



# Determination of the total charm cross section in 5TeV $pp$ collisions in HonexComb project

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for the HonexComb charm group:

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Quark-Gluon Plasma Characterisation with Heavy Flavour Probes  
ECT\* workshop

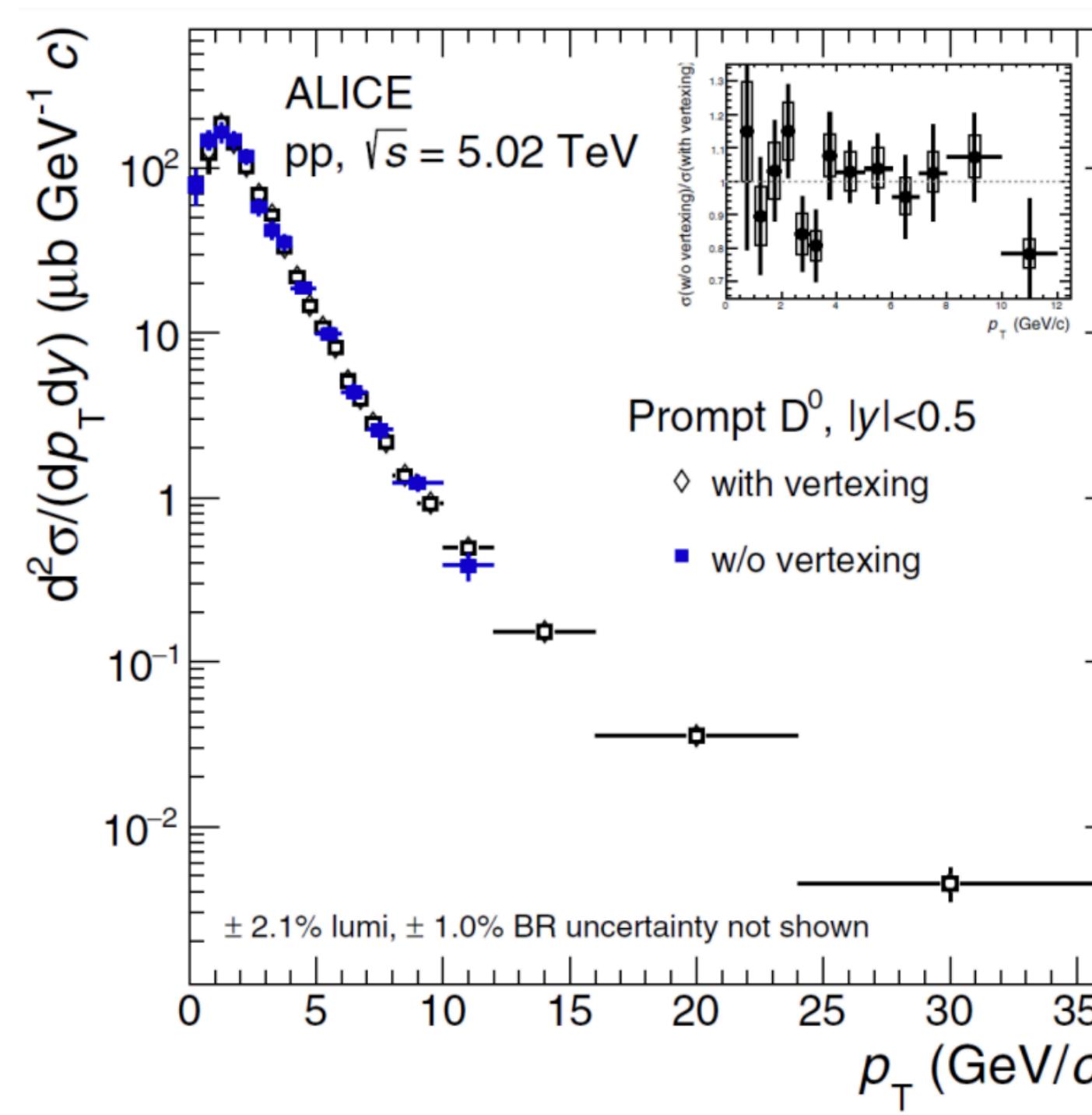
# Total charm cross-section in $pp$ collisions

- The measurement of the total charm cross-section emerged as one of the most promising area where combining measurements from different experiment could provide important benefits.
- All LHC experiments have competitive results for charmed hadrons that cover different regions of kinematic phase space ==> ingredients for the total charm cross-section
- A collection of open charm meson results from LHC:

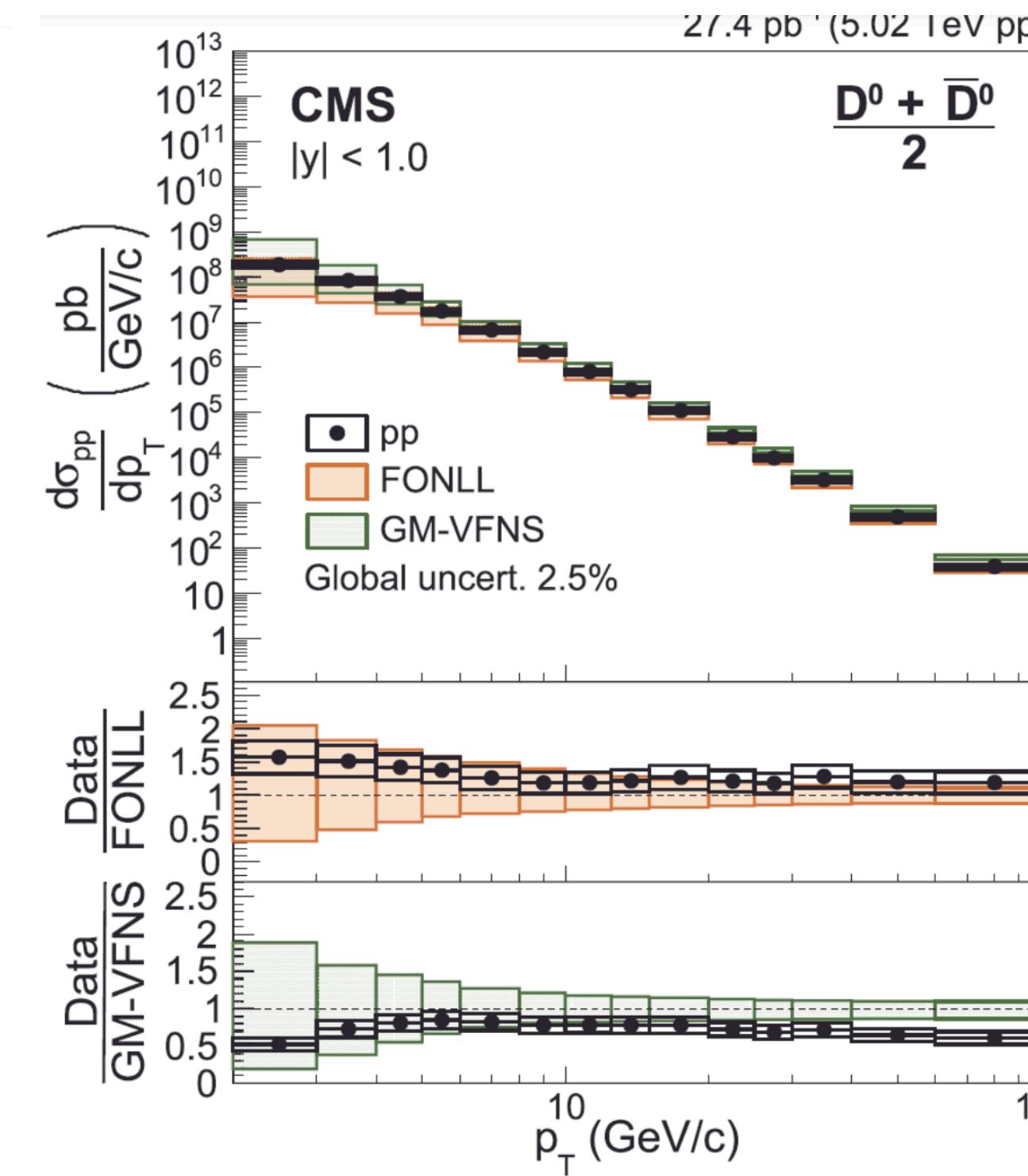
<b><math>pp</math></b>	<b>ALICE</b>	<b>LHCb</b>	<b>CMS</b>	<b>ATLAS</b>
5TeV	Eur. Phys. J. C79 (2019) 388 $D^0, D^\pm, D_s^\pm, D^{*\pm}$	JHEP 06 (2017) 147 $D^0, D^\pm, D_s^\pm, D^{*\pm}$	Phys. Lett. B 782 (2018) 474 $D^0$	
7TeV	Eur. Phys. J. C77 (2017) 550 $D^0, D^\pm, D_s^\pm, D^{*\pm}$	Nucl. Phys. B871 (2013) 1 $D^0, D^\pm, D_s^\pm, D^{*\pm}, \Lambda_c^+$		Nucl. Phys. B 907 (2016) 717 $D^\pm, D_s^\pm, D^{*\pm}$
13TeV		JHEP 05 (2017) 074, JHEP 09 (2016) 013 $D^0, D^\pm, D_s^\pm, D^{*\pm}$		

- 5TeV is a good starting point
  - New ALICE papers on prompt  $\Lambda_c^+$  production in 5TeV ([PRC 104, 054905, PRL 127, 202301](#))

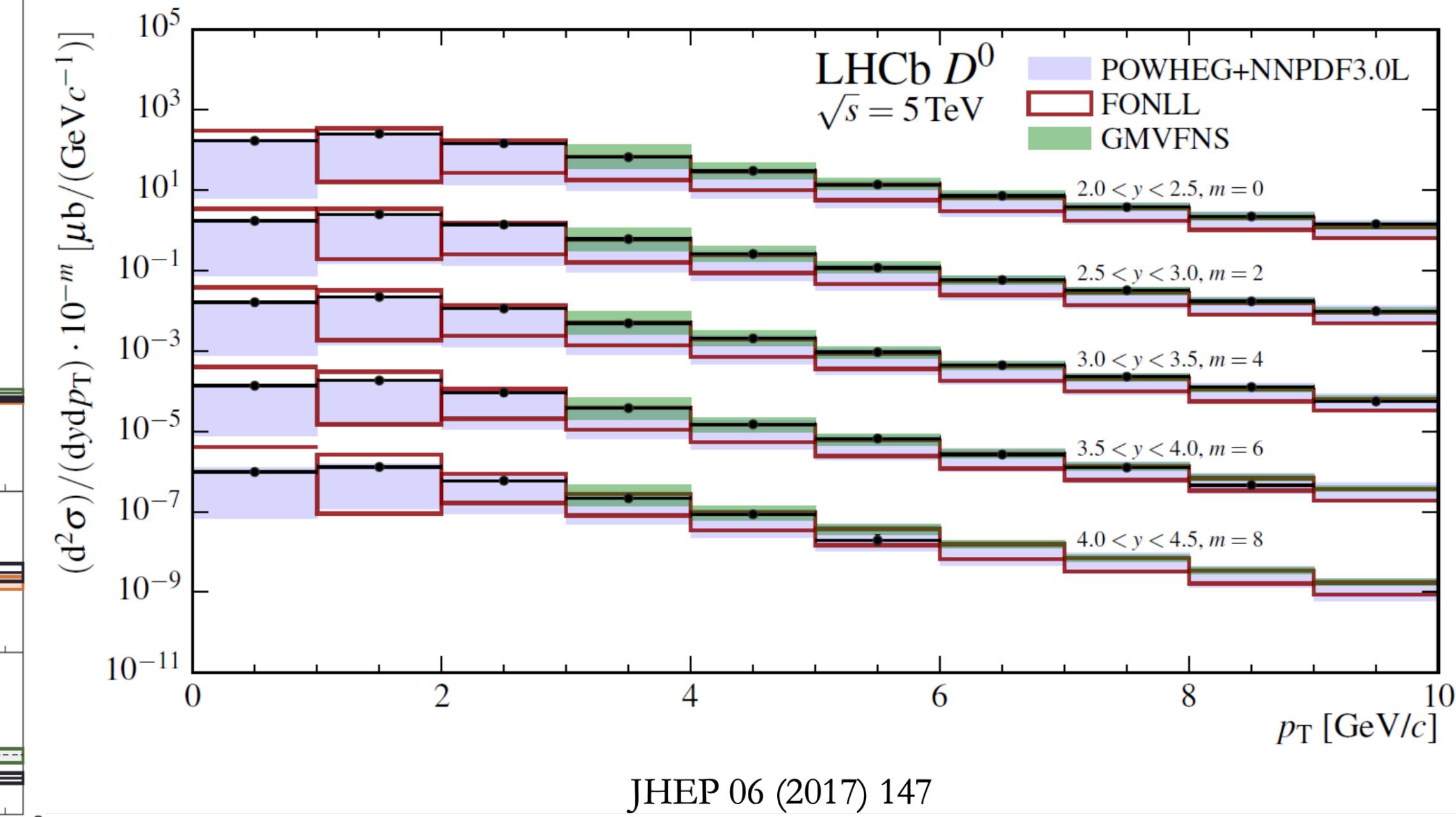
# Available open charm results at 5TeV $pp$ collisions D mesons



Eur. Phys. J. C79 (2019) 388



Phys. Lett. B 782 (2018) 474



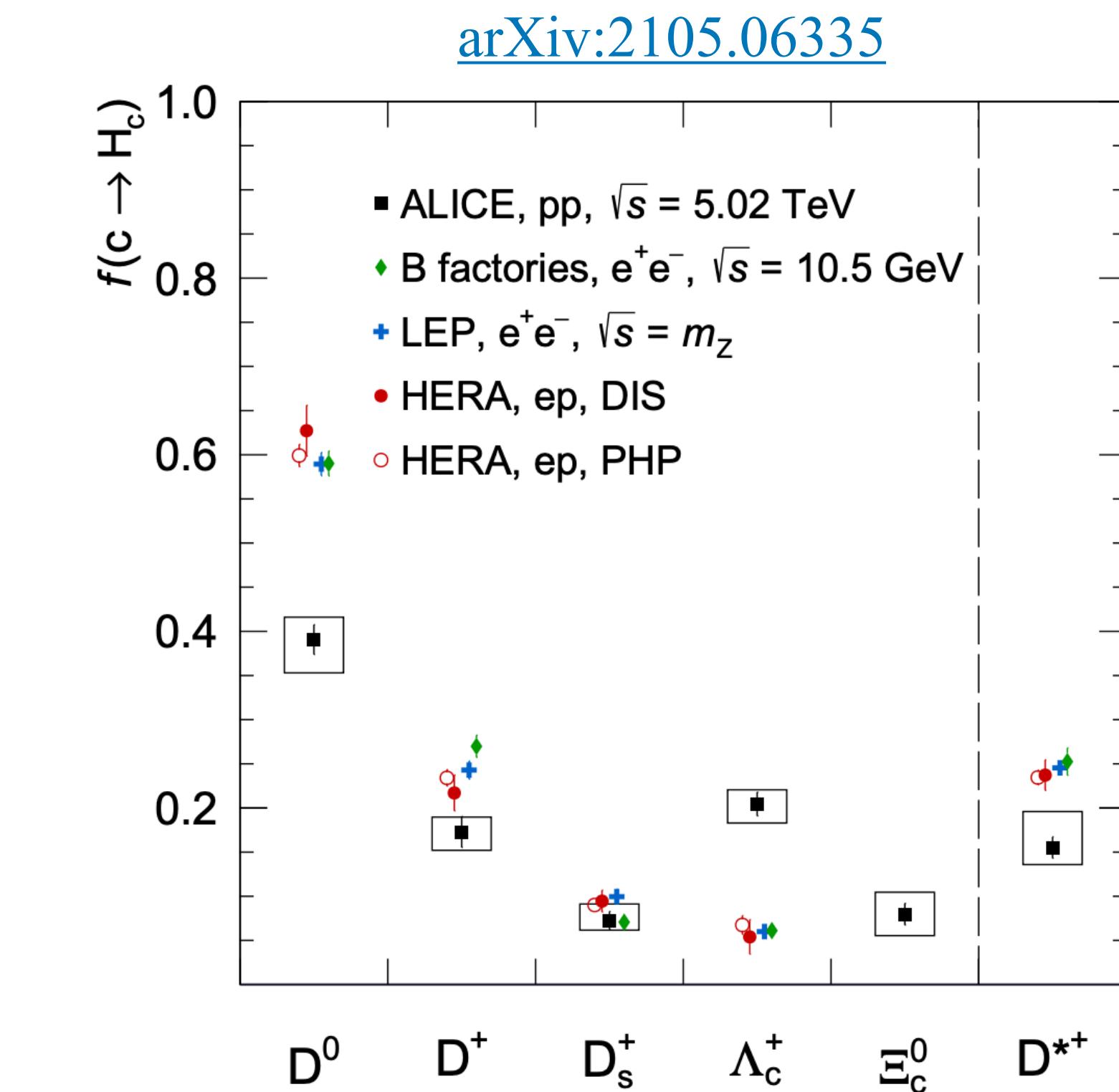
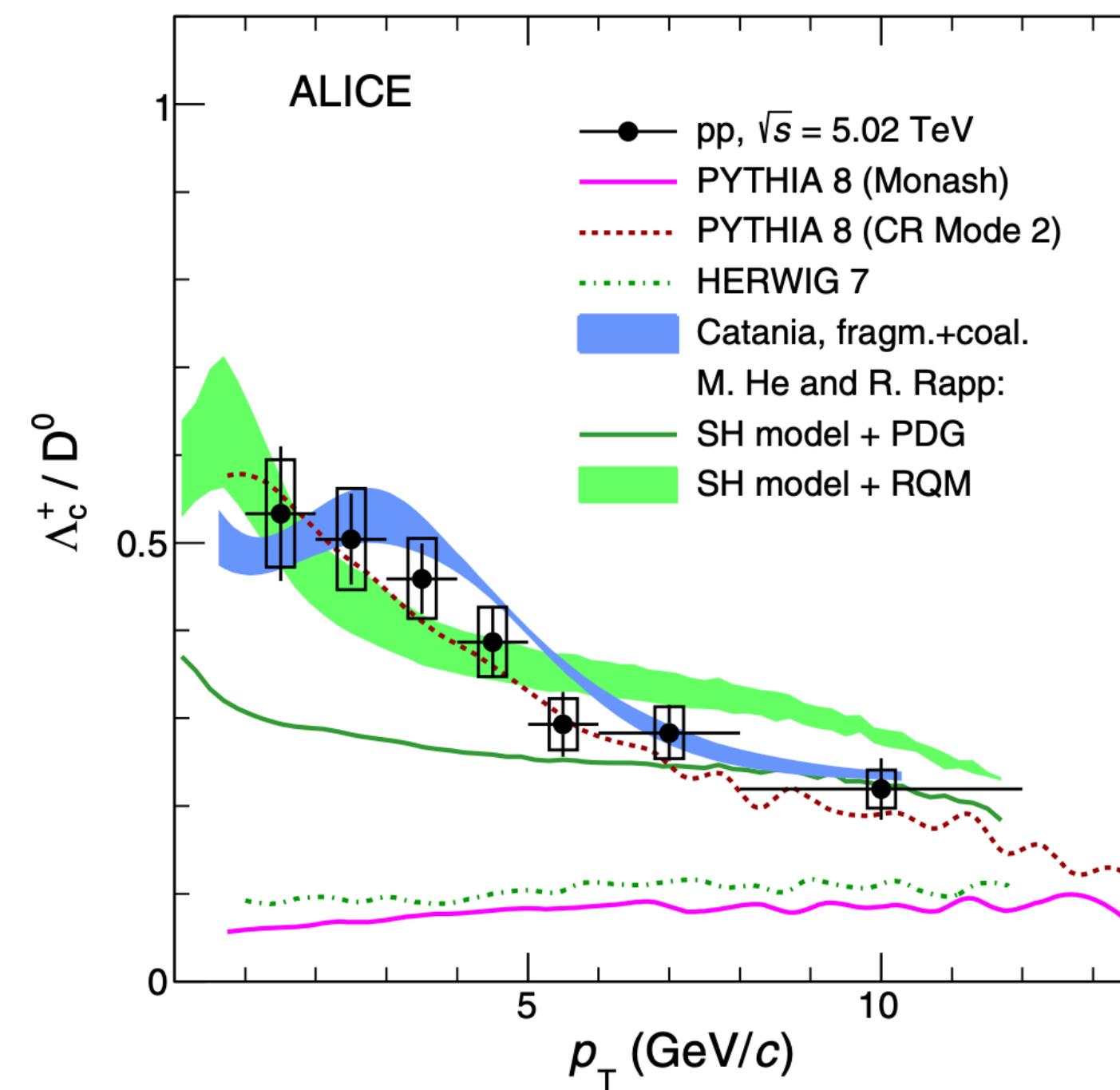
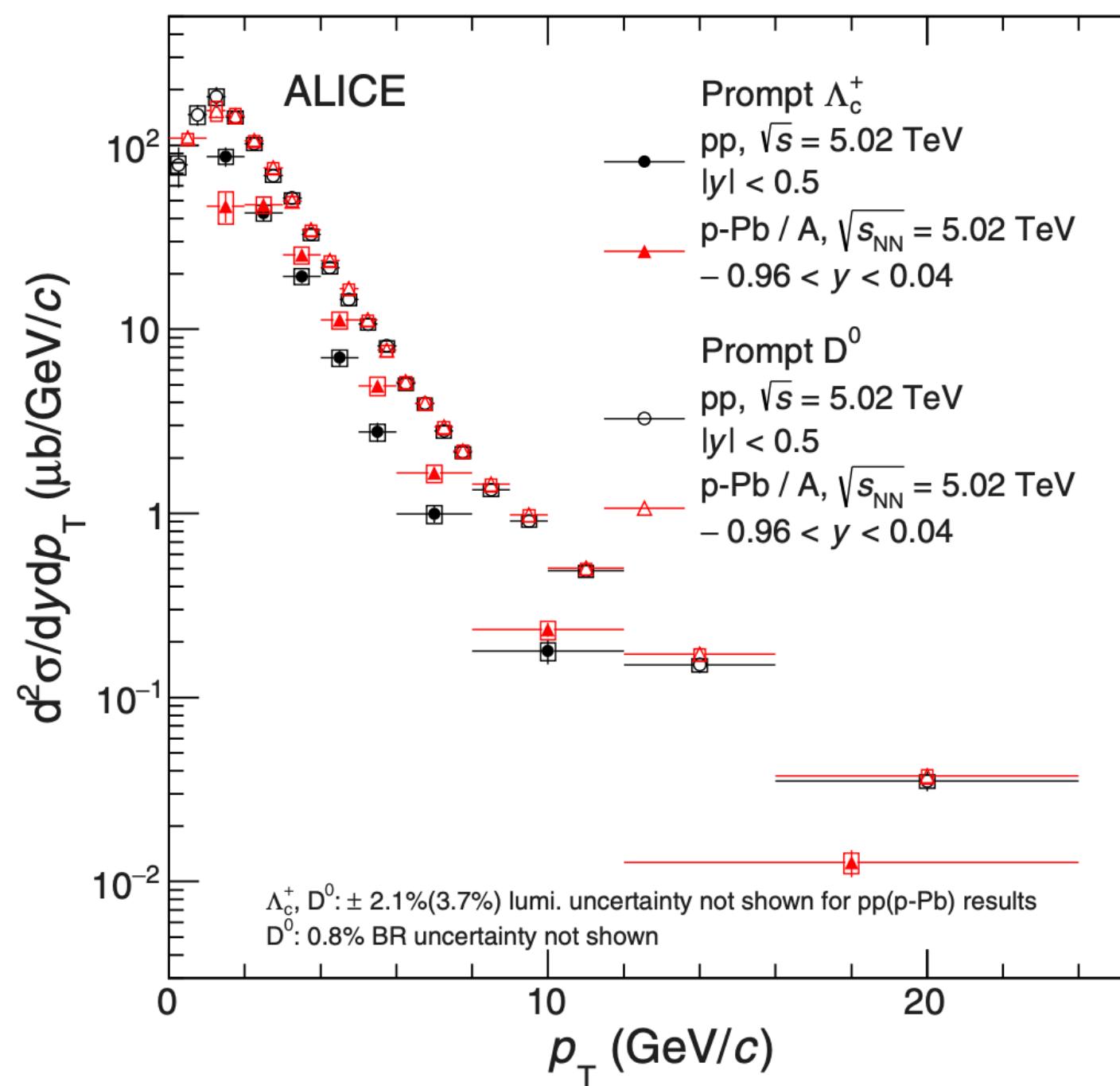
JHEP 06 (2017) 147

$D^0$ in 5TeV $pp$	ALICE	LHCb	CMS
$p_T$ [GeV/c]	0 -- 36	0 -- 10	2 -- 100
Rapidity	$ y  < 0.5$	$2.0 < y < 4.5$	$ y  < 1.0$

# Available open charm results at 5TeV $pp$ collisions

## Charmed baryons

PRL 127, 202301



- The need of precisely measuring charmed baryon production has become relevant in presence of large enhancement in  $pp$  compared to  $e^+e^-$
- Need to estimate the effect of different enhancement scenarios of these particles on the total cross section

# Objectives

- Objectives: obtain a combined measurement of  $\sigma_{tot}(c\bar{c})$  and  $\sigma_{charm}$  vs.  $p_T$  and  $y$  using existing published measurements of ALICE, CMS and LHCb at 5 TeV.
  - Understand theory, find “best” description for total charm cross-section
  - **Critical input for calculations in AA collisions**
- Goals:
  - Collecting in a common database the relevant charm measurements in  $pp$  collisions in a consistent ROOT/txt format.
  - Providing summary plots to be used in review papers and summary talks
  - Providing comprehensive comparisons to theoretical calculations in the various rapidity and transverse momentum regions.
  - Encourage the development of dedicated tunes for theoretical calculations (e.g. Pythia) that consider the knowledge acquired after 10 years of charm measurements at the LHC
  - Common and unique inputs for charm differential cross-section vs.  $p_T$  and rapidity to be used as input for AA theoretical calculations
  - **Provide an estimation of the total charm cross-section, which incorporates the constraints coming from the various LHC experiments**

# Charm results and combination

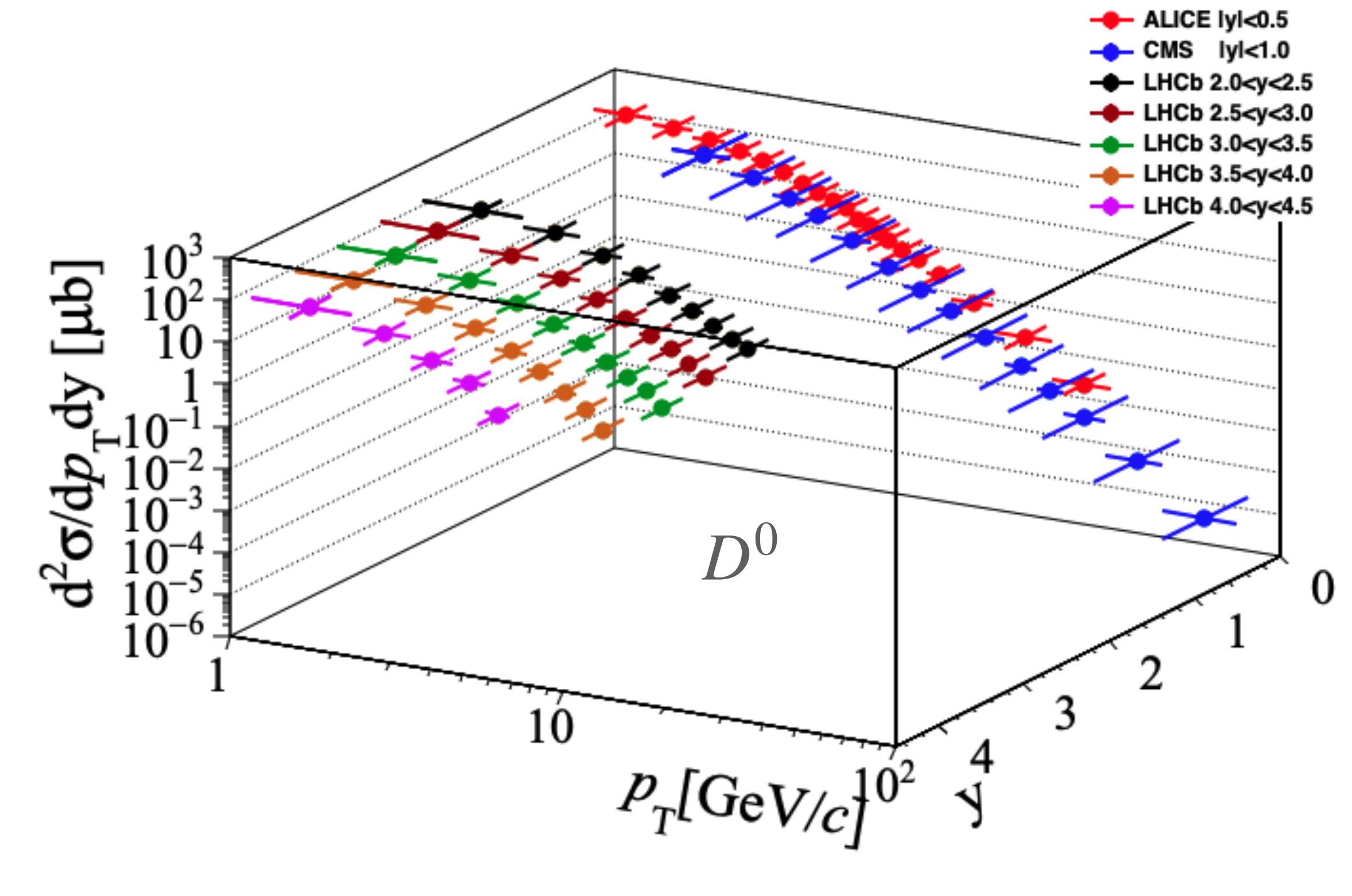
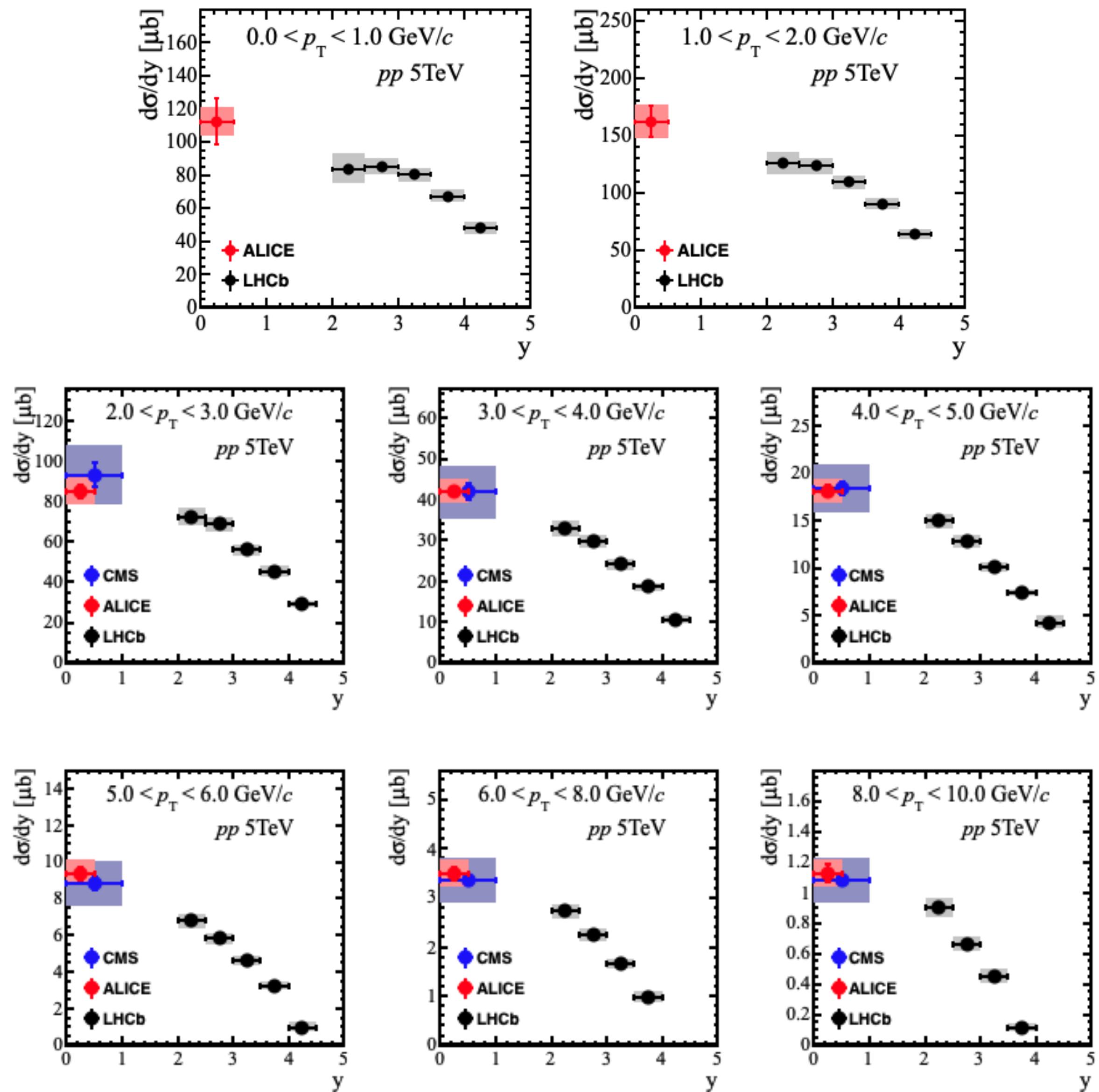
- Collection of open charm results in  $pp$  collisions at 5 TeV

Experiment	Ref. code	Hadronic decays
ALICE	EPJC 79, 388 (2019)	$D^0 \rightarrow K^-\pi^+$ , $D^+ \rightarrow K^-\pi^+\pi^+$ , $D_s^+ \rightarrow \phi\pi^+ \rightarrow K^+K^-\pi^+$ , $D^{*+} \rightarrow D^0\pi^+ (+ c.c.)$
ALICE	PRL 127, 202301	$\Lambda_c^+ \rightarrow pK^-\pi^+$ , $\Lambda_c^+ \rightarrow pK_S^0 (+ c.c.)$
ALICE	PRC 104, 054905	$\Lambda_c^+ \rightarrow pK^-\pi^+$ , $\Lambda_c^+ \rightarrow pK_S^0 (+ c.c.)$
CMS	PLB, v. 782, 2018, p 474-496	$D^0 \rightarrow K^-\pi^+ (+ c.c.)$
CMS	PLB, v. 803, 2020, 135328	$\Lambda_c^+ \rightarrow pK^-\pi^+ (+ c.c.)$
LHCb	JHEP, 147 (2017)	$D^0 \rightarrow K^-\pi^+$ , $D^+ \rightarrow K^-\pi^+\pi^+$ , $D_s^+ \rightarrow \phi\pi^+ \rightarrow K^+K^-\pi^+$ , $D^{*+} \rightarrow D^0\pi^+ (+ c.c.)$

- Kinematic regions ( $D^0$ ):
  - ALICE:  $0 < p_T < 36 \text{ GeV}/c$ ;  $-0.5 < y < 0.5$
  - CMS:  $2 < p_T < 100 \text{ GeV}/c$ ;  $-1.0 < y < 1.0$
  - LHCb:  $0 < p_T < 10 \text{ GeV}/c$ ;  $2.0 < y < 4.5$  in  $\Delta y = 0.5$  bins
- Produce compilation plots of  $\sigma_{charm}$  vs.  $p_T$  and  $y$
- All information collected and accessible in twiki:
- <https://twiki.cern.ch/twiki/bin/view/Honexcomb/HonexcombCharmSection>

Decay	Branching ratio
$D^0 \rightarrow K^-\pi^+$	$3.950 \pm 0.031\%$
$D^+ \rightarrow K^-\pi^+\pi^+$	$9.38 \pm 0.16\%$
$D_s^+ \rightarrow \phi\pi^+ \rightarrow K^+K^-\pi^+$	$2.24 \pm 0.08\%$
$\Lambda_c \rightarrow pK^-\pi^+$	$6.28 \pm 0.32\%$
$\Lambda_c \rightarrow pK_S^0 \rightarrow p\pi^+\pi^-$	$1.10 \pm 0.06\%$

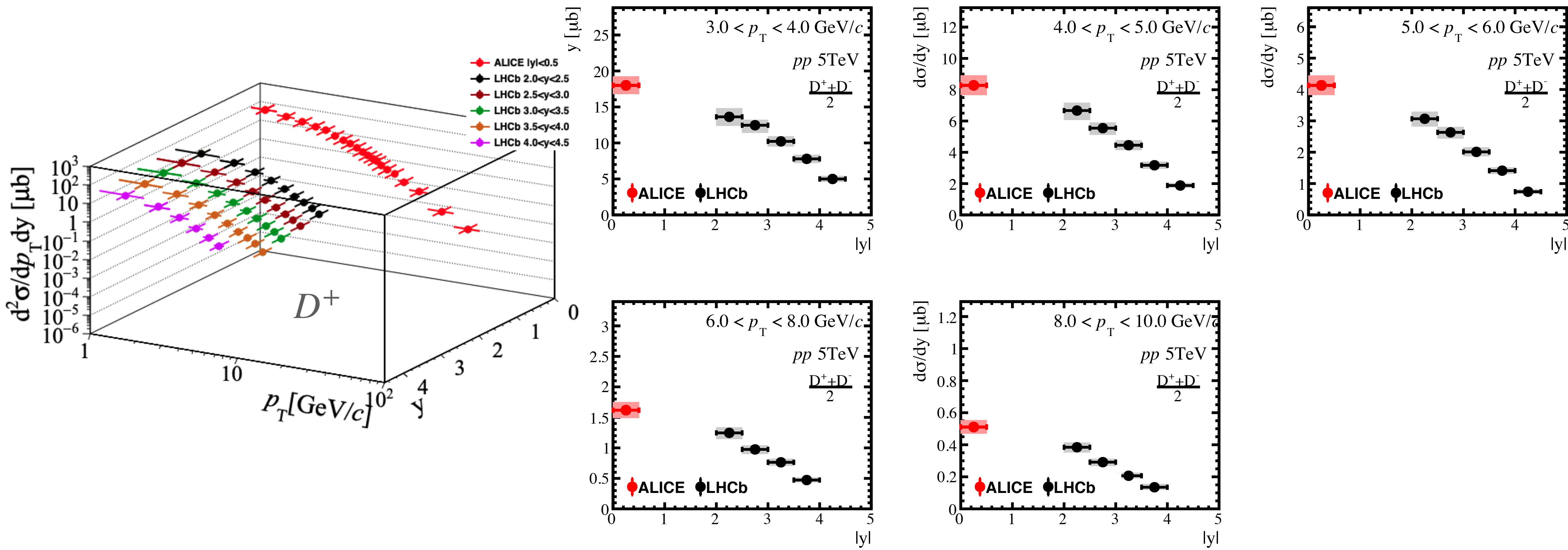
# Combination plots for $D^0$



- Kinematic regions ( $D^0$ ):
- ALICE:  $0 < p_T < 36 \text{ GeV}/c$ ;  $-0.5 < y < 0.5$
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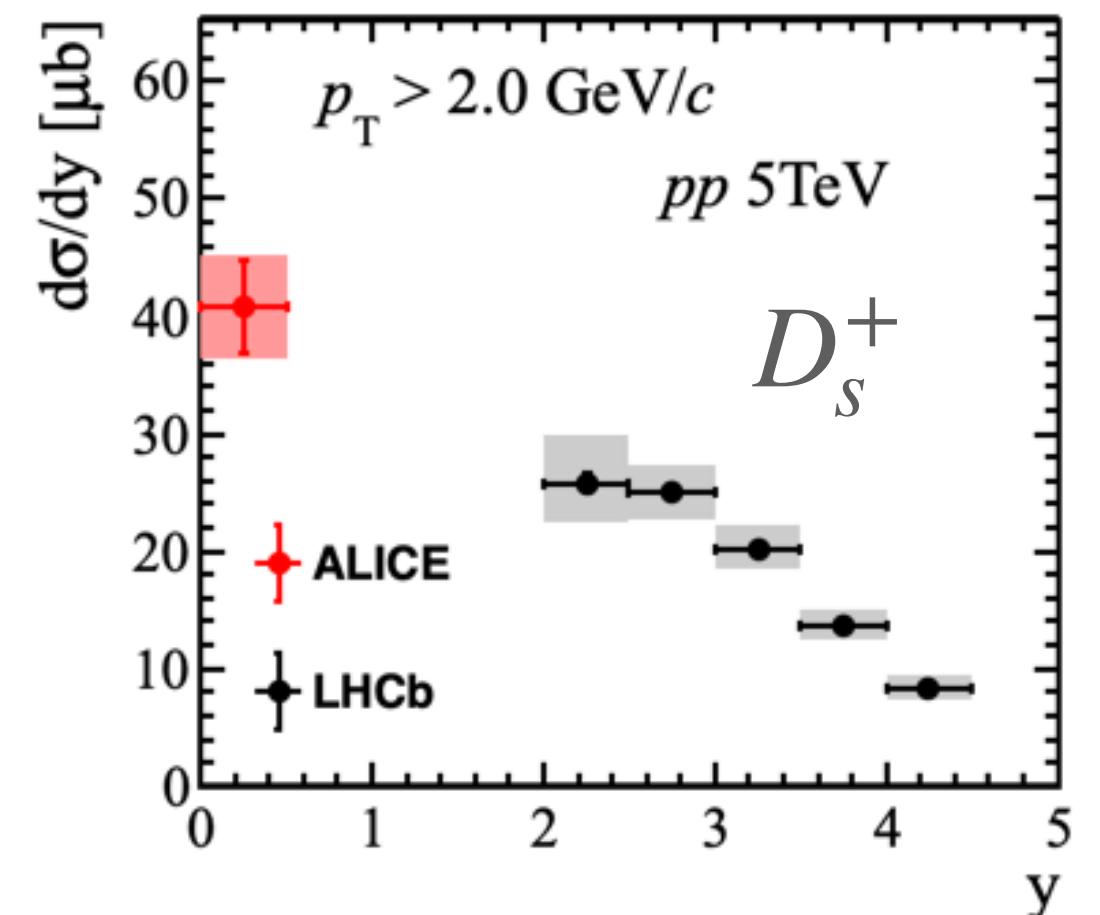
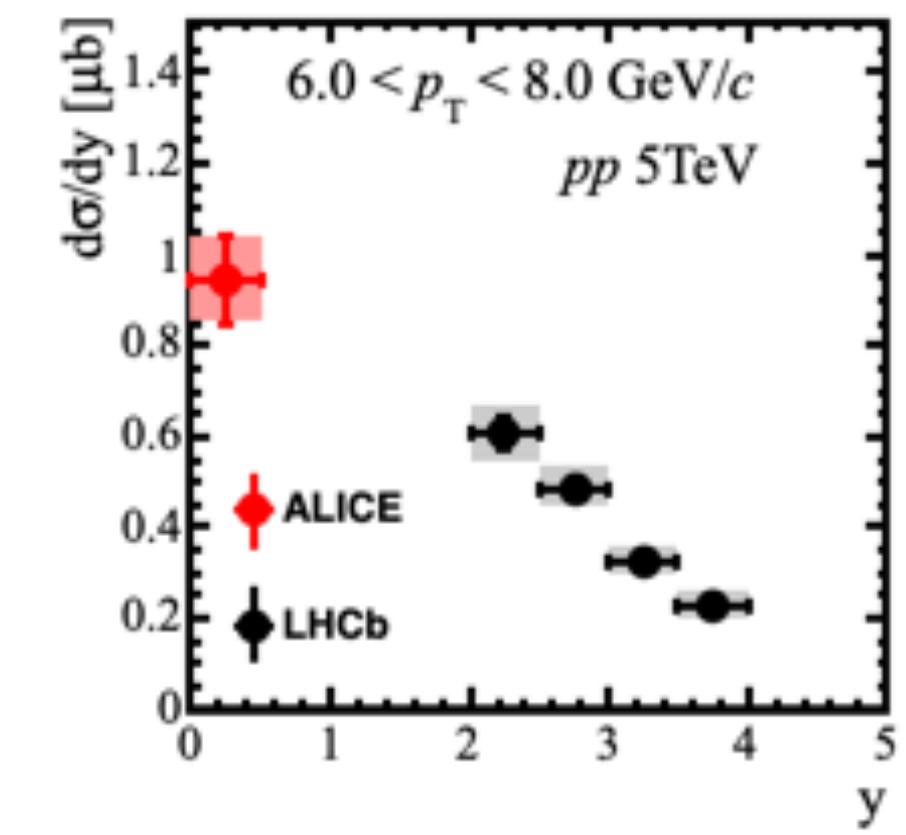
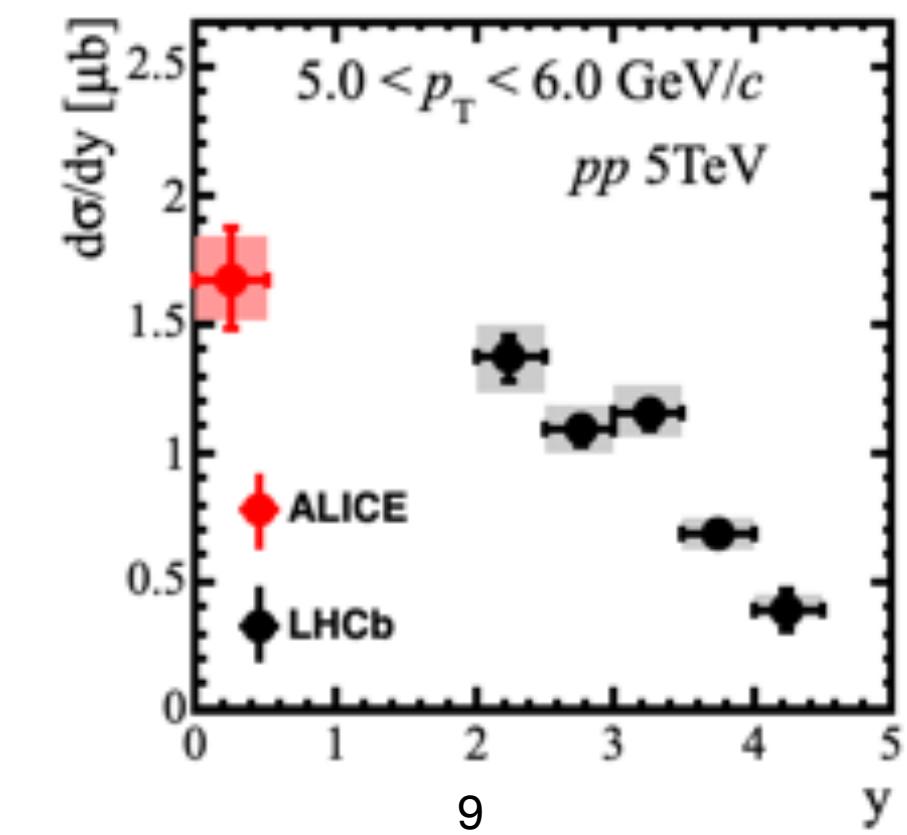
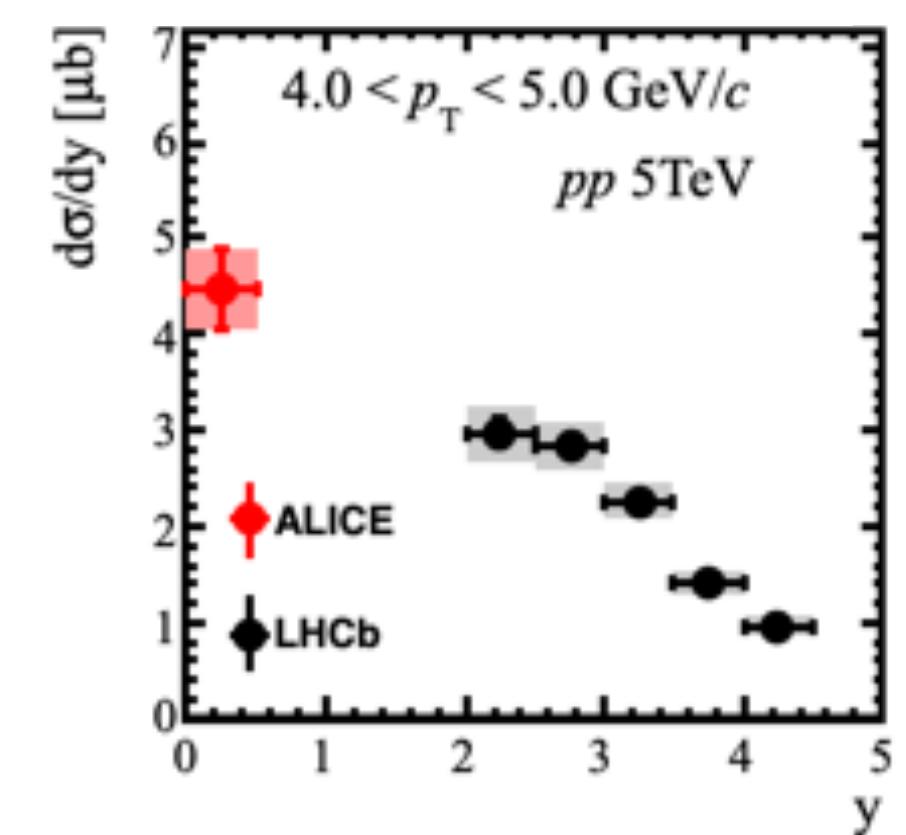
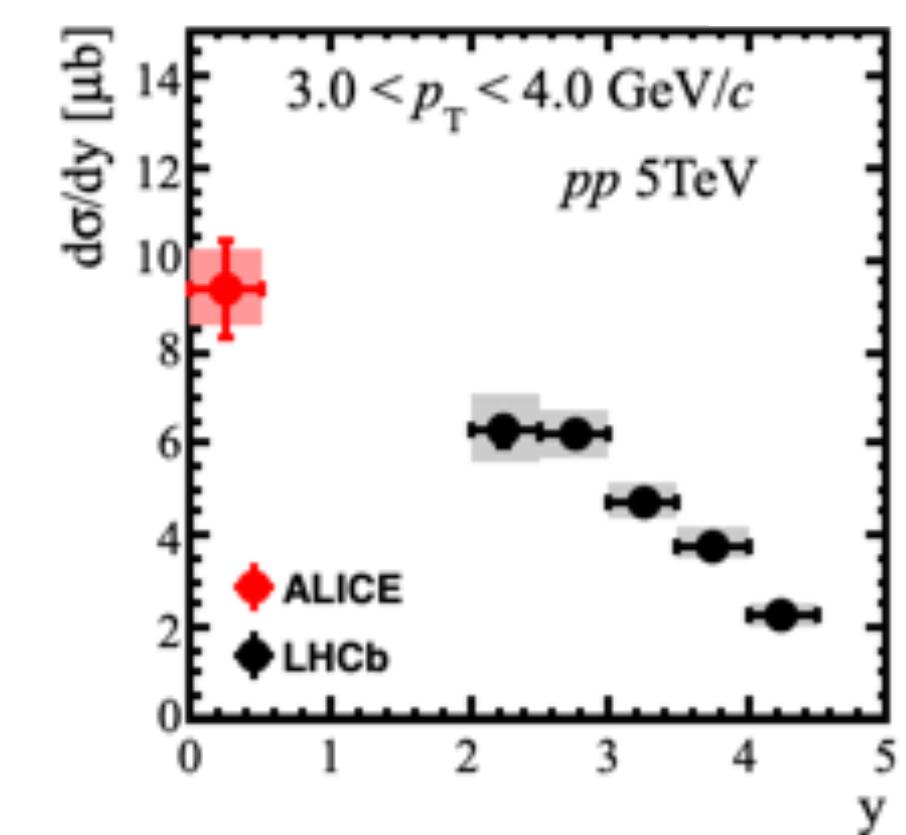
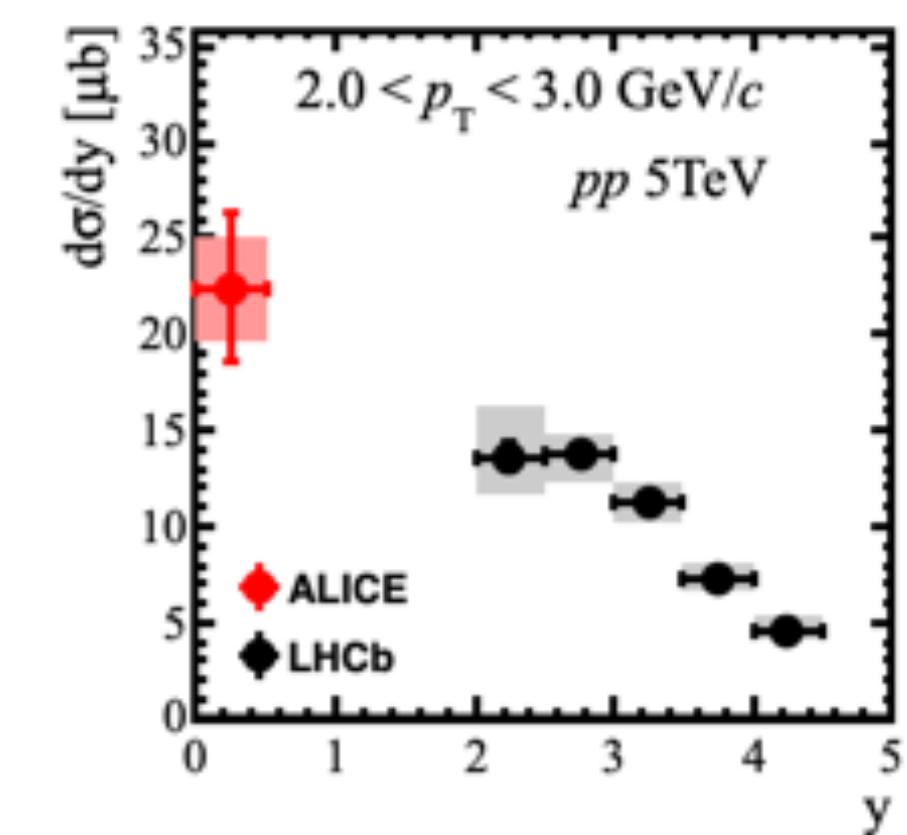
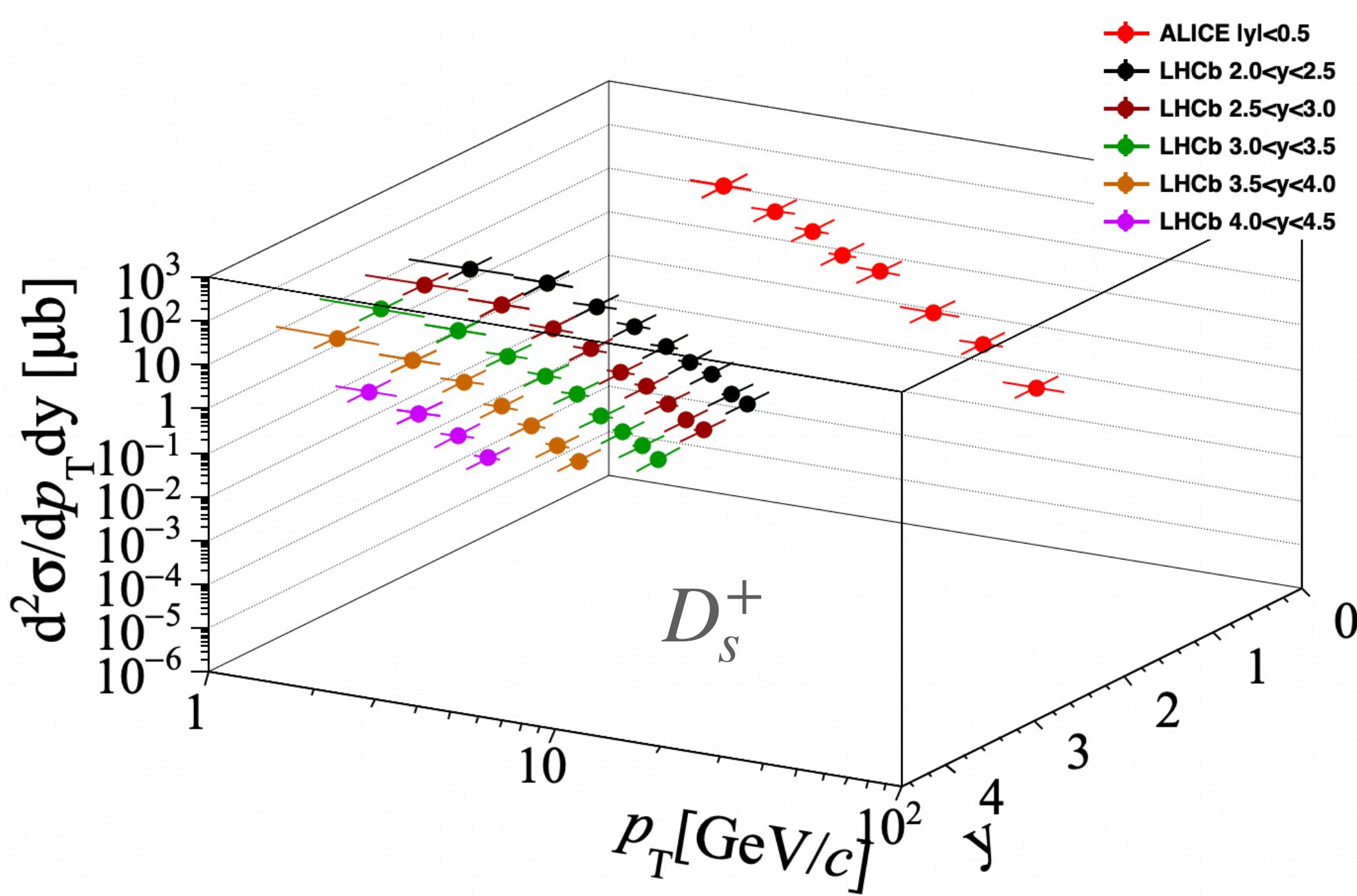
# Combination plots for $D^+$

- $D^+$  cross-section in  $p_T$  and  $y$
- $D^+$  cross-section vs.  $y$  in  $p_T$  slices



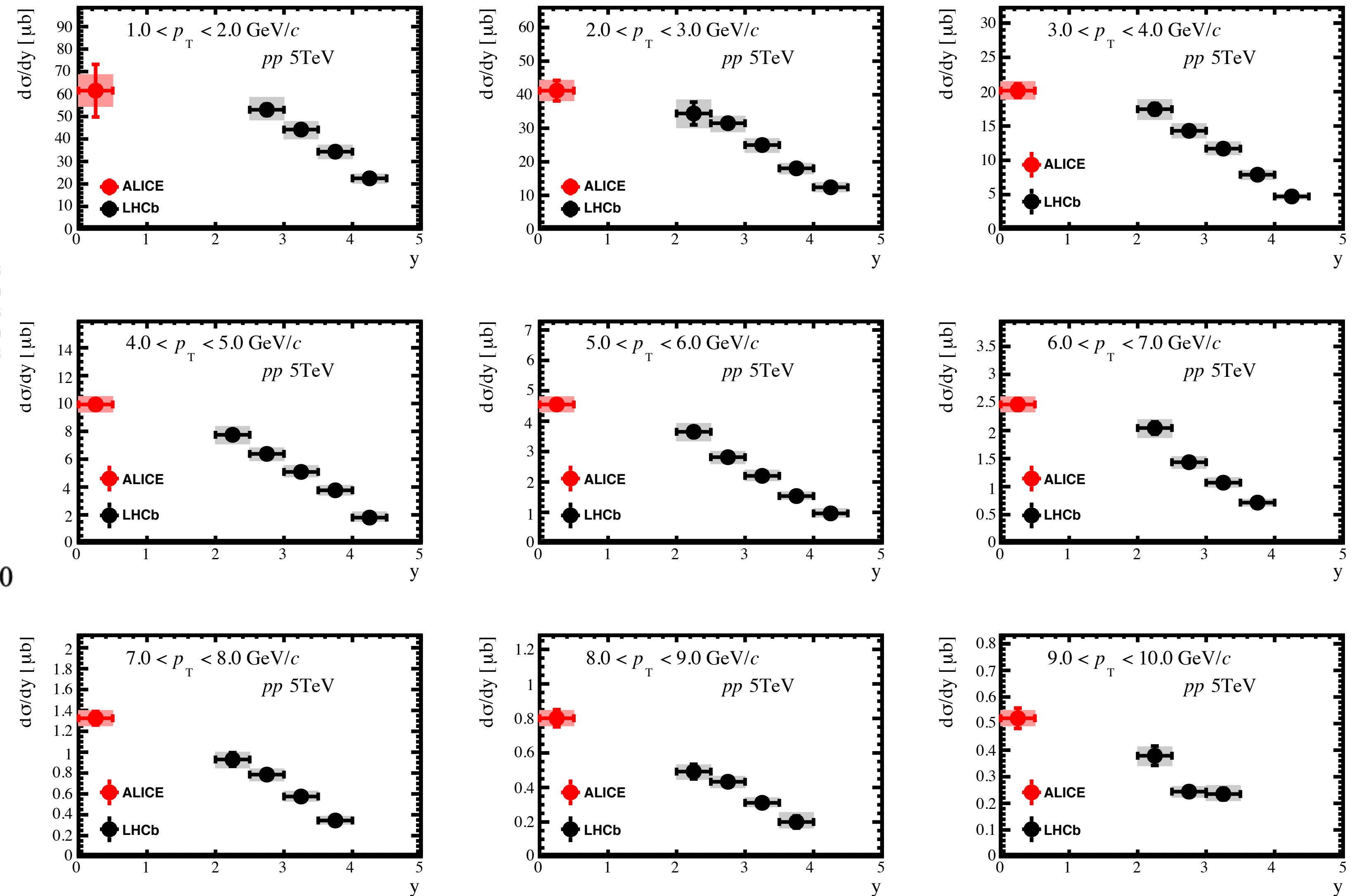
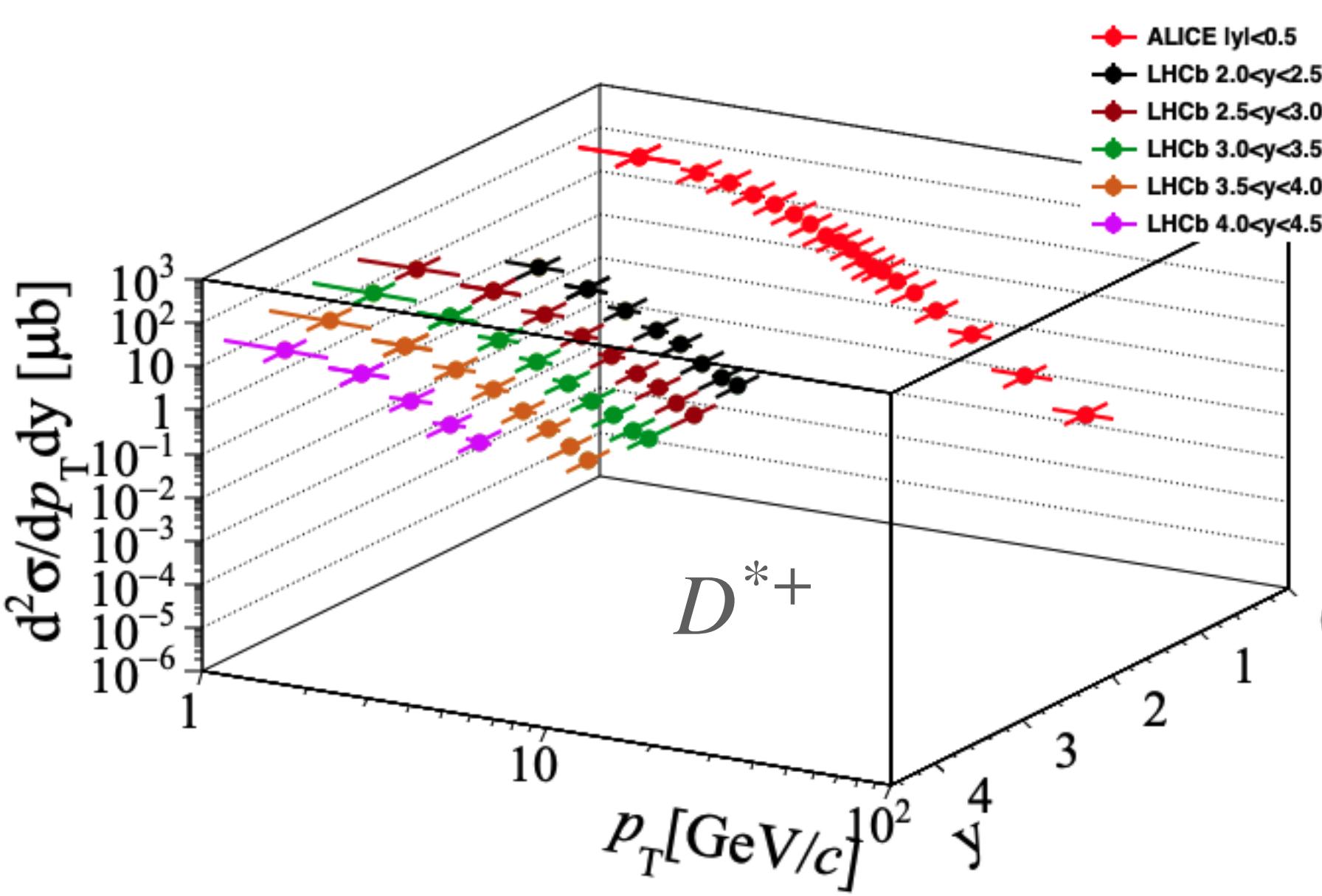
# Combination plots for $D_s^+$

- $D_s^+$  cross-section in  $p_T$  and  $y$
- $D_s^+$  cross-section vs.  $y$  in  $p_T$  slices



# Combination plots for $D^{*+}$

- $D^*$  cross-section in  $p_T$  and  $y$
- $D^*$  cross-section vs.  $y$  in  $p_T$  slices

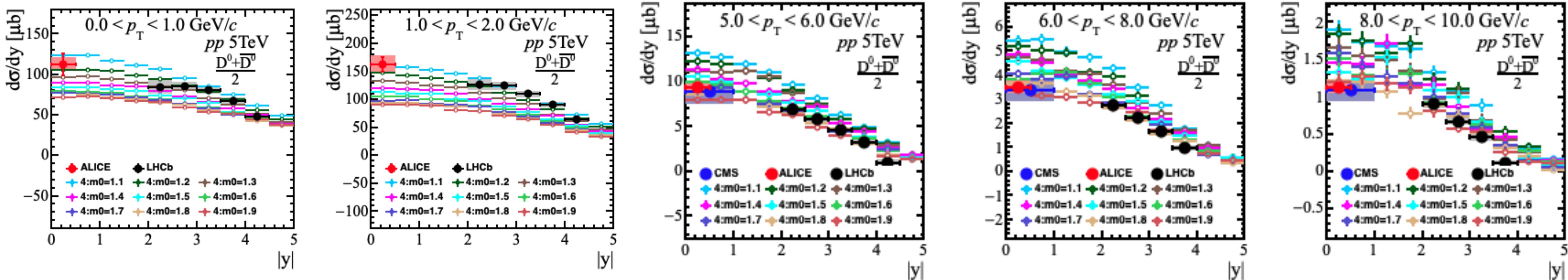
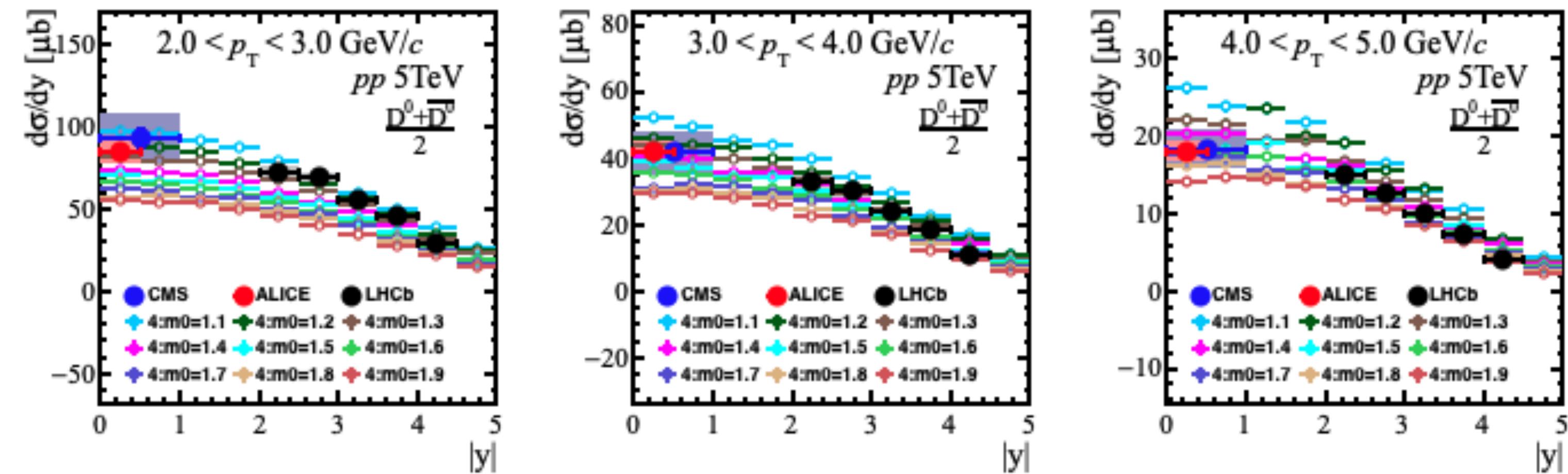


# PYTHIA-data comparison

- Data from ALICE, CMS and LHCb do not cover the full rapidity range, interpolation and extrapolation must be performed to estimate the total charm cross section.
  - PYTHIA and FONLL event generators
- PYTHIA settings:
  - Parton-shower approach for charm production in very low  $p_T$
  - For hard  $2 \rightarrow 2$  process use the PYTHIA model for multiparton interactions [\[PRD 36 \(1987\) 2019\]](#)
  - The only remaining parameter to fix is the kinematic charm mass, default value in PYTHIA is 1.5 GeV
- Scan charm mass from 1.1 to 1.9 GeV, in 0.1 GeV step size. Produce 10M PYTHIA events for each charm mass value.
- Find the best charm mass value from simultaneous fit to measured charmed hadrons cross-section in  $(p_T, y)$  space.
- Currently, made comparisons for  $D^0$ ,  $D^+$ ,  $D_s^+$  and  $D^*$  mesons.

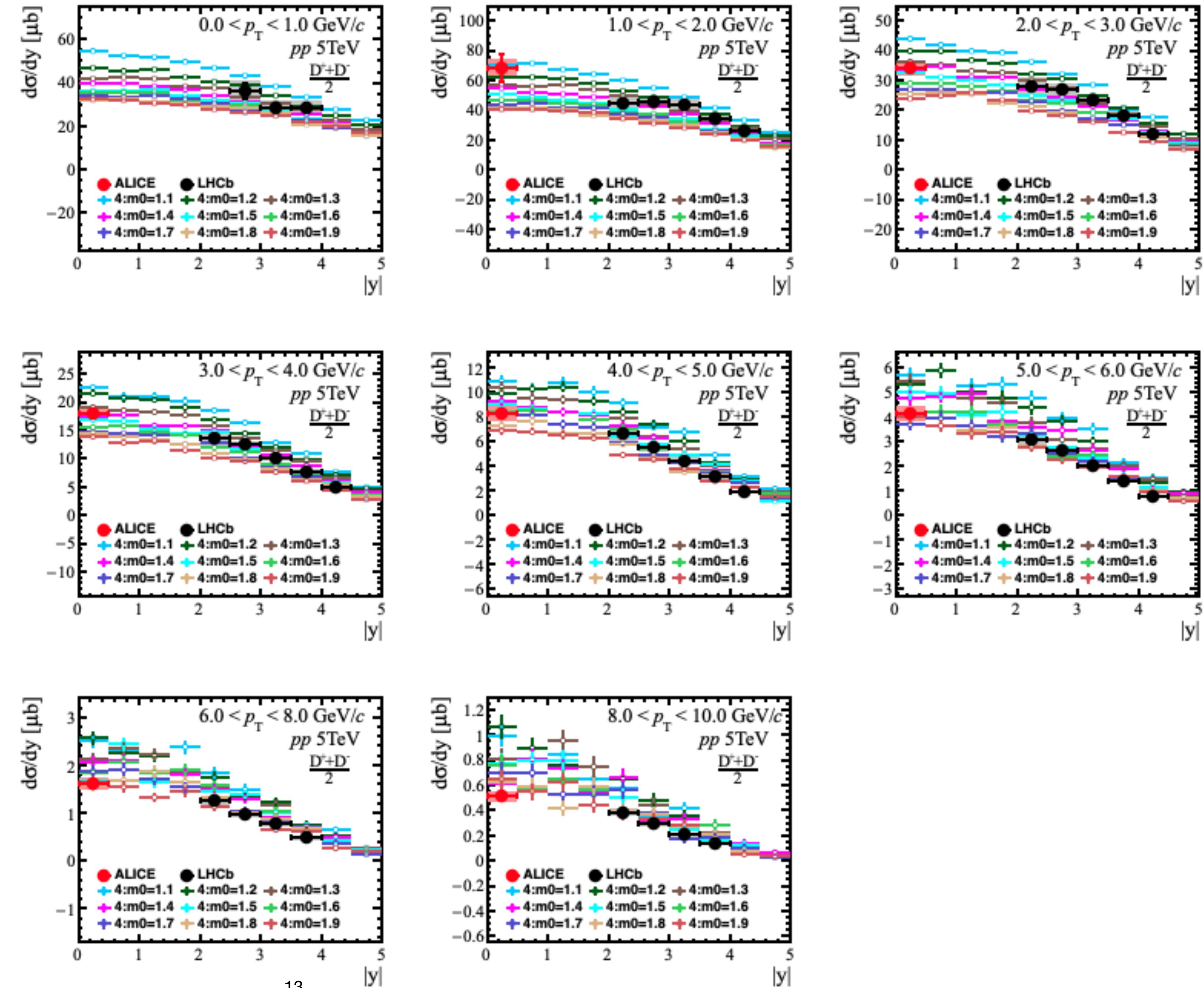
# $D^0$ comparison to PYTHIA

- 10M PYTHIA events for each charm mass value ( $m_0$ ) from 1.1 to 1.9 GeV, in 0.1GeV step.
- Cross-section vs. rapidity in  $p_T$  slices
- Using 5TeV  $pp$  data from ALICE, CMS and LHCb



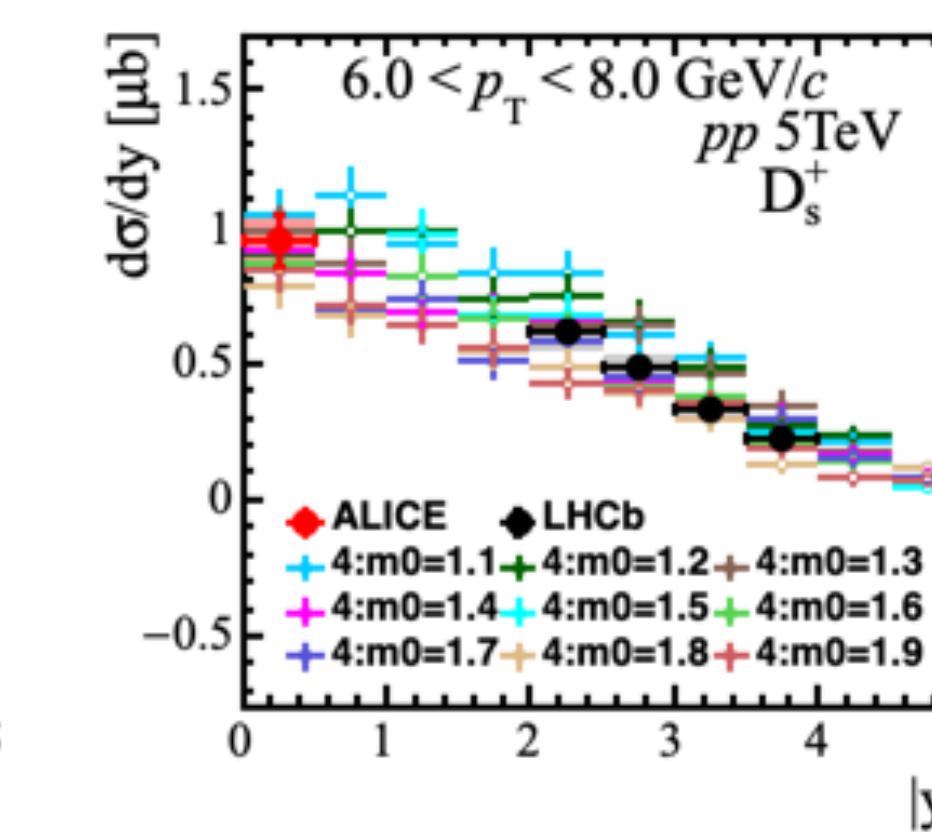
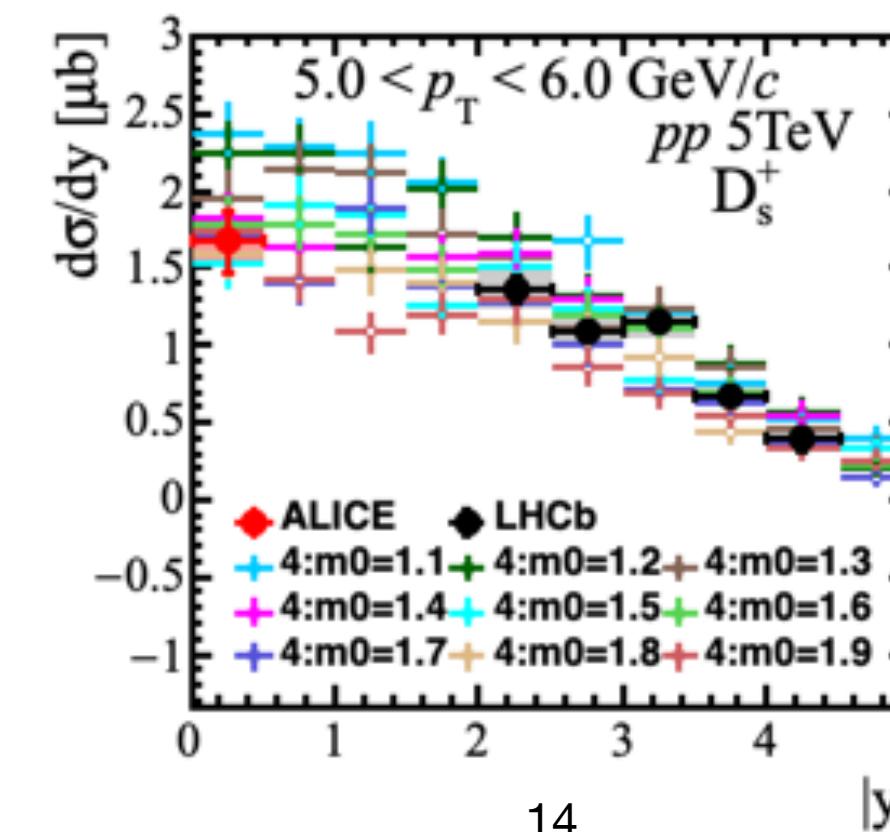
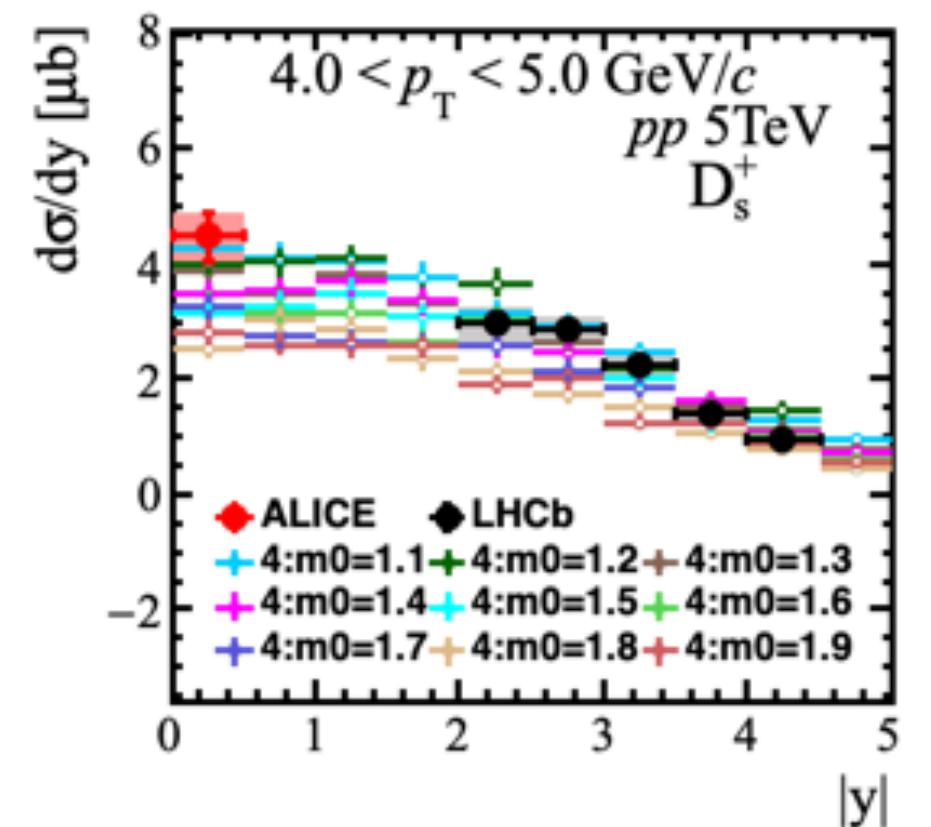
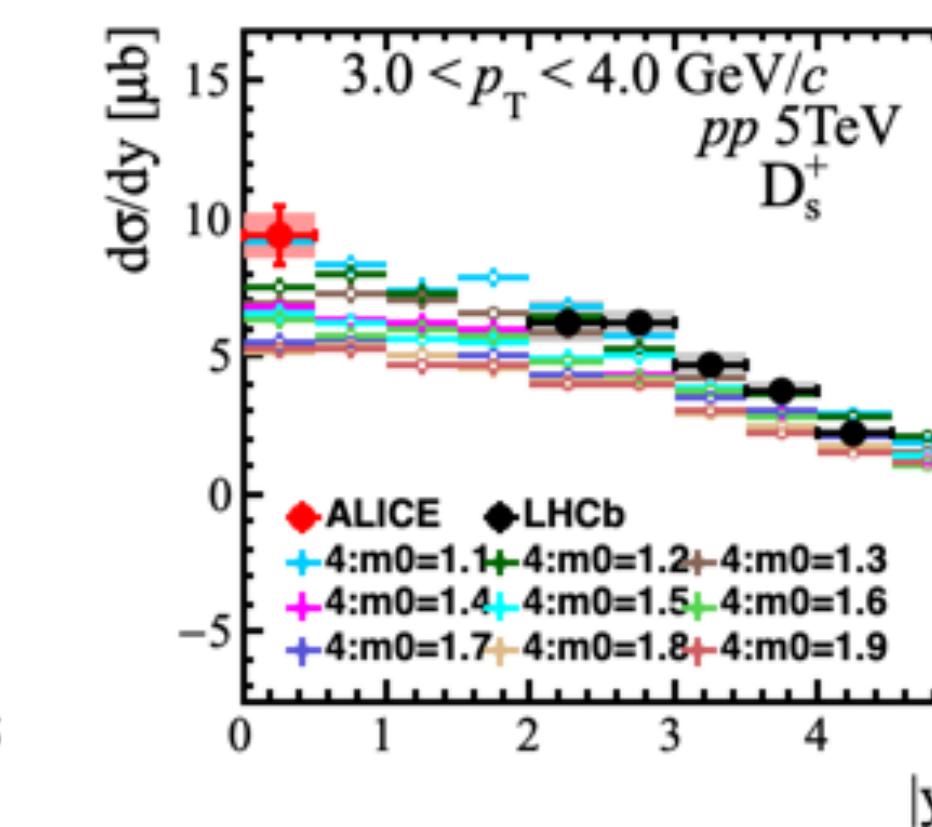
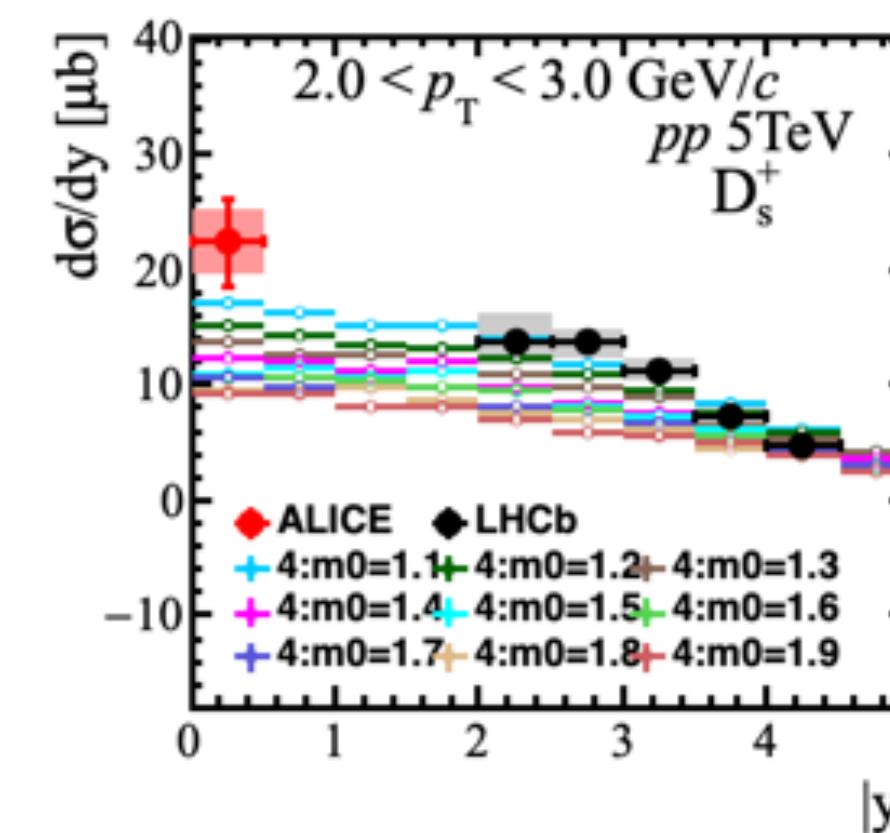
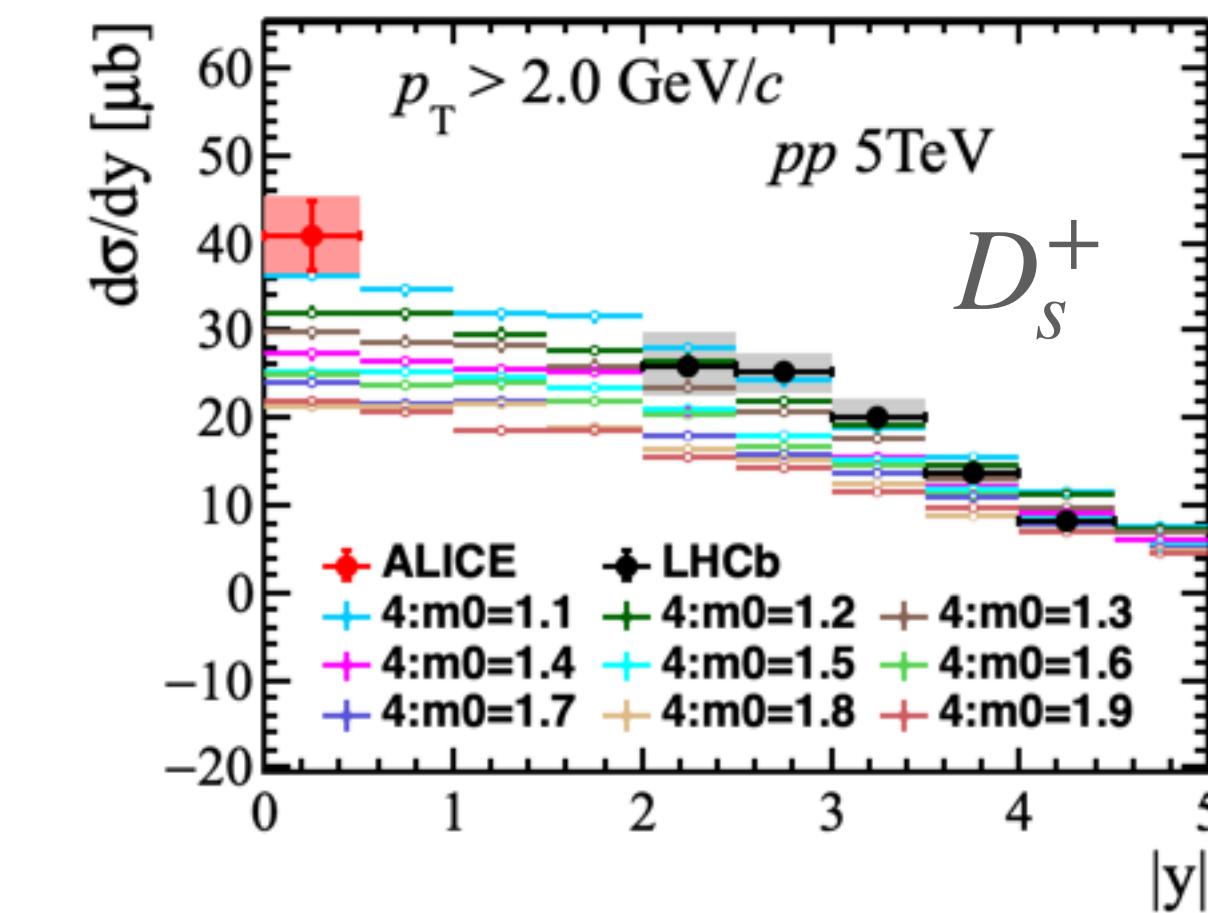
# $D^+$ comparison to PYTHIA

- 10M PYTHIA events for each charm mass value ( $m_0$ ) from 1.1 to 1.9 GeV, in 0.1GeV step.
- Cross-section vs. rapidity in  $p_T$  slices
- Using 5TeV  $pp$  data from ALICE and LHCb



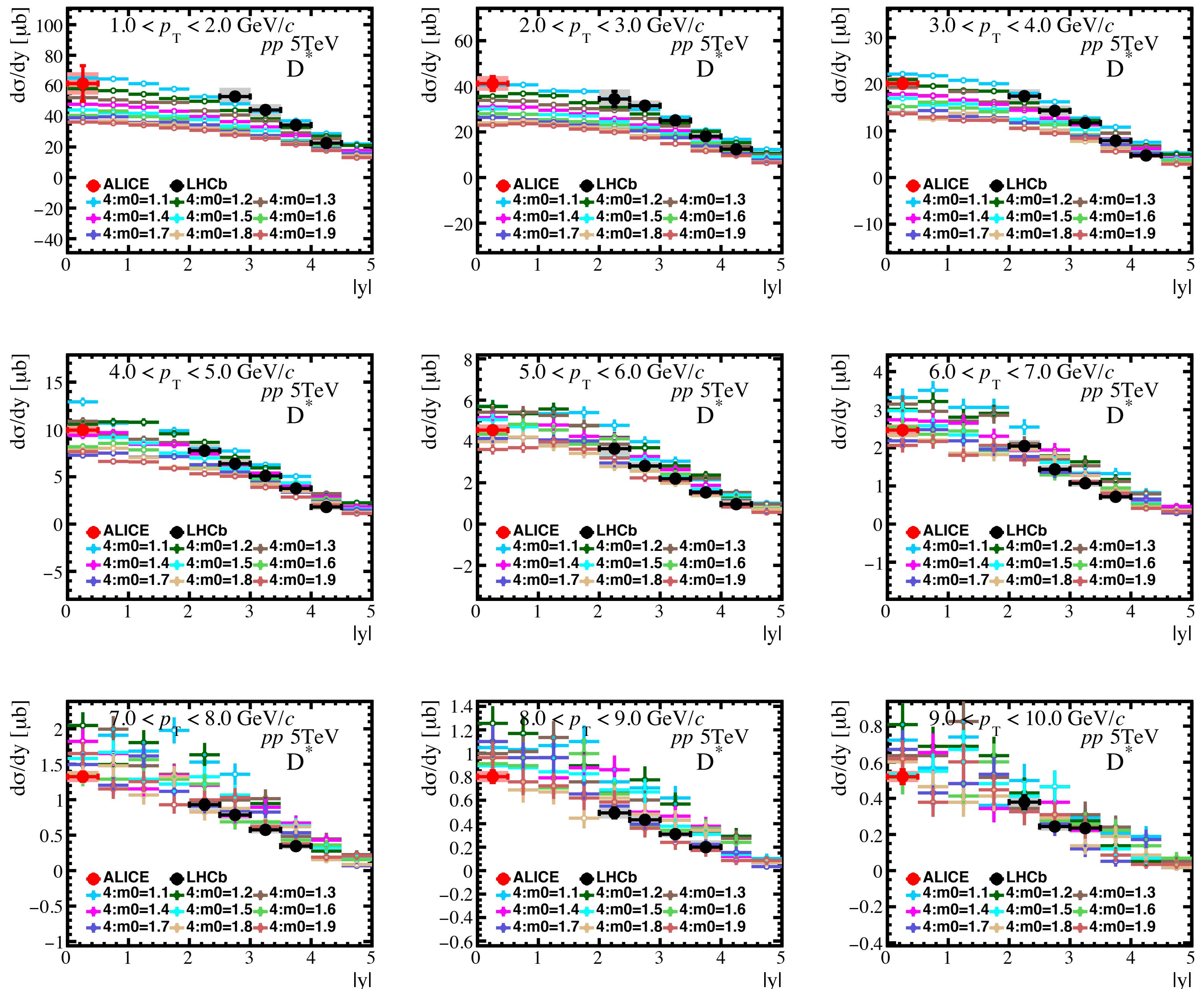
# $D_s^+$ comparison to PYTHIA

- 10M PYTHIA events for each charm mass value ( $m_0$ ) from 1.1 to 1.9 GeV, in 0.1GeV step.
- Cross-section vs. rapidity in  $p_T$  slices
- Using 5TeV  $pp$  data from ALICE and LHCb



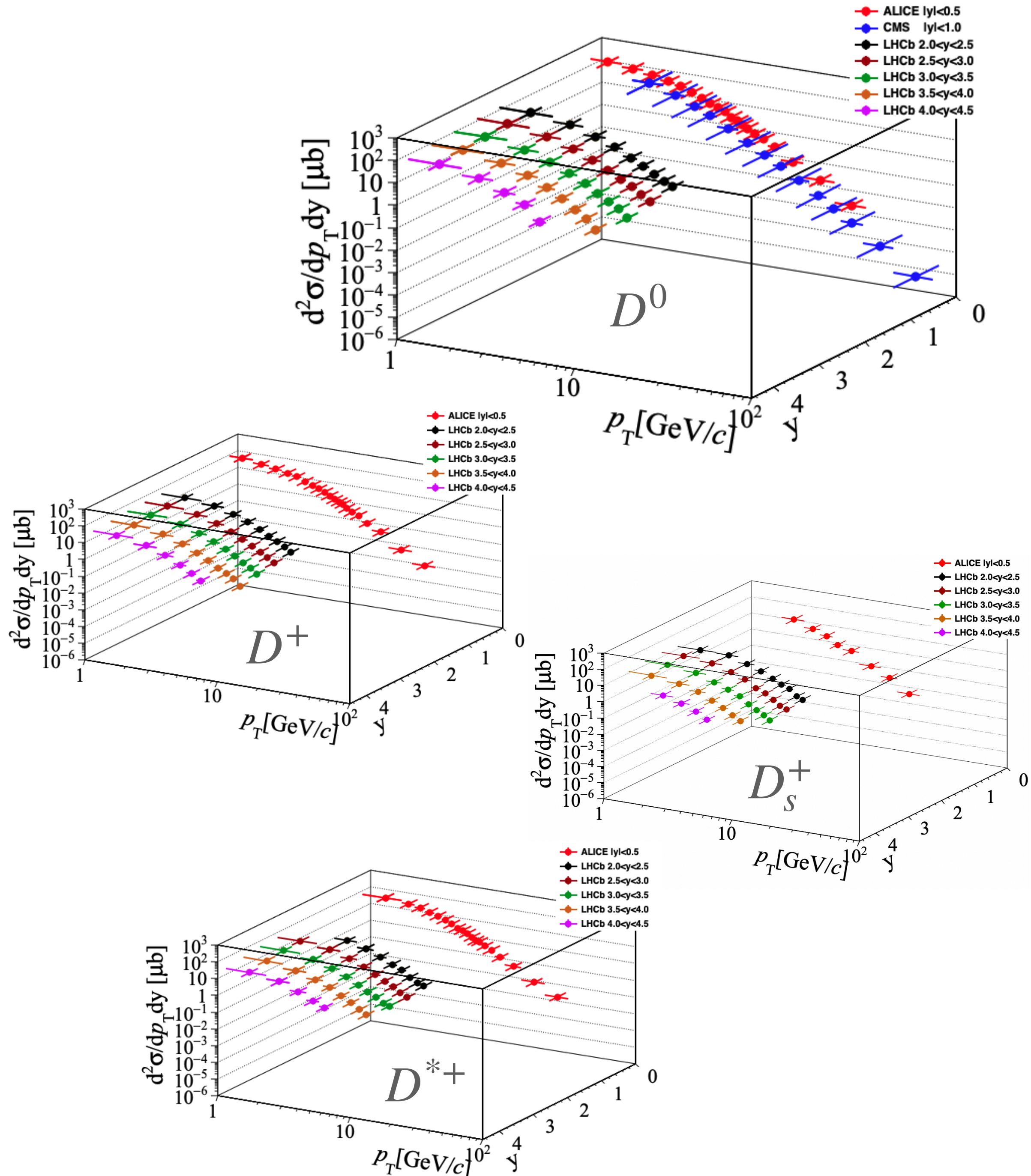
# $D^*^+$ comparison to PYTHIA

- 10M PYTHIA events for each charm mass value ( $m_0$ ) from 1.1 to 1.9 GeV, in 0.1GeV step.
- Cross-section vs. rapidity in  $p_T$  slices
- Using 5TeV  $pp$  data from ALICE and LHCb



# Simultaneous fit

- Calculate  $\chi^2$  across  $D^0$ ,  $D^+$ ,  $D_s^+$  and  $D^*$  from all experiments to find the charm mass value ( $m_0$ ) that describes data best.
- Compare each point between data and PYTHIA, calculate  $\chi^2 = \sum \frac{(data - pythia)^2}{\sigma^2}$ , summed over all data points.
- Consider correlation of systematic uncertainties
  - Common correlation between experiments
    - Branching ratio
  - Correlations within each experiment
    - between same meson species
    - between different meson species



# Correlated systematics within experiments

- **Correlations in LHCb:**

- Correlation matrices from [HEPDATA](#) for same and difference D meson species

- **Correlations in ALICE:**

	Uncertainties (%)				Correlations (%)	
	$D^0$	$D^+$	$D_s^+$	$D^{*+}$	Bins	Decay modes
Luminosity			3.8		100	100
Tracking	3–5	5–7	4–7	5–7	90–100	90–100
Branching fractions	1.2	2.1	5.8	1.5	100	0–95
Simulation sample size	0–10	0–10	2–9	1–10	0	0
Simulation modelling	0.3	0.7	0.6	2	0	0
PID sample size	0–1	0–1	0–2	0–2	0–100	0–100
PID binning	0–30	0–10	0–20	0–20	0	0
Fit model shapes	0–3	0–3	0–3	0.0–1.0	0	0

Systematic uncertainty	Same D mesons	Different D mesons
<b>Luminosity</b>	fully correlated	fully correlated
<b>Raw yields</b>		
<b>Tracking</b>	fully correlated	fully correlated
<b>Cut efficiency</b>	fully correlated	
<b>PID</b>	fully correlated	
<b>MC p<sub>T</sub> shape</b>	fully correlated	
<b>Feed-down</b>	fully correlated	fully correlated

- **Correlations in CMS:**

- Luminosity and BR are fully correlated

- Tested calculating  $\chi^2$  with correlated uncertainties:

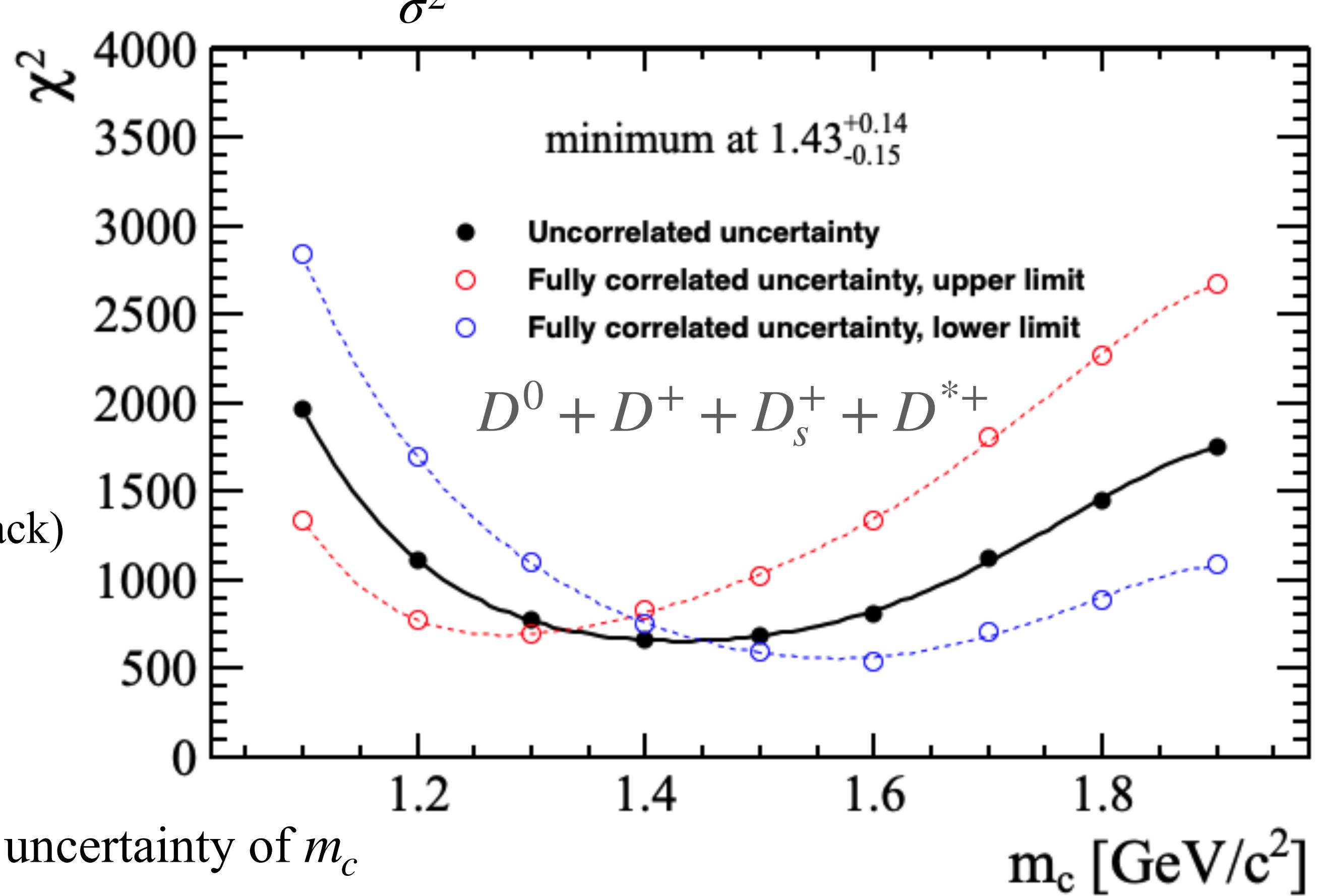
$$\chi^2 = X^T V^{-1} X$$

- $X = (\text{measurement} - \text{expectation}) = (\text{data points} - \text{pythia})$ 
  - $X$ : an n-dimensional vector
  - n is the number of data points used in the calculation

- $V = n \times n$  covariance matrix
- $V^{-1}$  = invert of covariance matrix

# Simultaneous fit

- Calculate  $\chi^2$  across  $D^0$ ,  $D^+$ ,  $D_s^+$  and  $D^{*+}$  from all experiments to find the best charm mass value ( $m_0$ ).
- Compare each point between data and PYTHIA, calculate  $\chi^2 = \sum \frac{(data - pythia)^2}{\sigma^2}$ , summed over all data points.
  - $\sigma$  is the total uncertainty of a data point
- $p_T < 6 \text{ GeV}/c$
- ALICE, LHCb and CMS points are used.
  - LHCb points provide most constraint.
- Assuming two extreme cases:
  - **Totally uncorrelated uncertainty** across data points (black)
  - **Totally correlated uncertainty**:
    - Shift all points up by 1 sigma (red)
    - Shift all points down by 1 sigma (blue)
- The variation of the minimum position can be used as uncertainty of  $m_c$ 
  - Minimum position:  $1.43^{+0.14}_{-0.15}$



# Fit results: $D^0$ vs. Pythia

- Light blue:

- Uncorrelated case
- $m_c = 1.43 \text{ GeV}/c^2$

- Magenta:

- Fully correlated, upper limit
- $m_c = 1.28 \text{ GeV}/c^2$

- Green:

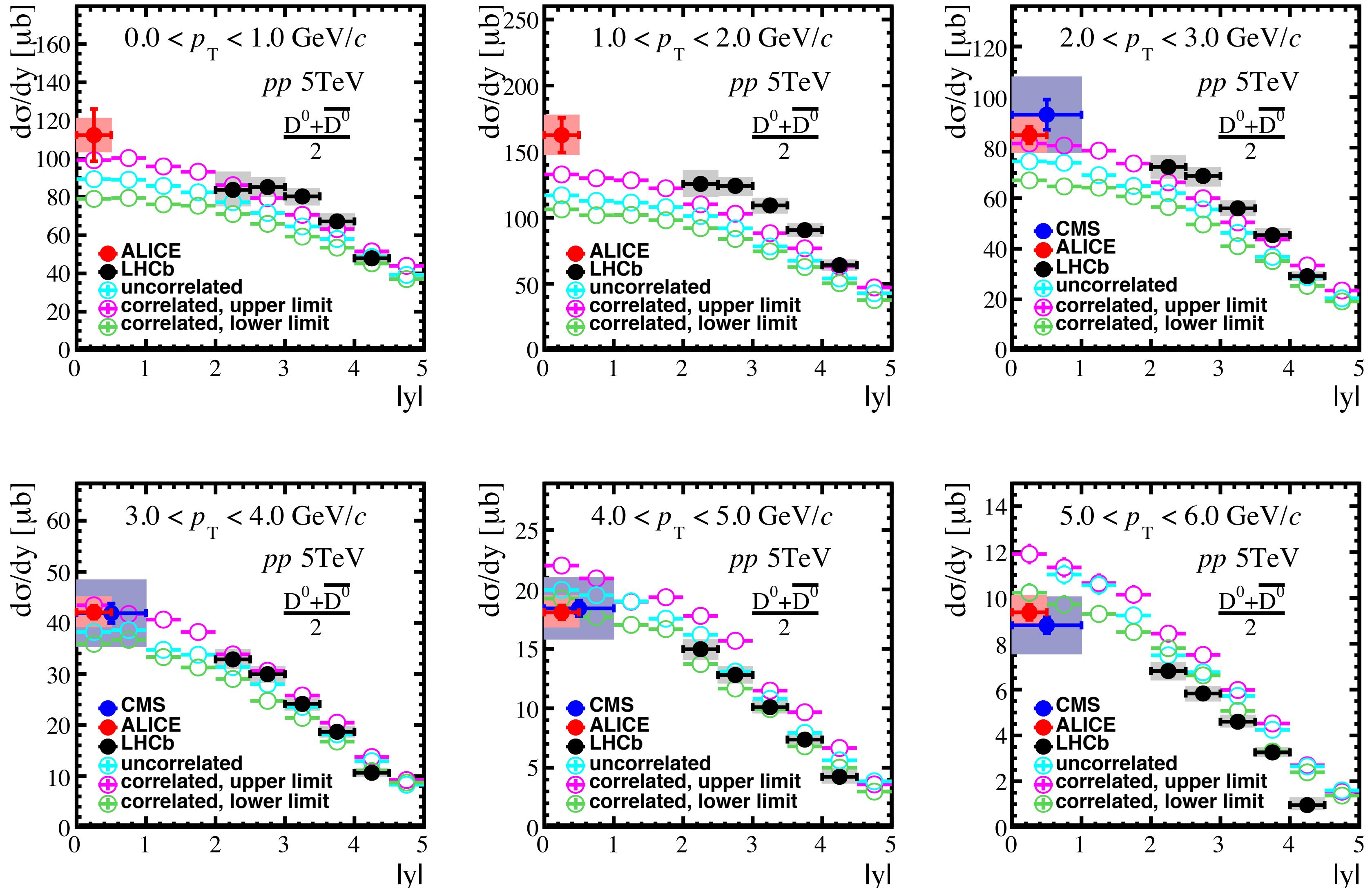
- Fully correlated, lower limit

- $m_c = 1.57 \text{ GeV}/c^2$

- Pythia band below data at low  $p_T$

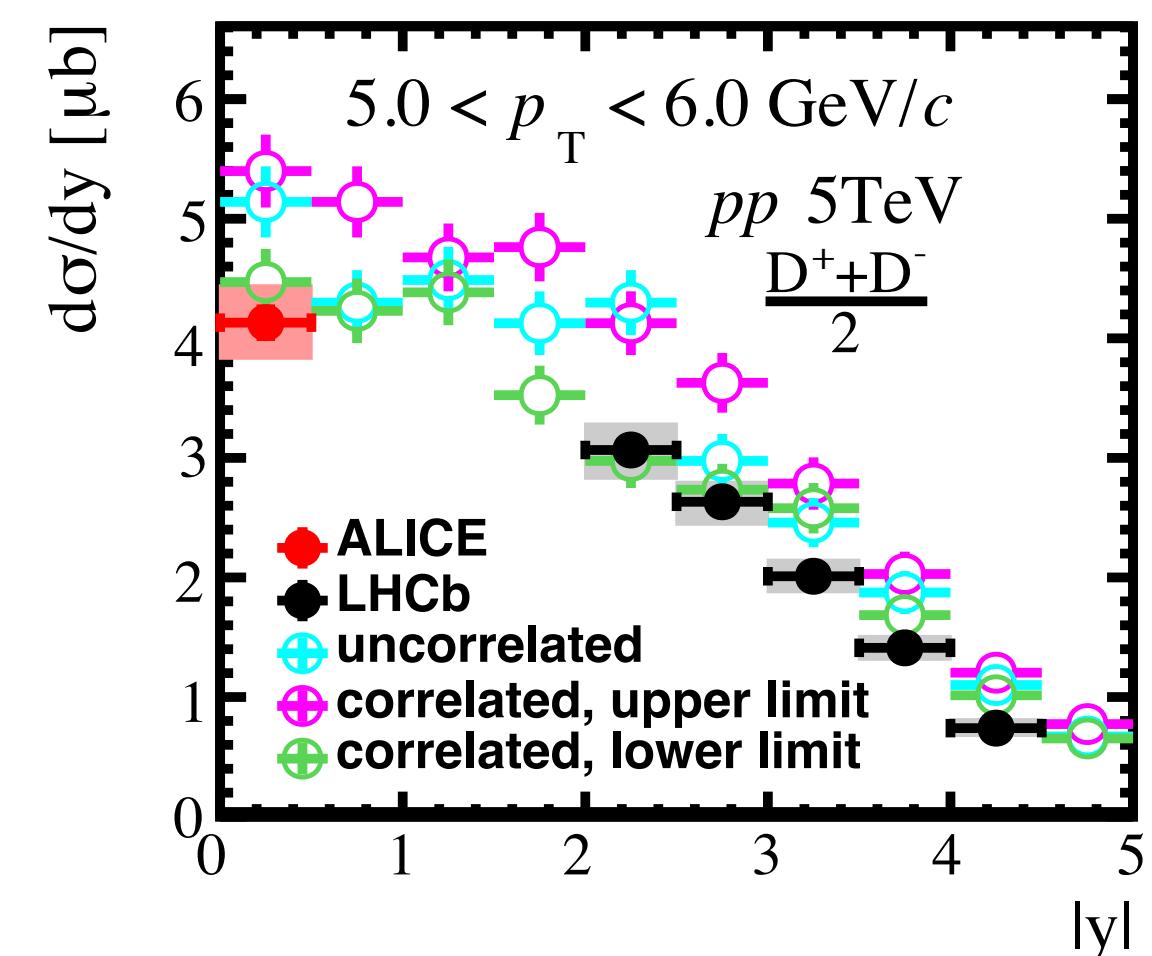
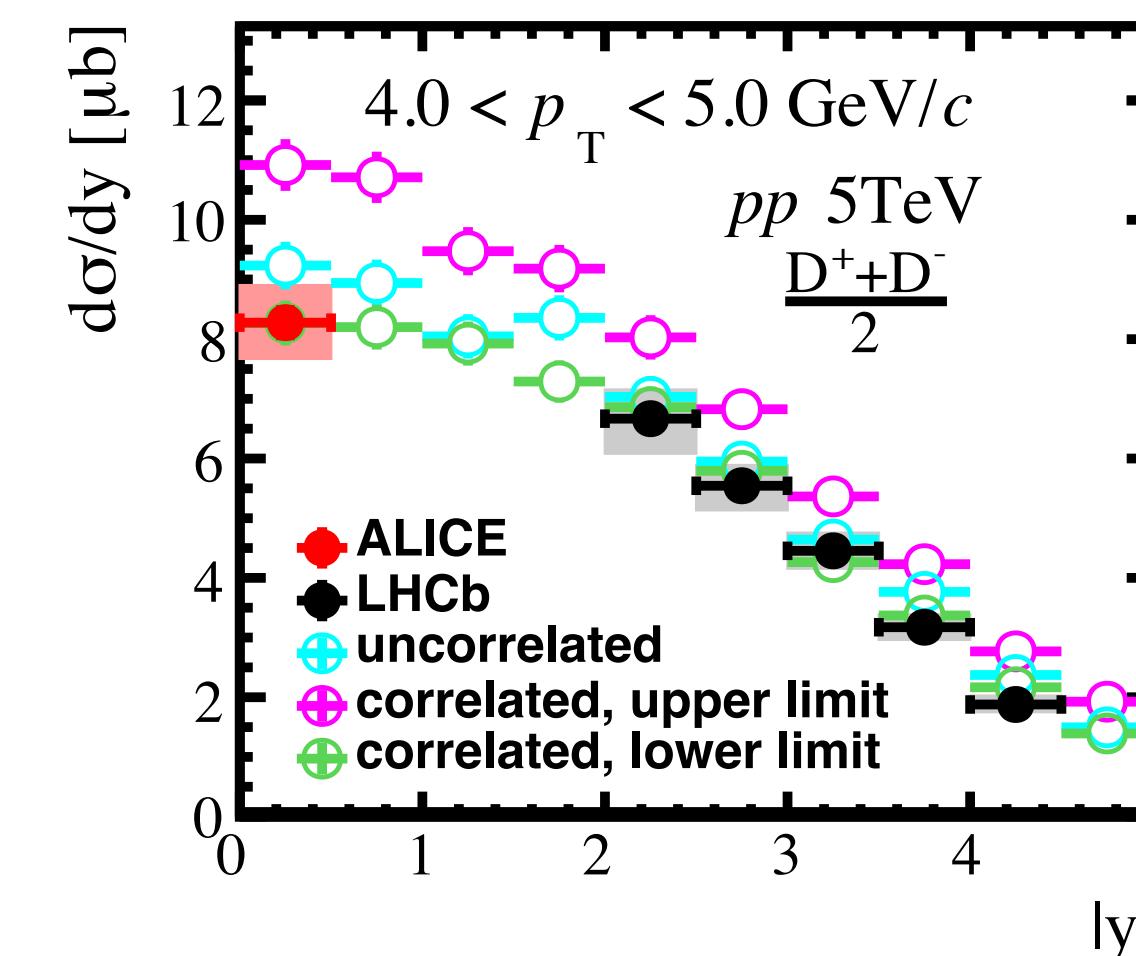
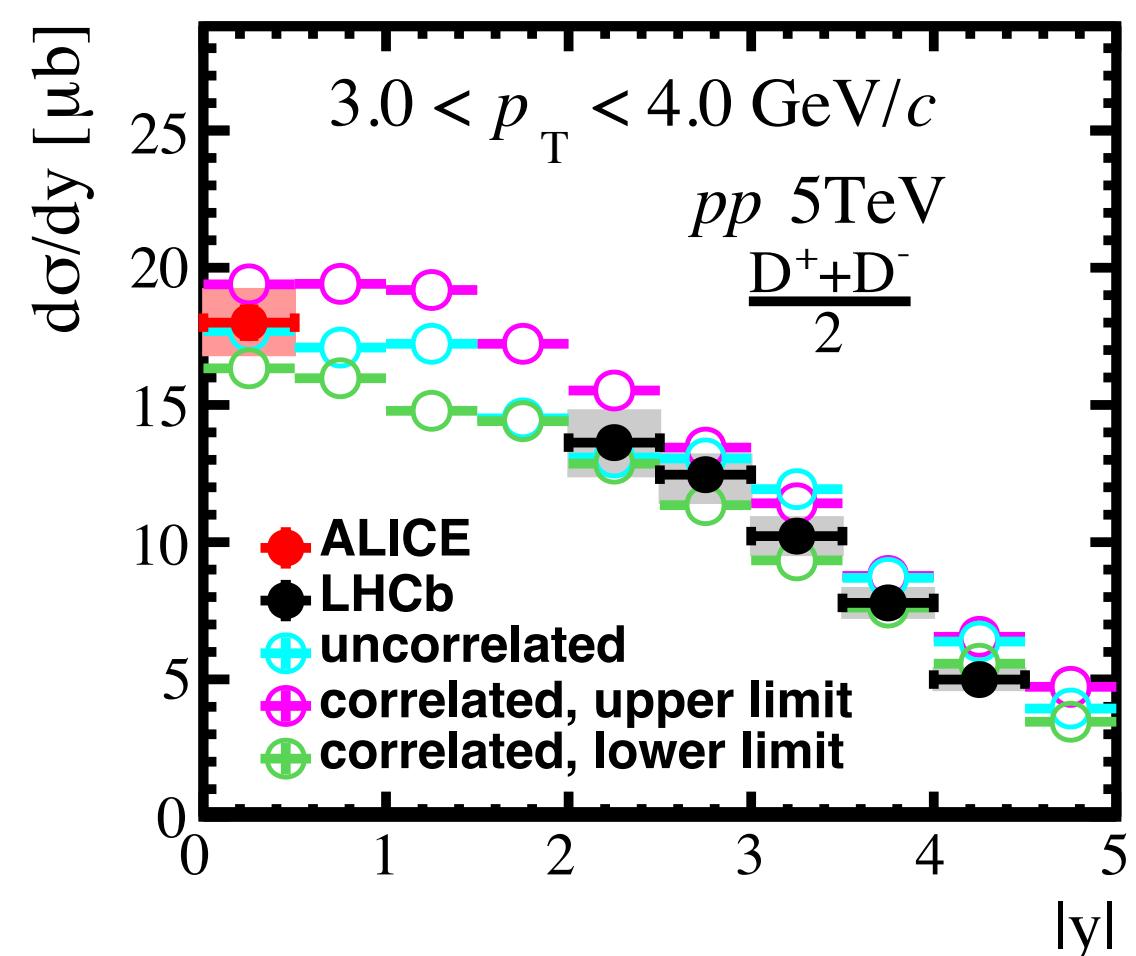
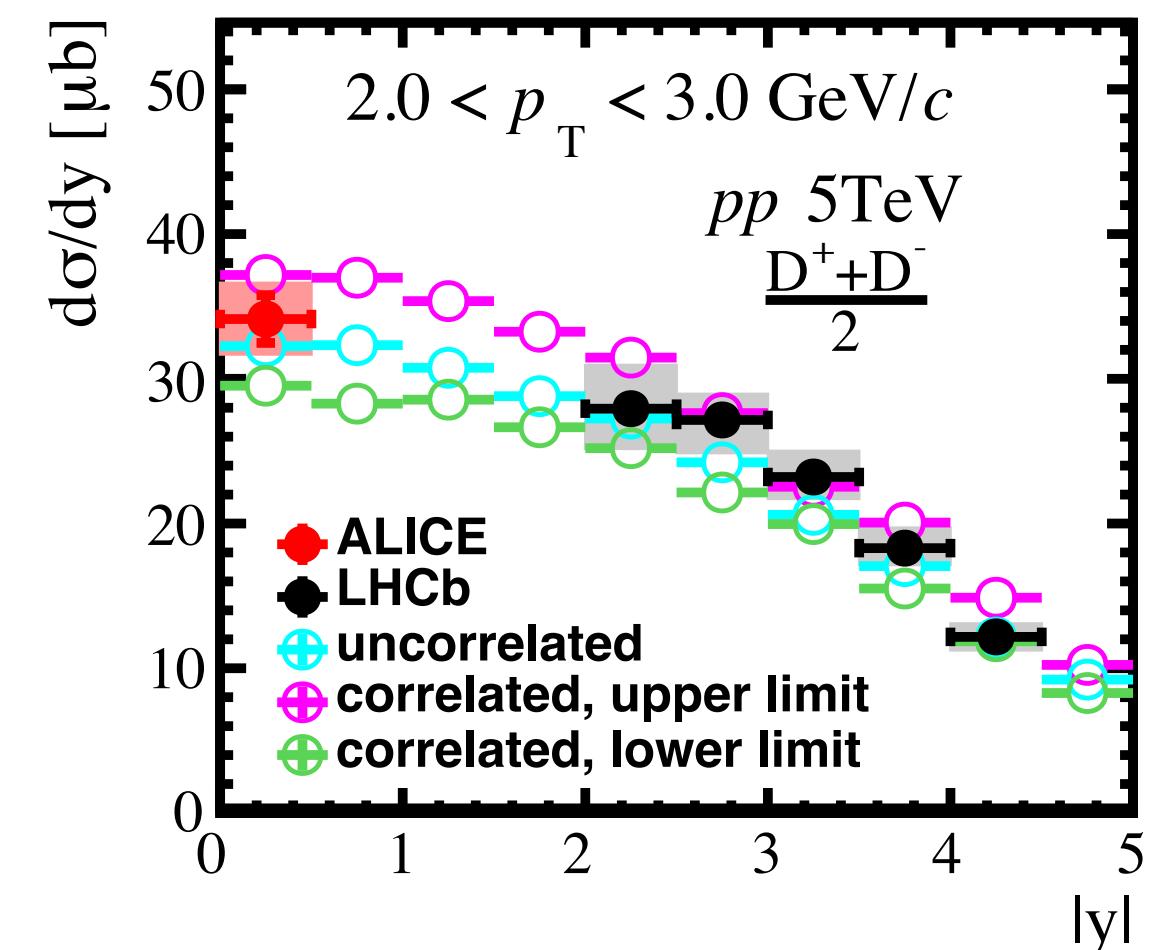
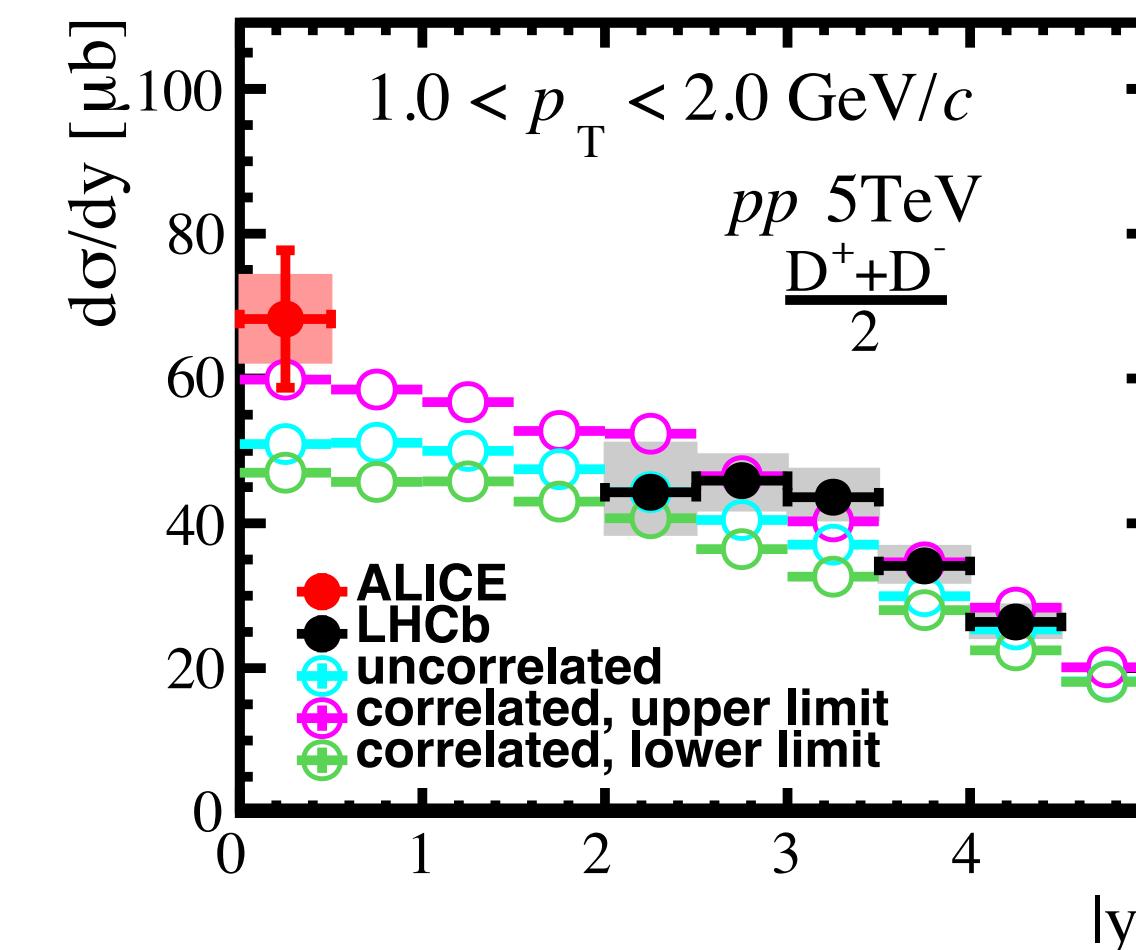
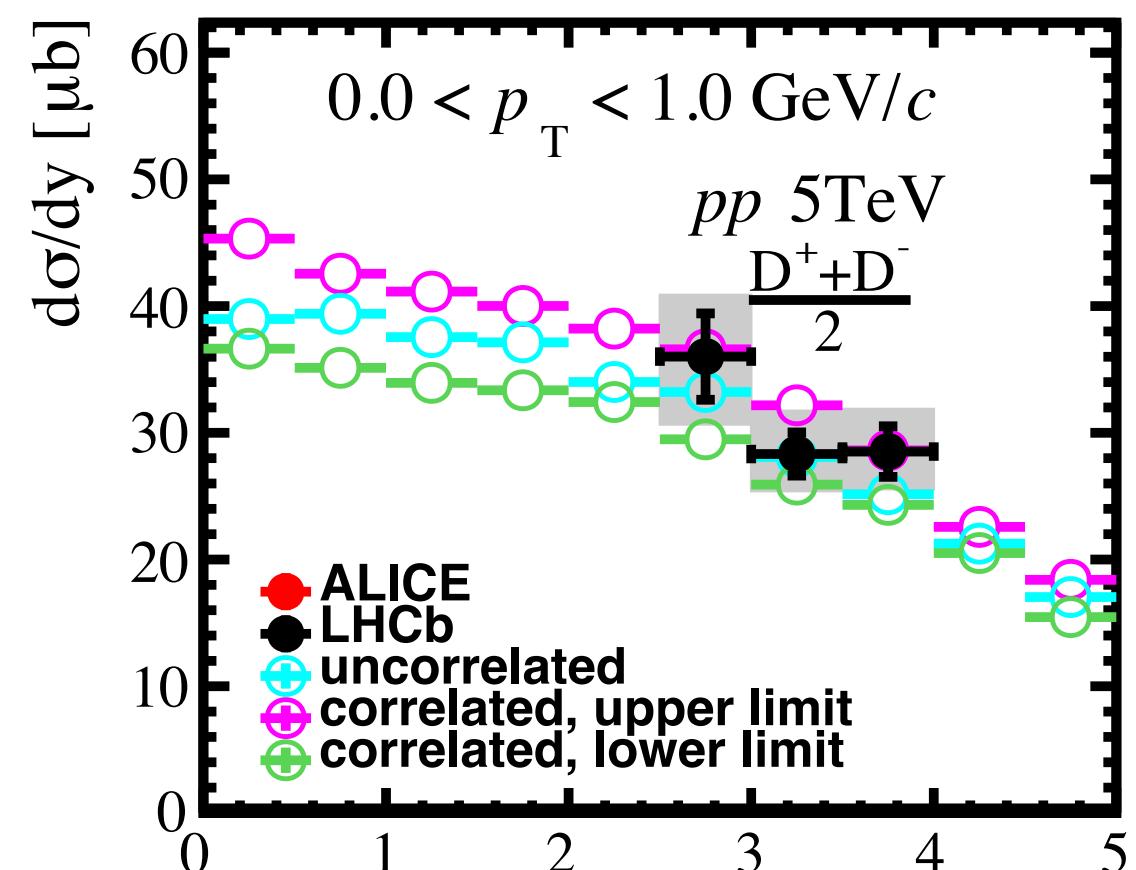
- Increase  $\Delta m_c$  to cover low  $p_T$  data points

- Include  $\Lambda_c^+$  into the fit.



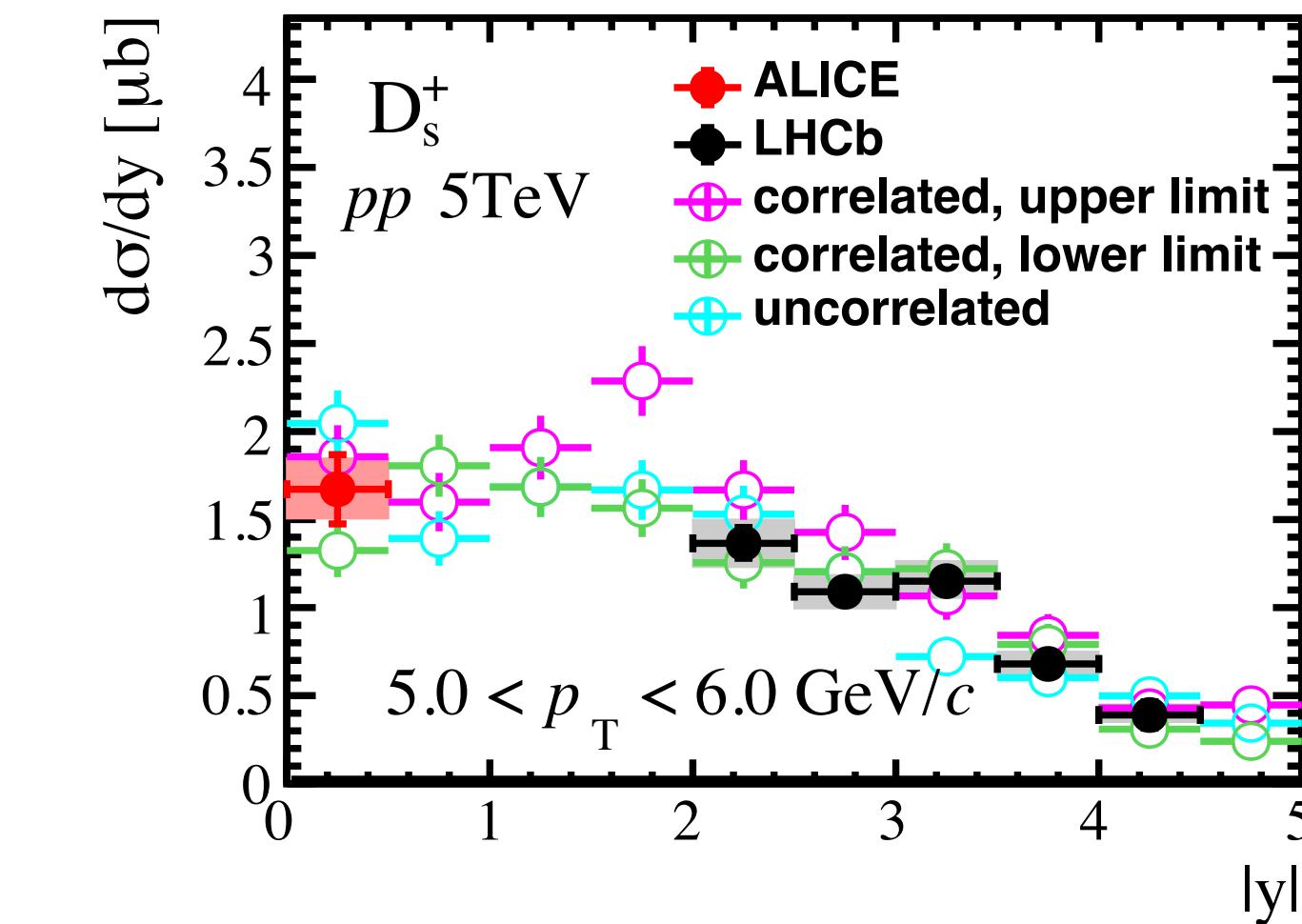
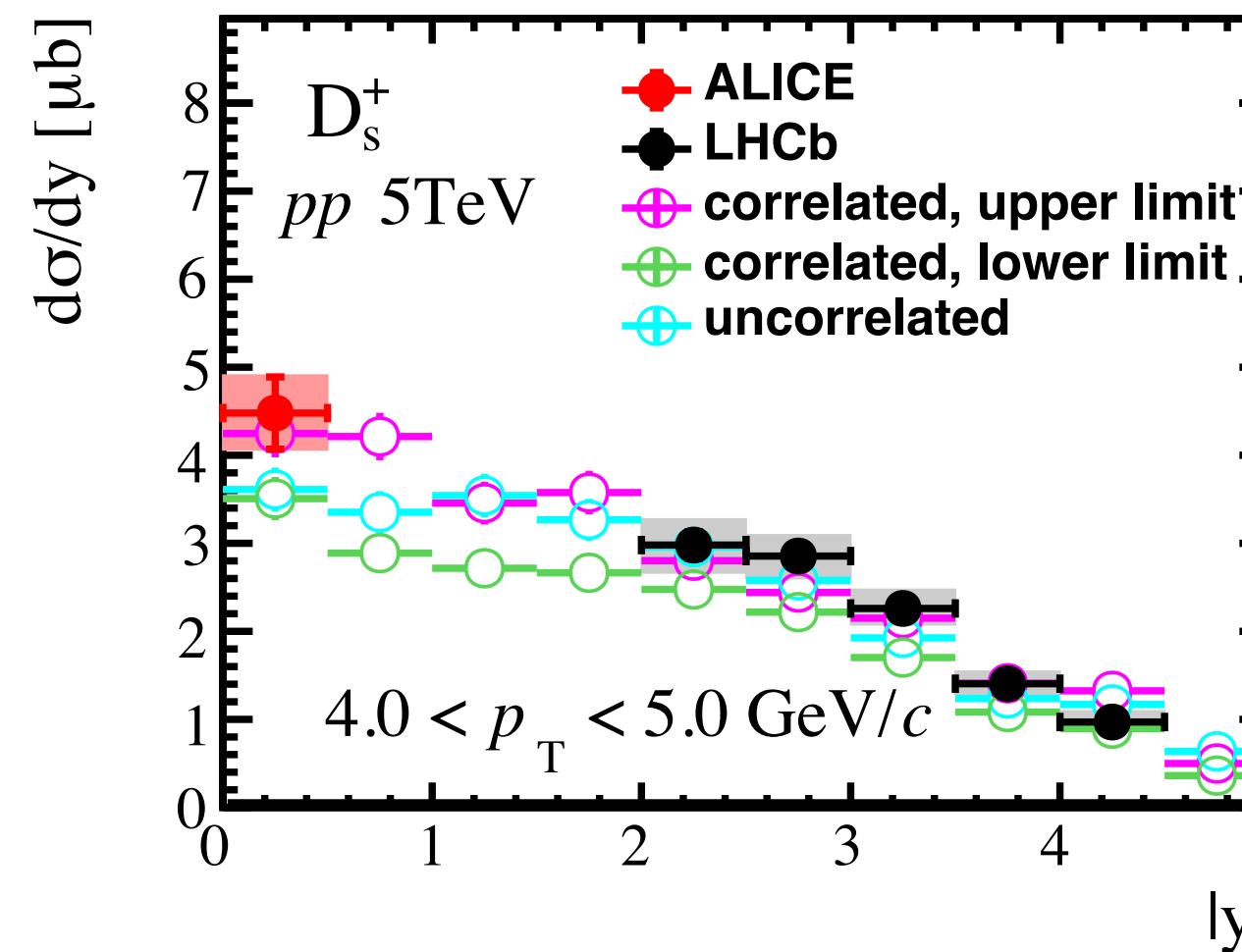
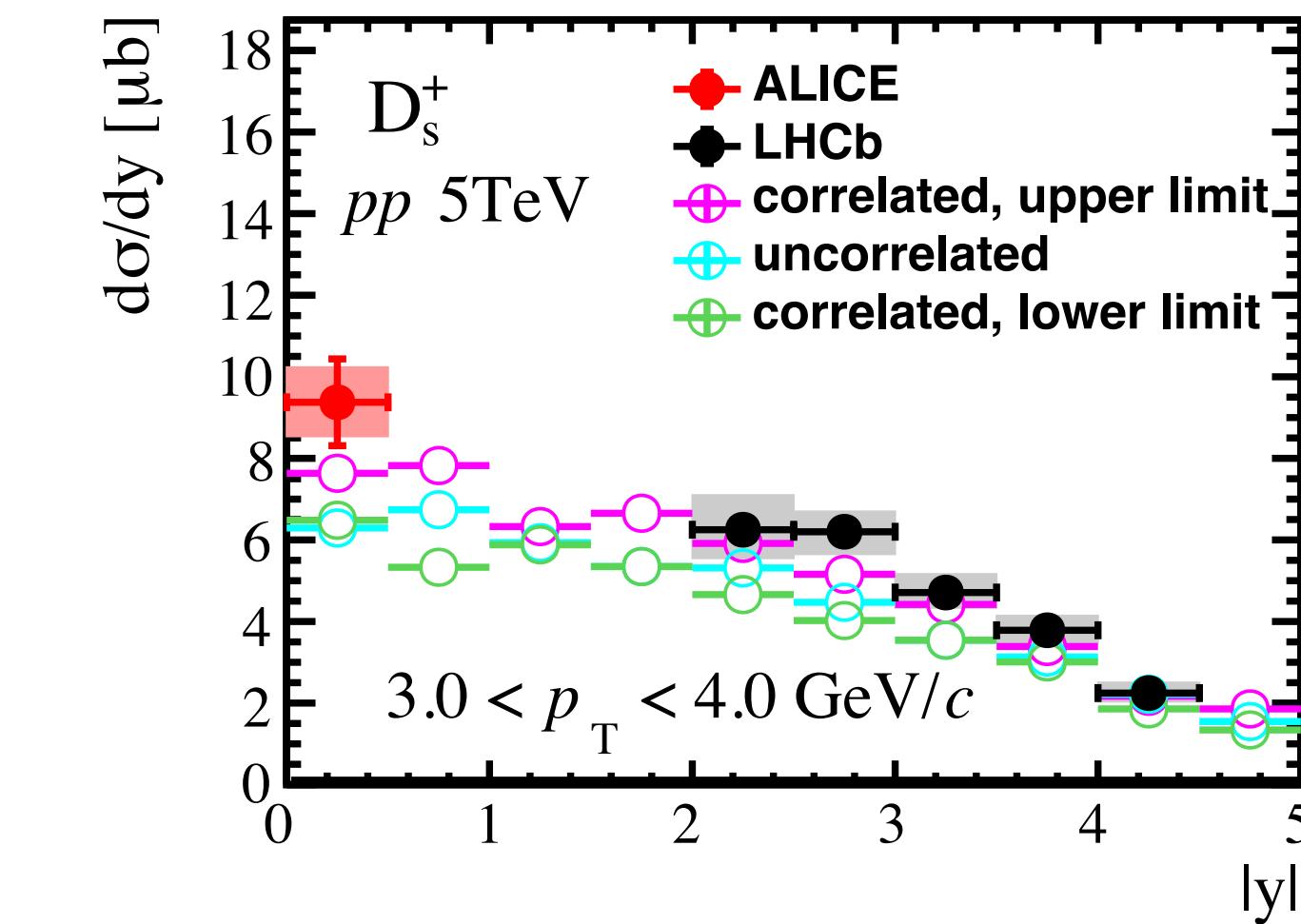
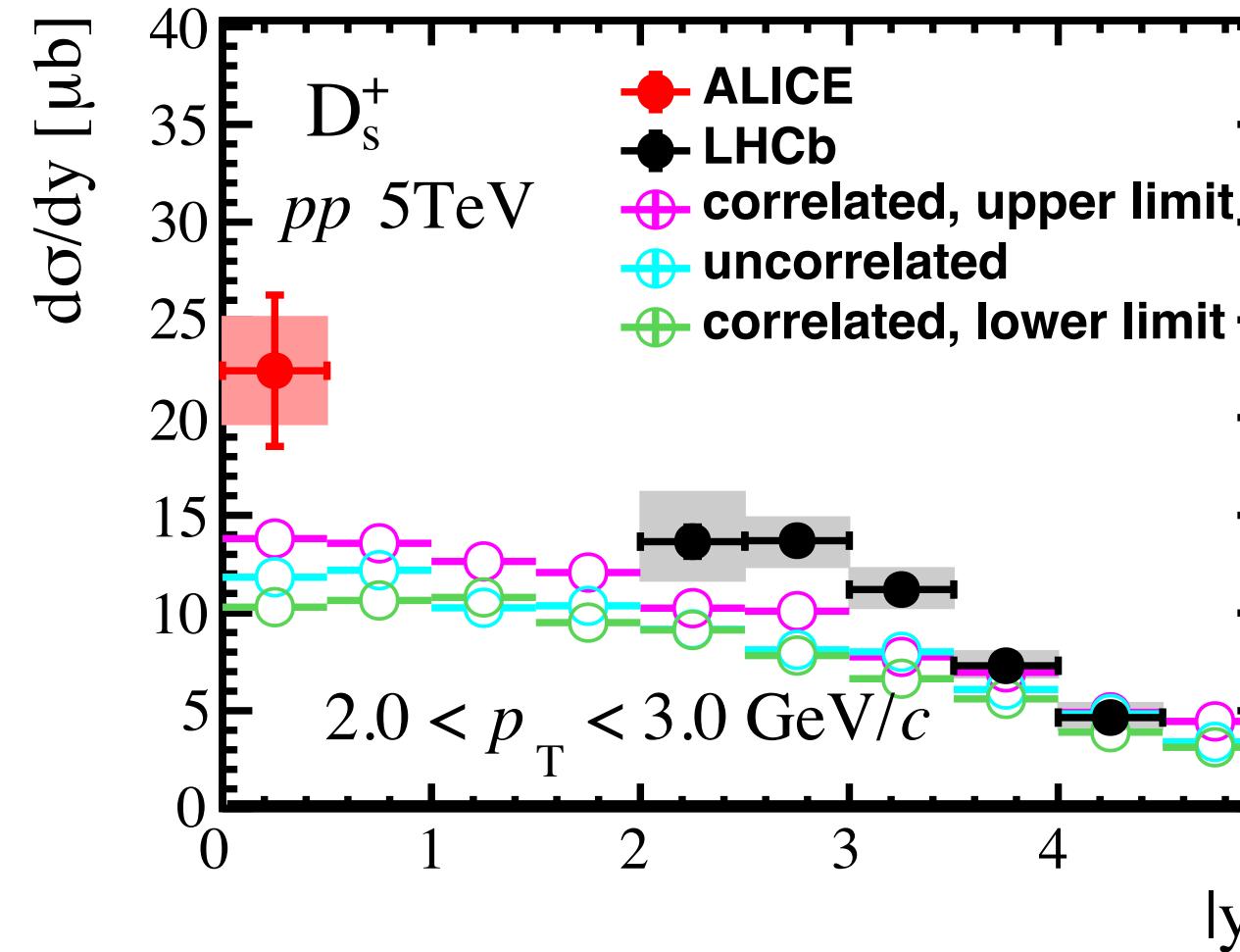
# Fit results: $D^+$ vs. Pythia

- Light blue:
  - Uncorrelated case
  - $m_c = 1.43 \text{ GeV}/c^2$
- Magenta:
  - Fully correlated, upper limit
  - $m_c = 1.28 \text{ GeV}/c^2$
- Green:
  - Fully correlated, lower limit
  - $m_c = 1.57 \text{ GeV}/c^2$
  - Pythia band below data at low  $p_T$
  - Increase  $\Delta m_c$  to cover low  $p_T$  data points
  - Include  $\Lambda_c^+$  into the fit.



# Fit results: $D_s^+$ vs. Pythia

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  - Fully correlated, lower limit
  - $m_c = 1.57 \text{ GeV}/c^2$
  - Pythia band below data at low  $p_T$
  - Increase  $\Delta m_c$  to cover low  $p_T$  data points
  - Include  $\Lambda_c^+$  into the fit.



# Fit results: $D^*$ + vs. Pythia

- Light blue:

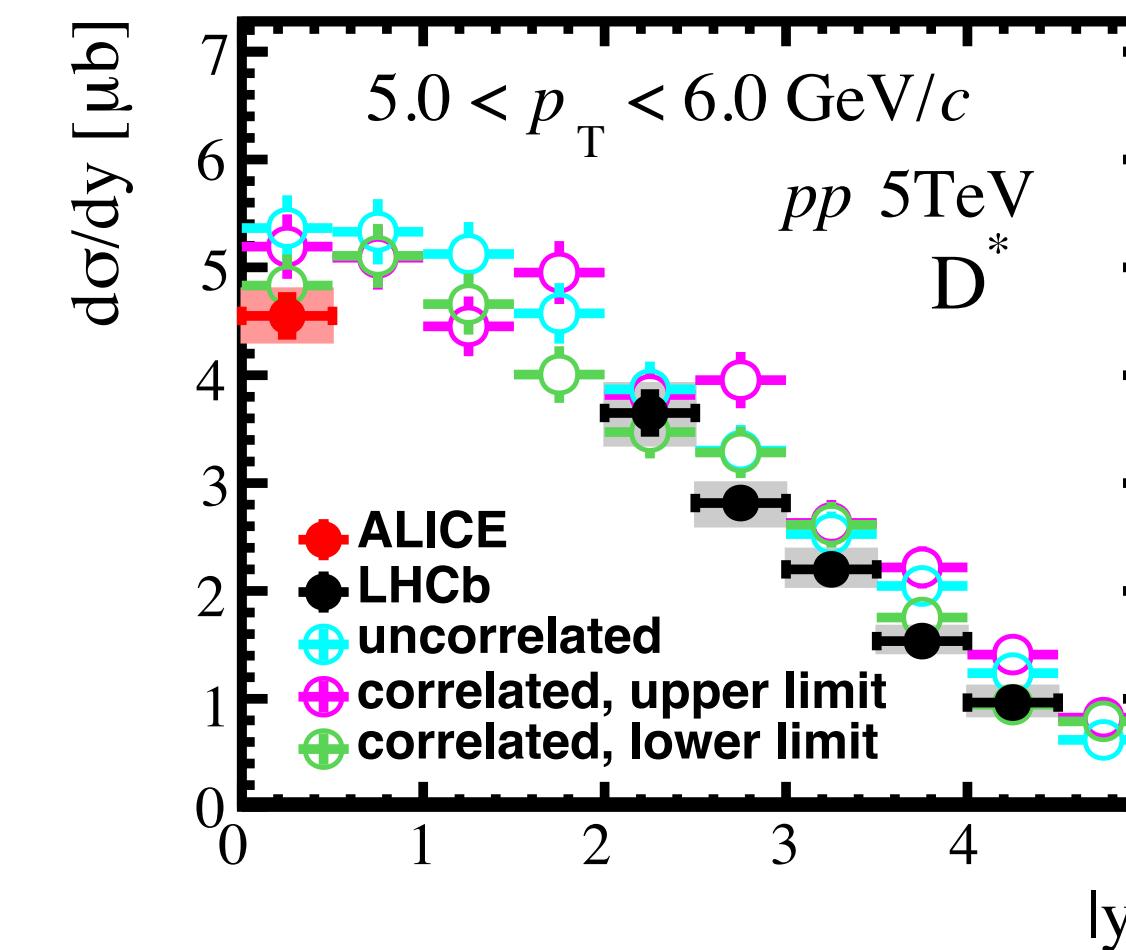
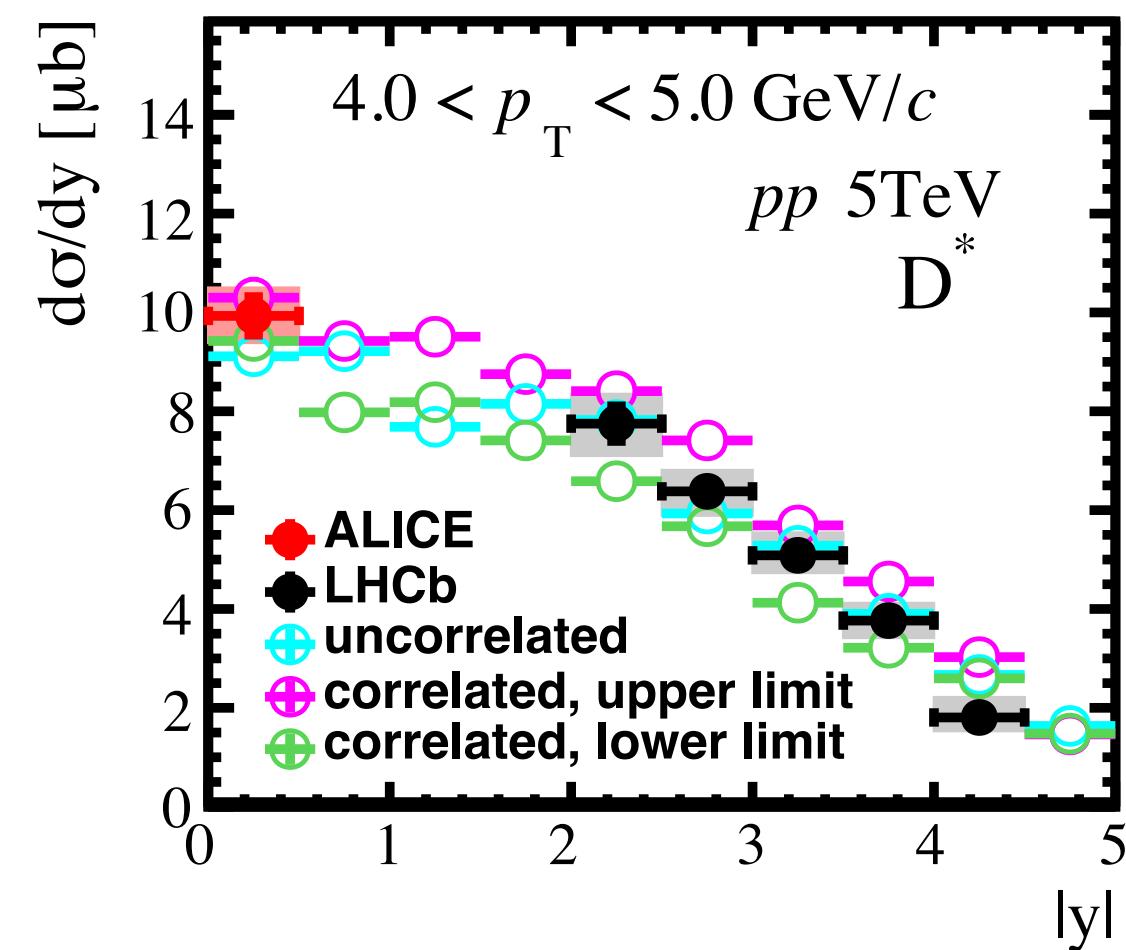
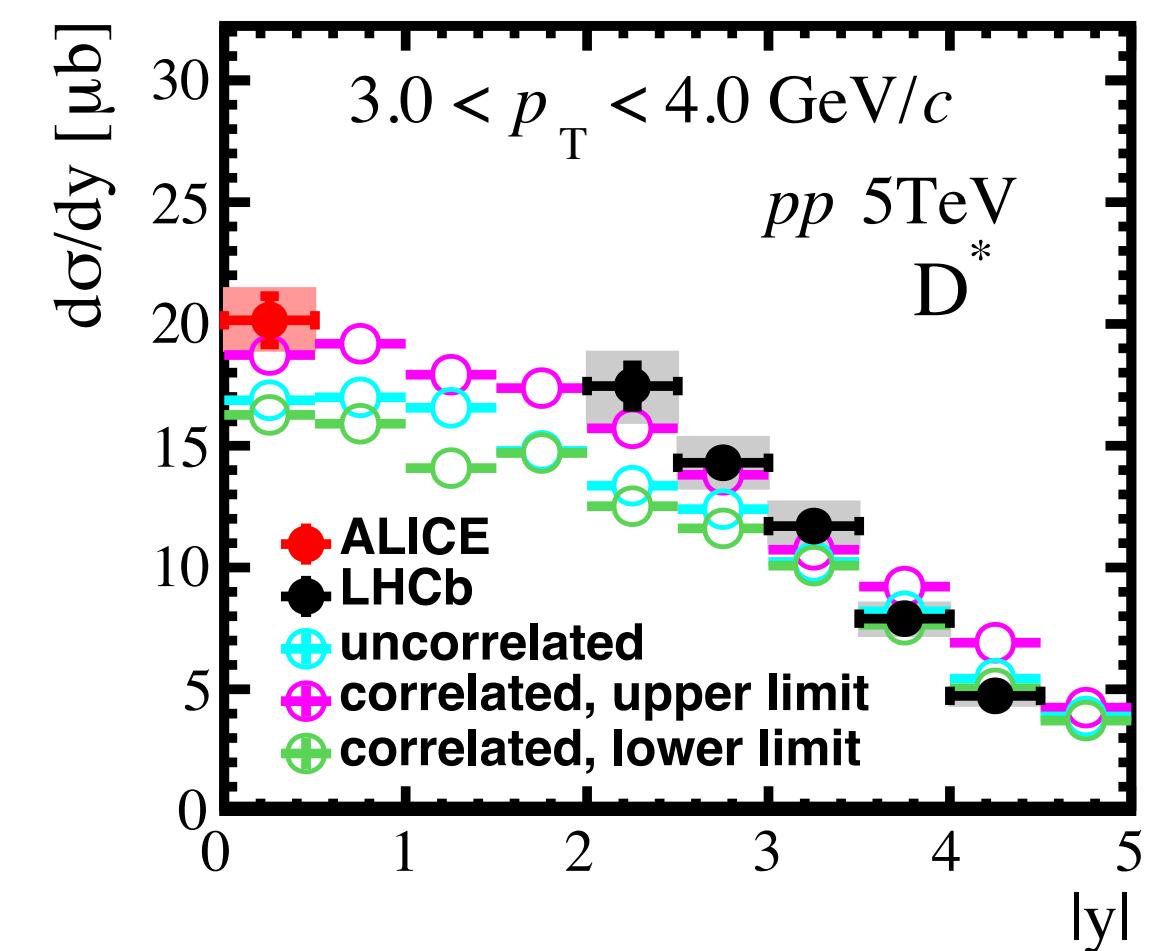
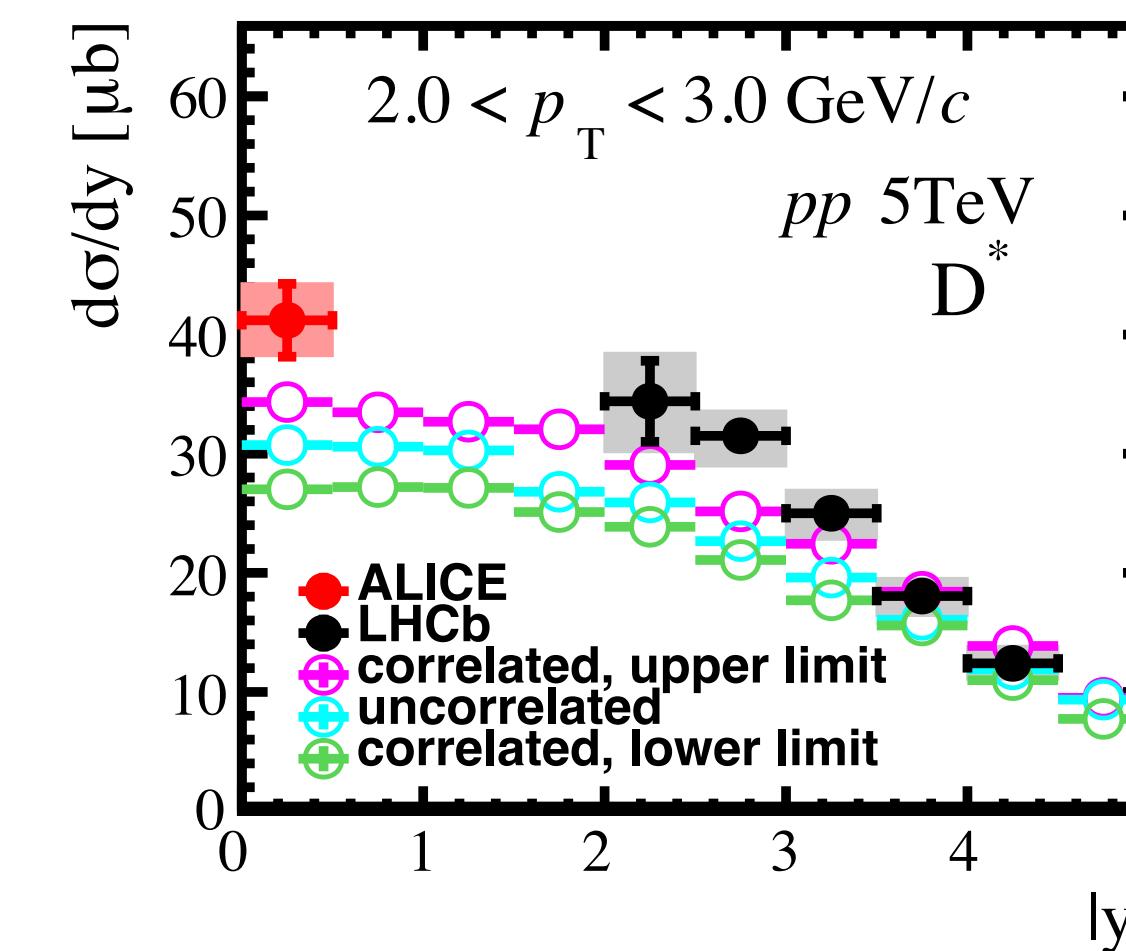
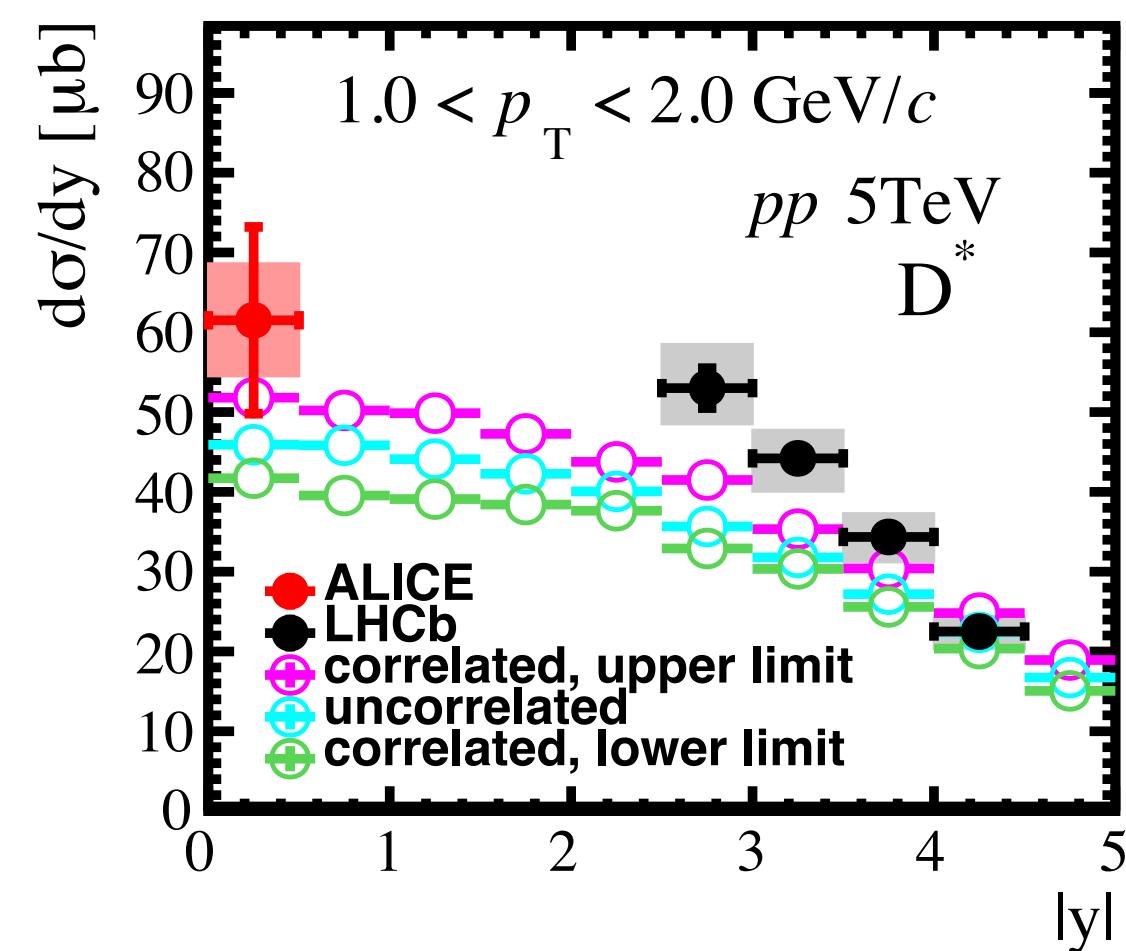
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  - $m_c = 1.28 \text{ GeV}/c^2$

- Green:

  - Fully correlated, lower limit
  - $m_c = 1.57 \text{ GeV}/c^2$
  - Pythia band below data at low  $p_T$
  - Increase  $\Delta m_c$  to cover low  $p_T$  data points
  - Include  $\Lambda_c^+$  into the fit.



# Summary

- The project of total charm cross-section from combining ALICE, CMS and LHCb measurements is making good progress.
- Compared with PYTHIA calculations, found parameters that describe data.
  - To-do: include ALICE  $\Lambda_c^+$  data into the fitting.
  - Working on extrapolation to obtain total cross-section.
  - Preparing paper on data/theory comparisons and total charm cross-section in the coming months.