

Charm hadronisation in proton–proton and proton–Pb collisions

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Quark gluon plasma characterisation with heavy-flavour probes

Trento, 15-19 November 2021

Physics motivation

Heavy Quarks (charm and beauty) are produced only "initially" in hard-scattering processes with cross section calculable with pQCD

 \rightarrow "perturbative" probes of transition from quarks to hadrons in all collision systems \rightarrow measurement of cross sections and relative abundances of charm-hadron species provides a test for models incorporating (semi)dynamical description of hadronisation or based on a statistical approach

Main hadronisation model categories (in a simplified scheme)



2

Factorisation: a very successful framework for HF mesons!



Prompt and non-prompt D mesons (including D_s^+) follow expectations... **does it hold for baryons?** Up to what extent fragmentation functions tuned on e^+e^- can be effective in pp or heavy-ion collisions? ₃

Beam remnants and drag effect, R

Indication for a rapidity-dependent ratio of $\Lambda_{\rm b}/\overline{\Lambda}_{\rm b}$, suggesting some baryon-number transport from beam particles to $\Lambda_{\rm b} \leftarrow$ string drag/leading-quark effect?

J.L. Rosner, PRD 90 014023 (2014); PRD 86 014011 (2012)

Similar effect observed for charm mesons (D⁺) long ago in π -nucleus collisions (E791, E769, WA82)





Suggest that hadronic environment plays a role Up to what extent? how does the hadronisation dynamics change in different systems?





Several arrows in the quiver

Λ⁺_c cross section in pp and p-Pb collisions at $\sqrt{s_{NN}}$ = 5 TeV

PRC 104 054905 (2021) PRL 127 202301 (2021)



 Λ_c^+ production significantly underestimated by pQCD-based models

GM-VFNS: PRD 101 (2020) 114021 POWHEG: JHEP 09 (2007) 126 PYTHIA6: JHEP 05 (2006) 026 CT14 NLO: Phys. Rev. D 93, 033006 (2016) **7**



ALICE, <u>arXiv:2011.06079</u>, PRC 104 054905 (2021) ALICE, <u>arXiv:2011.06078</u>, PRL 127 202301 (2021) CMS, PLB 803 13428 (2020) Λ_c^+/D^0 ratio higher (x4-5) values at low p_T than e^+e^- , ep

Significantly decreasing with $p_{\rm T}$

	$\Lambda_c^+/D^0 \pm stat. \pm syst.$	System	\sqrt{s} (GeV)	Notes
ALICE	$0.51 \pm 0.04 \pm 0.04 \substack{+0.01 \\ -0.02}$	pp	5020	$p_{\rm T} > 0, y < 0.5$
ALICE	$0.43 \pm 0.03 \pm 0.05 \substack{+0.05 \\ -0.03}$	p-Pb	5020	$p_{\rm T} > 0, -0.96 < y < 0.04$
CLEO [16]	$0.119 \pm 0.021 \pm 0.019$	e ⁺ e ⁻	10.55	
ARGUS [15, 17]	0.127 ± 0.031	e ⁺ e ⁻	10.55	
LEP average [18]	$0.113 \pm 0.013 \pm 0.006$	e ⁺ e ⁻	91.2	
ZEUS DIS [21]	$0.124 \pm 0.034 \substack{+0.025 \\ -0.022}$	e ⁻ p	320	$1 < Q^2 < 1000 {\rm GeV^2}, \label{eq:pt}$ $0 < p_{\rm T} < 10 {\rm GeV}/c, 0.02 < y < 0.7$
ZEUS γp, HERA I [19]	$0.220 \pm 0.035 \substack{+0.027 \\ -0.037}$	e ⁻ p	320	$130 < W < 300 \text{ GeV}, Q^2 < 1 \text{ GeV}^2,$ $p_T > 3.8 \text{ GeV}/c, \eta < 1.6$
ZEUS γp, <u>H</u> ERA II [20]	$0.107 \pm 0.018 \substack{+0.009 \\ -0.014}$	e ⁻ p	320	$130 < W < 300 \text{ GeV}, Q^2 < 1 \text{ GeV}^2,$ $p_{\text{T}} > 3.8 \text{ GeV}/c, \eta < 1.6$



Default PYTHIA8 (Monash, EPJC 74 (2014) 3024), standard Lund string fragmentation

Hadronisation of different MPI products largely independent

HERWIG7 (EPJC 58 (2008) 639-707), cluster hadronisation

Undershoot data by factor about 5 and do not catch p_{τ} shape

ALI-PUB-488244

ALICE, arXiv:2011.06079, PRC 104 054905 (2021) ALICE, arXiv:2011.06078, PRL 127 202301 (2021)



ALICE, <u>arXiv:2011.06079</u>, PRC 104 054905 (2021) ALICE, <u>arXiv:2011.06078</u>, PRL 127 202301 (2021)

Data described by:

PYTHIA8 with String Formation beyond Leading Colour

approximation (JHEP 1508 (2015) 003).

More complete and realistic (=closer to QCD) colour-reconnection (CR) scheme

- "...between which partons do confining potentials arise?"

Junction reconnection topologies \rightarrow enhance baryons.



More in S. Plumari's talk





ALICE, <u>arXiv:2011.06079</u>, PRC 104 054905 (2021) ALICE, <u>arXiv:2011.06078</u>, PRL 127 202301 (2021) Data described by:

PYTHIA8 with String Formation beyond Leading Colour

Catania model: coalescence + "vacuum" fragmentation (arxiv.org 2012.12001)

Expanding system of thermalised light quarks and gluons "Sudden" (fixed temperature) coalescence Coalescence probability from Wigner formalism Charm quarks that do not coalesce, fragment





ALICE, <u>arXiv:2011.06079</u>, PRC 104 054905 (2021) ALICE, <u>arXiv:2011.06078</u>, PRL 127 202301 (2021)

Data described by:

PYTHIA8 with String Formation beyond Leading Colour

Catania model: coalescence + "vacuum" fragmentation

SH+PDG/RQM, PLB 795 117-121 (2019):

Hadron abundances based on statistical hadronisation model + (RQM) large feed-down from augmented set of charm-baryon states More in Min He's talk

Λ_c^+/D^0 ratio in pp collisions at 5 TeV and 13 TeV



arxiv 2106.08278

No significant dependence on collision energy

 p_{τ} >12 GeV/c: approaching e⁺e⁻ values?

QCM = coalescence model based on statistical weights + "equal quark-velocity" (EPJC 78, 2018 4, 344)

Several arrows in the quiver

	Particle	Mass (GeV/c ²)
$\Sigma_{c}^{0,++}$	D ⁰	1.865
(cdd,cuu)	D ⁺	1.870
Dapin Luarks	D _s +	1.968
	Λ_{c}^{+}	2.286
$\begin{array}{ccc} \Lambda_{c}^{+} & \Xi_{c}^{0,+} & \Omega_{c}^{0} \\ (cud) & (csd,csu) & (css) \end{array}$	$\Sigma_{c}^{0,++}$	2.454
Sold Sold Sold Sold Sold Sold Sold Sold	Ξ _c ⁰	2.470
strangeness content	Ξ _c ⁺	2.468
$D^{0,+}$ D_{+}^{+}	$\mathbf{\Omega}_{c}^{0}$	2.695
(cū,cđ) (cš)		

$\Sigma_c^{0,++}$ production and $\Lambda_c^+ \leftarrow \Sigma_c^{0,++}$ feedown

Belle, PRD 97, 072005 (2018)

More in M. Faggin's talk



e⁺e⁻ collisions: production of Σ_c states suppressed w.r.t. Λ_c states

In string fragmentation models charm baryons formed by combining initially produced c quarks with light-quark diquarks, produced in pair in string breaking

 $Λ_c$ (isospin = 0) needs diquark with spin = 0 (ud)₀ Σ_c (isospin = 1) needs diquark with spin = 1 (ud,dd,uu)₁

 $(ud, dd, uu)_1$ larger mass than $(ud)_0$ mass \rightarrow suppression

$\Sigma_c^{0,++}/D^0$ and $\Lambda_c^+ \leftarrow \Sigma_c^{0,+,++}$ feedown in pp at 13 TeV

arxiv 2106.08278



 $\Sigma_{c}^{0,+,++}/D^{0}$ ratio significantly larger than in e⁺e⁻ collisions

About x2 increase of $\Lambda_c^+ \leftarrow \Sigma_c^{0,+,++}$ feed-down $\rightarrow \Sigma_c^{0,+,++}$ "enhancement" larger than Λ_c^+ one

 $\rightarrow \Sigma_{c}^{\ 0,+,++} \text{produced differently in pp than } e^+e^-$ collisions

 \rightarrow suppression from (ud,dd,uu)₁ diquark creation absent or reduced, as comparison to models suggests

$\Sigma_c^{0,++}/D^0$ and $\Lambda_c^+ \leftarrow \Sigma_c^{0,+,++}$ feedown in pp at 13 TeV

arxiv 2106.08278



ALI-DER-493901

ALI-DER-493906 Default PYTHIA8 (Monash 2013): significantly underestimates data

PYTHIA8 with CR beyond Leading Colour: Σ_c enhanced by junction CR topologies (n.b. heavy cu, cd diquarks) describes $\Sigma_{c}^{0,+,++}/D^{0}$ but overestimates $\Lambda_{c}^{+} \leftarrow \Sigma_{c}^{0,+,++}/D^{0}$ Catania, QCM and SHM+RQM models describe both ratios

Several arrows in the quiver

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strangeness content	Ξ_{c}^{+}	2.468
$D^{0,+}$ D_s^+	${oldsymbol{\Omega}_{c}}^{0}$	2.695
(cū,cd) (cs)		

Charm-strange baryons: $\Xi_{c}^{0,+}$

arxiv 2105.05187



 $\Xi_c^{0,+}/D^0$ ratio significantly larger than in e⁺e⁻ collisions

Default PYTHIA8 (Monash) largely underestimates the data

PYTHIA8 with CR-BLC (Mode0,2,3) and SHM+RQM predict ratios significantly larger than in e^+e^- but significantly underestimate the data

QCM underestimates the ratios

Catania closer to the data

ALT-PUB-487391

Ω_c^0/D^0 and Ω_c^0/Ξ_c^0 ratios in pp collisions at 13 TeV



ALI-PREL-486632

BR of $\Omega_c^0 \rightarrow \Omega^- \pi^+$: only theoretical estimate exists. Assuming this value for comparing models to data:

- Only Catania reproduce both ratios when including contribution from higher-mass resonance decays
- QCM, PYTHIA8 CR-BLC (Mode 2), and especially Monash lower by order(s) of magnitude

Not just a strange(ness) feature?



- Charm-strange baryon data underestimated by most models! Something anomalous with strange quarks?
- But D⁺_s/(D⁰+D⁺) (prompt and non-prompt) compatible with expectations from e⁺e⁻ ... baryons are strange!
 Note Ξ⁰_s/D⁰ and Ξ⁺_s/D⁰ similar to D⁺_s/D⁰ (but large uncertainties)
- $\Xi_c^{0,+}/\Sigma_c^{0,+,++}$ ratio described by default PYTHIA8 (Monash)! (by Catania as well) \rightarrow similar suppression in e⁺e⁻? Related to diquark rather than quarks? (note mass of spin-1 (dd,ud,uu)₁ diquarks might be similar to spin-0 (us,ds)₀ diquarks) Does this also connect to similarity of baryon-to-meson ratios in HF and LF sector?

Impact on branching fractions and charm cross section

arxiv 2105.06335



ALI-PUB-488617

Total cc cross section at |y| < 0.5 estimated at 5 TeV from all measured particle-species cross sections

Re-evaluated at 2.76 and 7 TeV using new D⁰ FF 40% higher values w.r.t. using e⁺e⁻ FF On upper edge of FONLL and NNLO

Measured baryon-to-meson ratios imply violation of universality of fragmentation fractions (FF) already in pp collisions:

 \rightarrow cannot rely on e⁺e⁻ FF to calculate charm cross section from D meson data

 \rightarrow new FF estimated in pp collisions at 5 TeV from all measured hadron-species cross sections





Beauty baryons vs. mesons at LEP, Tevatron and LHC







Several arrows in the quiver



D^{0,+} (cu,cd)

Σ_c^{0,++} (cdd,cuu)

lsospin diquarks

°c

(cud)

(cs)

Λ_c^+/D^0 evolution with event activity: pp



 Λ_{c}^{+}/D^{0} increases with particle multiplicity at midrapidity

ALI-PREL-336418

Λ_c^+/D^0 evolution with event activity: pp



 Λ_c^+/D^0 increases with particle multiplicity at midrapidity

Trend expected by **PYTHIA8 with String Formation beyond** Leading Colour (Mode 2) \rightarrow confirms importance of Colour Reconnection in rich partonic environments \rightarrow interplay of Color Perconnection (CP) and Multiple

 \rightarrow interplay of Color Reconnection (CR) and Multiple Parton Interactions

Do we have a smooth evolution with multiplicity from $(e^+e^- to) pp to AA?$

ALI-PREL-336442

$\Lambda_{\rm c}^+/{\rm D}^0$ in p-Pb and $R_{\rm pPb}$

PRC 104 054905 (2021) PRL 127 202301 (2021)



Data suggest modification of Λ_c^+/D^0 ratio from pp to p-Pb

Λ_c^+/D^0 evolution with event activity: from pp to Pb-Pb

More in F. Prino's talk



 Λ_{c}^{+}/D^{0} in Pb-Pb collisions higher than in pp collisions at intermediate p_{τ}

- Similar in 0-10% and 30-50% centrality
- Close to high-multiplicity pp collisions
- Larger "jump" from e⁺e⁻ to pp than from pp to Pb-Pb
- p-Pb in-between pp and Pb-Pb
 - Measured down to $p_T \approx 0 \rightarrow$ Highlights importance to study evolution of p_T -integrated yield with multiplicity

ALI-PREL-321706

Heavy flavour hadronization in pp and Pb-Pb

pp not far from vacuum ~ many independent scatterings

(for HF at least)

MPI, system size

Fragmentation functions universality violated already in pp collisions Multiple parton interactions in pp build a system rich of quarks or gluons, dense enough to alter hadronisation w.r.t. e^+e^-

> Complex, extended-size system, Local equilibration

(Semi)phenomenological models sufficient

to describe relative particle abundances

once ingredients are tuned?

Dynamical model "Local" dynamical constraints (e.g. Lund string fragmentation, quarks and diquarks popping out from QCD potential)

= "vacuum"



Λ_c^+/D^0 compared with $\Lambda/K_s^0~$ and p/π^+

PRC 104 054905 (2021) PRL 127 202301 (2021)



Similar p_{τ} trend and evolution with multiplicity of baryon-to-meson ratios in light and heavy-flavour sector

Λ_c^+/D^0 vs. rapidity in pp and p-Pb



ALICE, JHEP 04 (2018) 108, PRC 104 054905 (2021),

LHCb (pp), Nucl.Phys.B 871 (2013) LHCb (p-Pb), JHEP 02 102 (2019)

Possible dependence on rapidity, especially in pp collisions

Probably run 3 data needed to clarify



First steps towards measurement of Ξ_c^{++} production



Double (and triple) charm production can set powerful constraints to hadronisation

As well as to 3-quark potentials (sensitive to "pure 3 quark" force?)

J. Vijande et al., Phys.Rev.D 90 (2014) 9, 094004 Y. Koma et al., Phys.Rev.D 95 (2017) 9, 094513 36 N. Sakumichi et al., Phys.Rev.D 90 (2014) 11, 111501

Summary

Charm-hadron particle species production and relative abundances powerful probe of hadronisation process in all systems

Overall: large enhancement of charm baryon production relative to charm meson in pp, p-Pb (and Pb-Pb) collisions with respect to e⁺e⁻ and ep collisions

- \rightarrow Charm hadronisation involves different processes in hadronic than in e⁺e⁻ and ep collisions
 - Coalescence of charm quarks with light quarks from a thermalised and expanding bulk?
 - Large effects from Colour Reconnection in an environment enriched of coloured partons from MPI

Far from a full understanding:

- most of theoretical models do not provide a complete and satisfactory description
- "Catania" model with coalescence in pp closer to the data

New data from incoming run 3 and run 4 at the LHC will improve and extend experimental results



Open heavy-flavour production vs. pQCD



Plethora of data indicating that open-charm and open-beauty meson production

- vs. p_{T} and y (wide range covered)
- at very different collision energies
- charm meson species relative abundances

is described by pQCD calculations relying on factorisation

Λ_c^+/D^0 evolution with event activity: pp vs. p-Pb



ALI-PREL-336442

Λ_c^+/D^0 vs. rapidity in p-Pb collisions

JHEP 02 (2019) 102



Model comparison: schematic recap

	PYTHIA Monash	PYTHIA BLC	SHM+RQM	QCM	Catania
D ⁰ cross section			FONLL	FONLL	FONLL
D _s ⁺ /D ⁰					
Λ_c^+/D^0					
$\Sigma_{c}^{0,++}/D^{0}$					
$\Sigma_{c}^{0,++}/\Lambda_{c}^{+}$					
Ξ _c ^{0,+} /D ⁰					
$\Xi_{c}^{0} / \Sigma_{c}^{0,++}$					
$\Xi_{c}^{0}/\Lambda_{c}^{+}$					
Ω_c^{0}/D^{0}		BR(?)	BR(?)	BR(?)	
Λ_{c}^{+}/D^{0} vs. mult.			(ongoing)		
Jet properties					



Λ_{c}^{+} and Ξ_{c}^{0} cross section at 5 TeV vs. PYTHIA



Λ_c^+/D^0 compared with Λ/K_s^0 and p/π^+



Mass effect or baryon effect?



But B_c/B shows a much milder p_T trend (if any) $\rightarrow p_T$ trend not related to particle mass: does this support a baryon-related effect? (caveat: feed-down, comes later) 45

First steps towards measurement of Ξ_c^{++} production



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