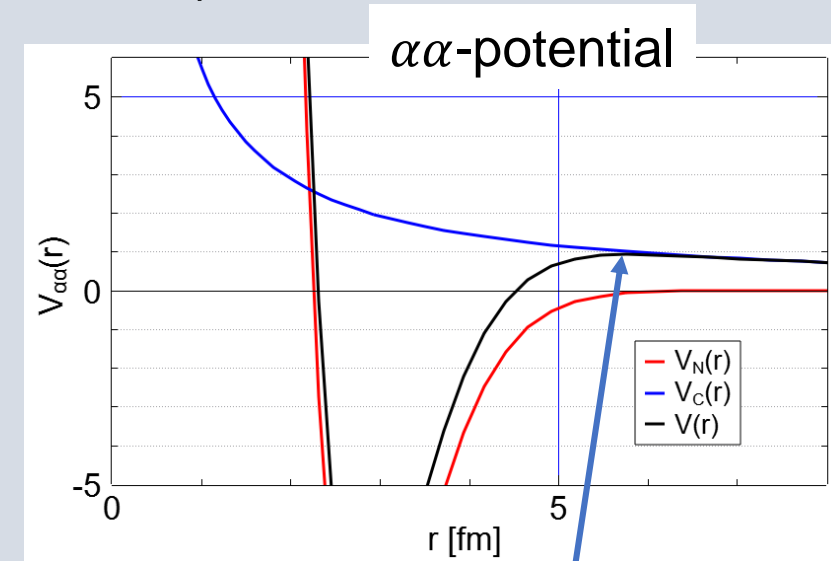
$P(E)$: Penetration factor, γ^2 : Reduced width

- Sharp resonance at low energy: $E \gg E_r, \Gamma(E_r)$

$$\rho(E) \approx \frac{P(E)\gamma^2}{E^2} \text{ at higher energies}$$

- $P(E)$ increases as E goes through the Coulomb barrier

- Competition of the energy dependence of $P(E)$ vs. $\frac{1}{E^2} \rightarrow$ a broad peak



$$V(r = 5.8 \text{ fm}) = 0.93 \text{ MeV}$$

1. Introduction (4)

- In this presentation:
 1. Experiments that show the existence of ghost peak in 3α -system
 2. Calculations in 3α -model
 - Monopole strength function
 - $^{12}\text{C}(\alpha, \alpha')3\alpha$ reaction

2. Ghost Peak in 3α -system (1)

- Experimental studies on low-energy excited states of ^{12}C [3α]

1. M. Itoh et al. **PRC84** (2011) 054308

$^{12}\text{C}(\alpha, \alpha')^{12}\text{C}^*$ @ 386 MeV

Multipole Decomposition Analysis

$$N(E) = \sum_{\lambda} a_{\lambda} S_{\lambda}(E)$$

$S_{\lambda}(E)$: transition-strength function

2. K. C. W. Li et al., **PRC105** (2022) 024308; **PLB827** (2022) 136928

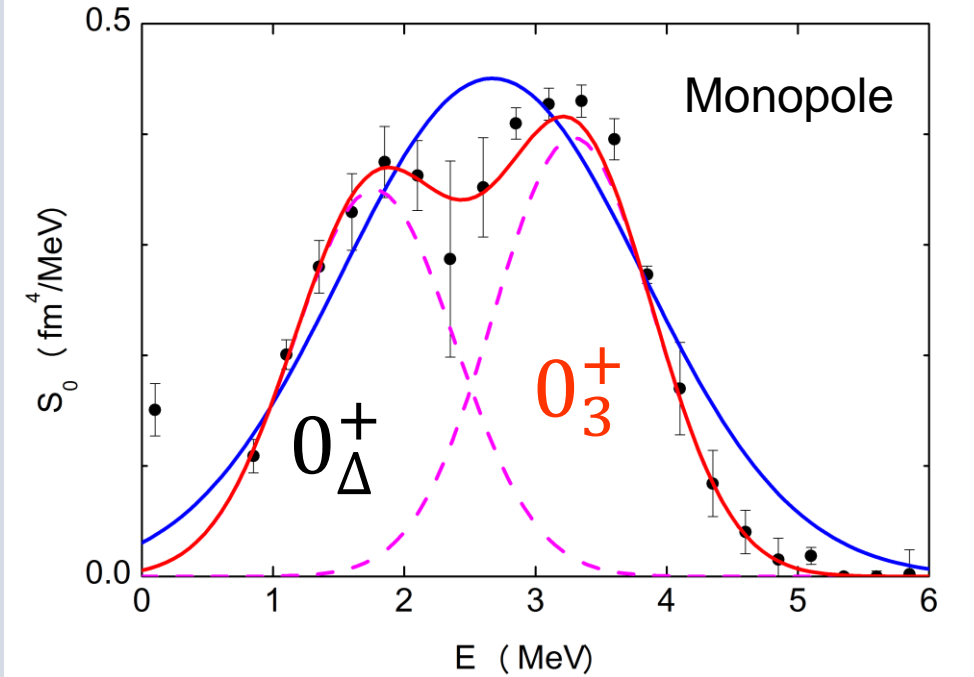
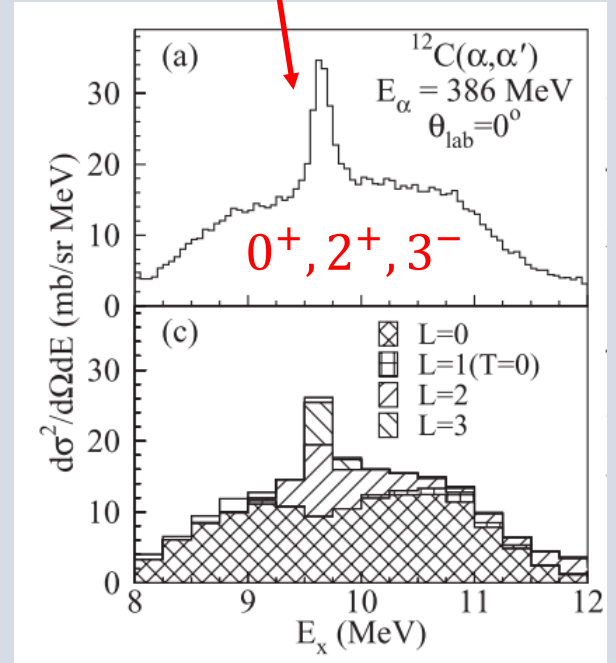
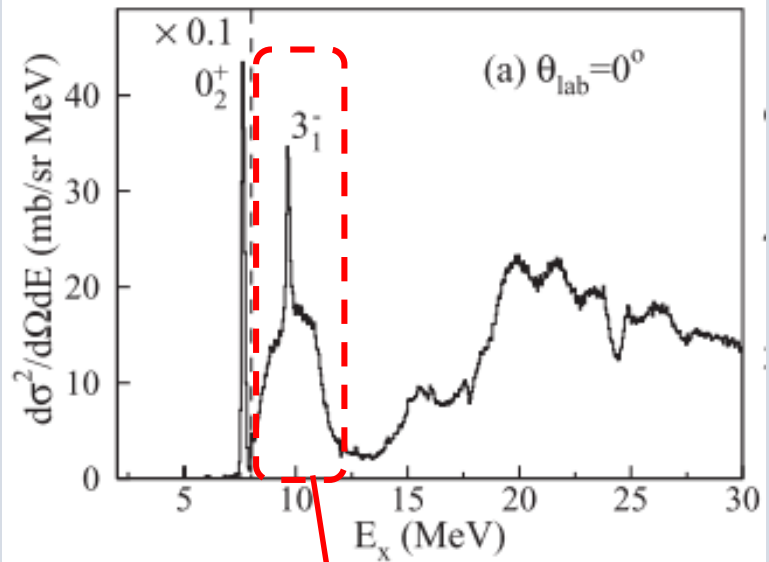
$^{12}\text{C}(\alpha, \alpha')^{12}\text{C}^*$ @ 118 MeV, 160 MeV, 196 MeV

$^{14}\text{C}(p, t)^{12}\text{C}^*$ @ 67.5 MeV, 100 MeV, 196 MeV

Multi-level, multi-channel R-matrix theory

2. Ghost Peak in 3α -system (2) - $^{12}\text{C}(\alpha, \alpha')3\alpha$ @ 386 MeV, $\theta_{\text{Lab}} = 0^\circ$

• $^{12}\text{C}(\alpha, \alpha')3\alpha$ @ 386 MeV, $\theta_{\text{Lab}} = 0^\circ$
 M. Itoh et al. PRC84 (2011) 054308
 Multipole Decomposition Analysis



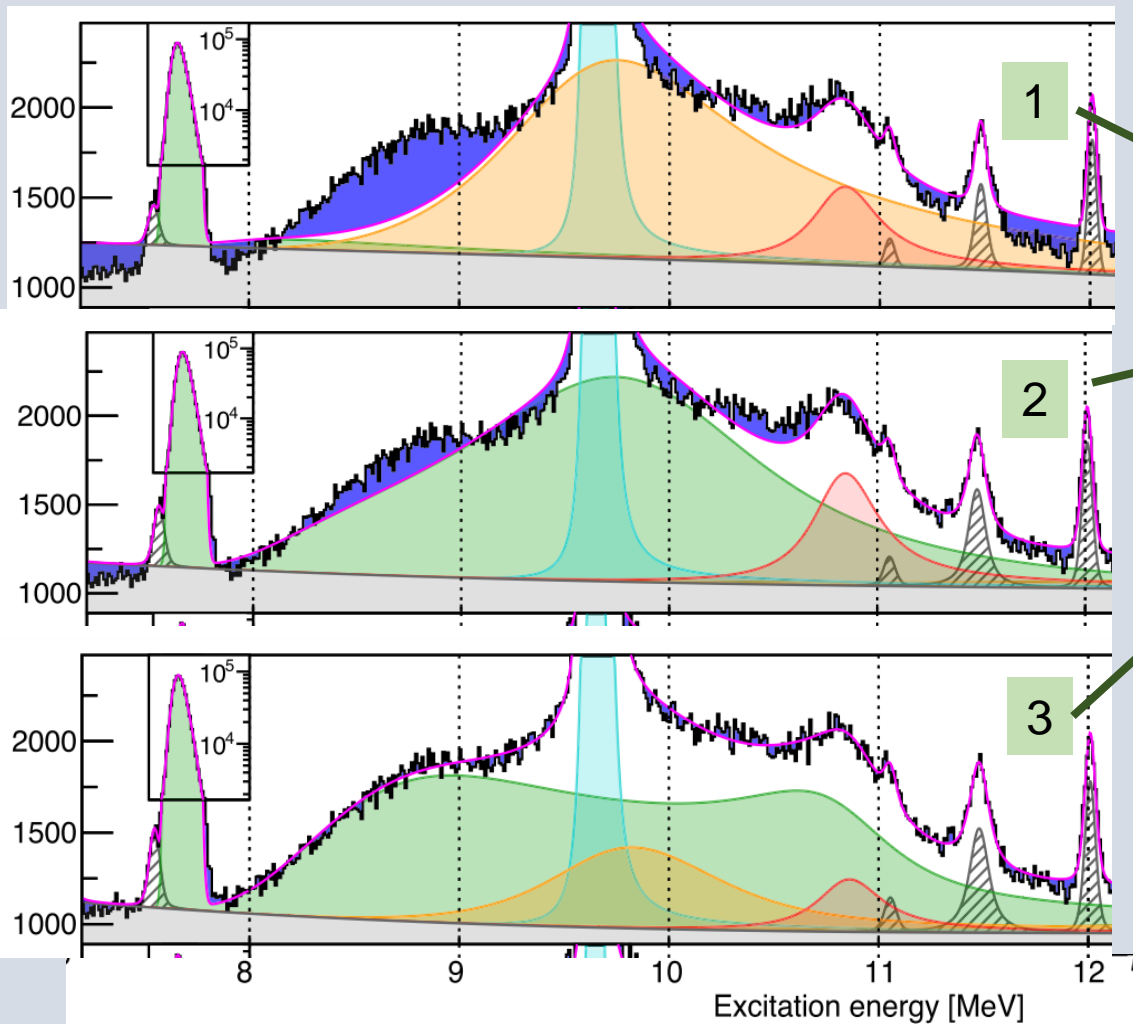
1-peak-fit 0_3^+ $E_r = 2.26(3)$ MeV $\Gamma = 2.71(8)$ MeV

2-peak-fit 0_Δ^+ $E = 1.77(9)$ MeV $\Gamma = 1.45(18)$ MeV
 0_3^+ $E = 3.29(6)$ MeV $\Gamma = 1.42(8)$ MeV

$0_\Delta^+, 0_3^+$: Following the notation of K. C. W. Li et al., PRC105, 024308 (2022)

2. Ghost Peak in 3α -system (3) - $^{12}\text{C}(\alpha, \alpha')^{12}\text{C}^*$ @ 118 MeV, $\theta_{\text{Lab}} = 0^\circ$

$^{12}\text{C}(\alpha, \alpha')^{12}\text{C}^*$ $E_\alpha = 118 \text{ MeV}$ $\theta_{\text{Lab}} = 0^\circ$



Multi-level, multi-channel R-matrix theory

1. 0_2^+ Hoyle state (with ghost peak)

2. $0_2^+ + 0_3^+$ (1-peak)

$E(0_3^+) = 2.92 \text{ MeV}$ $\Gamma(0_3^+) = 2.28 \text{ MeV}$

3. $0_2^+ + 0_\Delta^+ + 0_3^+$

$E(0_\Delta^+) = 2.28 \text{ MeV}$ $\Gamma(0_\Delta^+) = 3.38 \text{ MeV}$

$E(0_3^+) = 3.64 \text{ MeV}$ $\Gamma(0_2^+) = 1.45 \text{ MeV}$



■ 0^+
■ 1^-
■ 2^+
■ 3^-
■ 4^+
■ $\pi = (-1)^{J+1}$
■ Background
 ■ Contaminant
 — Total fit
 ■ Fit residuals

3. 3α model (1) - Hamiltonian

- Alpha particle is treated as a structureless 0^+ boson.

- 3α Hamiltonian $H_{3\alpha}$

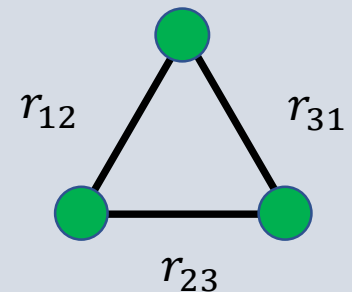
$$H_{3\alpha} = K + V_{12} + V_{23} + V_{31} + V_{3\alpha}$$

- Phenomenological $\alpha\alpha$ potential: Ali-Bodmer Model D ($L=0,2,4$)

Ref.: NP80 (1966) 99

- Gaussian 3α potential

$$V_{3\alpha} = W_3 \exp \left[-\frac{r_{12}^2 + r_{23}^2 + r_{31}^2}{a^2} \right]$$



3. 3α model (2) - Transition Strength Function

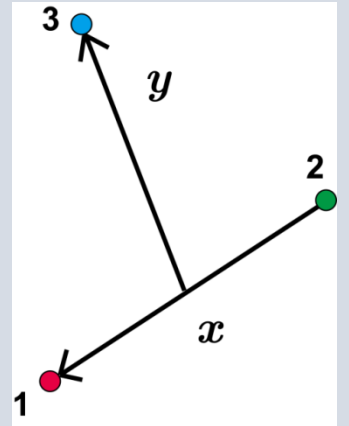
- Transition strength function for the bound state Ψ_b to 3α continuum states by operator \hat{O} :

$$S_{\hat{O}}(E) = \int df \left| \langle \Psi_f^{(-)} | \hat{O} | \Psi_b \rangle \right|^2 \delta(E - E_f) = -\frac{1}{\pi} \text{Im} \langle \Psi_b | \hat{O}^\dagger \frac{1}{E + i\varepsilon - H_{3\alpha}} \hat{O} | \Psi_b \rangle$$

- Def. $|\Psi\rangle$: wave function corresponding to the process $^{12}\text{C}(0_1^+) \rightarrow 3\alpha$:

$$|\Psi\rangle = \frac{1}{E + i\varepsilon - H_{3\alpha}} \hat{O} |\Psi_b\rangle \rightarrow N \frac{e^{iKR}}{R^{5/2}} \langle \Psi_f^{(-)} | \hat{O} | \Psi_b \rangle$$

$$R = \sqrt{x^2 + \frac{4}{3}y^2} \quad K = \sqrt{\frac{m}{\hbar^2} E}$$



- Calculate $|\Psi\rangle$ by Faddeev methods, from which 3-body breakup amplitude $\langle \Psi_f^{(-)} | \hat{O} | \Psi_b \rangle$ is obtained:

References: Faddeev calculations for $3\alpha(0^+)$ systems:

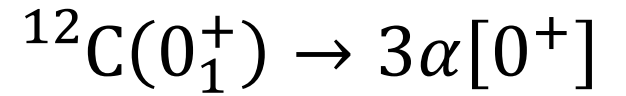
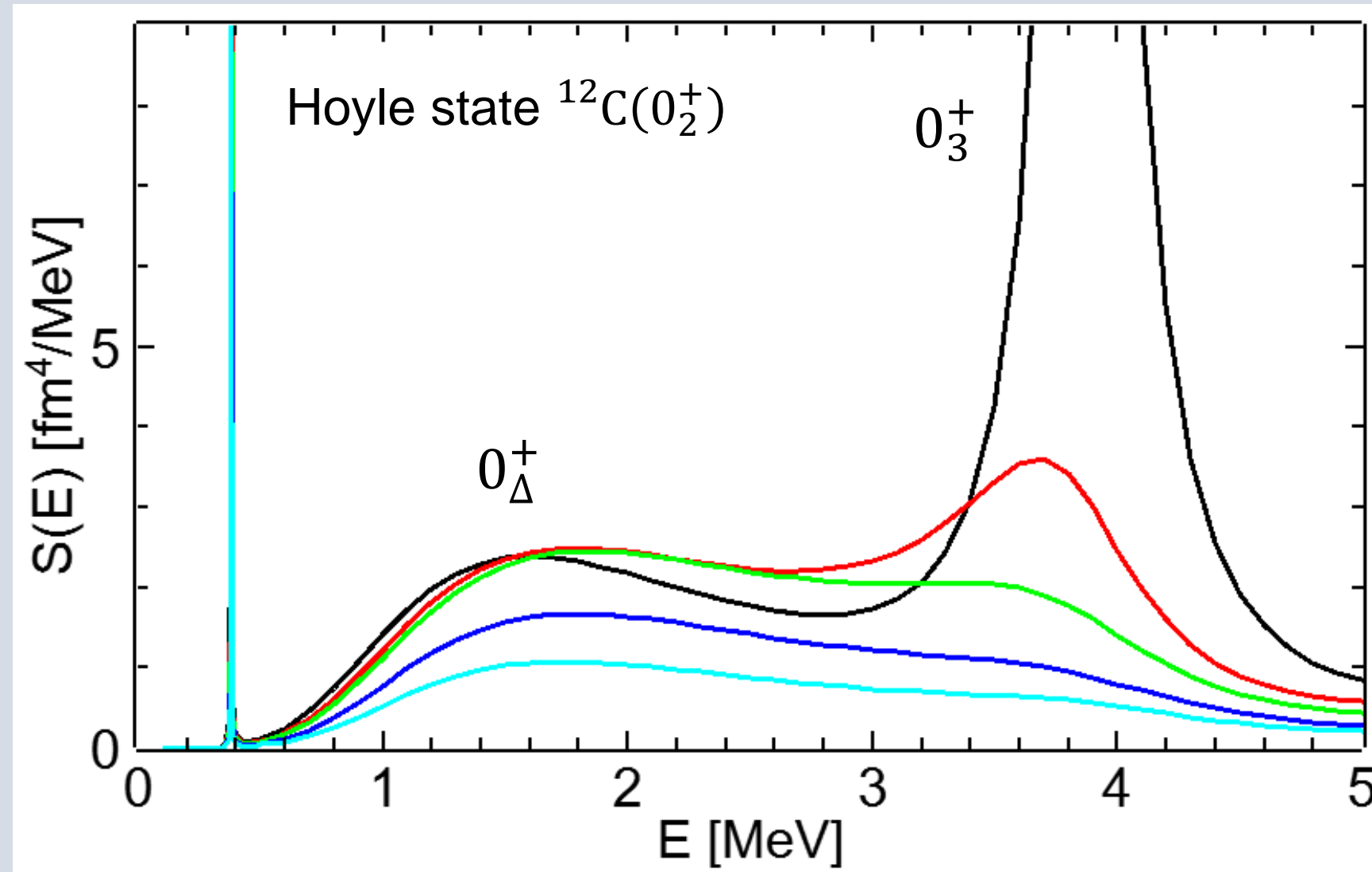
S. I. : PRC **87** (2013) 055804, PRC **90** (2014) 061604, PRC **94** (2016) 061603

4. Monopole strength function in 3α model (1)

- Monopole strength function: $\hat{O} = \sum_{i=1,3} r_i^2$
- Phenomenological $\alpha\alpha$ potential: Ali-Bodmer Model D (L=0,2,4)
Ref.: NP80 (1966) 99
- Gaussian 3α potential $V_{3\alpha} = W_3 \exp\left[-\frac{r_{12}^2 + r_{23}^2 + r_{31}^2}{a^2}\right]$
 - Model1~Model5: $a = 4.0 \sim 2.4$ [fm]
 - Strength parameter W_3 : fitted to the Hoyle state energy

Model	a [fm]	W_3 [MeV]
Model-1	4.0	-48.54
Model-2	3.4	-92.85
Model-3	3.0	-156.9
Model-4	2.6	-303.66
Model-5	2.4	-431.1

4. Monopole strength function in 3α model (2) - Calculations



Model

- 1: $a = 4.0$ fm
- 2: $a = 3.4$ fm
- 3: $a = 3.0$ fm
- 4: $a = 2.6$ fm
- 5: $a = 2.4$ fm

$0_\Delta^+, 0_3^+$: Following the notation of K. C. W. Li et al., *PRC***105**, 024308 (2022);

5. Comparison with R-matrix theory (1)

- Comparison of the 3α calculations with the R-matrix theory
- R-matrix

$$S(E) = \frac{B}{\pi} \frac{\frac{\Gamma(E)}{2}}{(E - \Delta(E) - E_r)^2 + \left(\frac{1}{2}\Gamma(E)\right)^2}$$

$$\Gamma(E) = 2P(E)\gamma^2$$

$$P(E) = \frac{ka}{F_\ell(\eta, ka)^2 + G_\ell(\eta, ka)^2}$$

$$\Delta(E) = -[S(E) - S(E_r)]\gamma^2$$

$$S(E) = \frac{ka[F_\ell(\eta, ka)F'_\ell(\eta, ka) + G_\ell(\eta, ka)G'_\ell(\eta, ka)]}{F_\ell(\eta, ka)^2 + G_\ell(\eta, ka)^2}$$

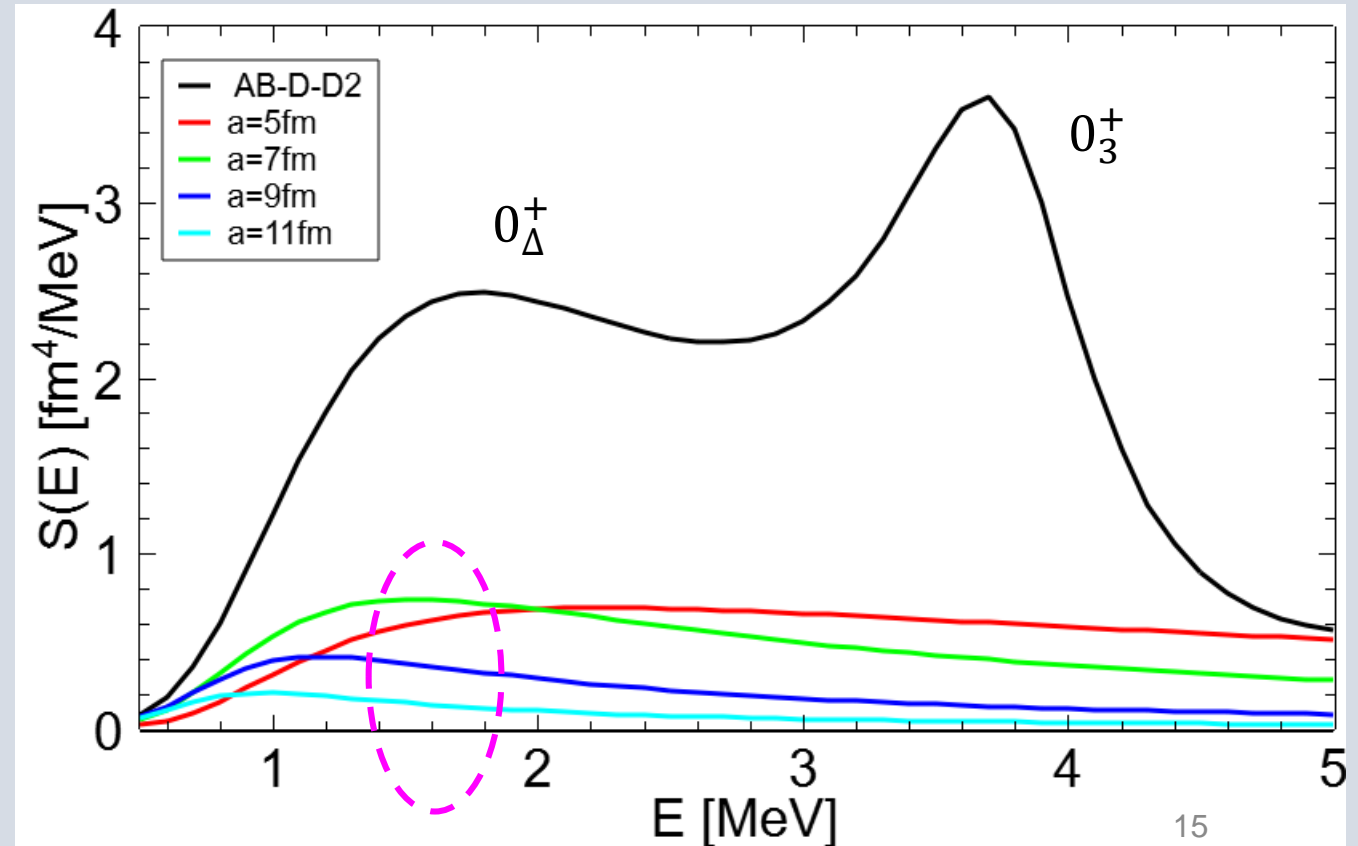
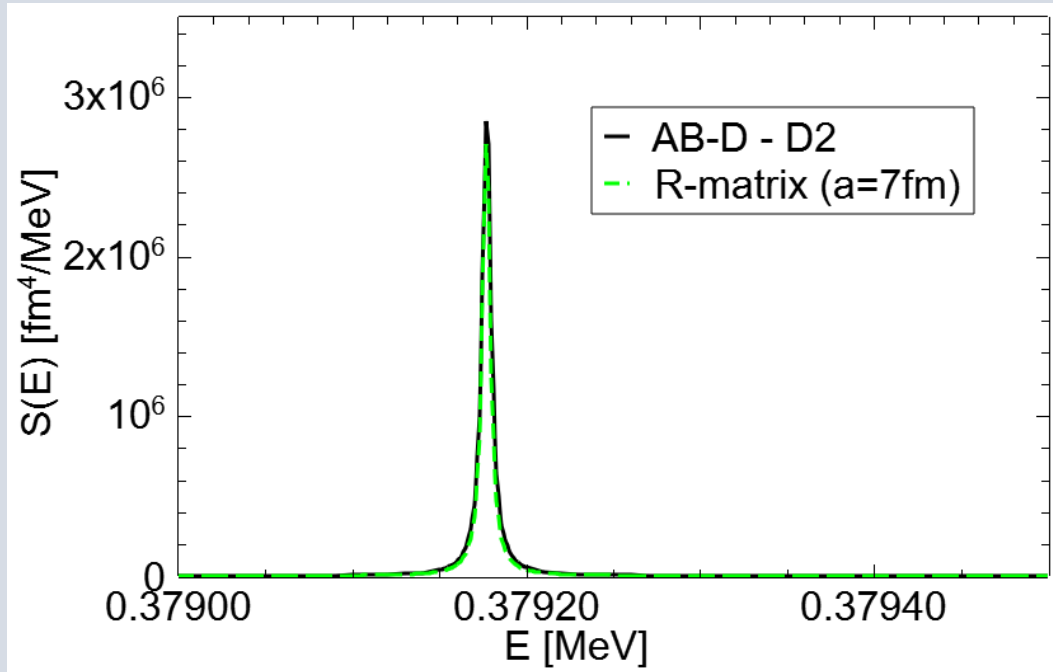
5. Comparison with R-matrix theory (2)

Input parameters: $E_r, \Gamma(E_r), B, a$

$E_r, \Gamma(E_r), B \rightarrow$ taken from the calculated values of the model-2

$$E_r = 0.379177 \text{ MeV}, \Gamma(E_r) = 6.8 \text{ eV}, B = 28.9 \text{ fm}^4$$

Channel radius $a = 5 \text{ fm} \dots 11 \text{ fm}$



5. Comparison with R-matrix theory (3)

- The monopole strength function in 3α -model gives the 0_{Δ}^{+} -peak, which is higher than one by R-matrix theory.

In the next:

- Is the 0_{Δ}^{+} -peak corresponding to a resonance?
- Does the 0_{Δ}^{+} -peak have enough strength to explain the experimental data ?

6. Nature of the 0_{Δ}^{+} peak (1)

1. Volume integral of the absolute square of the wave-function $|\Psi\rangle$,

$$|\Psi\rangle = \frac{1}{E + i\varepsilon - H_{3\alpha}} \hat{O} |\Psi_b\rangle$$

with the integration range being restricted as $x \leq 12$ fm and $y \leq 12$ fm.

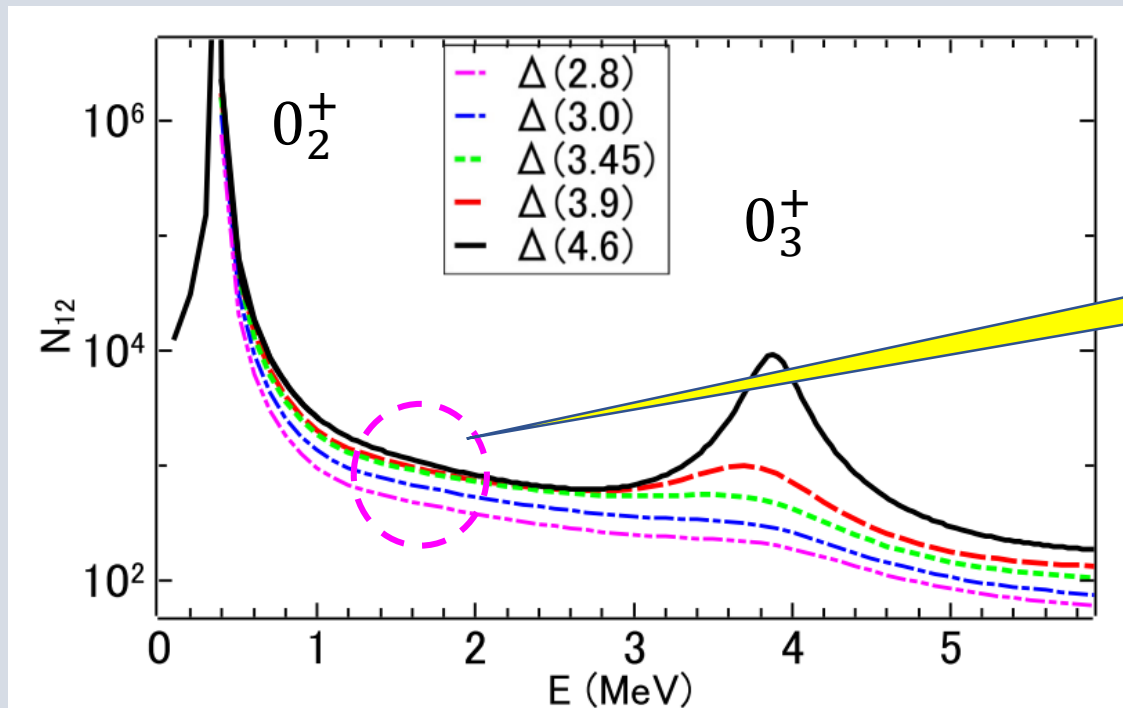


FIG. 2. The volume integral $N_{12}(E)$ defined in the text.

S.I., PRC **94** (2016) 061603(R)

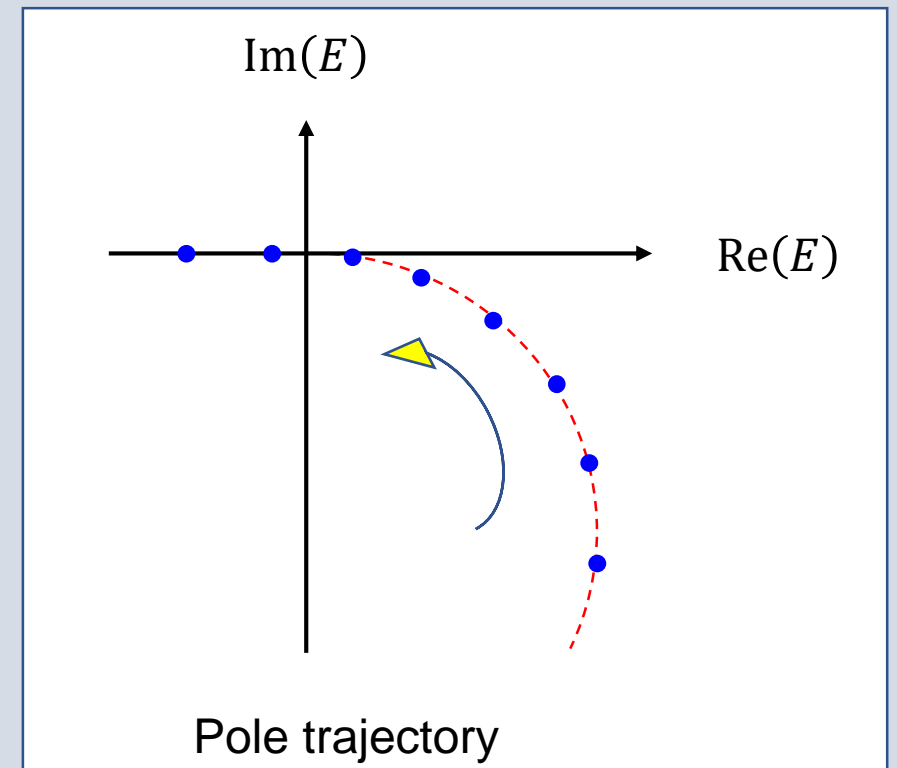
6. Nature of the 0_1^+ peak (2)

2. When fictional attractive effects are added, in general, the energy of a resonance decreases and the resonance becomes a bound state.

In this work, **attractive effects will be given by $3\alpha P$** of the same range parameter a with the original Hamiltonian

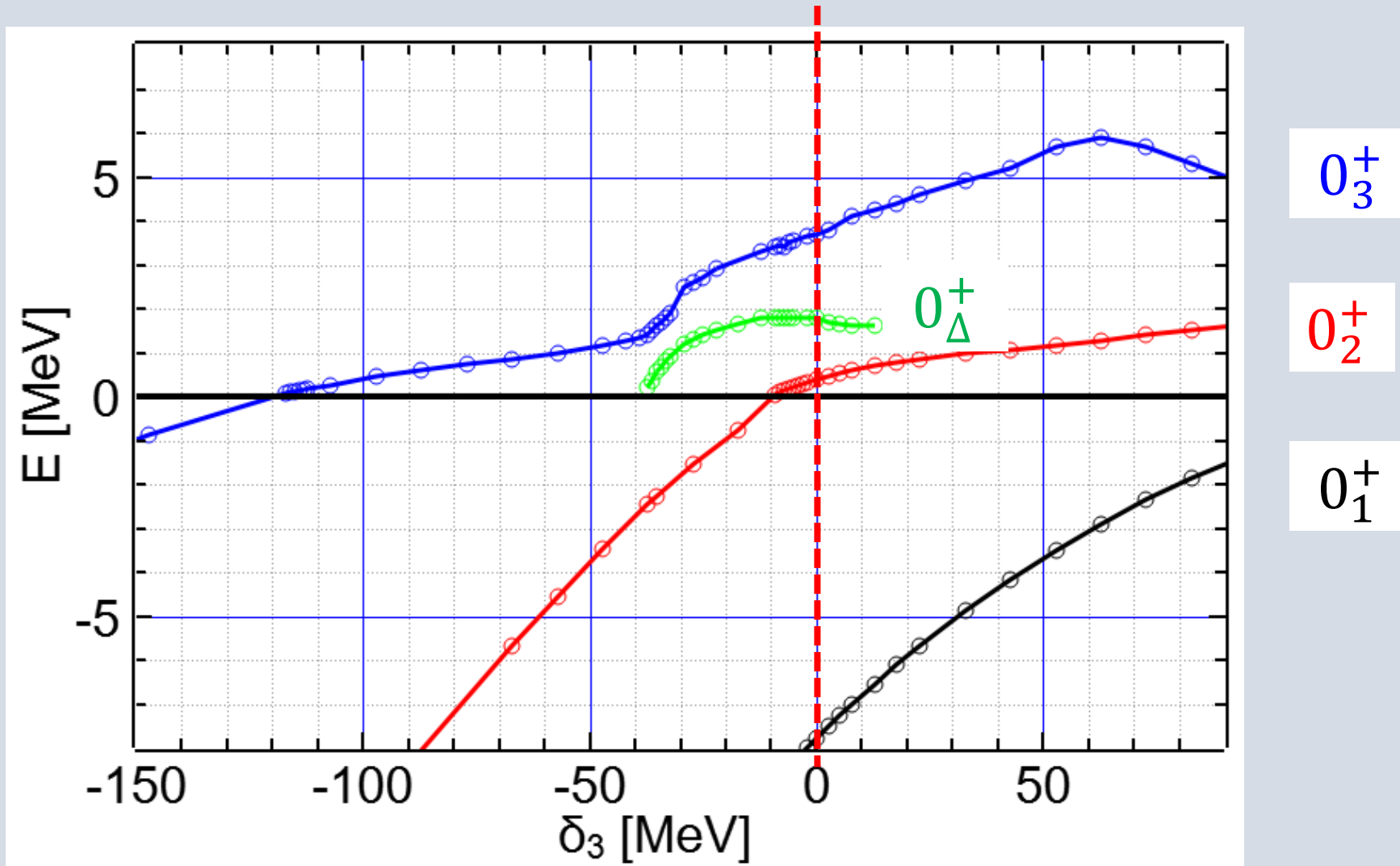
$$\Delta V_{3\alpha} = \delta_3 \exp \left[-\frac{r_{12}^2 + r_{23}^2 + r_{31}^2}{a^2} \right]$$

No change for the nuclear and Coulomb parts of $\alpha\alpha$ -potential to keep ${}^8\text{Be}(0_1^+)$ (2α -resonance).

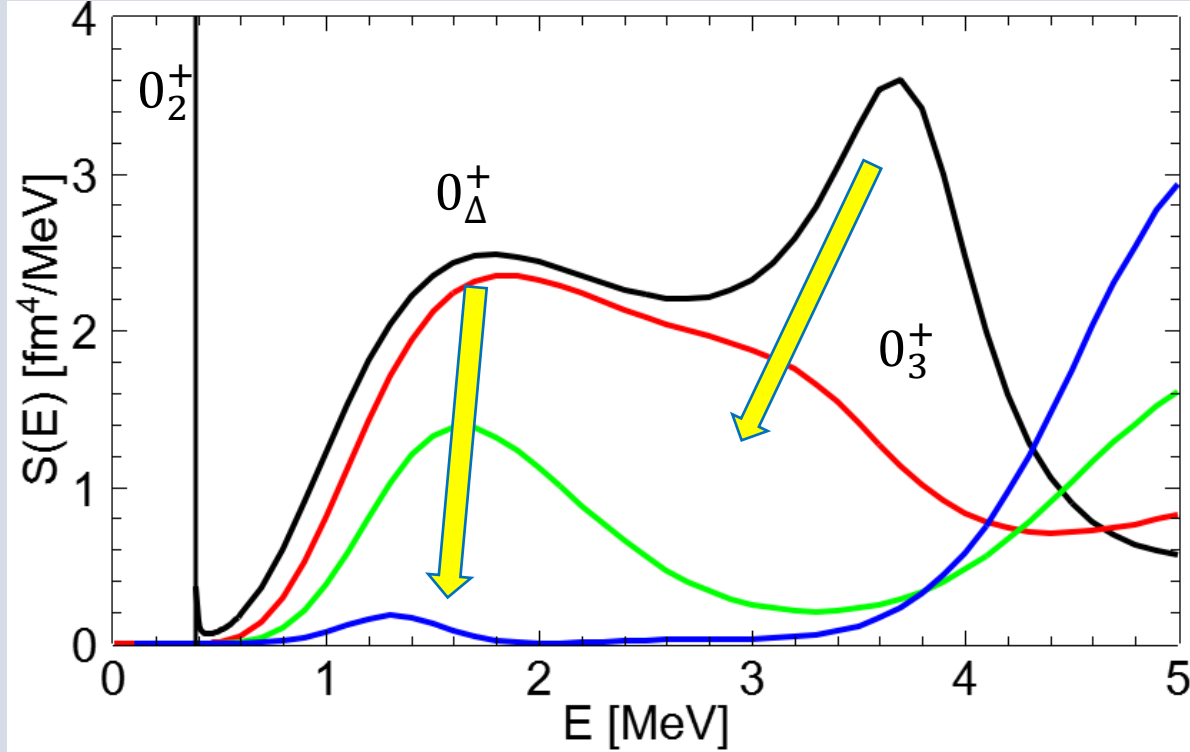


6. Nature of the 0_{Δ}^{+} peak (3) - 3α energy δ_3 -dependence

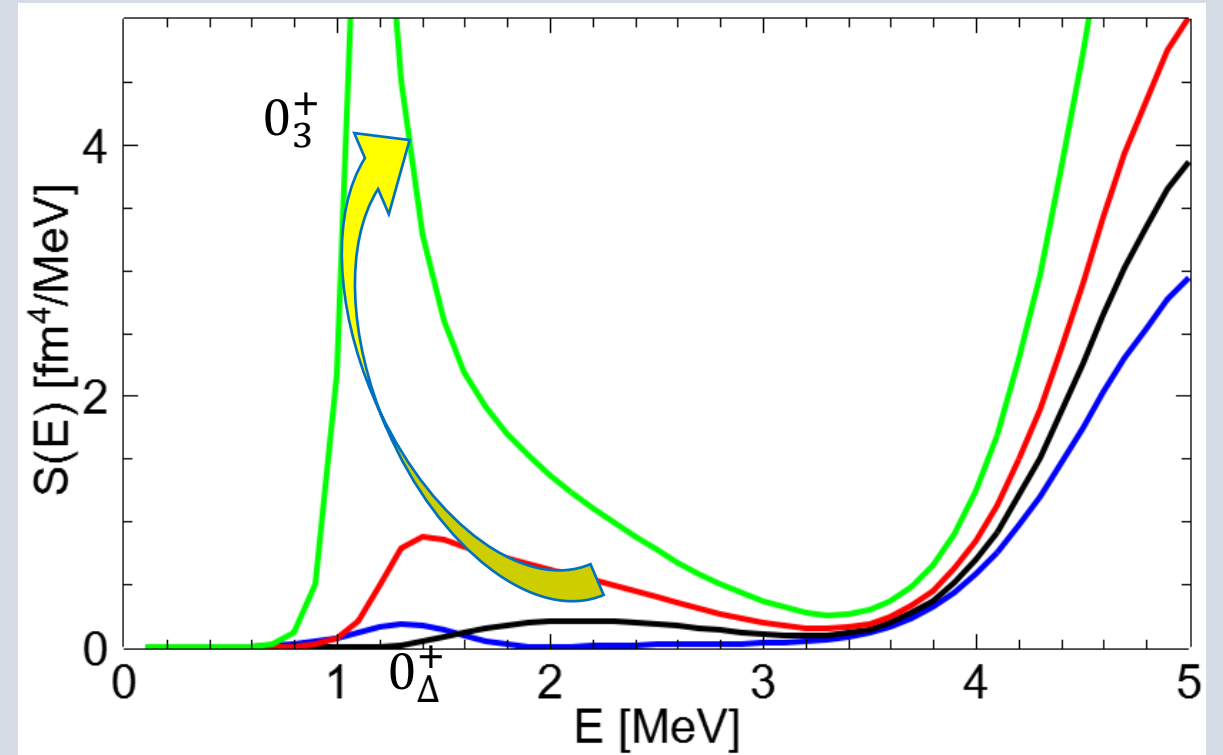
δ_3 -dependence of 3α (peak) energy



6. Nature of the 0_{Δ}^{+} peak (4)



$$\begin{aligned} \delta_3 &= -0 \text{ MeV,} \\ \delta_3 &= -7 \text{ MeV,} \\ \delta_3 &= -17 \text{ MeV,} \\ \delta_3 &= -27 \text{ MeV} \end{aligned}$$



$$\begin{aligned} \delta_3 &= -27 \text{ MeV,} \\ \delta_3 &= -32 \text{ MeV,} \\ \delta_3 &= -37 \text{ MeV,} \\ \delta_3 &= -47 \text{ MeV} \end{aligned}$$

6. Nature of the 0_{Δ}^{+} peak (5)

- The 0_{Δ}^{+} peak

No concentration of the wave function at interior region.
No bound state as attraction of the interaction is enhanced.

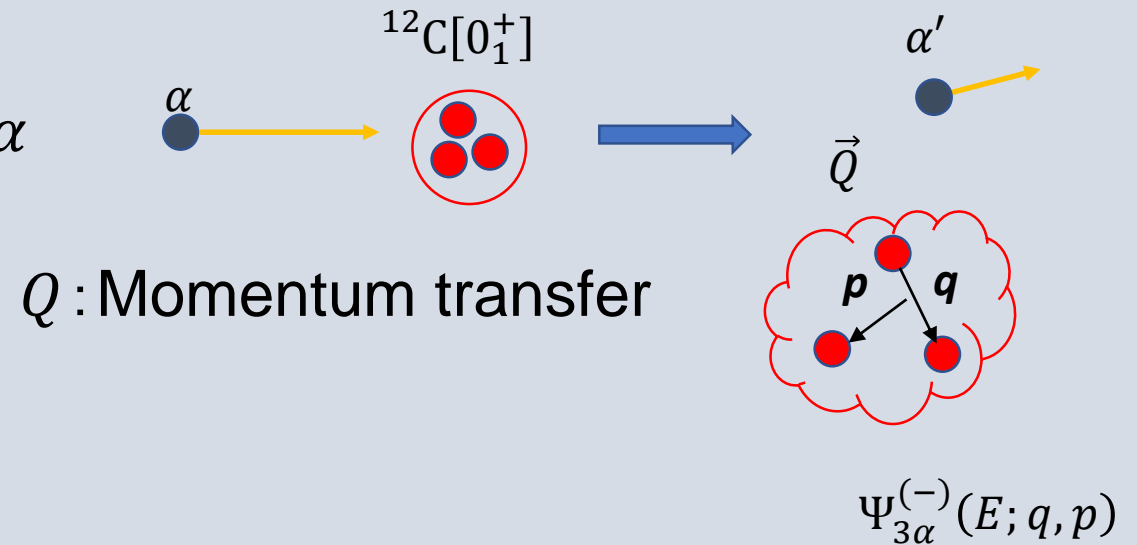
The 0_{Δ}^{+} peak may not be caused by a 3α resonant state.

- Is the 0_{Δ}^{+} peak in 3α -model enough to explain the experimental data ?

7. $^{12}\text{C}(\alpha, \alpha')3\alpha$ reaction in 3α -model (1)

- Spectrum of inelastic scattering $^{12}\text{C}(\alpha, \alpha')3\alpha$

$$\frac{d^2\sigma}{d\Omega dE} = \sum_{\lambda=0}^3 a_{\lambda} \frac{c_{\lambda}(Q)}{Q^4} S_{\lambda}(E)$$



- Multipole strength functions

$$S_{\lambda}(E) = \int dE' \int dq dp \left| \left\langle \Psi_{3\alpha}^{(-)}(E'; q, p) \left| \hat{O}_{\lambda} \right| \Psi(^{12}\text{C}) \right\rangle \right|^2 \delta(E - E')$$

$$\hat{O}_{\lambda} = [r^2, r^3 Y_1(\hat{r}), r^{\lambda} Y_{\lambda}(\hat{r})] \quad (\lambda = 0, \lambda = 1, \lambda \geq 2)$$

- Gauss convolution FWHM=100keV
- Fitting parameters (a_0, a_1, a_2, a_3)

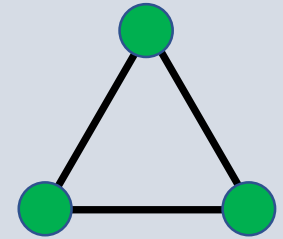
7. $^{12}\text{C}(\alpha, \alpha')3\alpha$ reaction in 3α -model (2) - Multipole strength functions

- Transition strength functions $S_\lambda(E)$ for

$$\hat{O}_\lambda = [r^2, r^3 Y_1(\hat{r}), r^\lambda Y_\lambda(\hat{r})] \quad (\lambda = 0, \lambda = 1, \lambda \geq 2)$$

- α - α - α Potentials

$$V_{3\alpha} = \sum_J \hat{P}_J W_3^{[J]} \exp \left[-\frac{r_{12}^2 + r_{23}^2 + r_{31}^2}{a^2} \right]$$



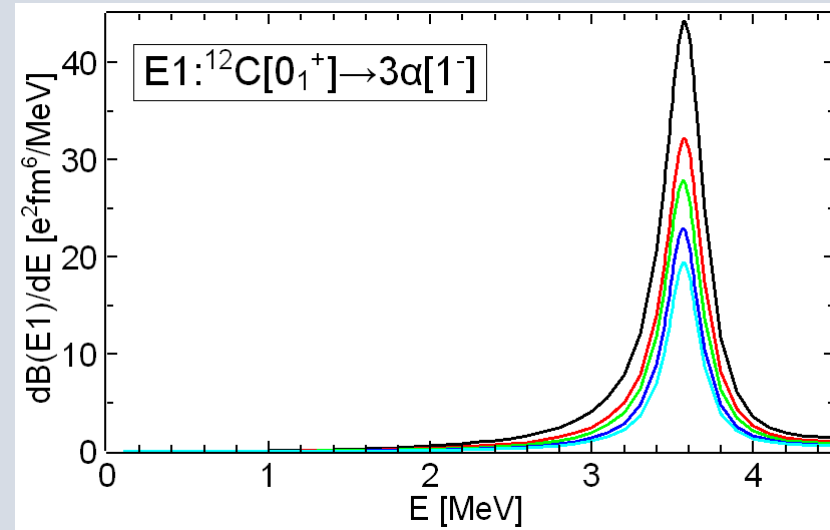
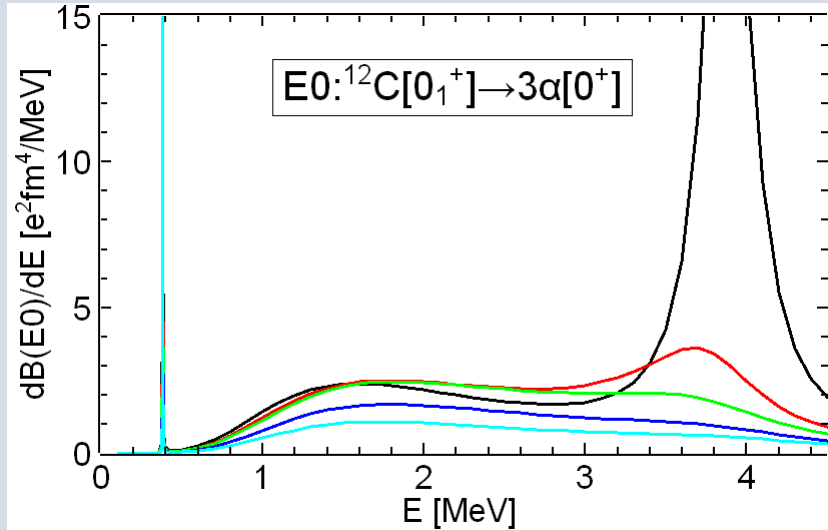
- Fitted to

$$\begin{aligned} E[0_2^+] &= 0.379 \text{ MeV}, & E[1_1^-] &= 3.569 \text{ MeV} \\ E[2_1^+] &= -2.836 \text{ MeV}, & E[3_1^-] &= 2.336 \text{ MeV} \end{aligned}$$

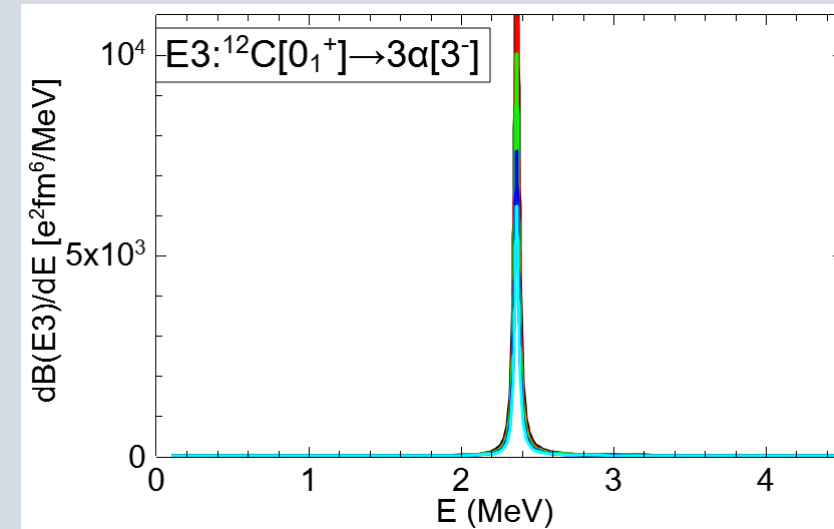
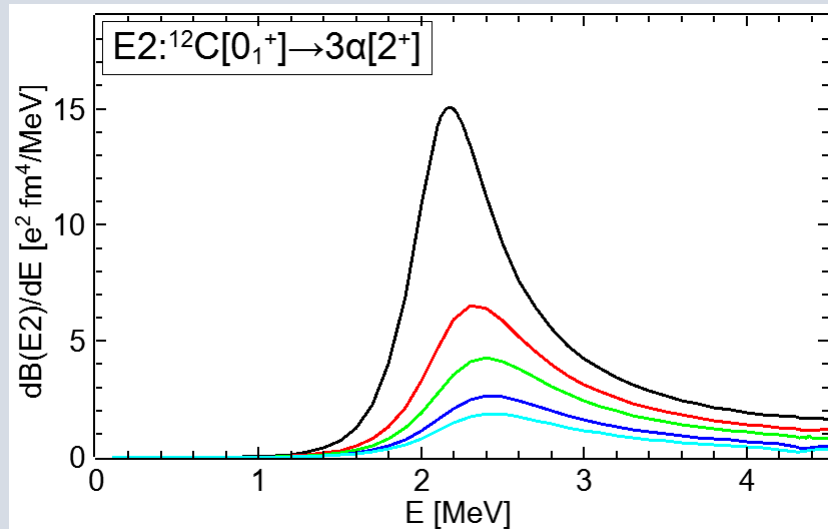
Model	a [fm]	$W_3^{[0]}$ [MeV]	$W_3^{[1]}$ [MeV]	$W_3^{[2]}$ [MeV]	$W_3^{[3]}$ [MeV]
Model-1	4.0	-48.54	-15	-25.5	6.03
Model-2	3.39	-92.85	-37	-46.0	12.69
Model-3	3.0	-156.9	-79	-78.3	25.0
Model-4	2.61	-303.66	-193	-158.1	63.6
Model-5	2.43	-431.1	-300	-231.	108.3

7. $^{12}\text{C}(\alpha, \alpha')3\alpha$ reaction in 3α -model (3) Numerical results

$^{12}\text{C}(0_1^+) \rightarrow 3\alpha(\lambda)$ Transition Strength Function $S_\lambda(E)$



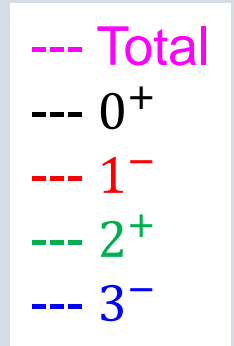
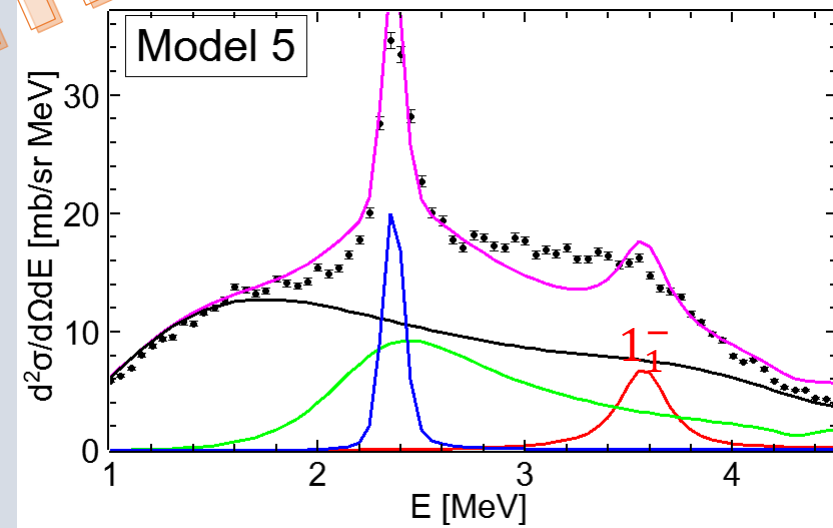
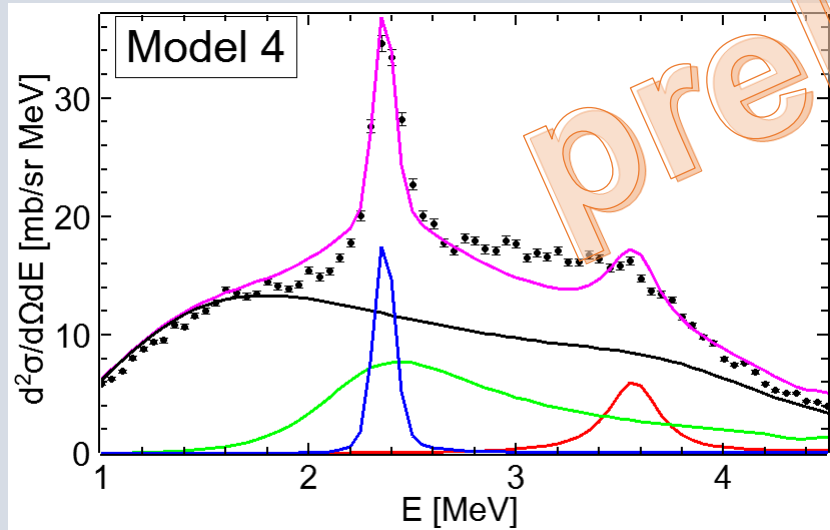
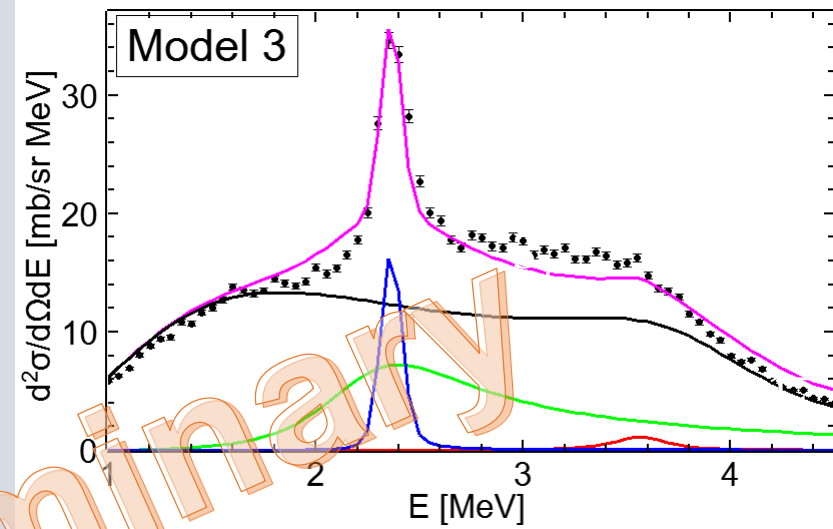
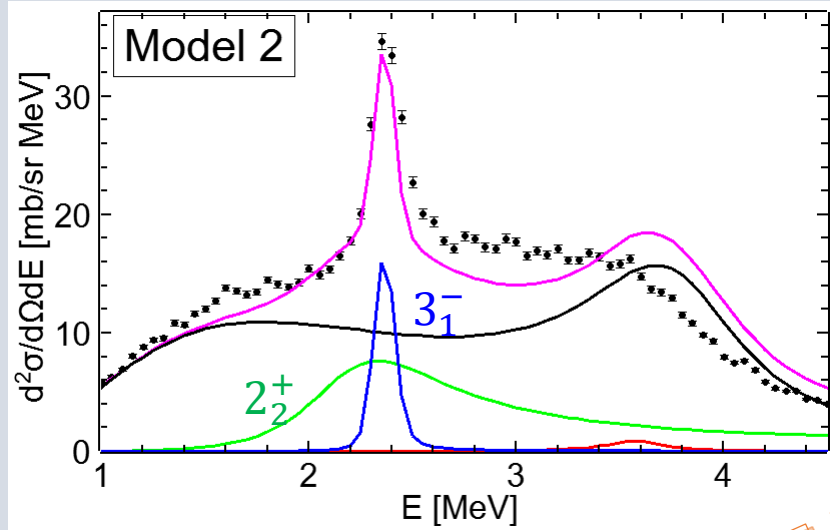
--- Model-1
--- Model-2
--- Model-3
--- Model-4
--- Model-5



7. $^{12}\text{C}(\alpha, \alpha')3\alpha$ reaction in 3α -model (4)

$E_\alpha = 386 \text{ MeV}$ $\theta_{\text{Lab}} = 0^\circ$

Model2 ~ Model5



8. Summary

1. A broad and weak peak in the monopole strength function for the transition, $^{12}\text{C}(0_1^+) \rightarrow 3\alpha[0^+]$, reported in recent experiments is studied.
2. The monopole strength functions in 3α models have such peak (0_Δ^+ -peak).
3. When (attractive) strength of the 3α -potential is increased, no bound state appeared corresponding to the 0_Δ^+ -peak, while another peaks ($0_2^+, 0_3^+$) transfer to bound states.
4. The 0_Δ^+ -peak in 3α model has enough strength to explain the $^{12}\text{C}(\alpha, \alpha')3\alpha$ experimental data.
5. The 0_Δ^+ -peak is Ghost of the Hoyle state.