

# Revisiting the semi-classical approximation in Yang-Mills and QCD

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## Executive summary

Semi-classical (instanton) calculations are reliable at high  $T$

Temperature dependence of instanton size distribution

$$n(\varrho, T) = n(\varrho)e^{-S(\pi\varrho T)}$$

- $n(\varrho)$ :  $T = 0$  instanton calculation
- $S(\lambda)$ : determined by function  $A(\lambda)$  where  $\lambda = \pi\varrho T$

Executive summary

Gross-Pisarski-Yaffe (1981), numerical fit

$$12A_{GPY}(\lambda) = -\log\left(1 + \frac{\lambda^2}{3}\right) + \frac{12\alpha}{\left(1 + \delta\lambda^{-3/2}\right)^8}$$

$\alpha = 0.01289764$  and  $\delta = 0.15858$ , absolute precision  $< 6 \cdot 10^{-4}$

Used ever since everywhere (without anyone checking it...)

Why this form? Why  $-3/2$ , why 8, etc?

Executive summary

Instead of

$$12A_{GPY}(\lambda) = -\log\left(1 + \frac{\lambda^2}{3}\right) + \frac{12\alpha}{(1 + \delta\lambda^{-3/2})^8}$$

$$\alpha = 0.01289764, \quad \delta = 0.15858$$

Use rather

$$12A(\lambda) = -p_0 \log(1 + p_1\lambda^2 + p_2\lambda^4 + p_3\lambda^6 + p_4\lambda^8)$$

$$p_0 = 0.247153244, \quad p_1 = 1.356391323, \quad p_2 = 0.675021523$$

$$p_3 = 0.145446632, \quad p_4 = 0.008359667$$

Motivation

Lattice effort to obtain  $\chi(T)$  at high  $T \rightarrow$  axion physics

Compare with semi-classical results

$n(\varrho)$  and  $A(\lambda)$  needed for that

Not much thought given, everybody uses formulae in literature

Let's check everything from the start  $\rightarrow$  nice BSc topic

Surprises along the way ...

## Outline

- Yang-Mills theory and QCD at finite temperature
- Semi-classical approach, instantons, historical remarks
- $\chi(T)$  within semi-classical approach
- Surprise 1: over-all prefactor in QCD case ( $N_f \neq 0$ )
- Surprise 2: temperature dependence  $\rightarrow$  numerical integrals

Semi-classical calculation

Sum over all  $Q$  topological charge

$$Z = \sum_Q \int \mathcal{D}_Q A \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-S_{YM+fermions}}$$

$$Z = \dots Z_{-2} + Z_{-1} + Z_0 + Z_1 + Z_2 + \dots = Z_0 + 2Z_1 + 2Z_2 + \dots$$

Topological susceptibility

$$\chi = \frac{\langle Q^2 \rangle}{V} = \frac{2}{V} \frac{Z_1 + 4Z_2 + 9Z_3 + \dots}{Z_0 + 2Z_1 + 2Z_2 + 2Z_3 + \dots}$$

$V = L^3/T$  space-time volume

Semi-classical calculation

$$\chi = \frac{\langle Q^2 \rangle}{V} = \frac{2}{V} \frac{Z_1 + 4Z_2 + 9Z_3 + \dots}{Z_0 + 2Z_1 + 2Z_2 + 2Z_3 + \dots}$$

Assume fixed  $L^3$  finite large 3-volume and  $T$  asymptotically large

$$\chi(T) = \frac{2 Z_1}{V Z_0}$$



Semi-classical calculation

$$\chi(T) = \frac{2 Z_1}{V Z_0}$$

Position of instanton  $x_\mu$  arbitrary  $\rightarrow$  factor  $V$  in integral

Size  $\varrho$  of instanton  $\rightarrow$  remaining  $d\varrho$  integral

$$\chi(T) = \frac{2 Z_1}{V Z_0} = 2 \int_0^\infty d\varrho n(\varrho, T)$$

$n(\varrho, T)$ : size distribution of instantons at  $T$

Semi-classical calculation

$$n(\varrho, T) = n(\varrho)e^{-S(\varrho, T)}$$

Size distribution at  $T$  expressed from size distribution  $n(\varrho)$  at  $T = 0$

$T$ -dependence from  $S(\varrho, T)$ , dimensionless, depends on  $\lambda = \pi\varrho T$

→ Need two ingredients:  $T = 0$  results and  $T > 0$  modifications

Semi-classical calculation

Zero temperature 1-loop with light fermions,  $m_i/T, m_i/\Lambda \ll 1$

$$n(\varrho) = C \left( \frac{16\pi^2}{g^2(\mu)} \right)^{2N} e^{-\frac{8\pi^2}{g^2(\mu)}} \frac{1}{\varrho^5} (\varrho\mu)^{\beta_1} \prod_{i=1}^{N_f} (\varrho m_i(\mu))$$

$g(\mu)$  running coupling,  $m_i(\mu)$  running masses

Over-all constant coefficient  $C$  is **scheme-dependent**, because renormalization is defined in a particular scheme

Frequently used schemes: Pauli-Villars, MS,  $\overline{\text{MS}}$ , etc.

Semi-classical calculation  $T = 0$

$$n(\varrho) = C \left( \frac{16\pi^2}{g^2(\mu)} \right)^{2N} e^{-\frac{8\pi^2}{g^2(\mu)}} \frac{1}{\varrho^5} (\varrho\mu)^{\beta_1} \prod_{i=1}^{N_f} (\varrho m_i(\mu))$$

Result for  $C$  in Pauli-Villars and  $SU(2)$ :

G. 't Hooft, Phys. Rev. D 14, 3432 (1976)

Unfortunately  $C$  incorrect, but only trivial mistake (factors of  $\pi$ ),  
corrected later in erratum

Erratum: [Phys. Rev. D 18, 2199 (1978)]

Pauli-Villars  $SU(2)$  result correct

Semi-classical calculation  $T = 0$

$$n(\varrho) = C \left( \frac{16\pi^2}{g^2(\mu)} \right)^{2N} e^{-\frac{8\pi^2}{g^2(\mu)}} \frac{1}{\varrho^5} (\varrho\mu)^{\beta_1} \prod_{i=1}^{N_f} (\varrho m_i(\mu))$$

Result for  $C$  in Pauli-Villars and  $SU(N)$

C. W. Bernard, Phys. Rev. D 19, 3013 (1979).

General  $SU(N)$  in Pauli-Villars correct

Semi-classical calculation  $T = 0$

$$n(\varrho) = C \left( \frac{16\pi^2}{g^2(\mu)} \right)^{2N} e^{-\frac{8\pi^2}{g^2(\mu)}} \frac{1}{\varrho^5} (\varrho\mu)^{\beta_1} \prod_{i=1}^{N_f} (\varrho m_i(\mu))$$

More frequently used schemes: MS and  $\overline{\text{MS}}$

Need to convert  $C$  to these schemes

$$C_1 = C_2 \left( \frac{\Lambda_2}{\Lambda_1} \right)^{\beta_1}$$

Need to know  $\Lambda$ -parameter ratios

Scheme change

Needed:  $\Lambda_{PV}/\Lambda_{MS}$ , first given in original

G. 't Hooft, Phys. Rev. D 14, 3432 (1976)

Unfortunately incorrect (not in Erratum either...)

Correct result

$$\frac{\Lambda_{PV}}{\Lambda_{MS}} = e^{\frac{1}{2}(\log(4\pi) - \gamma) + \frac{1}{22}}$$

A. Hasenfratz and P. Hasenfratz, Phys. Lett. 93B, 165 (1980)

Confirmed in G. 't Hooft, Phys. Rept. 142, 357 (1986)

Scheme change

Note: incorrect  $\Lambda$ -parameter ratios in

P. Weisz, Phys. Lett. 100B, 331 (1981)

R. F. Dashen and D. J. Gross, Phys. Rev. D 23, 2340 (1981)



Scheme change

In any case,  $\overline{MS}$  result correct since Hasenfratz-Hasenfratz 1980

Most frequently used:  $\overline{MS}$

Conversion  $MS \rightarrow \overline{MS}$  should be straightforward

$$\frac{\Lambda_{\overline{MS}}}{\Lambda_{MS}} = e^{\frac{1}{2}(\log(4\pi) - \gamma)} \qquad \frac{\Lambda_{PV}}{\Lambda_{\overline{MS}}} = e^{\frac{1}{22}}$$

W. A. Bardeen, A. J. Buras, D. W. Duke and T. Muta, Phys. Rev. D 18, 3998 (1978)

And we have seen

$$C_1 = C_2 \left( \frac{\Lambda_2}{\Lambda_1} \right)^{\beta_1}$$

Scheme change

Explicitly reported in  $\overline{\text{MS}}$

A. Ringwald and F. Schrempp, Phys. Lett. B 438, 217 (1998)  
[hep-ph/9806528]

Unfortunately incorrect, never corrected before

$$C = \frac{e^{c_0 + c_1 N + c_2 N_f}}{(N-1)!(N-2)!}$$

$c_0$  and  $c_1$  correct, but  $c_2$  reported incorrectly

Problem:  $\text{MS} \rightarrow \overline{\text{MS}}$  conversion involves  $\beta_1$  which depends on  $N_f$ ,  
conversion used pure Yang-Mills  $\beta_1$ :  $c_2$  incorrect

Mismatch:  $\frac{1}{33} = \frac{2}{3} \cdot \frac{1}{22}$  where  $\frac{2}{3}$  from  $N_f$ -dependence of  $\beta$ -function,  
 $\frac{1}{22}$  from  $\overline{\text{MS}}\text{-MS}$   $\Lambda$ -parameter ratio

Scheme change

Furthermore, another wrong  $c_2$  reported in

S. Moch, A. Ringwald and F. Schrempp, Nucl. Phys. B 507, 134 (1997) [hep-ph/9609445]

I. I. Balitsky and V. M. Braun, Phys. Rev. D 47, 1879 (1993)

First correct  $\overline{MS}$  result

$$C_{\overline{MS}} = \frac{e^{c_0 + c_1 N + c_2 N_f}}{(N-1)!(N-2)!}$$

$$c_0 = \frac{5}{6} + \log 2 - 2 \log \pi = -0.76297926$$

$$c_1 = 4\zeta'(-1) + \frac{11}{36} - \frac{11}{3} \log 2 = -2.89766868$$

$$c_2 = -4\zeta'(-1) - \frac{67}{396} - \frac{1}{3} \log 2 = 0.26144360$$

Ringwald-Schrempp:  $c_2 = 0.291746$

Moch-Ringwald-Schrempp, Balitsky-Braun:  $c_2 = 0.153$

First correct  $\overline{\text{MS}}$  result

$$n(\varrho) = C_{\overline{\text{MS}}} \left( \frac{16\pi^2}{g^2(\mu)} \right)^{2N} e^{-\frac{8\pi^2}{g^2(\mu)}} \frac{1}{\varrho^5} (\varrho\mu)^{\beta_1} \prod_{i=1}^{N_f} (\varrho m_i(\mu))$$

Finally  $T = 0$  instanton size distribution in  $\overline{\text{MS}}$  at 1-loop

Once  $C_{\overline{\text{MS}}}$  okay, (partial) 2-loop result from literature can be taken over

Semi-classical calculation  $T > 0$

$$n(\varrho, T) = n(\varrho)e^{-S(\lambda)} \qquad \lambda = \pi\varrho T$$

$$S(\lambda) = \frac{1}{3}\lambda^2(2N + N_f) + 12A(\lambda) \left(1 + \frac{N - N_f}{6}\right)$$

D. J. Gross, R. D. Pisarski and L. G. Yaffe, Rev. Mod. Phys. 53, 43 (1981)

$$12A(\lambda) = \frac{1}{16\pi^2} \left[ \int_{S^1 \times R^3} \left( \frac{\partial_\mu \Pi \partial_\mu \Pi}{\Pi^2} \right)^2 - \int_{R^4} \left( \frac{\partial_\mu \Pi_0 \partial_\mu \Pi_0}{\Pi_0^2} \right)^2 \right]$$

Semi-classical calculation  $T > 0$

$$12A(\lambda) = \frac{1}{16\pi^2} \left[ \int_{S^1 \times R^3} \left( \frac{\partial_\mu \Pi \partial_\mu \Pi}{\Pi^2} \right)^2 - \int_{R^4} \left( \frac{\partial_\mu \Pi_0 \partial_\mu \Pi_0}{\Pi_0^2} \right)^2 \right]$$

- $\Pi_0$  from 1-instanton solution on  $R^4$ :  $\Pi_0 = 1 + \frac{\rho^2}{t^2 + r^2}$
- $\Pi$  is from Harrington-Sheppard 1-instanton solution on  $S^1 \times R^3$

Semi-classical calculation  $T > 0$

Because of spherical symmetry,  $A(\lambda)$  is a 2-dimensional integral

Analytically not possible, numerical form from Gross-Pisarski-Yaffe:

$$12A_{GPY}(\lambda) = -\log\left(1 + \frac{\lambda^2}{3}\right) + \frac{12\alpha}{\left(1 + \gamma\lambda^{-3/2}\right)^8}$$

$$\alpha = 0.01289764$$

$$\gamma = 0.15858$$

Claimed absolute numerical uncertainty:  $6 \cdot 10^{-4}$

Once  $A(\lambda)$  is known, the full  $\chi(T)$  is known semi-classically

Above  $A_{GPY}$  used in **all** works



New results for  $A(\lambda)$

Main motivation was to understand the peculiar form of  $A(\lambda)$

In Gross-Pisarski-Yaffe no details are given

Technically: difference of two 2D integrals, both are divergent, difference finite

We do three things:

- Evaluate numerically to high precision
- Obtain analytic  $\lambda \ll 1$  and  $\lambda \gg 1$  series
- Fit numerical result with simple function

New results for  $A(\lambda)$

Technically: reduce to 1-dimensional integral

$$12A(\lambda) = \frac{1}{2} \int_0^\infty dr r^2 (I(r) - I_0(r))$$

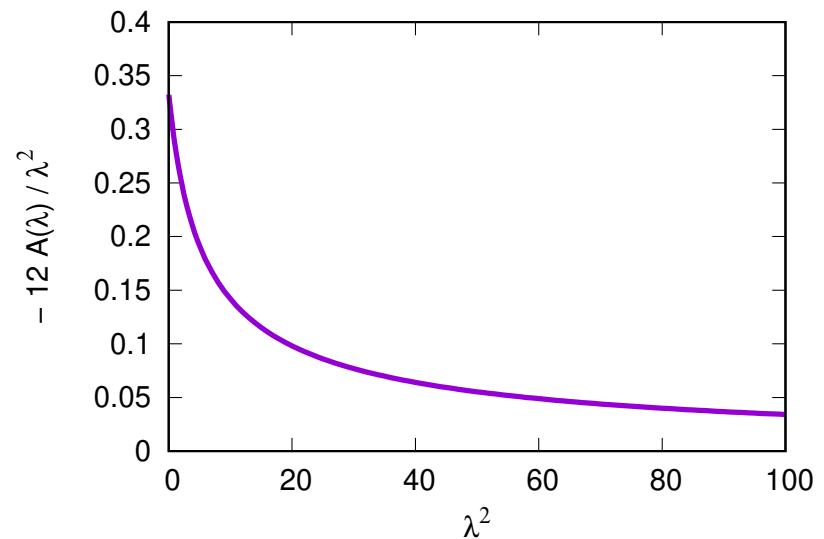
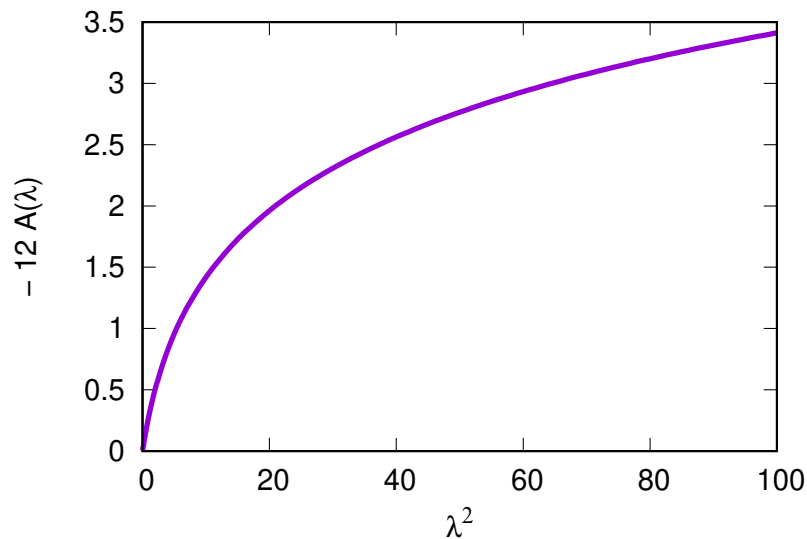
$I(r)$  and  $I_0(r)$  analytically

$r$ -integrals separately divergent, difference finite, large cancellation

New results for  $A(\lambda)$

Numerical evaluation of  $r$ -integrals: trapezoid or Simpsons on  $(0, 8)$ , semi-analytic or  $(8, \infty) \rightarrow$  absolute precision  $O(10^{-6})$

Essential:  $O(100)$  significant digits because of large cancellations between  $I(r)$  and  $I_0(r)$  and also inside  $I(r)$  for small  $\lambda$



New results for  $A(\lambda)$  - asymptotics

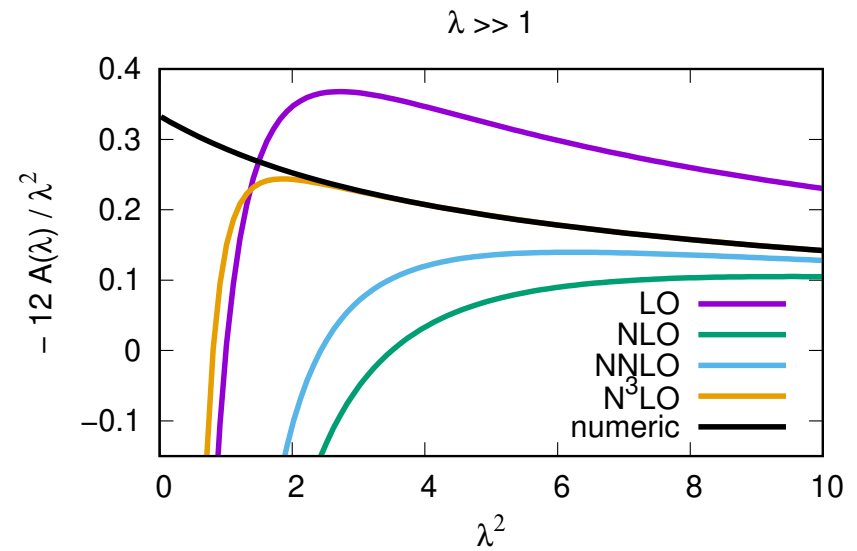
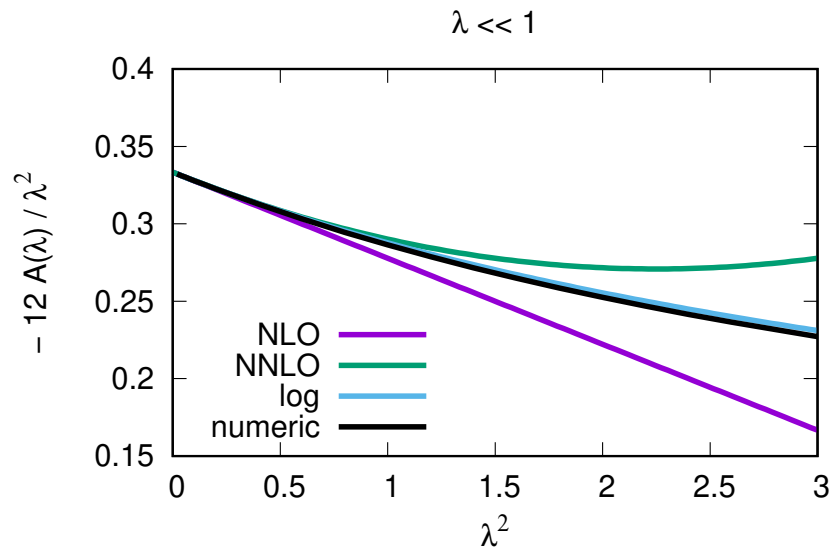
Small  $\lambda$  asymptotics - log still a bit mysterious

$$12A(\lambda) = -\frac{1}{3}\lambda^2 + \frac{1}{18}\lambda^4 - \frac{1}{81}\lambda^6 + O(\lambda^7) = -\log\left(1 + \frac{\lambda^2}{3}\right) + O(\lambda^7)$$

Large  $\lambda$  asymptotics

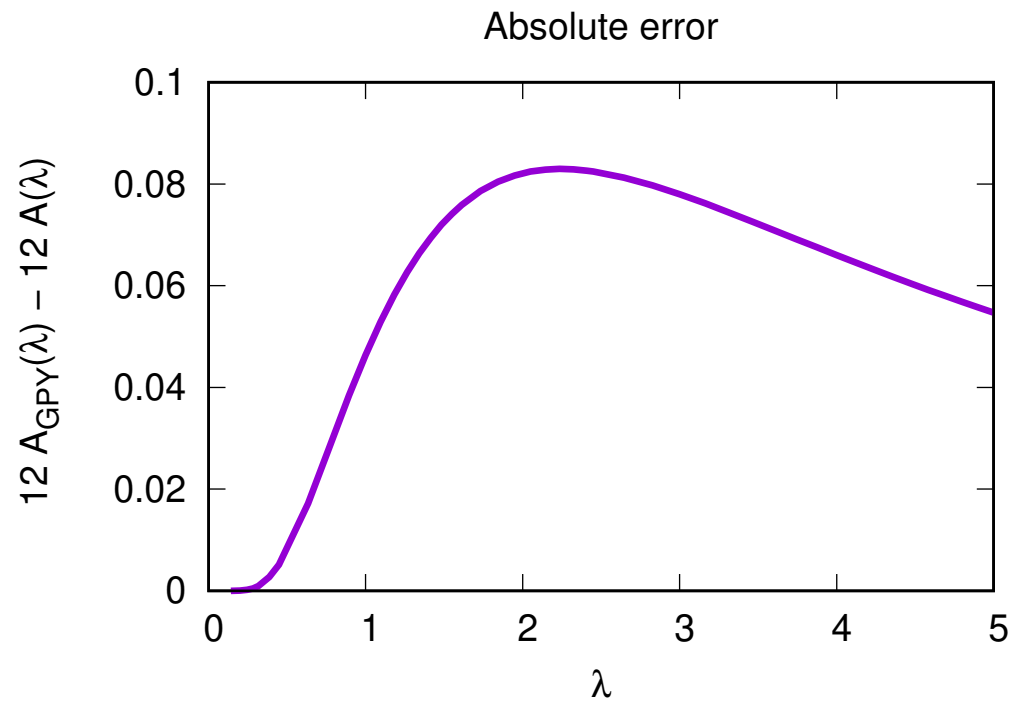
$$12A(\lambda) = -\log(\lambda^2) + C_1 - \frac{\log(\lambda^2)}{\lambda^2} - \frac{C_2}{\lambda^2} + O\left(\frac{1}{\lambda^3}\right)$$
$$C_1 = 2\left(\frac{1}{3} - \frac{\pi^2}{36} - \gamma + \log \pi\right) = 1.25338375$$
$$C_2 = 1 + \log 2 + \frac{\pi^2}{36} + \gamma - \log \pi = 1.39978864$$

## New results for $A(\lambda)$ - asymptotics



These look good - let's compare with Gross-Pisarski-Yaffe

New results for  $A(\lambda)$  - comparison with GPY



$8 \cdot 10^{-2}$ , two orders of magnitude worse than claimed!

GPY: 2D integral numerically

New results for  $A(\lambda)$  - useful parametrization

$$-12A_{param}(\lambda) = p_0 \log(1 + p_1\lambda^2 + p_2\lambda^4 + p_3\lambda^6 + p_4\lambda^8)$$

$$p_0 = 0.247153244, \quad p_1 = 1.356391323$$

$$p_2 = 0.675021523, \quad p_3 = 0.145446632, \quad p_4 = 0.008359667$$

Absolute precision  $2 \cdot 10^{-4}$

Biggest deviation from GPY:  $\lambda = O(1)$  because of large cancellations inside  $I(r) \rightarrow$  the most sensitive region for  $\varrho$ -integral in  $\chi(T) \rightarrow$  potentially large effect

Absolute and relative precision

Absolute precision on  $A(\lambda) \rightarrow$

Relative precision on  $n(\varrho, T) \sim e^{-12A(\lambda) \left(1 + \frac{N-N_f}{6}\right)} \rightarrow$

Relative precision on  $\chi(T)$

Discrepancy  $A_{GPY}$  vs. our  $A_{param}$  in  $\chi(T)$ :

- $SU(3)$   $N_f = 0, 2, 3, 4$ : 10%, 7%, 6%, 4%
- $SU(10)$  pure Yang-Mills: 22%
- $SU(20)$  pure Yang-Mills: 40% (scales with  $N$ )



Accounting for  $T = 0$  and  $T > 0$  discrepancies in QCD

$T = 0$  from  $C_{\overline{MS}}$ : approx 5% (correct smaller)

$T > 0$  from  $A(\lambda)$ : approx 5% (correct larger)

But in opposite directions ... nearly cancel

Eventually very small effect in QCD

But at least now the semi-classical result is fully correct

## Summary

- Obtained  $n(\rho, T)$  at high temperature semi-classically
- Needed to correct  $T = 0$   $\overline{MS}$ -results in literature
- Needed to correct  $T > 0$  1-loop fluctuation determinant
- Makes  $\chi(T)$  comparison with lattice possible
- Exactly **zero** new or original idea :)
- Nevertheless interesting outcome from simple BSc thesis topic

Thank you for your attention!