

# Localisation of Dirac eigenmodes in finite-temperature lattice gauge theory

Aula Leonardi

Eötvös Loránd University (ELTE)  
Budapest

Workshop on Gauge Topology, Flux Tubes and Holographic Models

ECT\*, Villazzano (TN)  
27 May 2022



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Matteo Giordano

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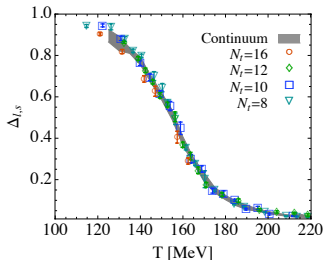
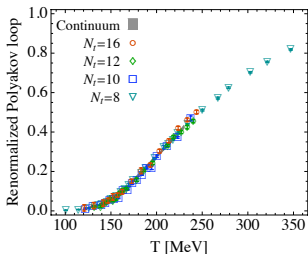
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# QCD at finite temperature

QCD: crossover at  $T \simeq 145 - 165$  MeV, (approximate) deconfinement and chiral symmetry restoration, relation between the two still not fully clear



Renormalised Polyakov loop and chiral condensate  
Figures from [BW collaboration (2010)]

QCD-like gauge theories with genuine phase transitions:

- deconfinement improves chiral symmetry properties if single transition (e.g., pure gauge theory,  $N_f = 3$  staggered fermions on coarse lattices)
- $T_{\text{dec}} < T_{\chi}$  if two transitions are present (e.g., adjoint fermions)

# Deconfinement and $\chi$ SB from spontaneous SB

Deconfinement and  $\chi$ SB from SSB in opposite quark-mass limits

Quark mass  $m \rightarrow \infty$  (pure gauge theory)

- Exact  $\mathbb{Z}_3$  centre symmetry
- Spontaneously broken above  $T_c \approx 290$  MeV [Boyd *et al.* (1996)]

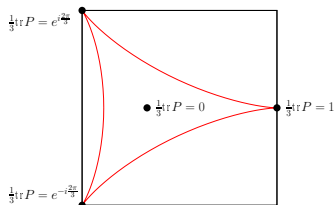
Quark free energy from Polyakov loop

$$\langle \text{tr } P \rangle \propto e^{-F_q/T}$$

$$T < T_c: \langle \text{tr } P \rangle = 0 \Rightarrow F_q = \infty$$

$$T > T_c: \langle \text{tr } P \rangle \neq 0 \Rightarrow F_q < \infty$$

Deconfinement = PL ordering



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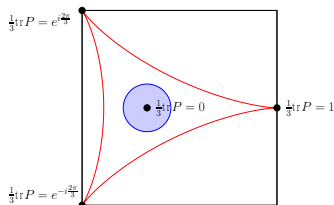
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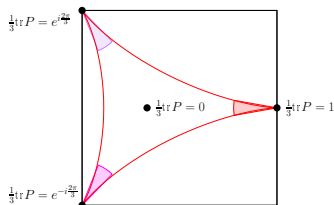
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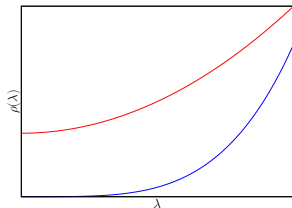
## Quark mass $m \rightarrow 0$

- Exact  $SU(N_f)_L \times SU(N_f)_R$  chiral symmetry
- Spontaneously broken below  $T_c(N_f = 2) \approx 132 \text{ MeV}$  [Ding et al. (2019)]

$$|\langle \bar{\psi}\psi \rangle| = \int_0^\infty d\lambda \frac{2m\rho(\lambda)}{\lambda^2 + m^2} \xrightarrow{m \rightarrow 0} \pi\rho(0^+)$$

$$\rho(\lambda) = \lim_{V \rightarrow \infty} \frac{T}{V} \left\langle \sum_n \delta(\lambda - \lambda_n) \right\rangle$$

$\chi$ SB = accumulation of Dirac modes at  $\lambda=0$



Different symmetries, approximate @  $m_{\text{phys}}$ : how do they affect each other?

# Localisation of Dirac eigenmodes

Low Dirac modes become localised at the QCD transition

## Delocalised mode

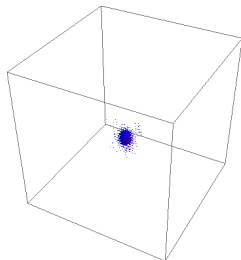
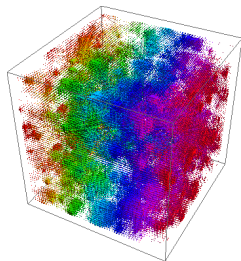
- extends throughout system
- $\|\psi(x)\|^2 \sim 1/L^\alpha$  with  $0 < \alpha \leq d$

cond-mat: delocalised if  $\alpha = d$ , critical if  $0 < \alpha < d$

## Localised mode

- confined in finite region
- $\|\psi(x)\|^2 \sim 1/L^0$  inside, negligible outside

$$\int_0^\beta dt \int d^3x \|\psi_n(t, \vec{x})\|^2 = 1$$
$$\|\psi_n(t, \vec{x})\|^2 \equiv \sum_{c, \eta} |\psi_{nc, \eta}(t, \vec{x})|^2$$



Figures from [Ujfalusi *et al.* (2015)]



# Localisation and Anderson transitions

Anderson model for “dirty” conductors

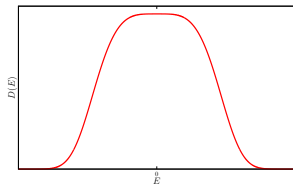
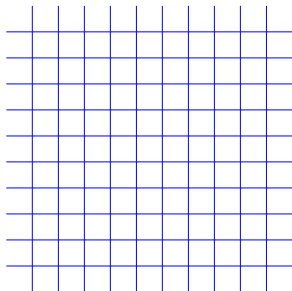
[Anderson (1958)]

$$H_{\vec{x},\vec{y}} = \sum_{\mu=1}^3 (\delta_{\vec{x}+\hat{\mu},\vec{y}} + \delta_{\vec{x}-\hat{\mu},\vec{y}})$$

Random potential  $|\varepsilon_{\vec{x}}| \leq \frac{W}{2}$  (orthogonal AM)

Average over realisations of disorder

- $W=0$  (no disorder): delocalised modes
- $W \neq 0$ : localised beyond mobility edge  $E_c(W)$ ,  
 $E_c \rightarrow 0$  as  $W$  increases
- All states localised for  $W > W_c$ :  
metal-insulator transition
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divergent correlation length  $\xi \sim |E - E_c|^{-\nu}$



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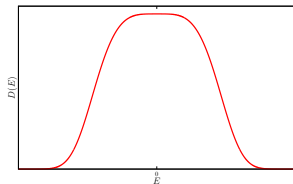
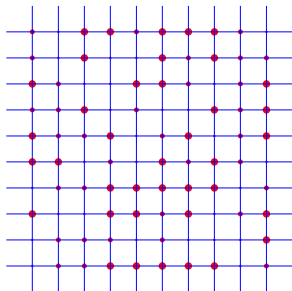
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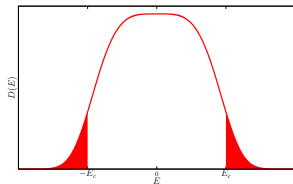
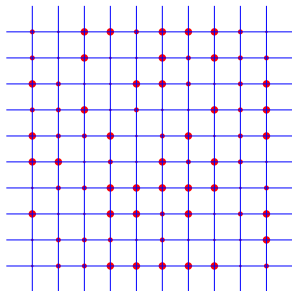
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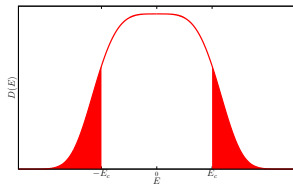
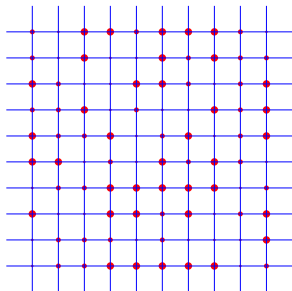
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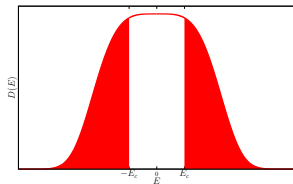
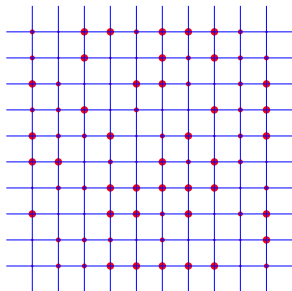
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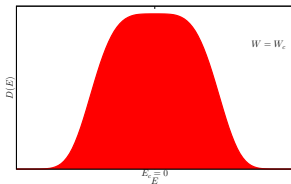
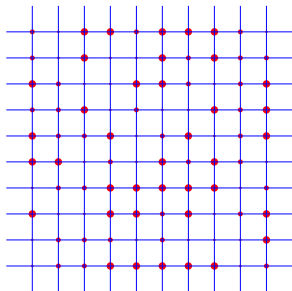
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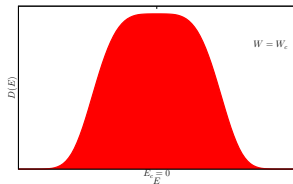
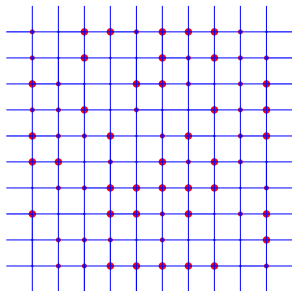
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Random potential  $|\varepsilon_{\vec{x}}| \leq \frac{W}{2}$  (orthogonal AM)  
+ random phases  $\phi_{\vec{y},\vec{x}} = -\phi_{\vec{x},\vec{y}}$  (unitary AM)

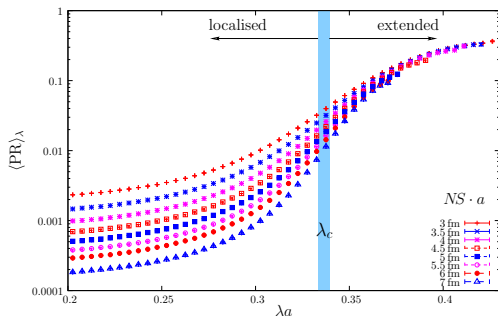
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# Localisation of Dirac eigenmodes in QCD

Participation ratio  $\approx$  fraction of system occupied by a mode



Data for  $T \simeq 2.6 T_c$   
from [MG et al. (2014)]

$$\text{IPR}_n = \int_0^{\frac{1}{T}} dt \int d^d x \|\psi_n(t, \vec{x})\|^4 \sim L^{-\alpha}$$

$$\langle \text{PR} \rangle_\lambda = \frac{T}{L^d} \left\langle \sum_n \delta(\lambda - \lambda_n) \text{IPR}_n^{-1} \right\rangle \sim L^{-(d-\alpha)}$$



# Localisation and spectral statistics

Localisation of eigenmodes reflects on statistical properties of eigenvalues

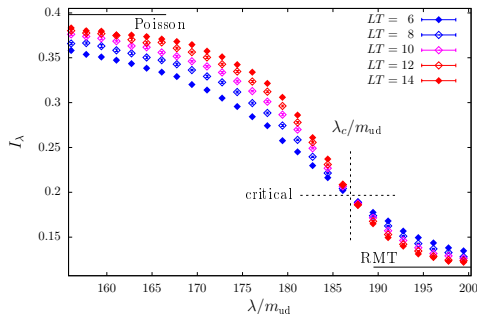
- delocalised modes easily mixed by fluctuations  $\rightarrow$  RMT- type statistics
- localised modes fluctuate independently  $\rightarrow$  Poisson statistics

Universal expectations for unfolded level spacings  $s_n = \frac{\lambda_{n+1} - \lambda_n}{\langle \lambda_{n+1} - \lambda_n \rangle_\lambda}$

- local spacing distribution  $p_\lambda(s)$  changes with  $L$

$$I_\lambda = \int_0^{s_0} ds p_\lambda(s)$$

- $p_\lambda \rightarrow p_{\text{Poisson}}$  (localised) or  $p_{\text{RMT}}$  (delocalised) as  $L \rightarrow \infty$
- $\lambda_c =$  scale-invariant point, critical statistics  $p_{\text{crit}}$



Data for  $T \simeq 2.6T_c$  from [MG et al. (2014)]

# Localisation of Dirac eigenmodes in QCD - mobility edge

Mobility edge extrapolates to 0 in the crossover region [Kovács, Pittler (2012)]

No localised modes in the confined/chirally broken phase at low  $T$

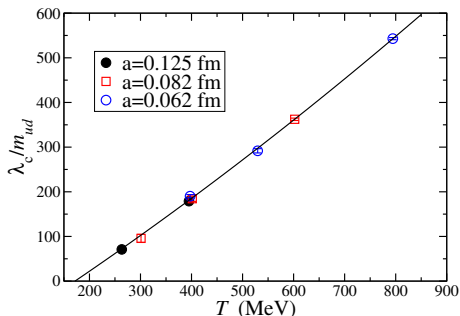


Figure from [Kovács, Pittler (2012)]

Ratio  $\lambda_c / m_{ud}$  expected to be RG-invariant [Kovács, Pittler (2012)]

Proof in preparation [MG (202ish)]

Numerical evidence from lattice

[review: MG, Kovács (2021)]

- Various fermion discretisations

- ▶ staggered [García-García, Osborn (2007), Kovács, Pittler (2012), MG *et al.* (2014)]
- ▶ overlap [Kovács (2010), Dick *et al.* (2015)]
- ▶ domain wall [Cossu, Hashimoto (2016)]
- ▶ twisted mass [Holicki *et al.* (2018)]

- Survive continuum limit

⇒ not a lattice artefact

# Dirac operator as a disordered Hamiltonian

disordered Hamiltonian	$\longleftrightarrow$	Dirac operator
random hopping, potential	$\longleftrightarrow$	gauge fields
ensemble average $\langle\langle \dots \rangle\rangle$	$\longleftrightarrow$	path integral $\langle \dots \rangle$

- Same critical features as 3D UAM...

- ▶ localisation length critical exponent

[MG *et al.* (2014)]

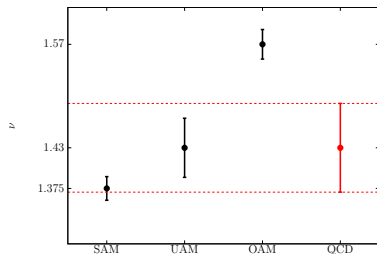
- ▶ multifractal exponents

[Ujfalusi *et al.* (2015)]

- ▶ critical statistics

[Nishigaki *et al.* (2014)]

- ... but why localisation near  $\lambda = 0$  above  $T_c$ ?



Data from [MG *et al.* (2014), Slevin, Ohtsuki (1997), (1999), Asada *et al.*, (2005)]

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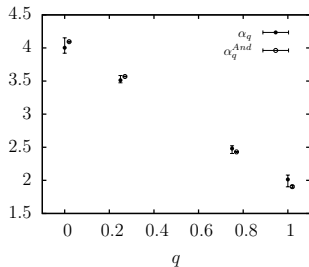


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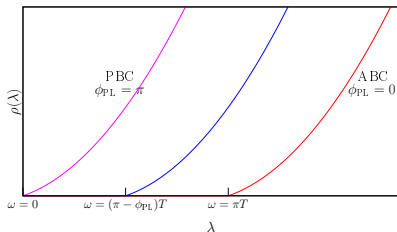
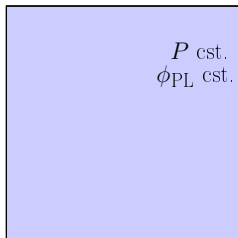
# Localisation and deconfinement - sea/islands picture

Qualitative explanation of localisation: “sea/islands” picture

[Bruckmann *et al.* (2011); MG *et al.* (2015, 2016, 2017)]

Ordered phase  $\approx$  configs. fluctuate around  $\vec{A} = 0$ ,  $P(\vec{x}) = \text{diag}(e^{i\phi_a})$

Gapped spectrum  $|\lambda| \geq \omega = (\pi - \phi_{\text{PL}})T$  with  $\phi_{\text{PL}} = \max_{a, \phi_a \in (-\pi, \pi]} |\phi_a|$



- “Sea” of  $\phi_{\text{PL}} = 0$  selected by fermions because of largest spectral gap
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Prediction: localisation in the deconfined phase of a generic gauge theory

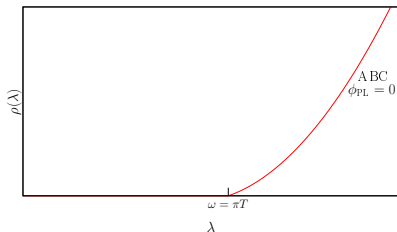
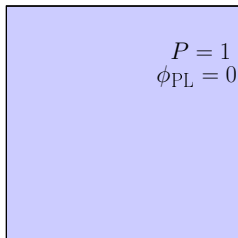
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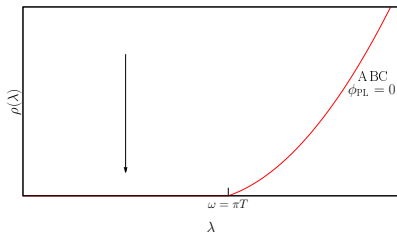
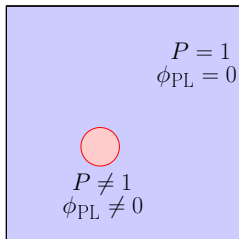
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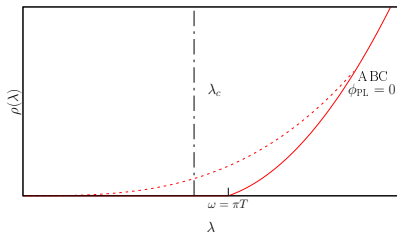
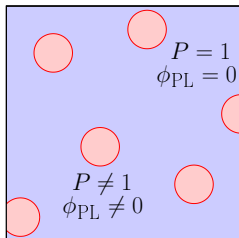
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Ordered phase  $\approx$  configs. fluctuate around  $\vec{A} = 0$ ,  $P(\vec{x}) = \text{diag}(e^{i\phi_a})$

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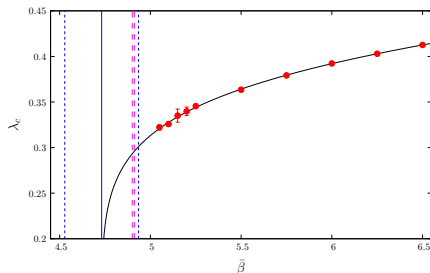
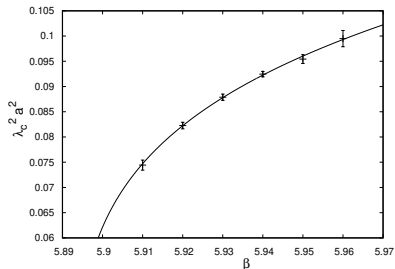
- “Sea” of  $\phi_{\text{PL}} = 0$  selected by fermions because of largest spectral gap
- “Islands” with  $|\phi(\vec{x})| < \phi_{\text{PL}}$  can support localised modes in the gap

Prediction: localisation in the deconfined phase of a generic gauge theory



# Localisation and deconfinement - pure gauge theory

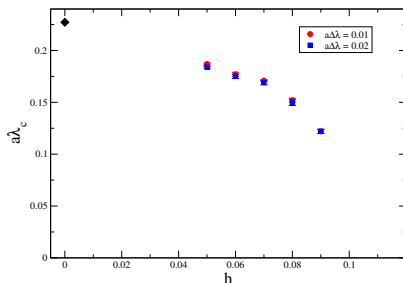
Localisation observed in the deconfined phase in many pure gauge systems



- SU(3) in 3+1D [Kovács, Vig (2018, 2020)] and 2+1D [MG (2019)]
  - ▶ first order (3+1D)/second order (2+1D) thermal phase transition
  - ▶  $\lambda_c$  vanishes at  $T_c$  (actually jumping in 3+1D [Kovács (2021)])
  - ▶ Anderson transition is second order (3+1D)/BKT (2+1D)

# Localisation and deconfinement - pure gauge theory

Localisation observed in the deconfined phase in many pure gauge systems



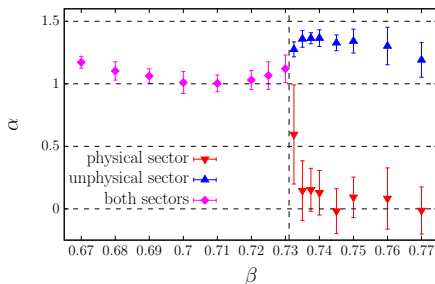
- SU(3) + trace deformation [Bonati *et al.* (2021)]

$$\mathcal{S}_{\text{td}} = \mathcal{S}_{\text{SU}(3)} + h \sum_{\vec{x}} |\text{tr} P(\vec{x})|^2$$

- ▶ first order “reconfining” transition at fixed  $T > T_c$  as deformation parameter  $h$  increased
- ▶  $\lambda_c$  vanishes at  $h_c \simeq 0.1$

# Localisation and deconfinement - pure gauge theory

Localisation observed in the deconfined phase in many pure gauge systems

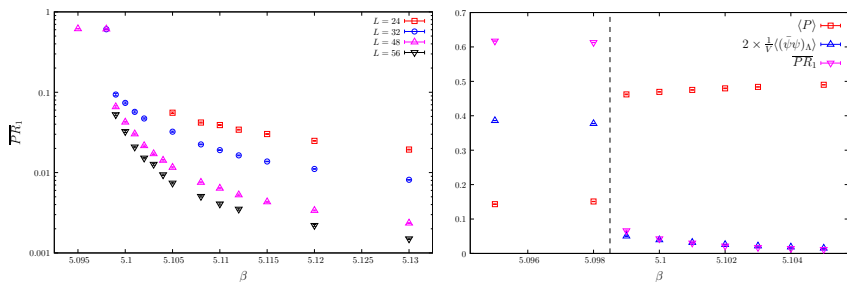


- $\mathbb{Z}_2$  in 2+1D [Baranka, MG (2021)]

- ▶ simplest gauge theory with deconfinement transition
- ▶ lowest modes “critical” in the confined phase, at  $\beta_c$  become
  - localised in the “physical” sector  $\langle P \rangle > 0$
  - more delocalised in the “unphysical” sector  $\langle P \rangle < 0$

# Localisation and deconfinement - fermions

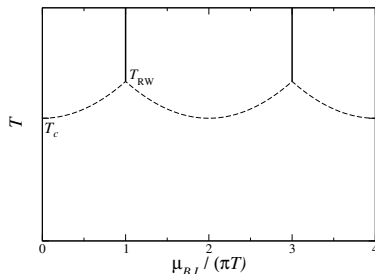
## Localisation in systems with fermions displaying genuine phase transition



- $SU(3) + N_f=3$  unimproved rooted staggered fermions on coarse ( $N_t = 4$ ) lattices [MG *et al.* (2017)]
  - ▶ first-order, (partially) deconfining and chirally restoring transition on the lattice [De Forcrand, Philipsen (2003)], does not survive continuum limit
  - ▶ localised modes appear at the transition

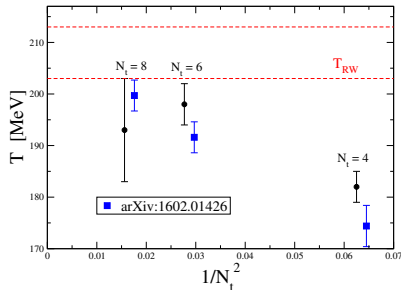
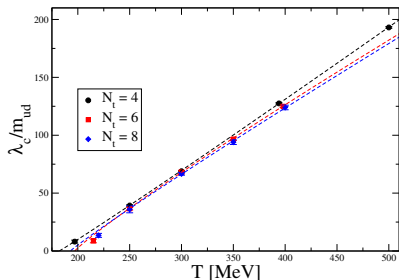
# Localisation and deconfinement - fermions

Localisation in systems with fermions displaying genuine phase transition



- SU(3) + imaginary baryochemical potential  $\hat{\mu}_{B,I}$  [Cardinali *et al.* (2022)]
  - ▶ periodic partition function  $Z(\hat{\mu}_{B,I} \pm 2\pi) = Z(\hat{\mu}_{B,I})$  [Roberge, Weiss (1986)]
  - ▶ first-order lines above second-order Ising point at the Roberge-Weiss points  $T_{RW} = 208(5) \text{ MeV}$ ,  $\hat{\mu}_{B,I} = \pi \text{ mod } 2\pi$  [Bonati *et al.* (2016)]
  - ▶  $\lambda_c$  vanishes at  $T_{RW}$

## Localisation in systems with fermions displaying genuine phase transition



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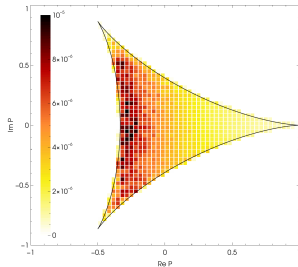
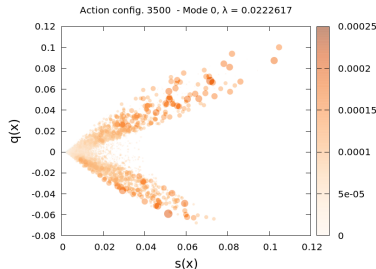
# Topology and localisation

Relation with topological objects not fully understood, very partial picture

Instantons:

- disordered medium scenario of QCD: localised modes from mixing of localised zero modes sitting on calorons (see [García-García, Osborn (2007)])
- localised near-zero topological modes [Dick *et al.* (2015)]
- low modes like (anti)selfdual sites and Polyakov loop phases  
 $\phi \sim (\pi, \pi, 0)$ :  $L$ -type monopoles? [Cossu, Hashimoto (2016)]

see also talk by S. Sharma



Figures from [Cossu, Hashimoto (2016)]

# Topology and localisation

... but:

- not all localised modes are topological – at most 50% in pure gauge SU(3) [Kovács, Vig (2018)]

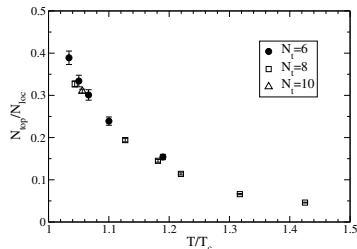


Figure from [Kovács, Vig (2018)]

- topology and P-loop fluctuations anticorrelated [Larsen *et al.* (2022)], not really alternative to sea/islands picture
- localisation also in models without instantons

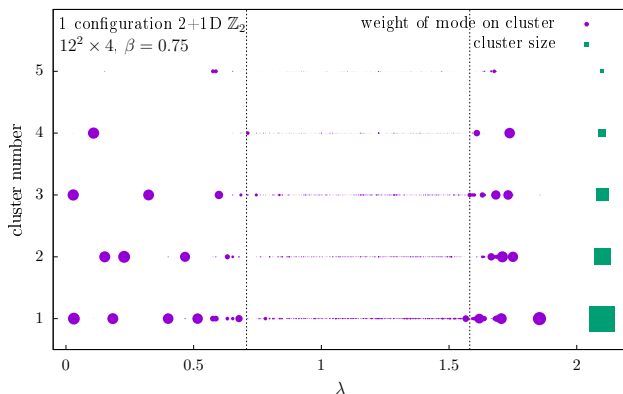
Near-zero modes: see talks by T.G. Kovács, A. Alexandru, and I. Horváth



# Topology and localisation

Thermal monopoles: ???

Centre vortices: strong correlation with localised modes. . . in 2+1D  $\mathbb{Z}_2$  pure gauge theory – not much else in the theory [work in progress]



# Localisation and Goldstone modes

At  $m = 0$  chiral symmetry broken if density of near-zero modes is finite...

$$\Sigma = -\langle \bar{\psi}\psi \rangle = \pi\rho(0^+) \neq 0 \implies \text{massless pions}$$

... but if near-zero modes are localised up to  $\lambda_c(m)$  [MG (2020)]

$$\text{massless pions} \iff \pi\rho(0^+) (1 - \xi) \neq 0, \quad \xi = \lim_{m \rightarrow 0} \frac{2}{\pi} \arctan \frac{\lambda_c}{m}$$

localisation alternative to Goldstone's theorem  
[McKane, Stone (1981), Golterman, Shamir (2003)]  
see also [Greensite *et al.* (2005,2006)]

Coordinate-space Ward identity:

$$\partial_\mu \langle \mathcal{A}_\mu^a(x) \mathcal{P}^b(0) \rangle = \delta^{(4)}(x) \delta^{ab} \Sigma + 2m \langle \mathcal{P}^a(x) \mathcal{P}^b(0) \rangle$$

$\mathcal{A}_\mu^a = \bar{\psi} \gamma_5 \gamma_\mu t^a \psi$ : non-singlet axial-vector currents  
 $\mathcal{P}^a = \bar{\psi} \gamma_5 t^a \psi$ : non-singlet pseudoscalar densities

# Localisation and Goldstone modes

Explicit breaking:

$$2m\langle\mathcal{P}^a(x)\mathcal{P}^a(0)\rangle\sim\int d\lambda\frac{2m}{\lambda^2+m^2}\left\langle\sum_n\delta(\lambda-\lambda_n)\mathcal{O}_n(x)\mathcal{O}_n(0)\right\rangle$$
$$\mathcal{O}_n(x)=(\psi_n(x),\gamma^5\psi_n(x))$$

$$\left|\left\langle\sum_n\delta(\lambda-\lambda_n)\mathcal{O}_n(x)\mathcal{O}_n(0)\right\rangle\right|\leq\frac{T}{V}\left\langle\sum_n\delta(\lambda-\lambda_n)\text{IPR}_n\right\rangle\sim\rho(\lambda)V^{-\alpha(\lambda)}$$

Nonvanishing if  $\alpha=0$ ,  $\frac{2m}{\lambda^2+m^2}\rightarrow\pi\delta(\lambda)\Rightarrow$  “anomalous remnant” in the chiral limit if nonzero density of localised near-zero modes is present

Momentum-space Ward identity:

$$-ik_\mu\mathcal{G}_{AP}(k)=\Sigma+2m\mathcal{G}_{PP}(k)\underset{\substack{m\rightarrow 0 \\ k\rightarrow 0}}{=} \pi\rho(0^+)-\pi\rho_{\text{loc}}(0^+)\xi$$

Massless pole disappears if  $\xi=1$  — e.g.,  $\lambda_c(m=0)$  finite  
 $\Rightarrow$  pions “melt” without chiral restoration?

# Summary and outlook

Low Dirac modes in gauge theories localised in high- $T$  deconfined/chirally restored phase, delocalised in low- $T$  confined/chirally broken phase

- Strong connection with deconfinement: localised low modes appear at the transition when genuine
- Polyakov loop ordering & fluctuations responsible for localisation (possibly connected to topology)
- In the chiral limit it can affect (even kill) Goldstone excitations

Open issues:

- Physical meaning of localisation still unclear
- Something is still missing in connecting localisation and deconfinement
  - ▶ systems without centre symmetry?
  - ▶ systems with separate deconfining and chiral transitions?
- Is low-mode localisation how deconfinement improves chiral symmetry properties?



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