

# First measurement of $\Sigma_c^{0,++}$ production in hadronic collisions at the LHC

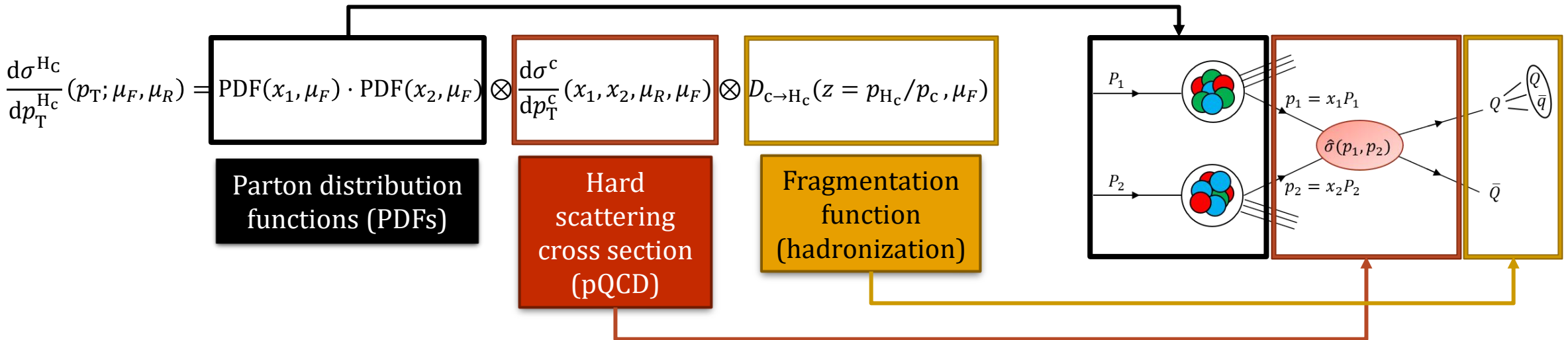
Mattia Faggin, University and INFN, Padova (Italy)

On behalf of the ALICE Collaboration

*Workshop Quark-Gluon Plasma Characterisation with Heavy Flavour Probes*

Trento - 16<sup>th</sup> November 2021

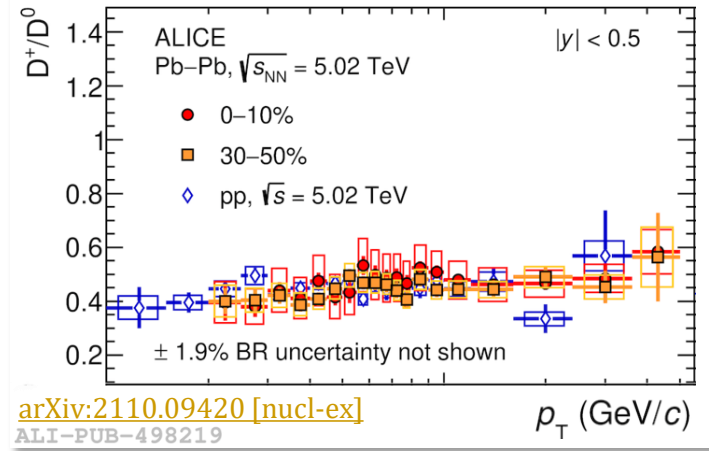
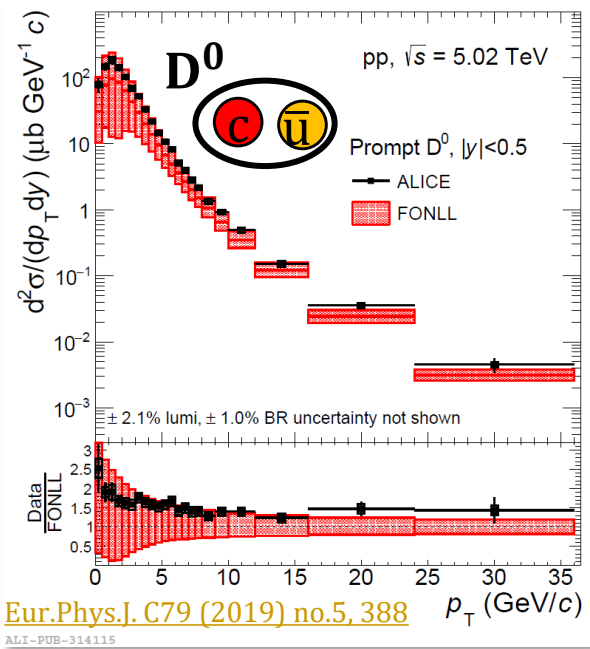
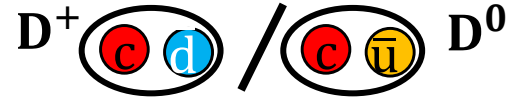
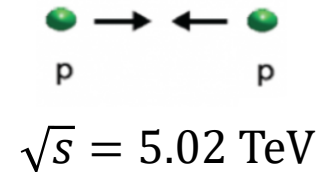
# Heavy flavour (HF) production in pp collisions



- The measurements of the **HF hadron production** are fundamental **tests** of **pQCD calculations**
- The standard description in pp collisions is based on the **factorization theorem**  
 → **Fragmentation functions** assumed **universal** among energy and collision systems and constrained from  $e^+e^-$  and ep measurements
- **Ratios of particle species** → ratios of fragmentation fractions, sensitive to HF quark hadronization  

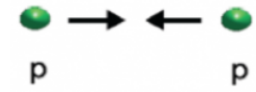
$$f(\text{c} \rightarrow \text{H}_c) = \sigma(\text{H}_c)/\sigma(\text{c}\bar{\text{c}})$$

# Heavy flavour (HF) production in pp collisions

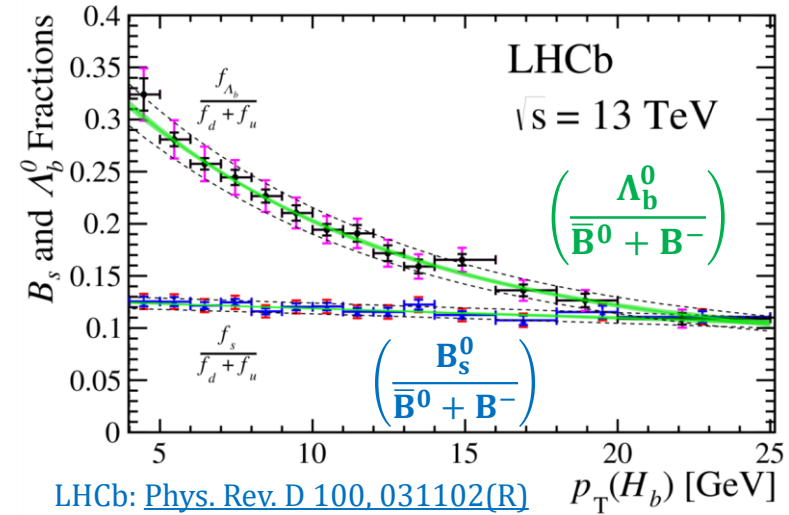
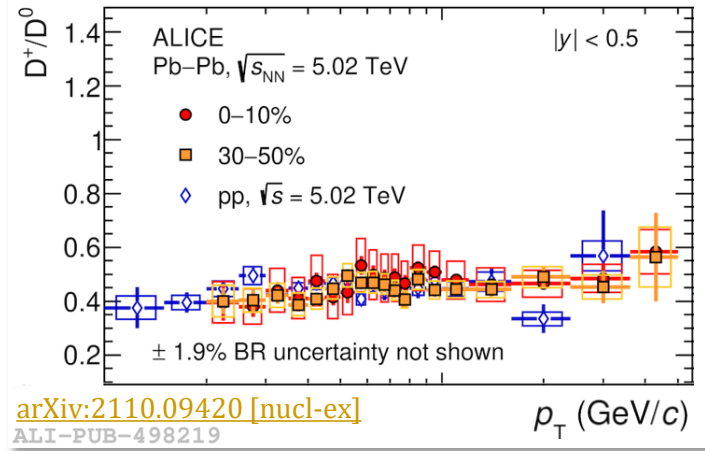
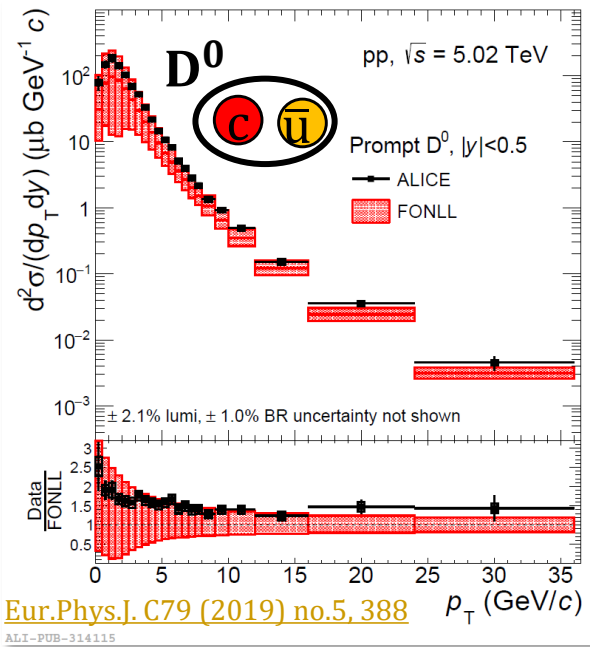
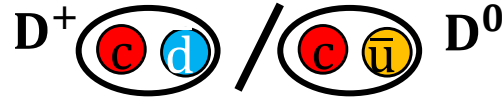


- Fragmentation functions constrained from  $e^+e^-$  measurements
- Theoretical models based on a factorisation approach describe the meson production within large uncertainties
- D-meson cross section on the upper edge of FONLL prediction
- D-meson ratios independent among collision systems and vs.  $p_T$

# Heavy flavour (HF) production in pp collisions



$\sqrt{s} = 5.02, 13 \text{ TeV}$

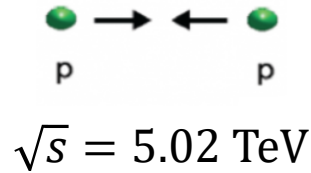


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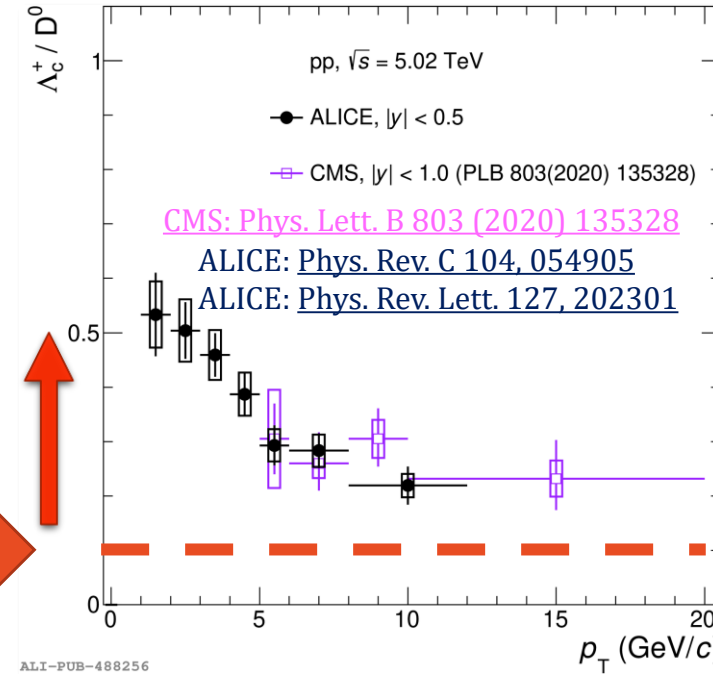
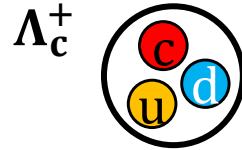
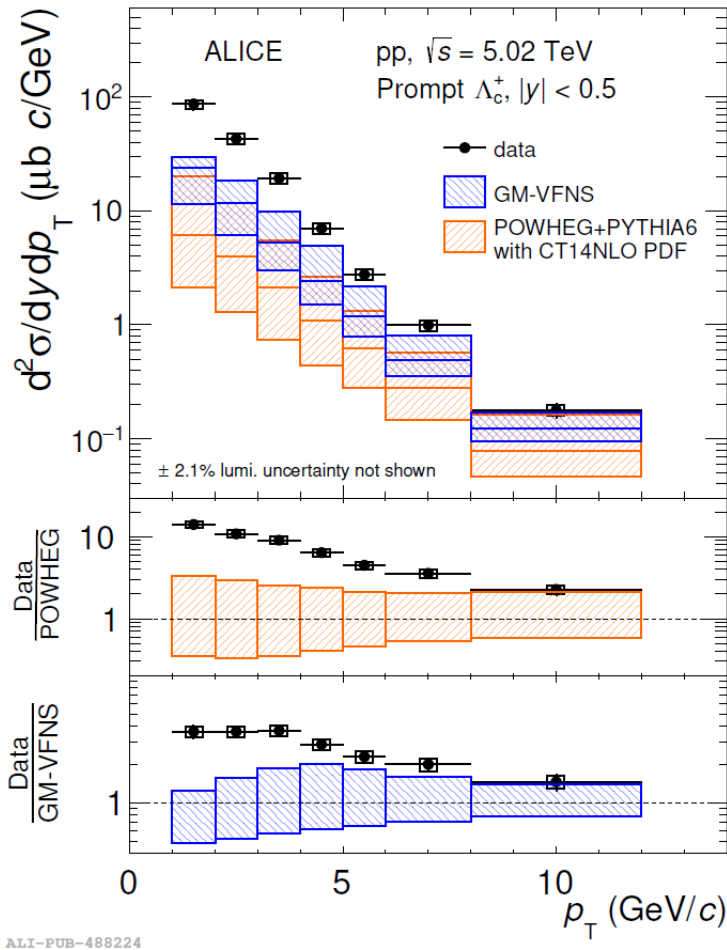
- Beauty baryon-to-meson:
  - Decreasing trend vs.  $p_T$
  - Enhancement at low  $p_T$  with respect to  $B_s^+ / (\bar{B}^0 + B^-)$



# Heavy flavour (HF) production in pp collisions



ALICE: [Phys. Rev. C 104, 054905](#) ALICE: [Phys. Rev. Lett. 127, 202301](#)



- **Theoretical models** based on the same approach **significantly underestimate the baryon production**
- $\Lambda_c^+ / D^0$  ratio:
  - Significant decrease with  $p_T$
  - $\times 2.5 - 5$  enhancement in pp collisions compared to  $e^+e^-$



→ Further mechanisms playing a role? → Non-universality of fragmentation functions?

# The role of $\Lambda_c^+$ and $\Sigma_c^{0,+}$

(PYTHIA 8)  
 $m(\text{ud})_0 = 579 \text{ MeV}/c^2$   
 $m(\text{ud})_1 = 771 \text{ MeV}/c^2$

Belle,  $e^+e^- \sqrt{s} = 10.52 \text{ GeV}$   
 ([Phys. Rev. D 97, 072005](#))

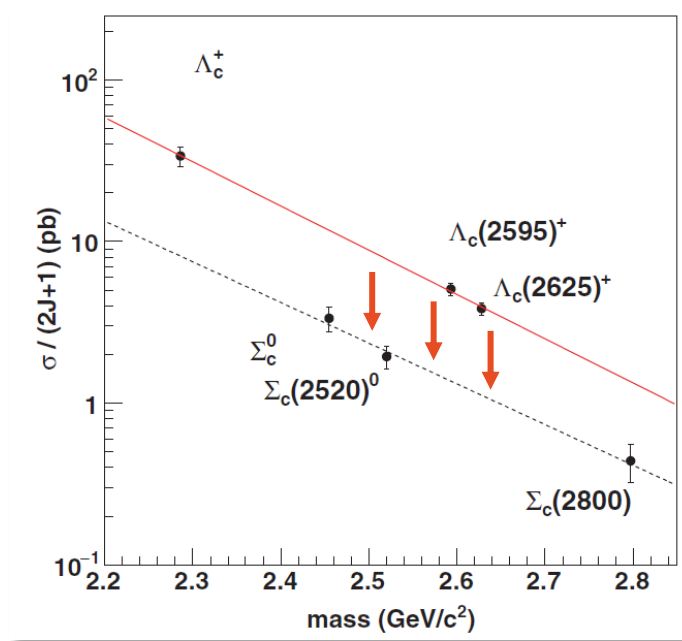
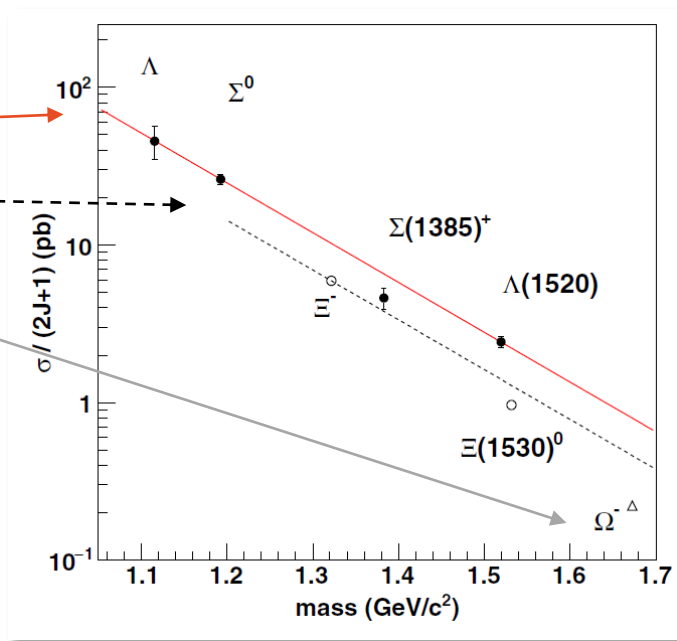
$$f(m) = a_0 \exp(a_1 m)$$

$S = -1$

$S = -2$

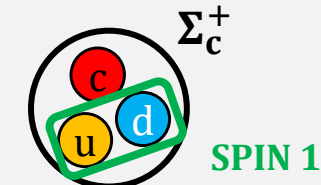
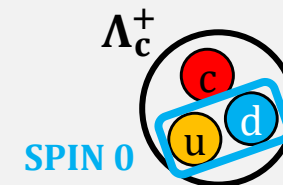
$S = -3$

Hierarchy driven  
by  $s\bar{s}$  pair creation



- In conventional fragmentation:
  - charm picks up a **spin-0**  $(\text{ud})_0$  diquark  $\rightarrow \Lambda_c^+$  ( $I = 0$ )
  - charm picks up a **spin-1**  $(\text{ud})_1$  diquark  $\rightarrow \Sigma_c^+$  ( $I = 1$ )

$(\text{ud})_1$  mass much larger than  $(\text{ud})_0$   
 $\Rightarrow$  production of  $\Sigma_c$  states expected to be suppressed compared to  $\Lambda_c^+$



- $\Sigma_c$ -state production suppressed by  $\sim 3$ -4 times that of excited  $\Lambda_c^+$  states in  $e^+e^-$  collisions at  $\sqrt{s} = 10.52 \text{ GeV}$

# The role of $\Lambda_c^+$ and $\Sigma_c^{0,+}$

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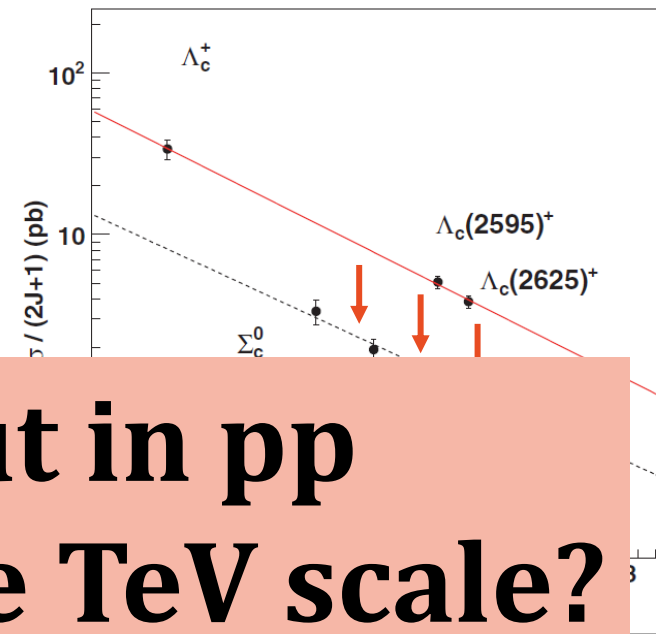
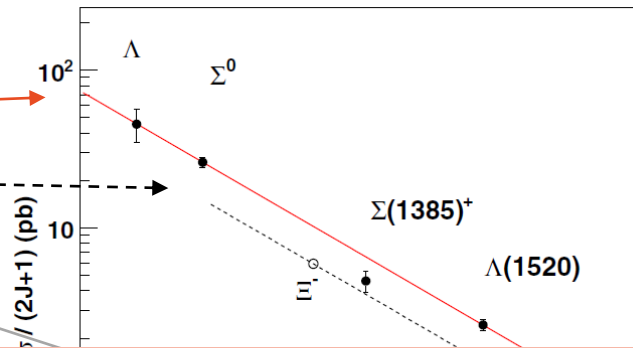
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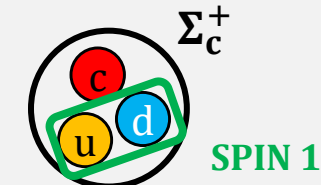
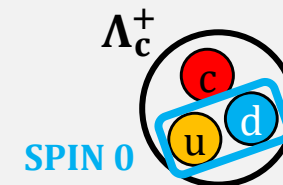
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Hierarchy driven  
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**What about in pp collisions at the TeV scale?**

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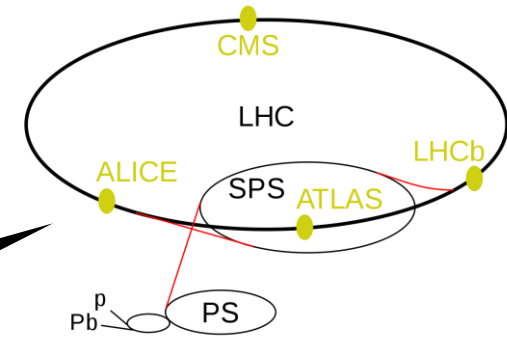
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- $\Sigma_c$ -state production suppressed by  $\sim 3$ - $4$  times that of excited  $\Lambda_c^+$  states in  $e^+e^-$  collisions at  $\sqrt{s} = 10.52 \text{ GeV}$



# The ALICE apparatus in a nutshell

**Time Projection Chamber (TPC):**  
tracking, PID via  $dE/dx$



$\sqrt{s} = 2.76, 5.02, 7, 8, 13 \text{ TeV}$

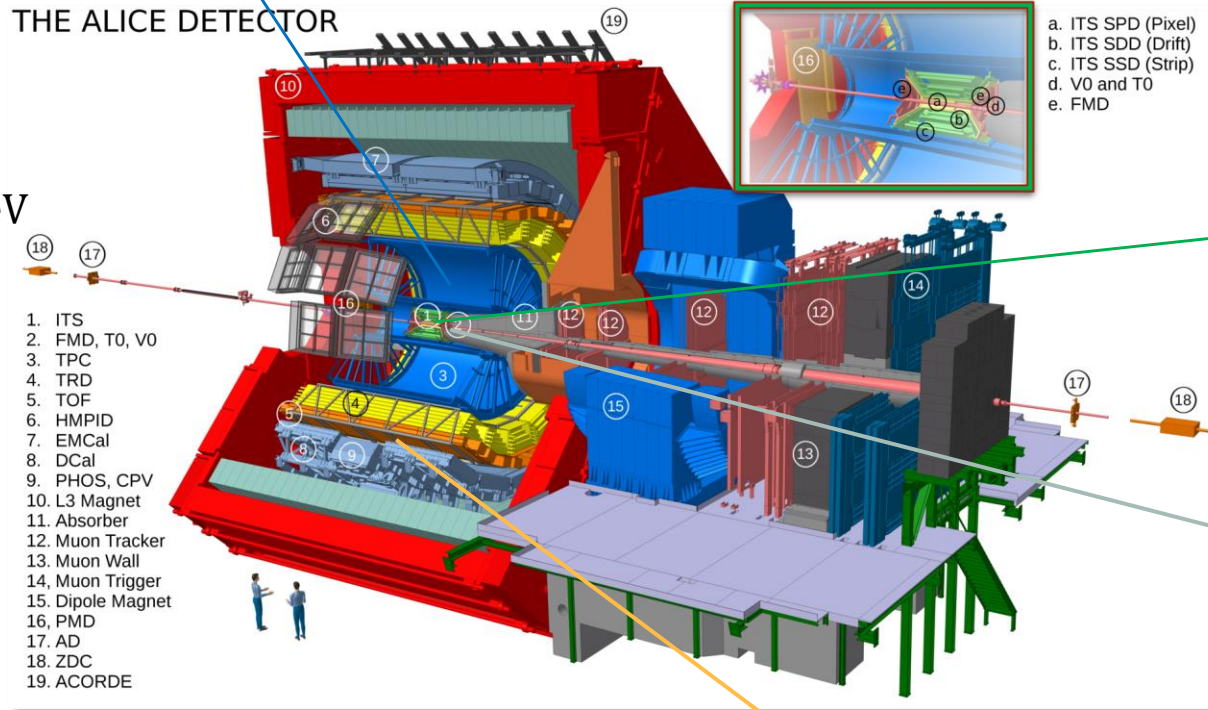
A diagram showing two protons (p) moving towards each other, representing a proton-proton collision.

$\sqrt{s_{NN}} = 5.02, 8.16 \text{ TeV}$

A diagram showing a proton (p) moving towards a lead nucleus (Pb), representing a proton-lead collision.

$\sqrt{s_{NN}} = 2.76, 5.02 \text{ TeV}$

A diagram showing two lead nuclei (Pb) moving towards each other, representing a lead-lead collision.



**Inner Tracking System (ITS):** tracking, vertexing (primary, secondary HF), PID via  $dE/dx$ , trigger

**V0:** trigger, centrality

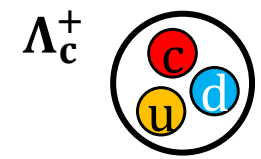
**T0:** start time, luminosity determination

Central barrel coverage:  $|\eta| < 0.9$   
 Muon spectrometer coverage:  $-4 < \eta < -2.5$

**Time Of Flight (TOF):** PID via time of flight

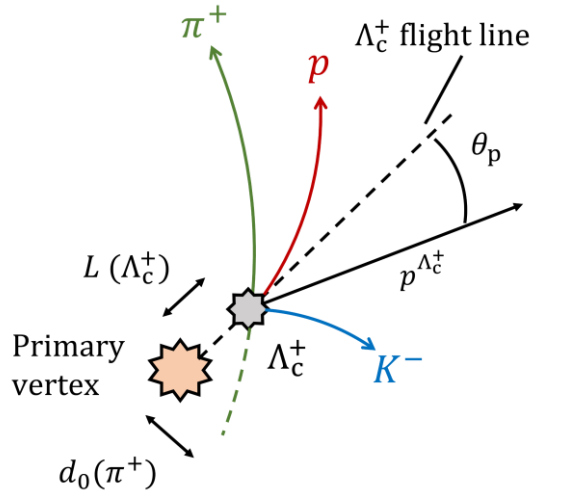


# $\Lambda_c^+ \rightarrow pK^- \pi^+$ reconstruction in ALICE



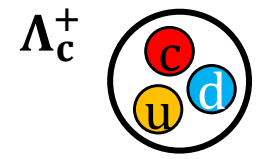
[P.A. Zyla et al. \(Particle Data Group\), Prog. Theor. Exp. Phys. 2020, 083C01 \(2020\)](#)

	Mass (MeV/ $c^2$ )	$c\tau$ ( $\mu\text{m}$ )	Decay (BR)
$\Lambda_c^+$	$2286.46 \pm 0.14$	60.7	$pK^- \pi^+$ ( $(6.28 \pm 032)\%$ )



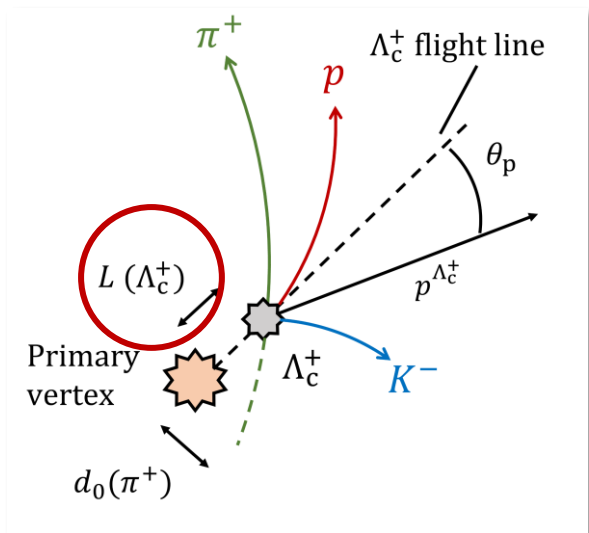
- Variables linked to the displaced decay topology exploited
- Secondary vertex: 3D point of closest approach among identified  $p, K^-, \pi^+$  tracks

# $\Lambda_c^+ \rightarrow pK^-\pi^+$ reconstruction in ALICE



P.A. Zyla et al. (Particle Data Group), Prog. Theor. Exp. Phys. 2020, 083C01 (2020)

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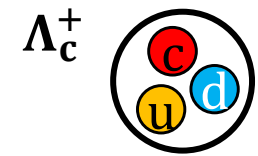
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Examples

**Decay length  $L$**

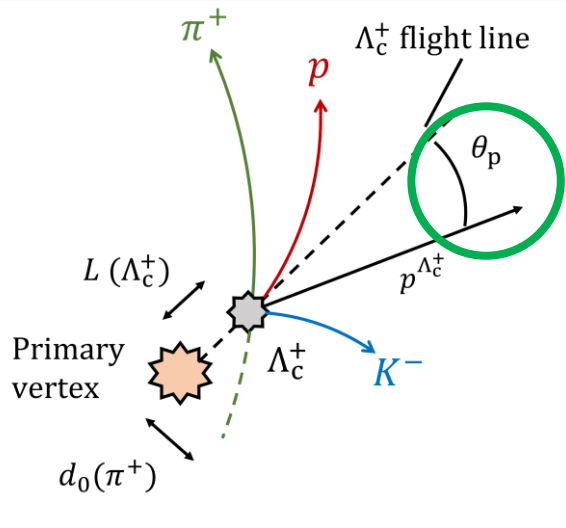
- Distance between beam interaction point and secondary vertex
- Intrinsic displacement of  $\Lambda_c^+$  baryons

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Examples

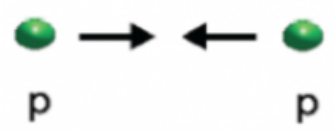
## Decay length $L$

- Distance between beam interaction point and secondary vertex
- Intrinsic displacement of  $\Lambda_c^+$  baryons

## Cosine of pointing angle ( $\cos \theta_p$ )

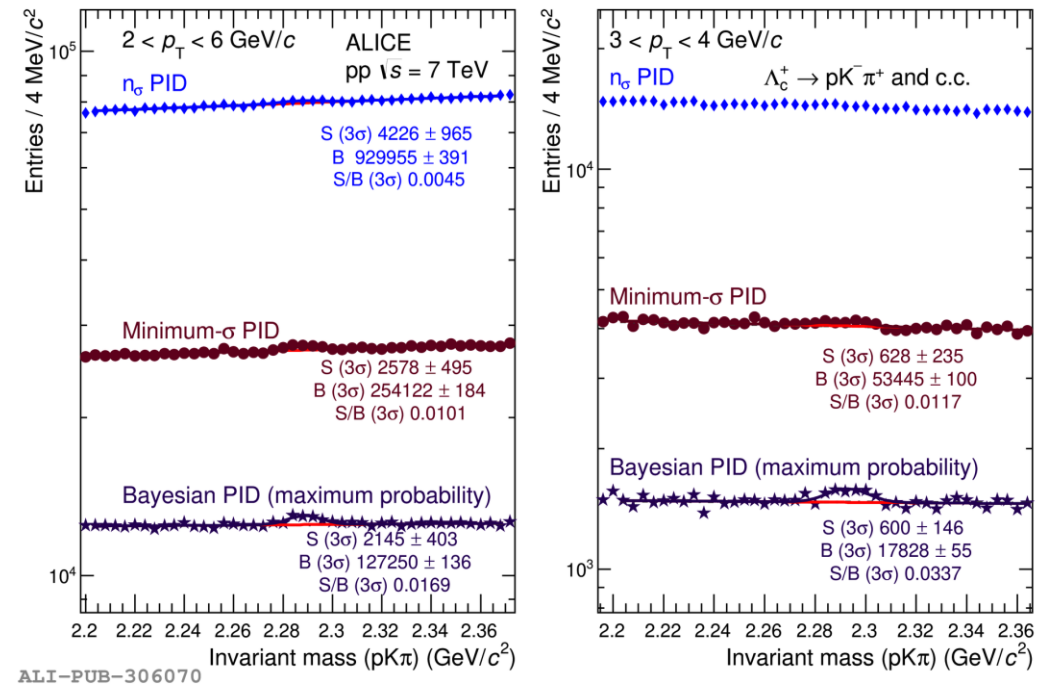
- Angle between the  $\Lambda_c^+$  flight-line and the reconstructed momentum
- $\cos \theta_p = 1$  for signal (smearing due to resolution)

# $\Lambda_c^+ \rightarrow pK^- \pi^+$ : Bayes PID



$\sqrt{s} = 7 \text{ TeV}$

[Eur. Phys. J. Plus 131 \(2016\) 168](#)

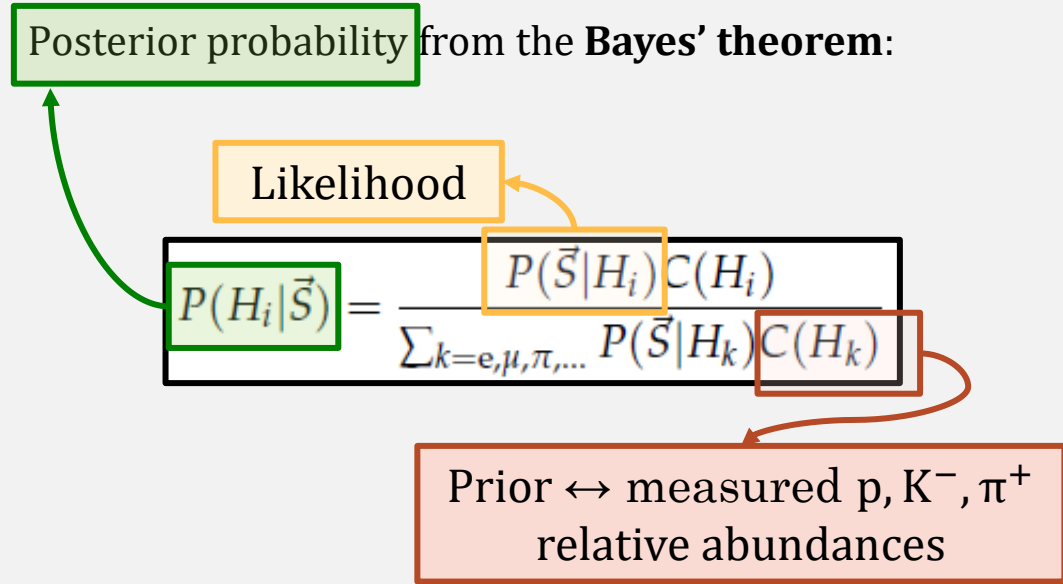


- Method to better exploit the PID capabilities of the full apparatus (TPC and TOF in this analysis)
- Detector signals expressed in terms of probabilities:

$$P_\alpha(S_\alpha | H_i) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(S_\alpha - \hat{S}_\alpha(H_i))^2}{2\sigma^2}}, \quad i: \text{species}, \alpha: \text{detector}$$

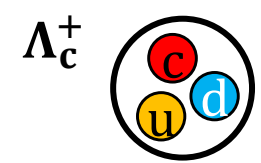
- Total likelihood = product of detector probabilities

- Posterior probability from the **Bayes' theorem**:

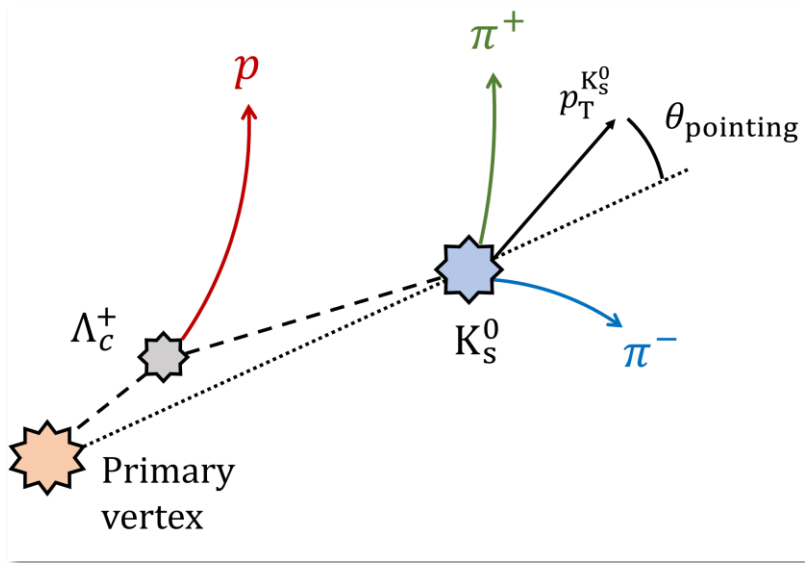


- $\times 7$  more background rejection
- $\times 3$  larger signal-to-background

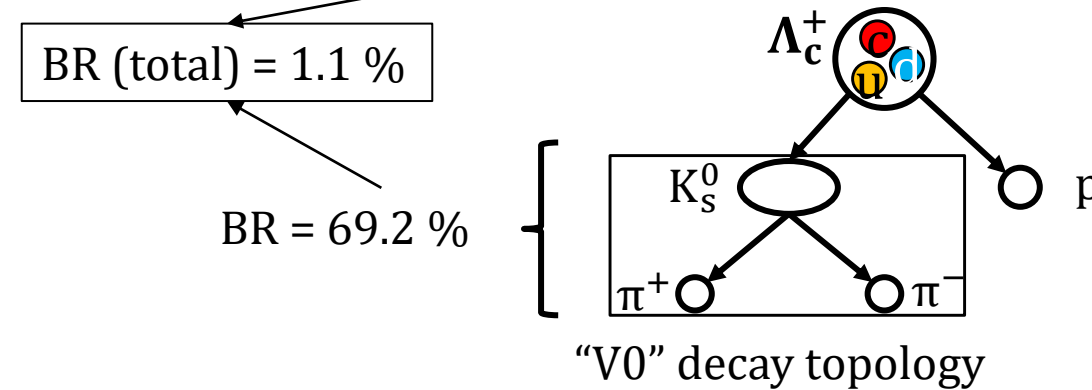
# $\Lambda_c^+$ $\rightarrow$ $pK_S^0$ reconstruction in ALICE



P.A. Zyla et al. (Particle Data Group), Prog. Theor. Exp. Phys. 2020, 083C01 (2020)



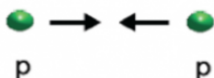
	Mass (MeV/ $c^2$ )	$c\tau$ ( $\mu\text{m}$ )	Decay (BR)
$\Lambda_c^+$	$2286.46 \pm 0.14$	60.7	$pK_S^0$ (1.59 %)



- $\Lambda_c^+$  secondary vertex not reconstructed  $\rightarrow$   $K_S^0$  propagation to primary vertex not precise enough
- Exploited variables (examples):
  - "bachelor" proton track:
    - $\rightarrow$  Impact parameter
    - $\rightarrow$   $\cos \theta^*$  (angle in  $\Lambda_c^+$  rest frame w.r.t.  $\Lambda_c^+$  momentum)
    - $\rightarrow$  PID in TPC, TOF
  - $K_S^0$ :
    - $\rightarrow$  Invariant mass
    - $\rightarrow$   $\cos \theta_p$
    - $\rightarrow$   $c\tau$

BDT (AdaBoost, XGBoost) applied to optimise the signal-to-background separation

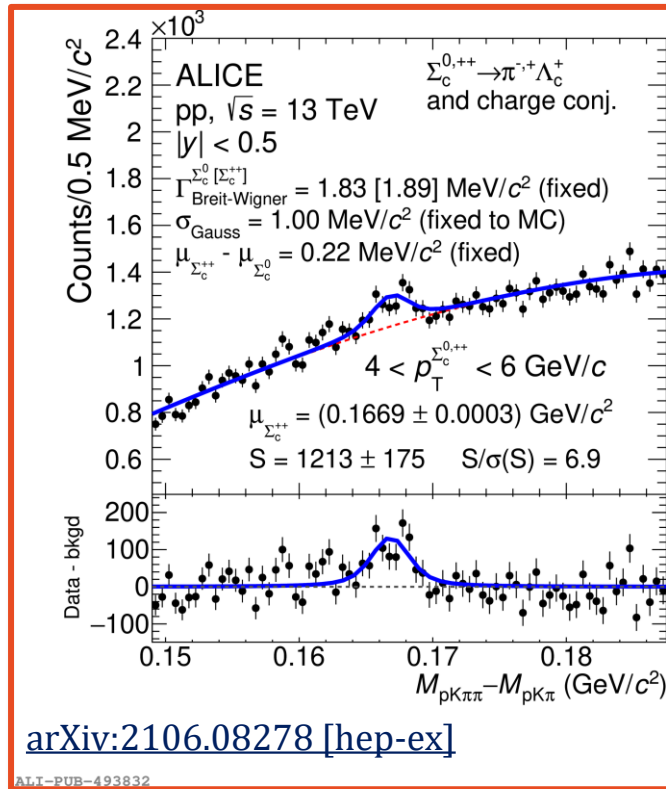
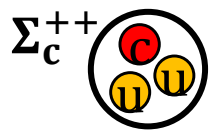
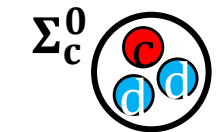
# $\Sigma_c^{0,++} \rightarrow \Lambda_c^+ \pi^{-,+}$ reconstruction in ALICE


  
 $\sqrt{s} = 13 \text{ TeV}$

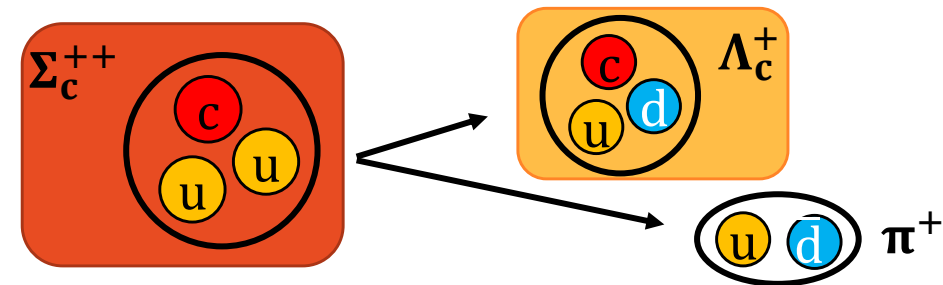
- BR( $\Sigma_c^{0,++} \rightarrow \Lambda_c^+ \pi^{-,+}$ )  $\approx 100\%$ 
  - Strong decay
  - No displacement from the primary vertex  $\Rightarrow$  no topological selections

	$\Sigma_c^0$	$\Sigma_c^+$	$\Sigma_c^{++}$
$m \text{ (MeV}/c^2)$	$2453.75 \pm 0.14$	$2452.9 \pm 0.4$	$2453.97 \pm 0.14$
$\Gamma \text{ (MeV}/c^2)$	$1.83^{+0.11}_{-0.19}$	$< 4.6 \text{ (90\% CL)}$	$1.89^{+0.09}_{-0.18}$
$\Delta m \text{ with } \Lambda_c^+ \text{ (MeV}/c^2)$	$167.290 \pm 0.017$	$166.4 \pm 0.4$	$167.510 \pm 0.017$
$\Delta m \text{ with } \Sigma_c^0 \text{ (MeV}/c^2)$	-	$-0.9 \pm 0.4$	$0.220 \pm 0.013$

P.A. Zyla et al. (Particle Data Group), Prog. Theor. Exp. Phys. 2020, 083C01 (2020)



- $\Delta M \equiv M(pK^- \pi^+ \pi^{-,+}) - M(pK^- \pi^+)$  distribution  
 $\rightarrow \pi^{-,+}$  momentum resolution dominates
- $\Sigma_c^0$  and  $\Sigma_c^{++}$  contributing to the signal
- Signal fit function: sum of two Voigt  
 $\rightarrow$  Convolution of Breit-Wigner ( $\Gamma$  width) and Gauss ( $\sigma$  resolution)





# Cross section measurement

$$\left. \frac{d\sigma^H}{dp_T} \right|_{|y|<0.5} = \frac{1}{2} \frac{1}{\Delta p_T} \cdot \frac{f_{\text{prompt}} N_{|y|<y_{\text{fid}}}^H}{\alpha_y(\text{acc} \times \varepsilon)_{\text{prompt}}} \cdot \frac{1}{\text{BR}} \cdot \frac{1}{\mathcal{L}_{\text{int}}}$$

Signal measured from invariant mass fits

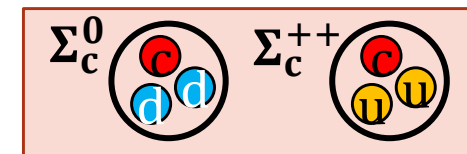
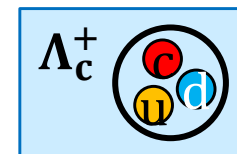
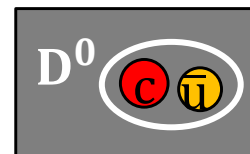
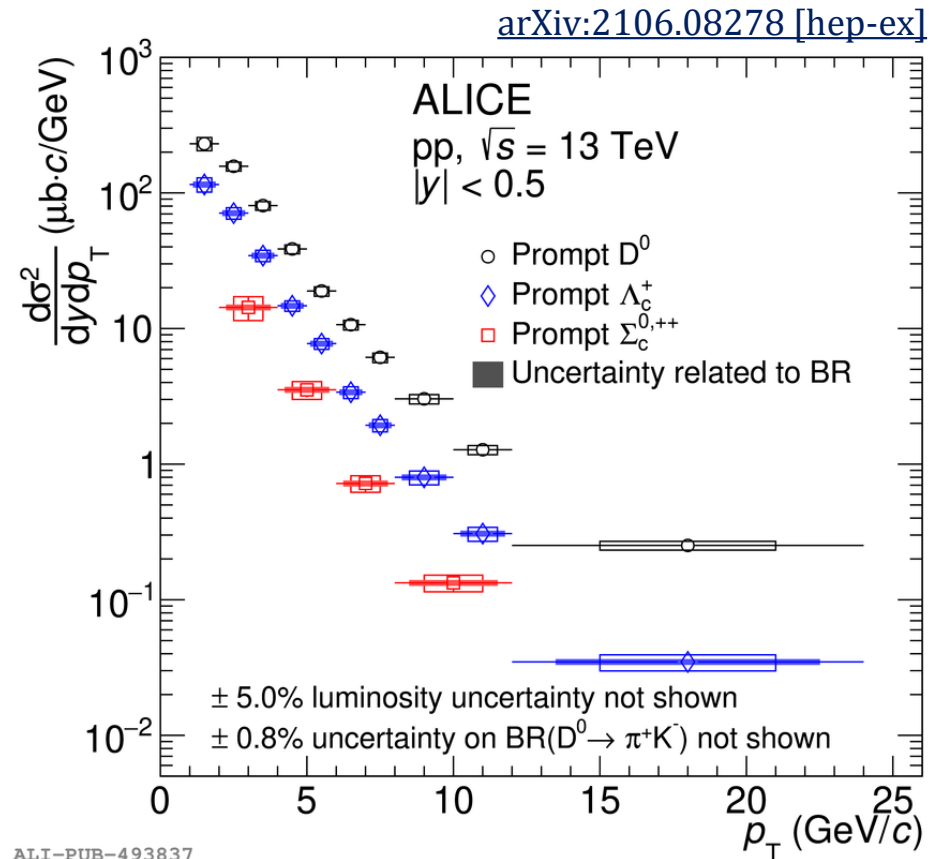
## Prompt fraction ( $f_{\text{prompt}}$ )

- Correction for fraction of prompt baryons out of all reconstructed
- Correction based on MC simulations ( $\alpha_y(\text{acc} \times \varepsilon)$ ), FONLL calculations (b-quark cross section) and LHCb measurements (b-quark fragmentation functions)

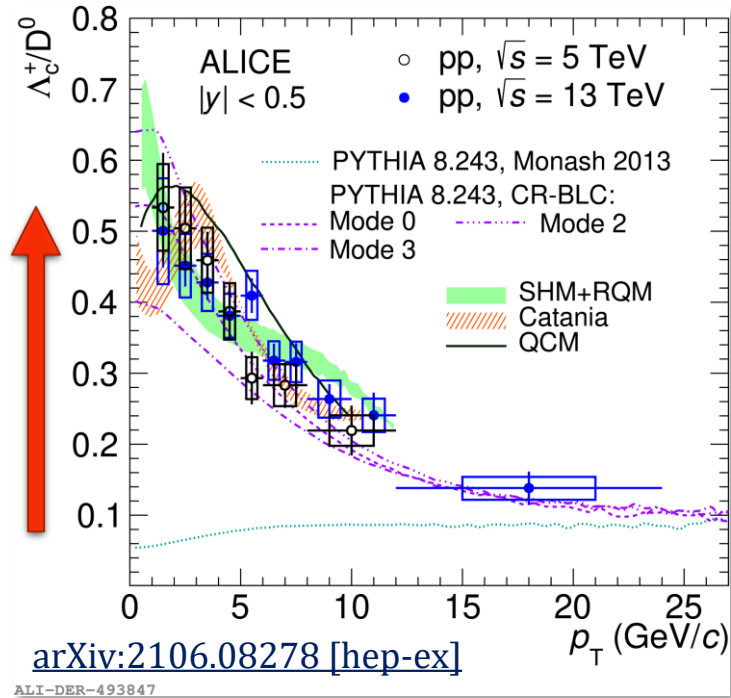
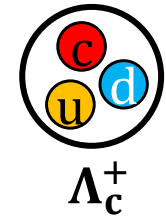
$\alpha_y(\text{acc} \times \varepsilon) \rightarrow$  efficiency from MC simulations

- Final result: average of cross sections measured exploiting the  $\Lambda_c^+ \rightarrow pK^- \pi^+$  channel (this work) and the  $\Lambda_c^+ \rightarrow pK_S^0$

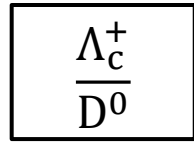
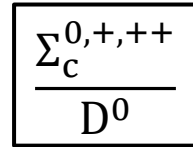
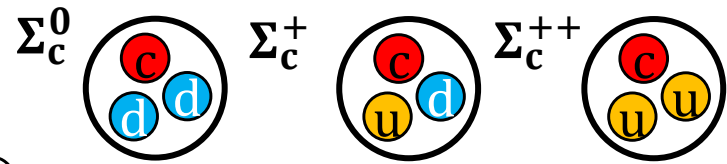
$$\begin{aligned} \mathcal{L}_{\text{int.}} &= 32 \text{ nb}^{-1} \\ \text{BR}(pK^- \pi^+) &= 100\% \cdot 6.28\% \\ \text{BR}(pK_S^0) &= 1.59\% \cdot 69.20\% = 1.10\% \end{aligned}$$



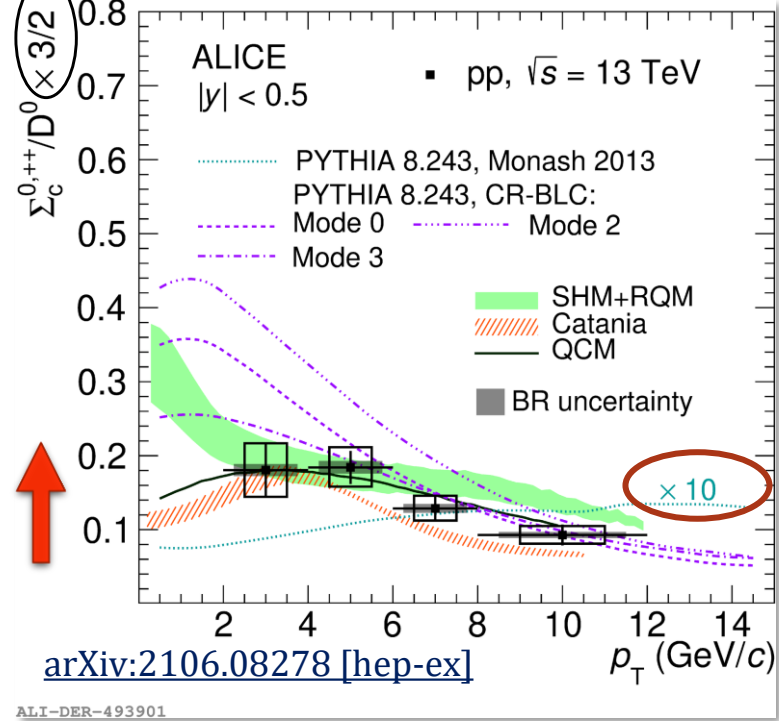
# Charm hadron ratios



Factor  $\times 3/2$  :  
isospin symmetry  
assumed

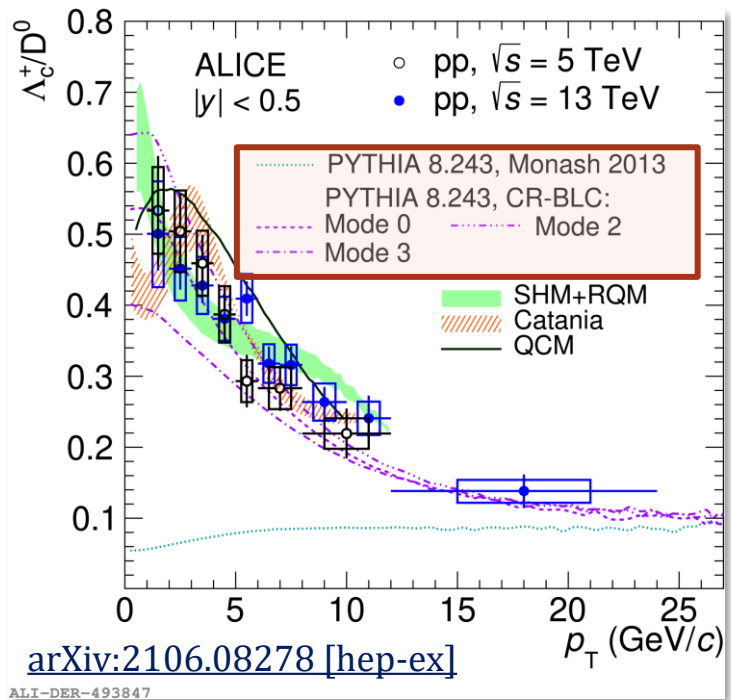
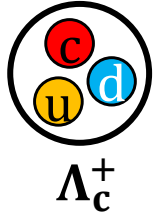
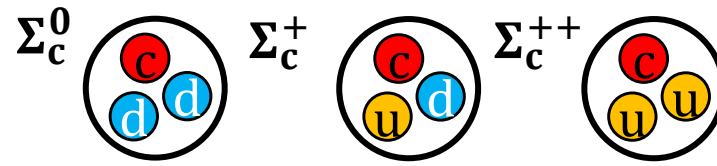


$e^+e^-$

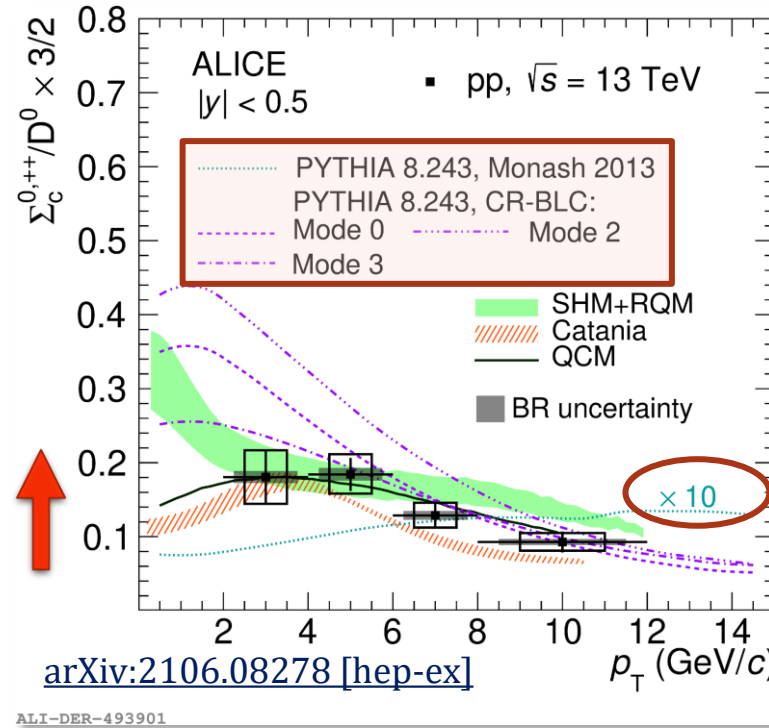


- $\Lambda_c^+/D^0$  and  $\Sigma_c^{0,+,++}/D^0$  ratios significantly enhanced with respect to  $e^+e^-$  collisions  
→ Larger increase for the  $\Sigma_c^{0,+,++}$  baryons compared to  $\Lambda_c^+$
- New models in agreement with the measurements:
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  4. Catania: formation of hot QCD matter at finite temperature? Coalescence + fragmentation

# Charm hadron ratios

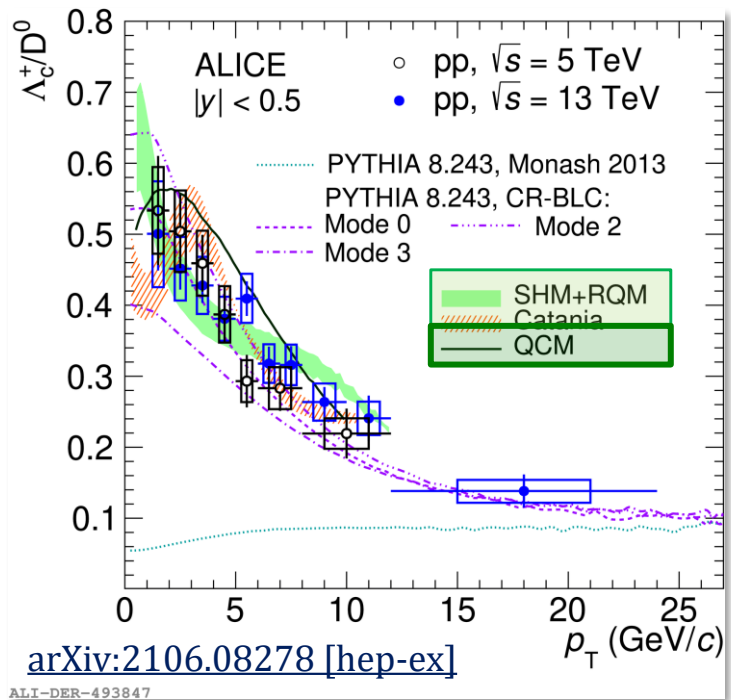
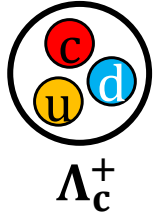
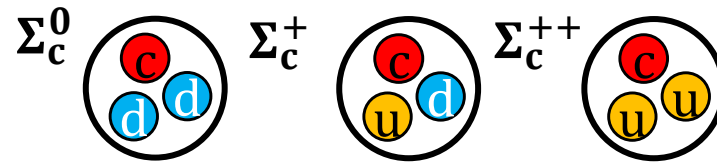


(PYTHIA 8 CR-BLC) J.P. Christiansen, P. Z. Skands: [JHEP 1508 \(2015\) 003](https://arxiv.org/abs/1508.003)



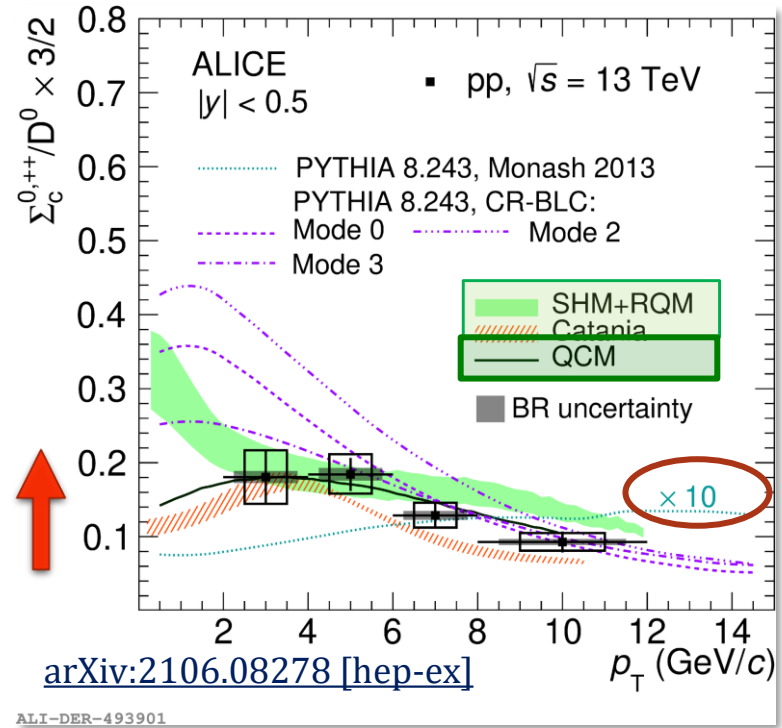
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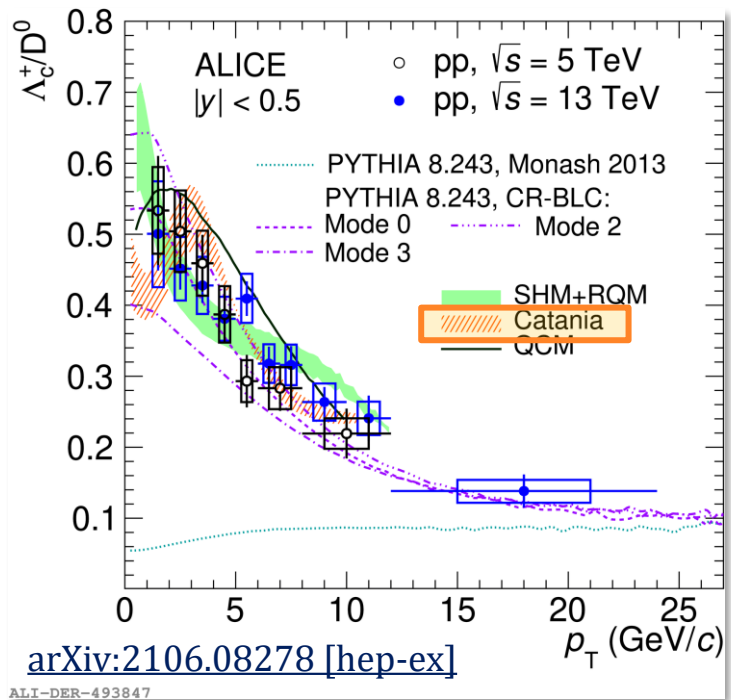
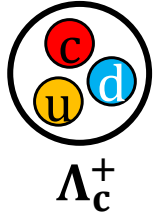
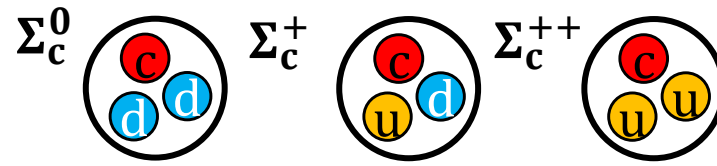
(SHM+RQM) M. He, R. Rapp:  
[PLB 795 \(2019\) 117-121](#)

(QCM) J. Song, H. Li, F. Shao:  
[Eur. Phys. J. C \(2018\) 78: 344](#)

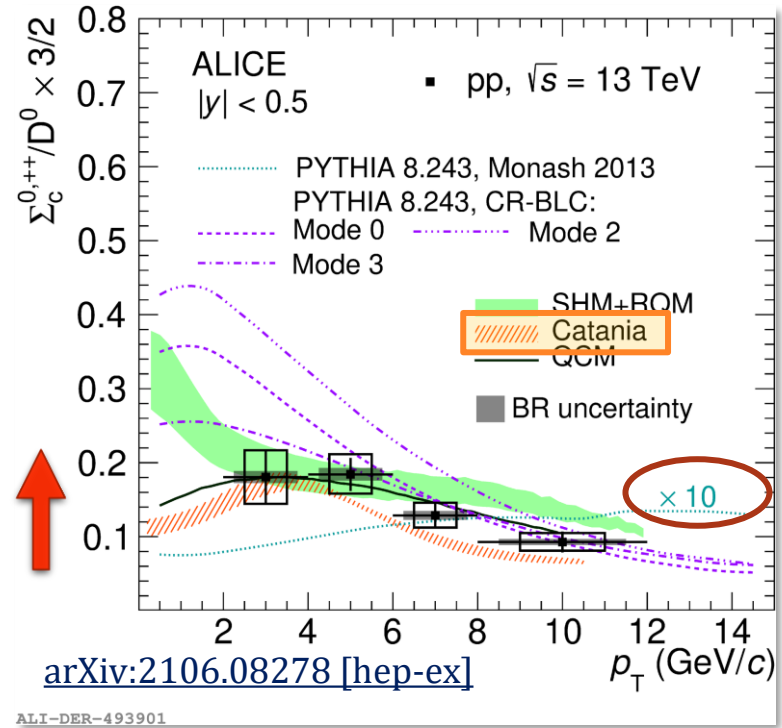


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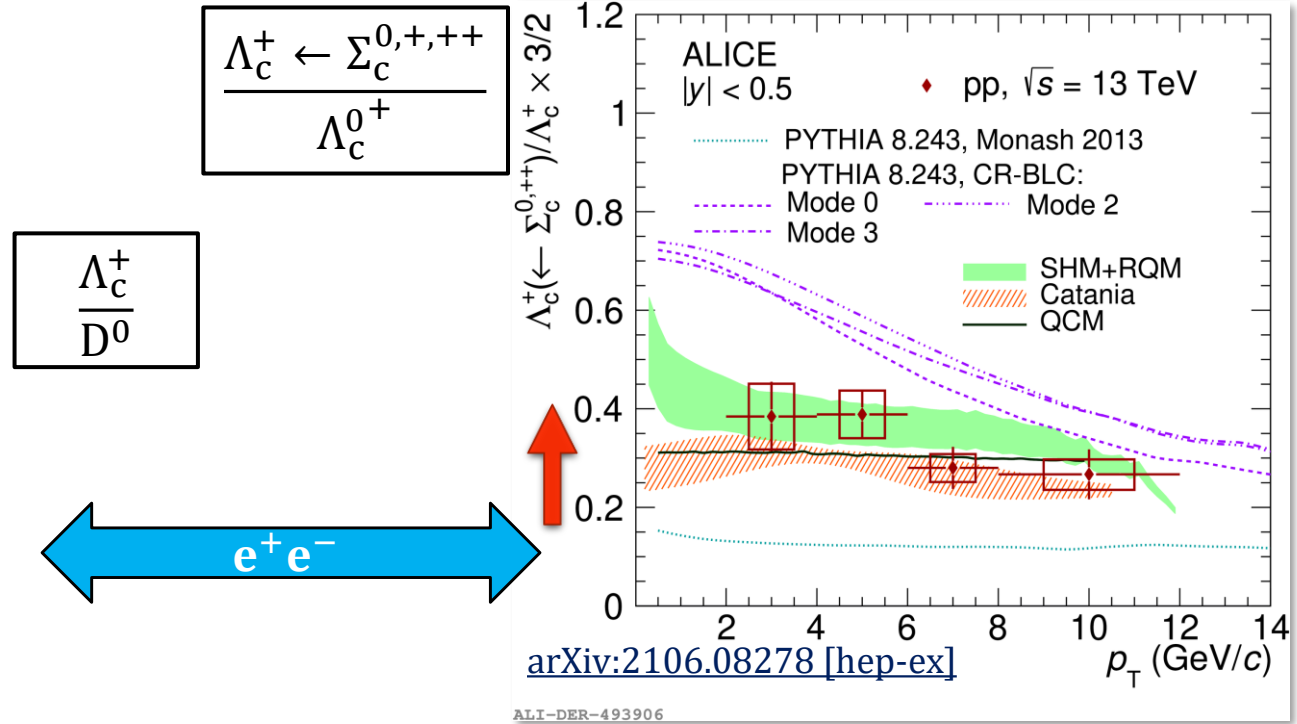
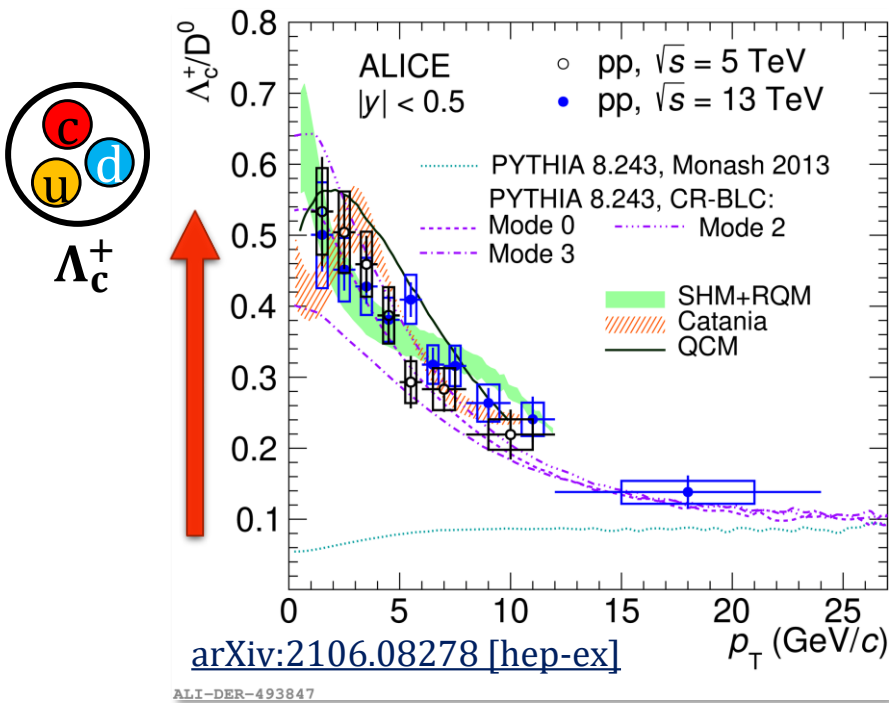
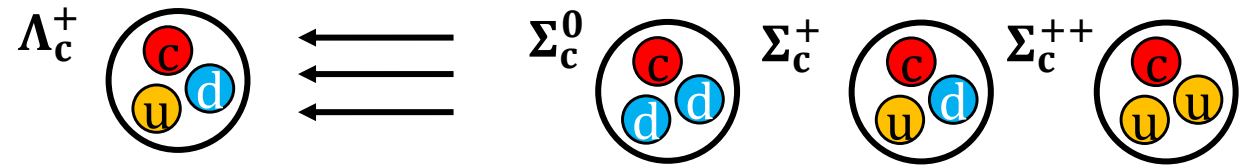
(Catania) V. Minissale, S. Plumari, V. Greco:  
[arXiv:2012.12001](https://arxiv.org/abs/2012.12001)



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# Charm hadron ratios



- First measurement of  $\Lambda_c^+$  fraction from  $\Sigma_c^{0,+,++}$  decays in pp collisions at the LHC:  
 $0.38 \pm 0.06(\text{stat.}) \pm 0.06(\text{syst.})$

No more «di-quark» penalty factor for  $\Sigma_c^{0,+,++}$  formation

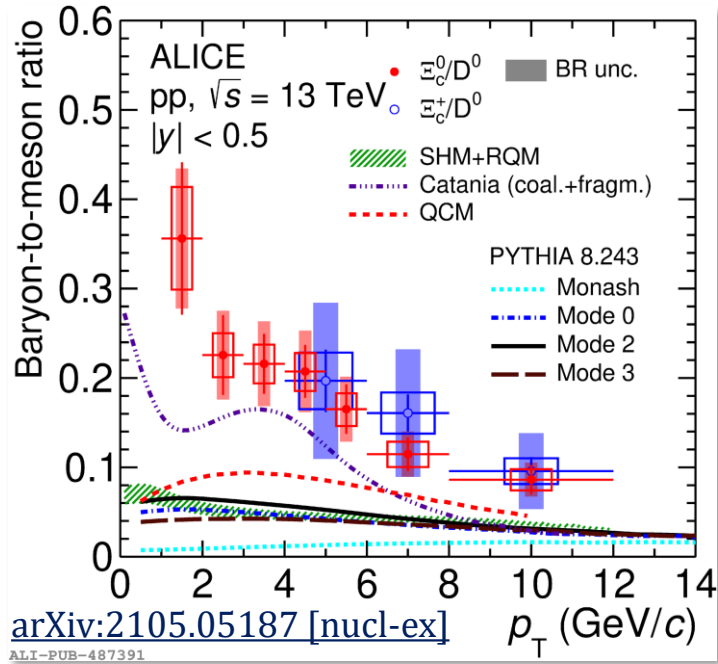
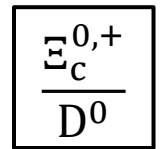
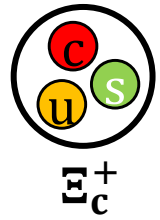


Canonical fragmentation in pp collisions at the TeV scale fully overcome by new hadronization processes?



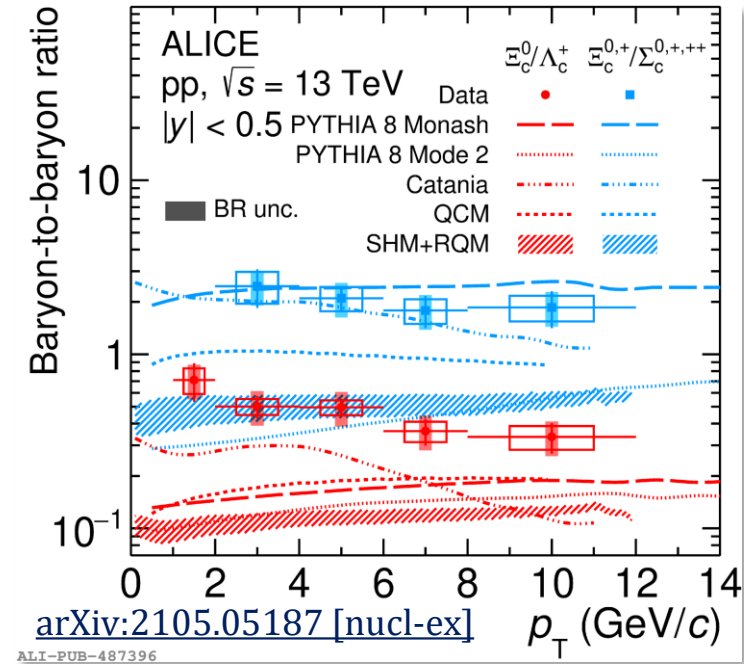
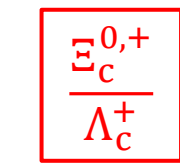
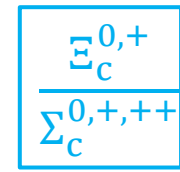
# Charm hadron ratios

More on A. Rossi's talk "[Charm hadronization in pp and p-Pb collisions](#)"



arXiv:2105.05187 [nucl-ex]

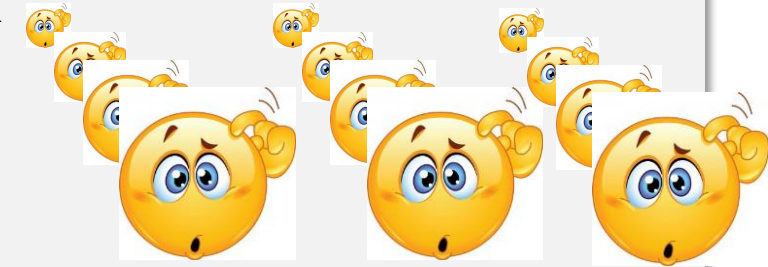
ALI-PUB-487391



arXiv:2105.05187 [nucl-ex]

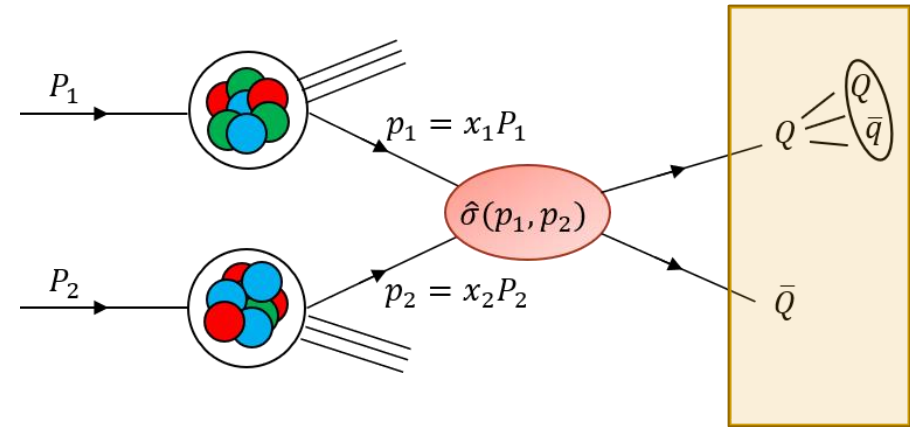
ALI-PUB-487396

- $\Xi_c^{0,+}/D^0$  significantly larger in pp collisions at TeV scale than  $e^+e^-$  collisions
- $\Xi_c^{0,+}/D^0$  significantly underestimated by all the models describing  $\Lambda_c^+, \Sigma_c^{0,+,++}/D^0$  ratios  
→ only Catania (coalescence + fragmentation) gets close to the data
- $\Xi_c^{0,+}/\Sigma_c^{0,+,++}$  ratio in line with PYTHIA Monash ( $\leftrightarrow e^+e^-$ )  
→ similar suppression in  $e^+e^-$  for  $\Xi_c^{0,+}$  and  $\Sigma_c^{0,+,++}$ ?  
→ matter of similar (di-quark) mass? ( $m(uu, ud, dd)_1 \approx m(us)_0$ )



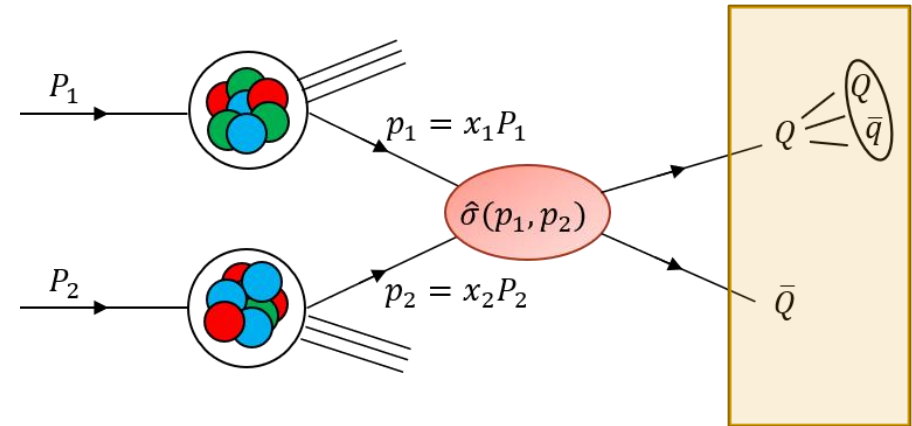
# Summary

- Standard picture for the **heavy flavour production** in pp collisions based on the factorization approach, assuming **universal fragmentation functions**
- Recent results from LHC show that this **assumption** is **no more valid** in **hadronic collisions** at LHC
- Joint effort between theory and experiments to investigate the baryon enhancement in hadronic collisions



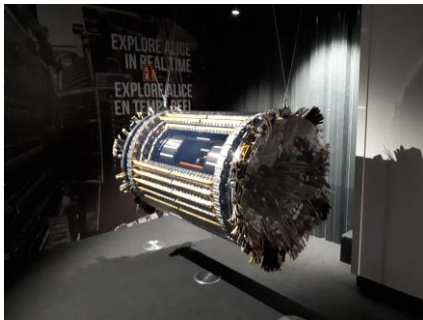
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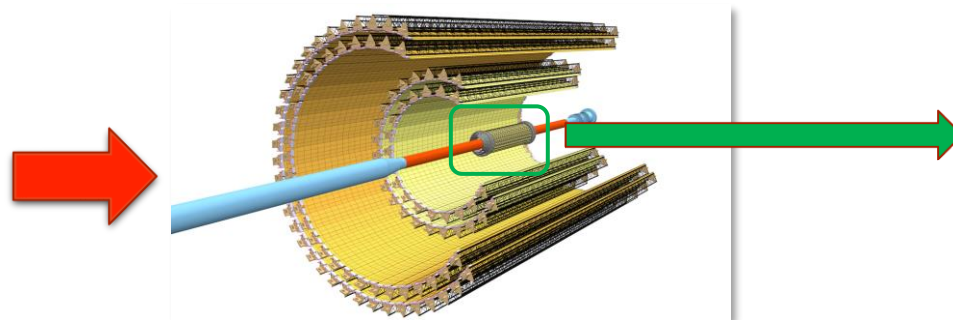


Run 3, 4 data taking starting in early 2022  
→ larger statistics  
→ upgraded detectors

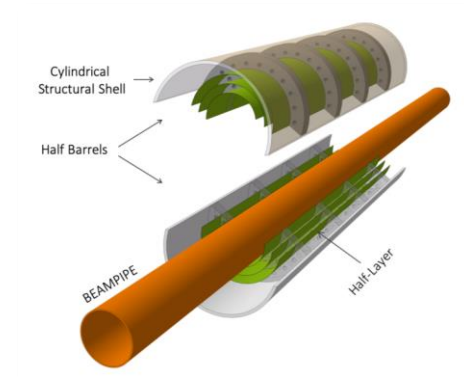
ITS 1 (ALICE exhibition)



ITS 2



ITS 3



**Thank you very much for  
the attention!**

A solid orange vertical bar is positioned on the left side of the slide, extending from the top to the bottom.

Backup