

The topological susceptibility in high- T full QCD from staggered spectral projectors

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**GAUGE TOPOLOGY, FLUX TUBES AND HOLOGRAPHIC MODELS:
THE INTRICATE DYNAMICS OF QCD IN VACUUM AND
EXTREME ENVIRONMENTS**

23–27/05/2022, ECT^{*}, Trento

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The QCD Axion and Dark Matter

The QCD axion, being weakly coupled to the Standard Model, has been considered as a **Dark Matter** candidate.

The behavior of the axion effective potential $V_{\text{eff}}(T)$ at **high temperatures** is extremely relevant for cosmology (e.g., axion relic abundance, axion mass) \rightarrow essential input for present and future experimental searches.

Axion effective parameters related to QCD topological observables (χ, b_2, \dots) \rightarrow great interest around QCD topology at high- T for axion cosmology:

$$\chi = \left. \frac{\langle Q^2 \rangle}{V} \right|_{\theta=0}, \quad b_2 = - \left. \frac{1}{12} \frac{\langle Q^4 \rangle - 3 \langle Q^2 \rangle^2}{\langle Q^2 \rangle} \right|_{\theta=0}, \dots$$

$$m_a^2 \propto \chi, \quad \lambda_{4a} \propto b_2, \dots$$

Non-chiral fermions and would-be-zero modes

In the QCD path-integral, field configurations are weighted with the determinant of the Dirac operator:

$$\det\{\not{D} + m_q\} = \prod_{\lambda \in \mathbb{R}} (i\lambda + m_q).$$

The **Index Theorem** relates the presence of zero-modes in the spectrum of \not{D} to the topological charge of the gluon field:

$$Q = \text{Index}\{\not{D}\} = \text{Tr}\{\gamma_5\} = n_+ - n_-.$$

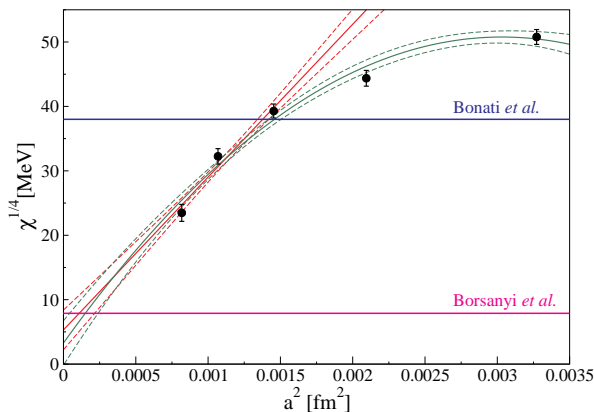
If a configuration has $Q \neq 0$, lowest eigenvalues are $\lambda_{min} = m_q$.

On the lattice, however, some fermionic discretizations (e.g., staggered) do not have exact zero-modes. \implies The determinant fails to efficiently suppress non-zero charge configurations.

$$\lambda_{min} = m_q \longrightarrow m_q + i\lambda_0, \quad \lambda_0 \xrightarrow{a \rightarrow 0} 0.$$

Non-chiral fermions and large lattice artifacts

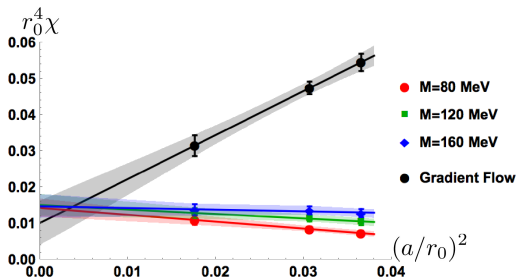
Bad suppression of non-zero charge configurations \implies large discretization corrections \implies continuum extrapolation not under control (Bonati et al., 2018):



In (Borsanyi et al., 2016) lattice artifacts affecting χ at high- T have been suppressed a posteriori by reweighting configurations with the corresponding continuum lowest eigenvalues of \not{D} .

Fermionic topological charge

Another possible solution, which does not require further assumptions, could be to switch, through the Index Theorem, to **fermionic** definitions of Q . Using the same “bad” operator to weight configurations and to count eigenmodes to measure Q may introduce smaller lattice artifacts.



Idea supported by results at $T = 0$ (Alexandrou et al., 2017): twisted mass Wilson fermions employed for the MC evolution and for the measure of χ through **spectral projectors** \rightarrow improved scaling of χ towards the continuum!

Goal: use **staggered fermions** spectral projectors definition (CB et al., 2019) to study χ at high- T from full QCD simulations with staggered fermions.

Spectral projectors with staggered fermions

In the continuum, only zero-modes contribute to Q . This is not true on the lattice for staggered fermions, due to the absence of exact zero-modes:

$$Q = \text{Tr}\{\gamma_5\} \longrightarrow \text{Tr}\{\Gamma_5 \mathbb{P}_M\},$$

$$\mathbb{P}_M = \sum_{|\lambda_k| \leq M} u_k u_k^\dagger, \quad i\mathbb{D}_{stag} u_k = \lambda_k u_k.$$

To avoid a mode over-counting, taste degeneration has to be considered ($n_t = 2^{d/2}$):

$$Q_{0,stag} = \frac{1}{n_t} \text{Tr}\{\Gamma_5 \mathbb{P}_M\}.$$

Lattice charge gets a renormalization $Z_Q^{stag} = \frac{Z_P}{Z_S}$, which can be derived from Ward identities for the flavor-singlet axial current:

$$Q_{stag} = \frac{Z_P}{Z_S} Q_{0,stag}, \quad \left(\frac{Z_P}{Z_S}\right)^2 = \frac{\langle \text{Tr}\{\mathbb{P}_M\} \rangle}{\langle \text{Tr}\{\Gamma_5 \mathbb{P}_M \Gamma_5 \mathbb{P}_M\} \rangle}.$$

Choice of the cut-off mass M

The choice of the cut-off mass M is irrelevant in the continuum limit. Its renormalized value $M_R = M/Z_S$ must be kept constant as $a \rightarrow 0$ to guarantee $O(a^2)$ corrections:

$$\chi_{\text{SP}}(a, M_R) = \chi + c_{\text{SP}}(M_R)a^2 + o(a^2).$$

To avoid the direct computation of Z_S for each lattice spacing, one can observe that, for staggered fermions:

$$m_{q,R} = m_q/Z_S.$$

If a **Line of Constant Physics** is known, it is sufficient to keep

$$M/m_q = M_R/m_{q,R}$$

constant as $a \rightarrow 0$ to have M_R constant too.

Is there an optimal choice for M_R ? One would like to have small corrections, i.e., $c_{\text{SP}}(M_R) \ll c_{\text{gluo}}$.

Optimal choice for the cut-off mass M/m_q

Guiding principle: choose M/m_q to include all **relevant Would-Be Zero-Modes** (WBZMs) in spectral sums. E.g., look at **chirality**:

$$r_\lambda = |u_\lambda^\dagger \Gamma_5 u_\lambda| \text{ vs } \lambda/m_q.$$

However, **distinguishing** between WBZMs and non-chiral modes is **ambiguous** \rightarrow choose cut-off to include “**chiral enough**” modes.

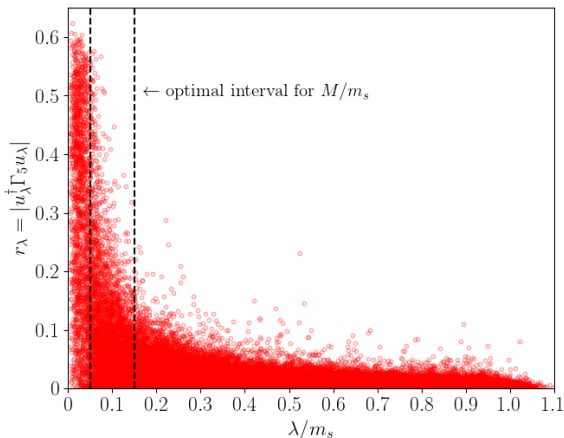


Figure refers to: $N_f = 2+1$ QCD, $T \simeq 0$, $V = 48^4$, $a \simeq 0.057$ fm, $m_q = m_s$.

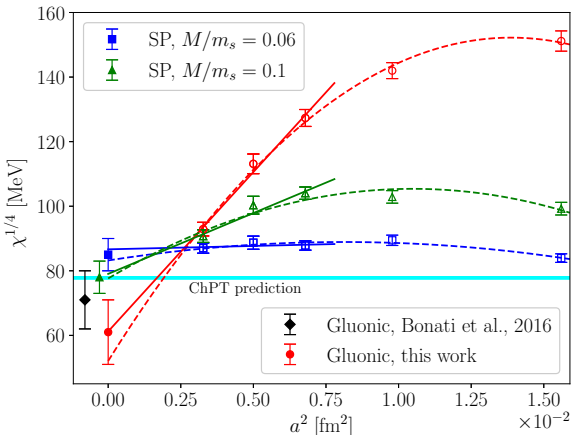
Vertical lines: optimal choices for $M/m_s \in [0.05, 0.15]$.

Continuum limit of $\chi^{1/4}$ at $T = 0$

Lattice Setup: $N_f = 2 + 1$ rooted stout staggered fermions at physical point.

Expected continuum scaling for Spectral Projectors (SP):

$$\chi_{\text{SP}}^{1/4}(a, M/m_s) = \chi^{1/4} + c_{\text{SP}}(M/m_s)a^2 + o(a^2).$$



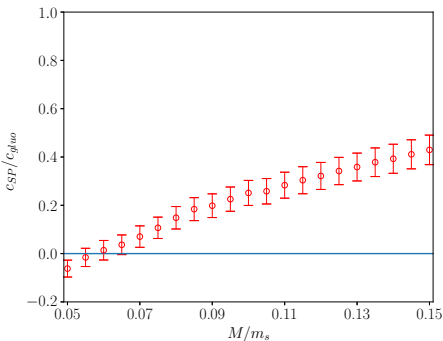
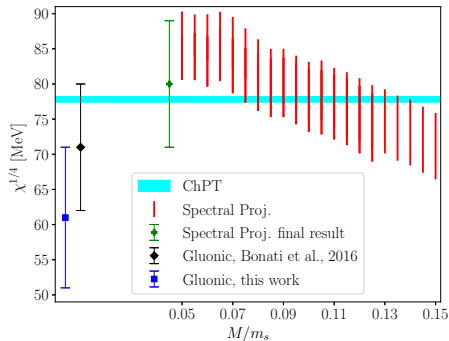
M/m_s inside optimal interval \rightarrow reduction of lattice artifacts:
 $c_{\text{SP}}(0.06)/c_{\text{gluo}} \sim 1 \cdot 10^{-2}$,
 $c_{\text{SP}}(0.1)/c_{\text{gluo}} \sim 3 \cdot 10^{-1}$.

Spectral determination: very good agreement with gluonic and leading order Chiral Perturbation Theory (ChPT) determinations.

Continuum extrapolation $T = 0$ vs M/m_s

Choosing M/m_s inside the optimal range we observe:

- good agreement within the errors for determinations obtained for different values of M/m_s (Fig. on the left)
- significant reduction of lattice artifacts compared to the standard gluonic computation (Fig. on the right)



Chirality vs M/m_s at finite T

Same strategy as $T = 0$: consider $r_\lambda = |u_\lambda^\dagger \Gamma_5 u_\lambda|$ vs λ/m_s to estimate optimal range for M/m_s .

At finite T **more clear separation** of WBZMs compared to $T = 0$ case, although some ambiguity is still present.

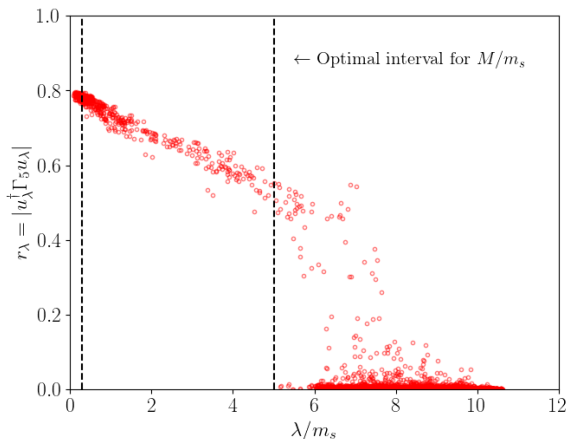


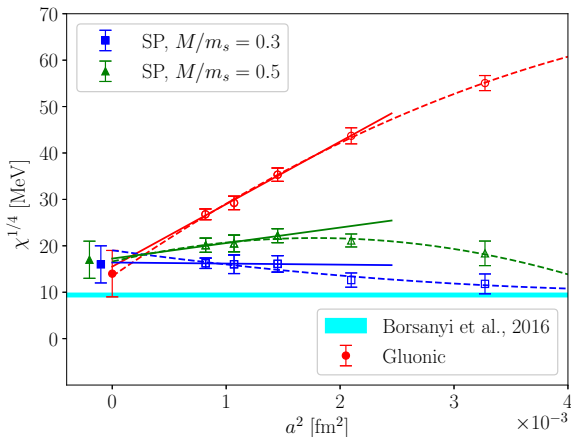
Figure refers to: $N_f = 2+1$ QCD, $T \simeq 430$ MeV, $V = 48^3 \times 16$, $a \simeq 0.0286$ fm, $m_q = m_s$.

Vertical lines: optimal choices for $M/m_s \in [0.3, 5]$.

Continuum limit of $\chi^{1/4}$ at finite T ($T = 430$ MeV)

Same lattice setup of the $T = 0$ case. Also in this case, we consider the following continuum-scaling function for Spectral Projectors (SP):

$$\chi_{\text{SP}}^{1/4}(a, M/m_s) = \chi^{1/4} + c_{\text{SP}}(M/m_s)a^2 + o(a^2).$$



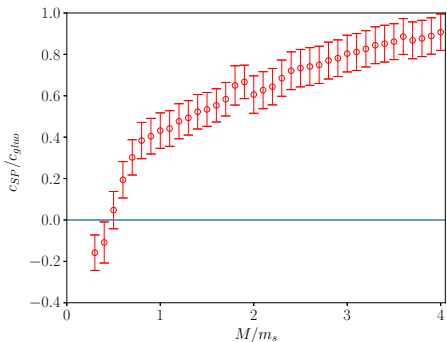
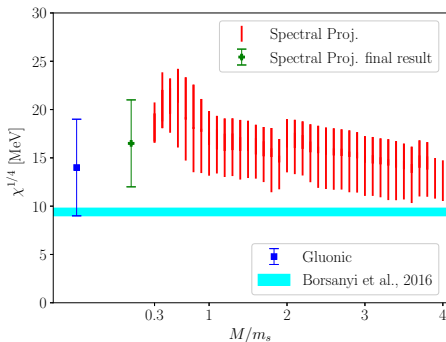
Spectral lattice artifacts are **suppressed** compared to the gluonic case when M/m_s is chosen in the previously determined optimal interval:

$$c_{\text{SP}}(0.3)/c_{\text{gluo}} \sim 5 \cdot 10^{-2}, \\ c_{\text{SP}}(0.5)/c_{\text{gluo}} \sim 10^{-1}.$$

Continuum extrapolation $T = 430$ vs M/m_s

Choosing M/m_s inside the optimal range we observe:

- good agreement within the errors for determinations obtained for different values of M/m_s (Fig. on the left)
- significant reduction of lattice artifacts compared to the standard gluonic computation can be achieved with suitable choice of M/m_s (Fig. on the right)



$\chi(T)$ for $T > T_c$ from Spectral Projectors

The Dilute Instanton Gas Approximation (DIGA) predicts:

$$\chi^{1/4}(T) \sim T^{-b}, \quad T \gg T_c, \quad b_{\text{DIGA}} \simeq 2.$$

Our data for $T \gtrsim 300$ MeV are in very good agreement with a decaying power-law, with exponents:

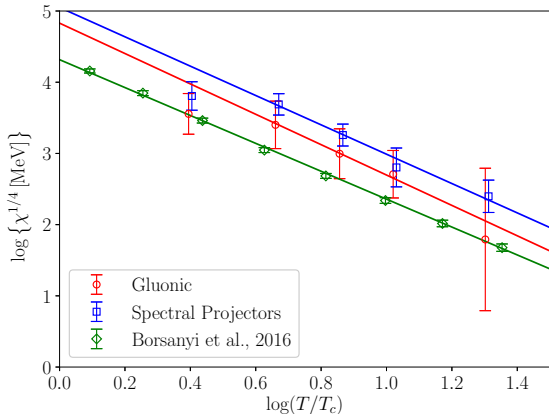
$$b_{\text{SP}} = 2.06(41)$$

$$b_{\text{gluo}} = 2.1(1.1)$$

Compare also with result from [Borsanyi et al., 2016](#):

$$b = 1.96(2).$$

Best fit lines are \sim parallel, SP prefactor of $\chi^{1/4}$ is \sim a factor of 2 larger compared to previous results, i.e., an order of magnitude for χ .



Conclusions

Summary of the talk:

- Spectral Projectors (SP) provide a theoretically well-posed method to define the topological susceptibility
- Spectral definition of χ allows to control the magnitude of lattice artifacts through a smart choice of the cut-off mass M
- Systematics related to the continuum extrapolation and to the choice of M are well under control
- Good agreement among SP data and DIGA prediction:
 $\chi_{\text{SP}}^{1/4}(T) \sim T^{-b}$, $b_{\text{SP}} = 2.06(41)$ VS $b_{\text{DIGA}} \simeq 2$

Future outlooks

- it would be interesting to explore higher temperatures, where Spectral Projectors are expected to provide major improvements
- to reach $T \gtrsim 700$ MeV on typical lattices, $a \sim 0.01$ fm needed \implies severe **Topological Slowing Down** with standard RHMC.
Promising candidate for a viable solution: **Parallel Tempering on Boundary Conditions** (Hasenbusch, 2017; CB, Bonati, D'Elia, 2021)

THANK YOU FOR YOUR ATTENTION!

Rare topological fluctuations and multicanonic algorithm

Since χ is suppressed at high- T , on affordable volumes: $\langle Q^2 \rangle = \chi V \ll 1$
 $\Rightarrow Q$ fluctuations extremely rare during Monte Carlo evolution.

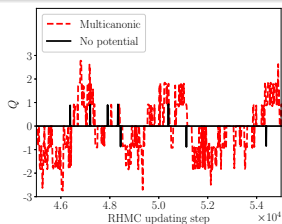
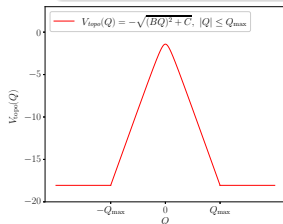
Adopted solution: multicanonic algorithm.

$$S_{\text{QCD}}^{(L)} \rightarrow S_{\text{QCD}}^{(L)} + V_{\text{topo}}(Q_{\text{mc}})$$
$$\Rightarrow P \propto e^{-S_{\text{QCD}}^{(L)}} \rightarrow P_{\text{mc}} \propto e^{-S_{\text{QCD}}^{(L)}} e^{-V_{\text{topo}}(Q_{\text{mc}})}$$

Idea: add **bias potential** to the action to enhance the probability of visiting suppressed topological sectors.

Mean values $\langle \rangle$ with respect to P recovered through **reweighting**:

$$\langle \mathcal{O} \rangle = \frac{\langle \mathcal{O} e^{V_{\text{topo}}(Q_{\text{mc}})} \rangle_{\text{mc}}}{\langle e^{V_{\text{topo}}(Q_{\text{mc}})} \rangle_{\text{mc}}}, \quad \langle \mathcal{A} \rangle_{\text{mc}} \rightarrow \text{mean value with respect to } P_{\text{mc}}$$



This example: $32^3 \times 8$ lattice, $T = 430$ MeV, $a \simeq 0.057$ fm, $B = 6$, $C = 2$, $Q_{\text{max}} = 3$, Q_{mc} = clover charge on stout-smear confs after $n_{\text{stout}} = 20$.