

# **Scattering amplitudes from spectral functions (and related ideas)**

**Maxwell T. Hansen**

**September 16th, 2021**

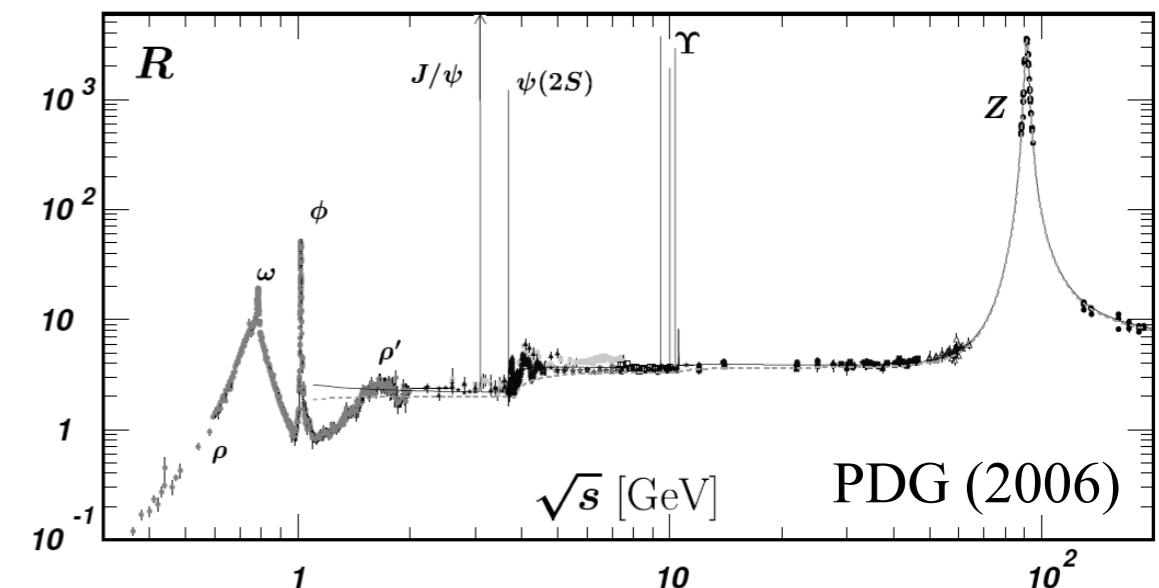


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*of* EDINBURGH**

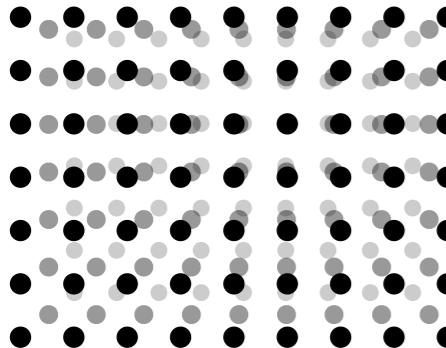
# Perspectives on Euclidean

- R-ratio based ( $g-2$ ) determination

$$a^{\text{HVP,LO}}(m_\mu) = \int_{4M_\pi^2}^\infty ds K(m_\mu, s) R(s)$$



- Integral can be Wick rotated to Euclidean signature



$$\longrightarrow G(\tau) = \int_{4M_\pi^2}^\infty ds g(\tau, s) R(s) \quad g(\tau, s) \propto \sqrt{s} e^{-\sqrt{s}\tau}$$

- Can we find a function  $\mathcal{K}(m_\mu, \tau)$ ? such that...

$$\sum_\tau \mathcal{K}(m_\mu, \tau) g(\tau, s) = K(m_\mu, s) \longrightarrow a^{\text{HVP,LO}}(m_\mu) = \sum_\tau \mathcal{K}(m_\mu, \tau) G(\tau)$$

*This defines the standard kernel*

# Reconstruction methods

- **Linear, model-independent reconstruction** (e.g. Backus Gilbert)

$$\begin{aligned}\sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) G(\tau) &= \sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) \int d\omega e^{-\omega\tau} \rho(\omega) \\ &= \int d\omega \left[ \sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) e^{-\omega\tau} \right] \rho(\omega) \\ &= \int d\omega \widehat{\delta}_{\Delta}(\bar{\omega}, \omega) \rho(\omega)\end{aligned}$$

$\delta$  is exactly known

- Maximum Entropy Method (MEM)

- Direct fits



Not discussed here...

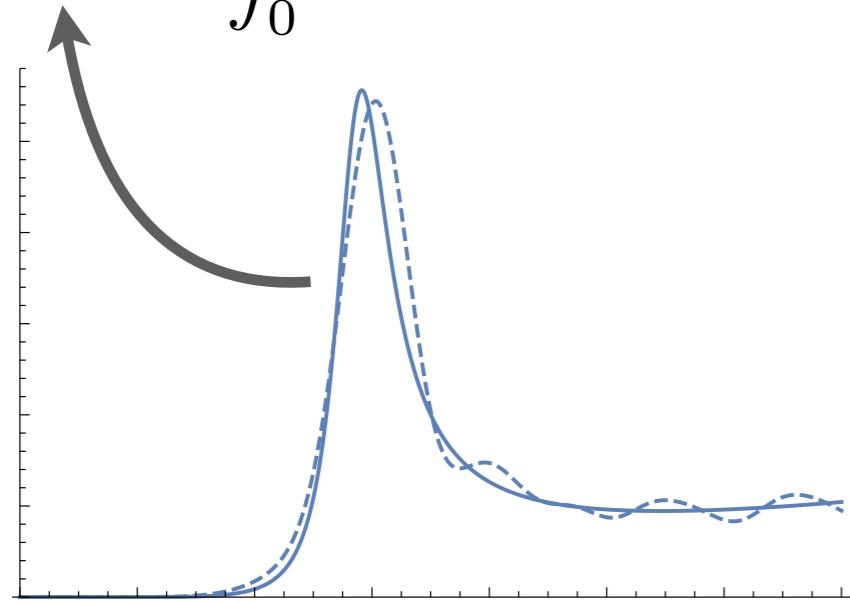
- Neural networks

- Key idea here... we aim only to construct

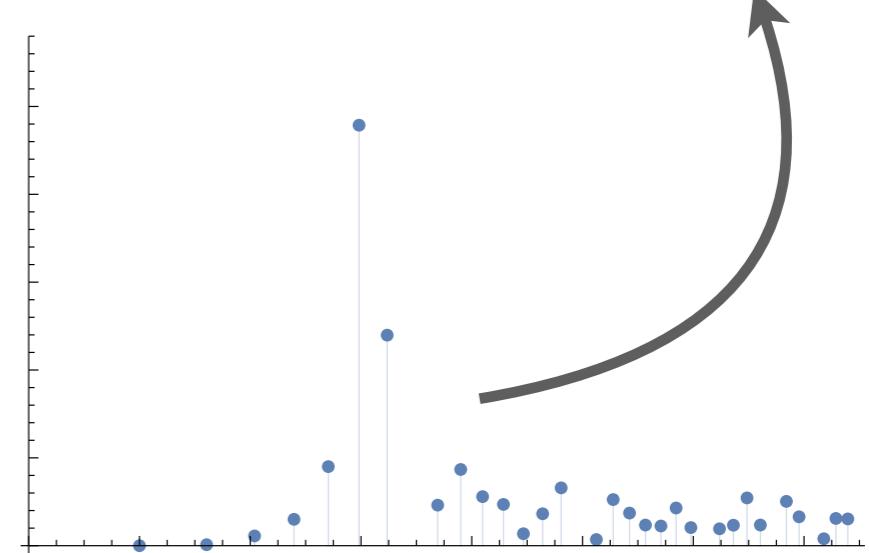
$$\widehat{\rho}(\bar{\omega}) \equiv \int_0^{\infty} d\omega \widehat{\delta}_{\Delta}(\bar{\omega}, \omega) \rho(\omega)$$

# Role of the finite volume

$$\hat{\rho}_L(\bar{\omega}) \equiv \int_0^\infty d\omega \hat{\delta}_\Delta(\bar{\omega}, \omega) \rho_L(\omega)$$



$$G_L(\tau) = \int d\omega e^{-\omega\tau} \rho_L(\omega)$$



- Any reconstructed spectral function that  $\neq$  forest of deltas...  
*contains implicit smearing (or else  $L \rightarrow \infty$ )*

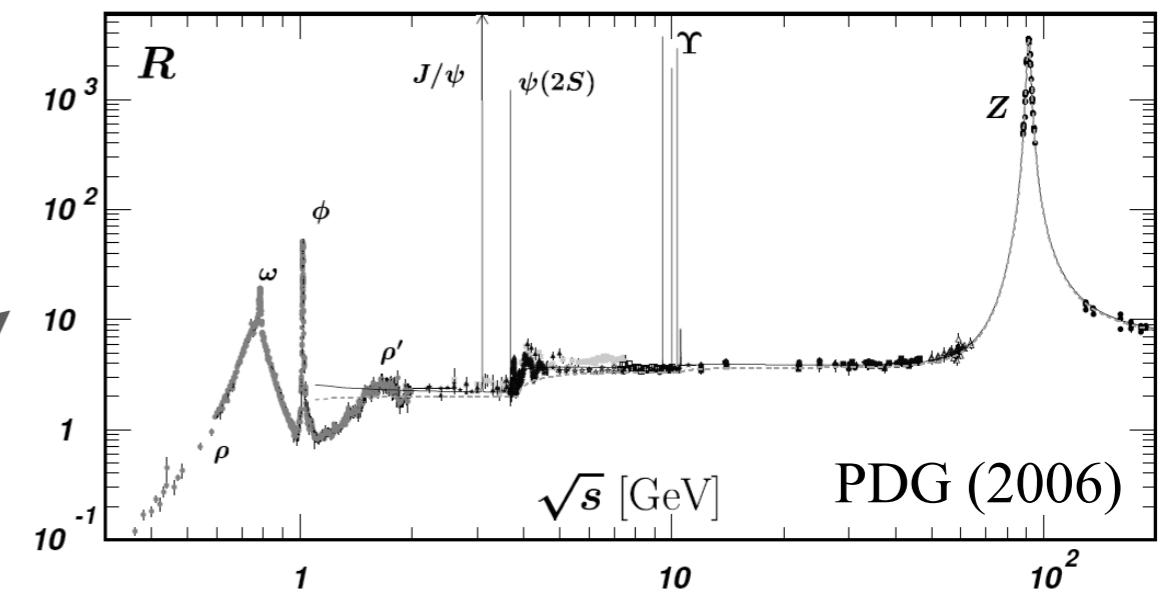
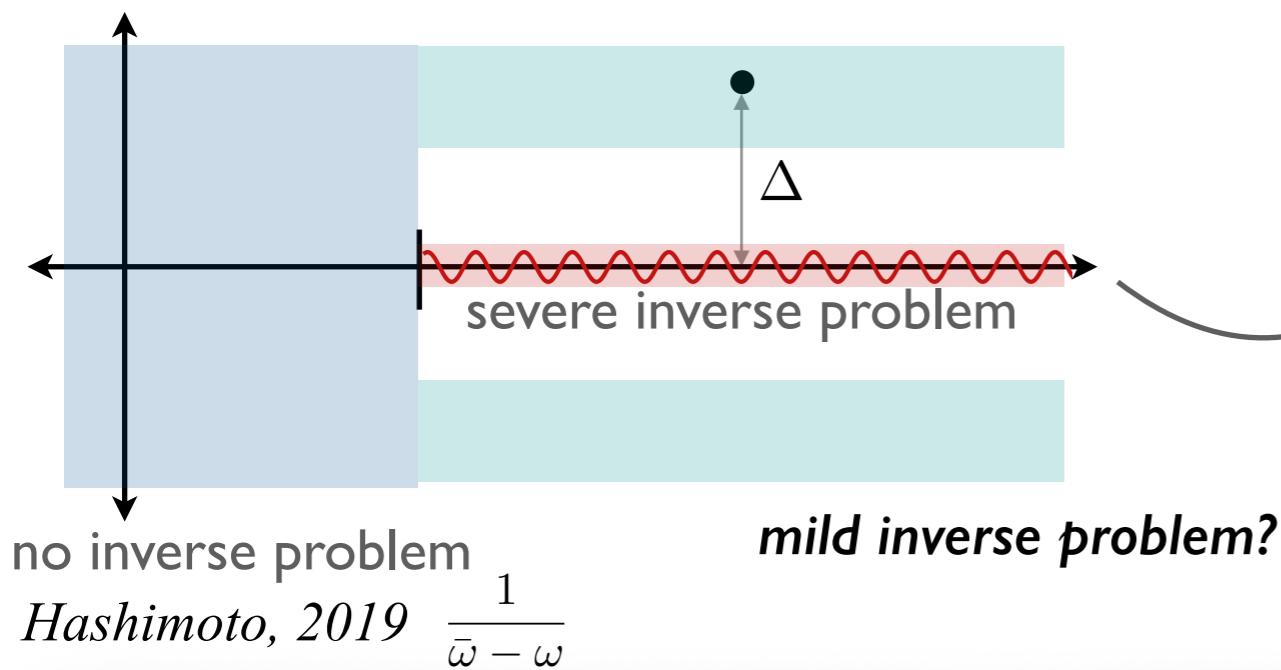
We require...

$$1/L \ll \Delta \ll \mu_{\text{physical}}$$

smearing function  
covers many delta peaks

smearing does not overly  
distort observable

# $R$ ratio



PHYSICAL REVIEW D

VOLUME 13, NUMBER 7

1 APRIL 1976

## Smearing method in the quark model\*

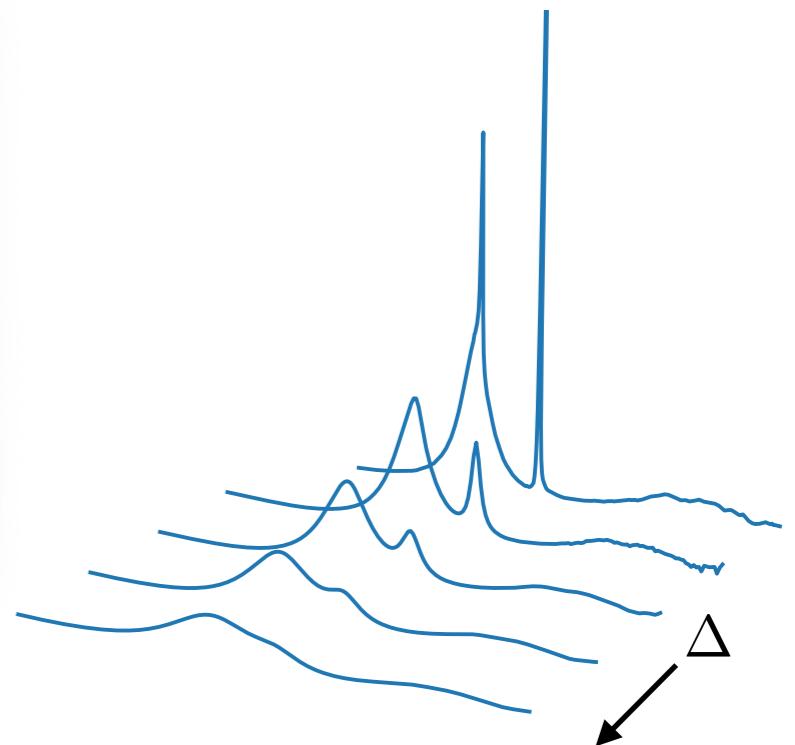
E. C. Poggio, H. R. Quinn,<sup>†</sup> and S. Weinberg

Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02138  
(Received 8 December 1975)

We propose that perturbation theory in a gauge field theory of quarks and gluons can be used to calculate physical mass-shell processes, provided that both the data and the theory are smeared over a suitable energy range. This procedure is explored in detail for the process of electron-positron annihilation into hadrons. We show that a smearing range of  $3 \text{ GeV}^2$  in the squared center-of-mass energy should be adequate to allow the use of lowest-order perturbation theory. The smeared data are compared with theory for a variety of models. It appears to be difficult to fit the present data with theory, unless there is a new charged lepton or quark with mass in the region 2 to 3 GeV.

$$\hat{R}_\Delta(s) = \frac{\Delta}{\pi} \int_0^\infty ds' \frac{R(s')}{(s' - s)^2 + \Delta^2}$$

into the complex plane!

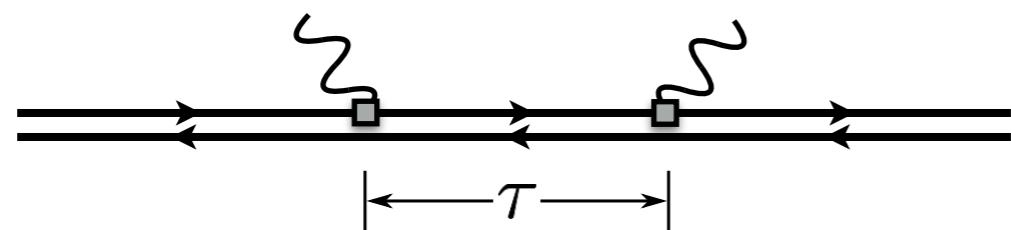


courtesy of M. Bruno  
(using F. Jegerlehner's *alphaQED*)

# Total rate based applications

## □ Hadronic tensor, heavy flavor lifetimes

$$W_{\mu\nu}(p, q) \equiv \int d^4x e^{iqx} \langle \pi, p | \mathcal{J}_\mu^\dagger(x) \mathcal{J}_\nu(0) | \pi, p \rangle$$
$$\propto \int d\Phi \left| \text{Diagram with two blue vertices and two outgoing lines} \right|^2 + \int d\Phi \left| \text{Diagram with two blue vertices and three outgoing lines} \right|^2 + \int d\Phi \left| \text{Diagram with one blue vertex and two red vertices} \right|^2 + \dots$$


$$= \int_0^\infty d\omega e^{-\omega\tau} W_{\mu\nu}(p, q)_{\omega=p^0+q^0}$$

$$W_{\mu\nu} = \lim_{\Delta \rightarrow 0} \lim_{L \rightarrow \infty} \widehat{W}_{\mu\nu; \Delta, L}$$

## □ What about *scattering* and *transition amplitudes*?

# Amplitudes from spectral functions

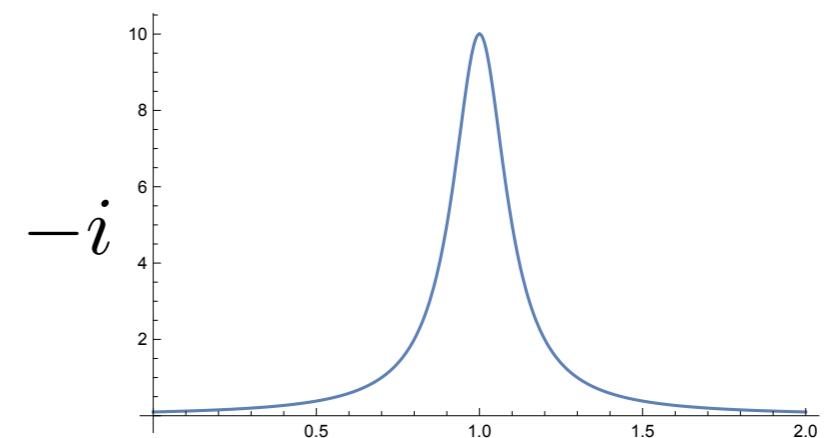
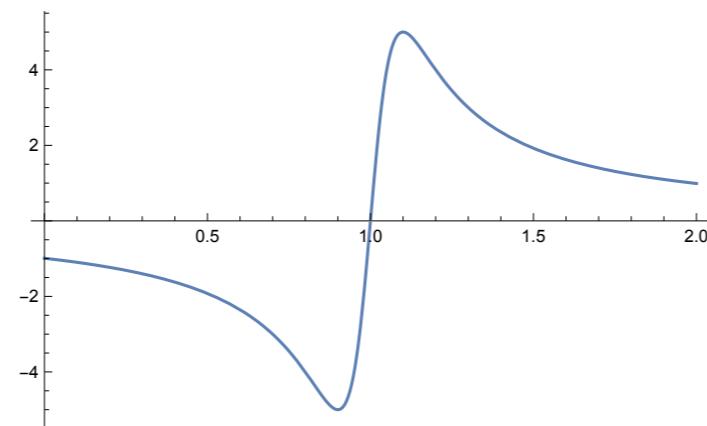
□ First define the Euclidean correlator

$$G_L(\tau) = \langle \pi_{\mathbf{p}_4} | \pi(\tau_3, \mathbf{p}_3) \pi(0) | \pi_{\mathbf{p}_1} \rangle_L = \int_0^\infty dE_3 \rho(E_3) e^{-E_3 \tau_3}$$

and the smeared spectral function

$$\hat{\rho}_{\mathbf{p}_4 \mathbf{p}_1}^{L,\epsilon}(q_3) = \int_0^\infty dE_3 \frac{1}{q_3^0 - E_3 + i\epsilon} \rho(E_3) = \int_0^\infty dE_3 \hat{\delta}_\epsilon(q_3^0, E_2) \rho(E_3)$$

$$\frac{1}{q_3^0 - E_3 + i\epsilon} =$$



# Amplitudes from spectral functions

- First define the Euclidean correlator

$$G_L(\tau) = \langle \pi_{\mathbf{p}_4} | \pi(\tau_3, \mathbf{p}_3) \pi(0) | \pi_{\mathbf{p}_1} \rangle_L = \int_0^\infty dE_3 \rho(E_3) e^{-E_3 \tau_3}$$

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- Next project on shell at finite  $\epsilon$

$$\mathcal{M}_c^{L,\epsilon}(p_4 p_3 | p_2 p_1) \equiv \frac{2E(\mathbf{p}_3)}{Z^{1/2}(\mathbf{p}_3)} \frac{2E(\mathbf{p}_2)}{Z^{1/2}(\mathbf{p}_2)} \epsilon^2 \hat{\rho}_{\mathbf{p}_4 \mathbf{p}_1}^{L,\epsilon}(E(\mathbf{p}_3), \mathbf{p}_3)$$

- Finally project out the *scattering amplitude*

$$\mathcal{M}_c(p_4 p_3 | p_2 p_1) = \lim_{\epsilon \rightarrow 0^+} \lim_{L \rightarrow \infty} \mathcal{M}_c^{L,\epsilon}(p_4 p_3 | p_2 p_1)$$

# Some comments

□ Derivation based in modified LSZ + *signature-independence* of  $\rho(E)$

□ Holds when LSZ holds

$$\langle m, \text{out} | n, \text{in} \rangle$$

$$\langle m, \text{out} | \mathcal{J}(0) | n, \text{in} \rangle$$

□ Very challenging... but systematic

for some (unknown) volume + correlator quality, we can get  $D \rightarrow \pi\pi, K\bar{K}$

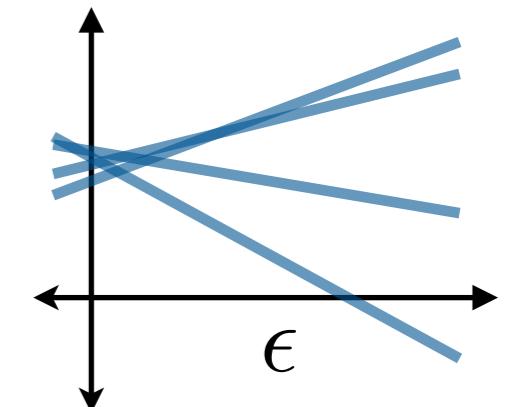
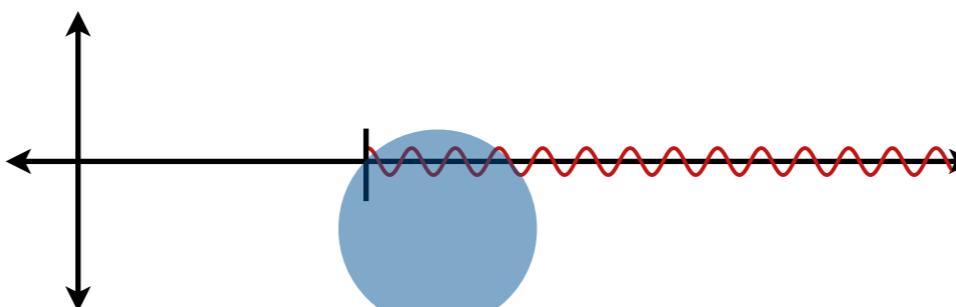
□ Some nice features...

GEVP-like operator freedom

$$G_L^{[ab]}(\tau) = \langle \pi_{\mathbf{p}_4} | \pi^a(\tau_3, \mathbf{p}_3) \pi^b(0) | \pi_{\mathbf{p}_1} \rangle_L \longrightarrow \mathcal{M}^{[ab], \epsilon, L}$$

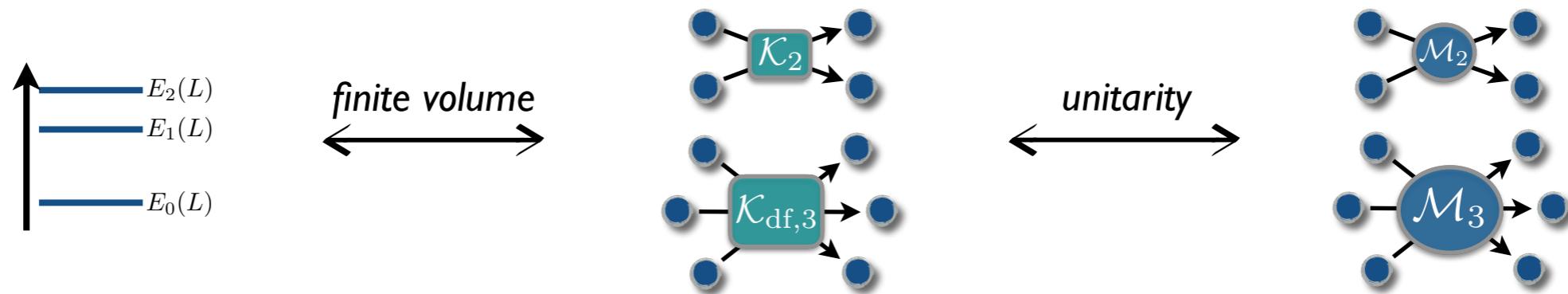
Finite range of analyticity in  $\epsilon$

Uses overlaps



# Interlude...

- This is an alternative to finite-volume methods



- Proven very powerful and are here to stay
- Spectral function methods will be most competitive in multi-channel regime
- Biggest impact may be lower-precision long distance effects  
e.g. *QED corrections of D decays with multi-hadron intermediate states*
- Spectral function methods use **matrix elements** and **energies**

Huang, Yang (1958) • Lüscher (1986, 1991) • Rummukainen, Gottlieb (1995)  
Kim, Sachrajda, Sharpe (2005) • Christ, Kim, Yamazaki (2005) • He, Feng, Liu (2005)  
Leskovec, Prelovsek (2012) • Bernard *et. al.* (2012) • MTH, Sharpe (2012) • Briceño, Davoudi (2012)  
Li, Liu (2013) • Briceño (2014) • Briceño, Davoudi (2015) • Mai, Döring • Hansen, Sharpe

# Perturbative study...

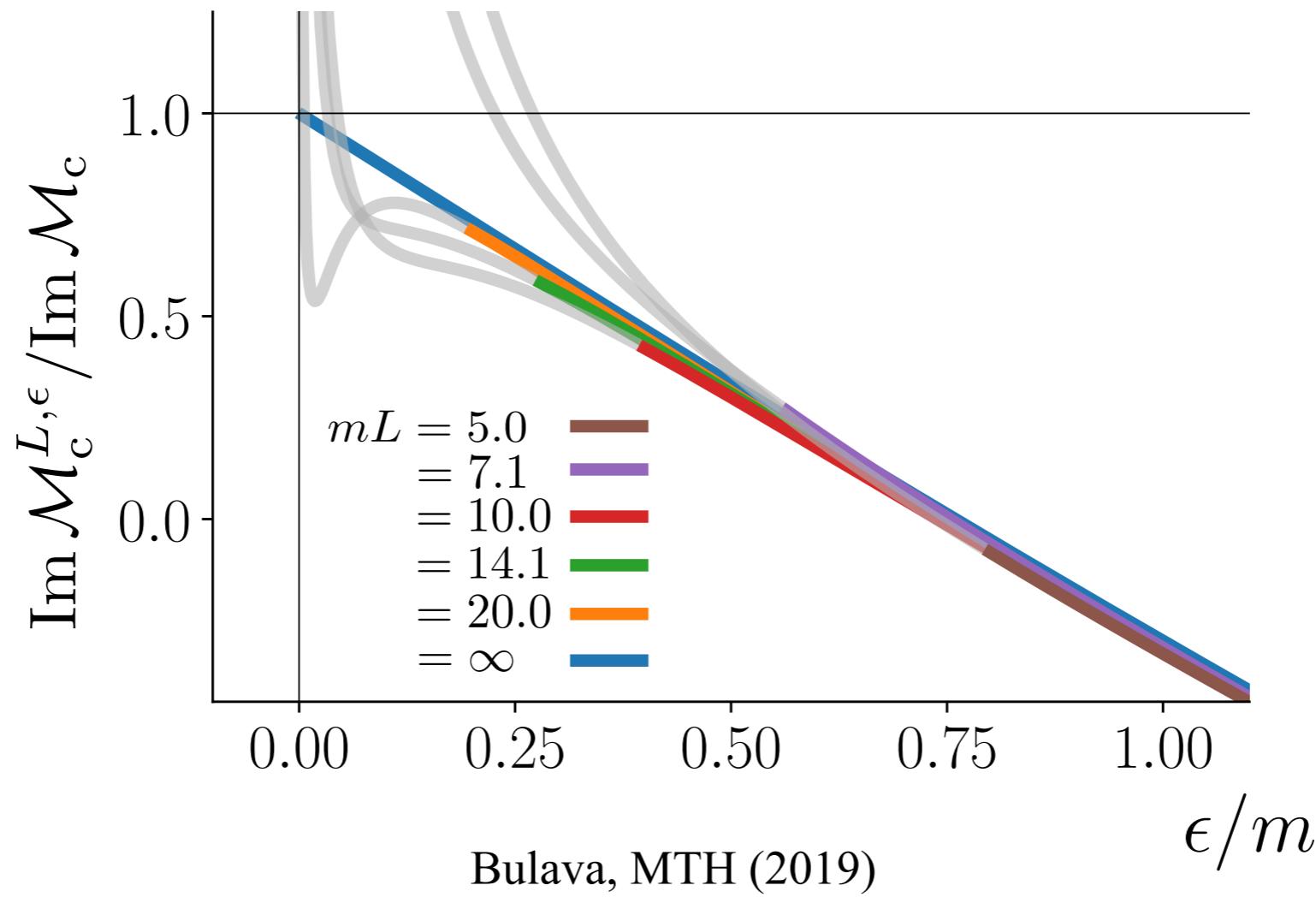
Calculate in PT

$$G_L(\tau) = \langle \pi_{\mathbf{p}_4} | \pi(\tau_3, \mathbf{p}_3) \pi(0) | \pi_{\mathbf{p}_1} \rangle_L$$

Convert to this

$$\mathcal{M}_c^{L,\epsilon}(p_4 p_3 | p_2 p_1)$$

$$\text{Im } \mathcal{M}_c^{L,\epsilon}(p_4 p_3 | p_2 p_1) = \frac{\lambda^2}{2} \frac{1}{L^3} \sum_{\mathbf{k}'}^{\Lambda} \frac{1}{(2E(\mathbf{k}'))^2} \text{Im} \left\{ \frac{1}{(E_{\text{cm}} - 2E(\mathbf{k}') + i\epsilon)} \left[ 1 - \frac{\epsilon^2}{4E(\mathbf{k}')^2} - \frac{\epsilon(\epsilon + 2iE(\mathbf{k}'))}{E_{\text{cm}} E(\mathbf{k}')} \right] \right\}$$



# Perturbative study...

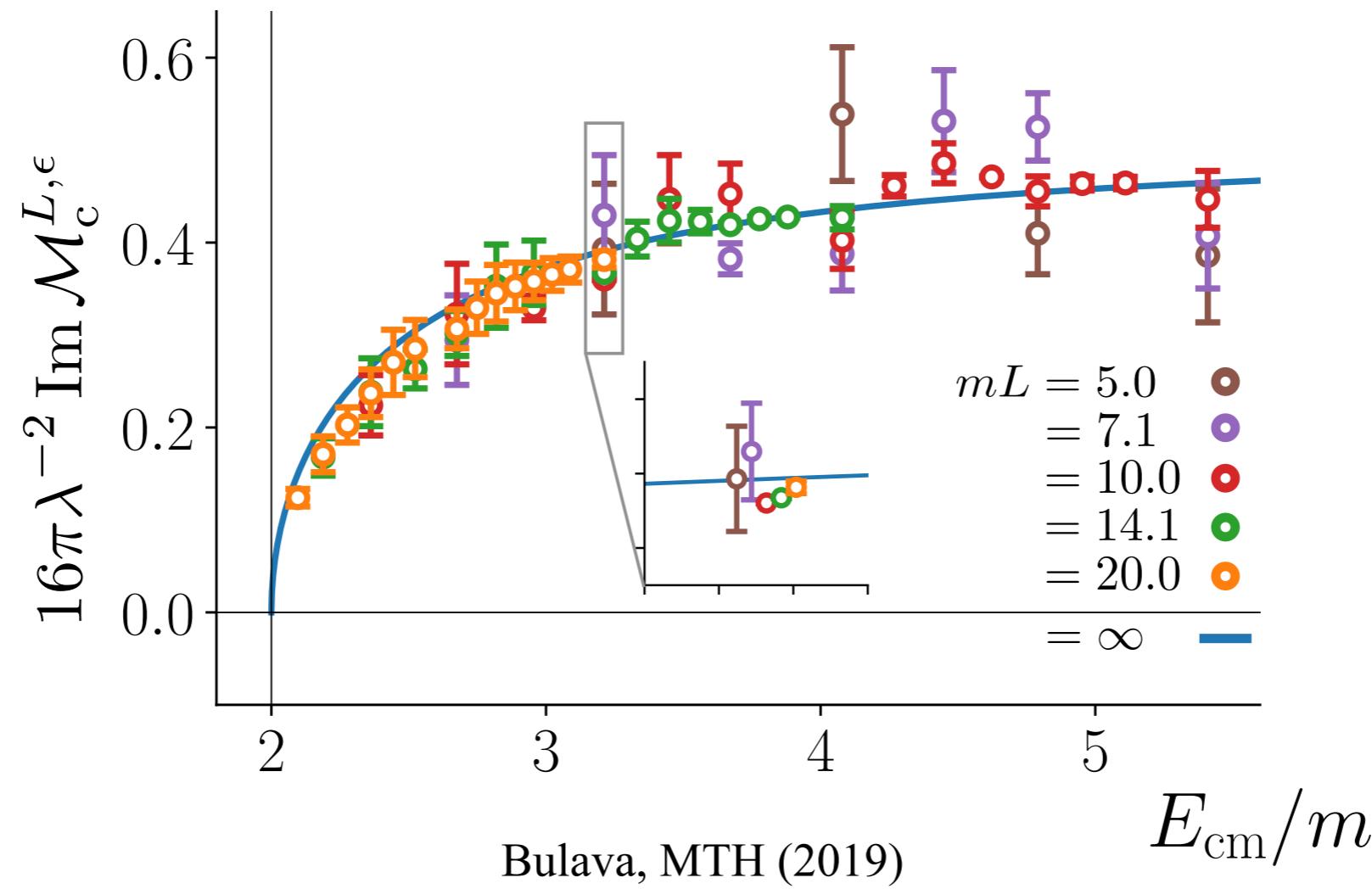
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Convert to this

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Bulava, MTH (2019)

# Connection to Maiani-Testa

- Maiani and Testa considered correlators of the form

$$G_{[\mathbf{p}]}(\tau) = \langle \pi_{-\mathbf{p}} | \pi_{\mathbf{p}}(\tau) J(0) | 0 \rangle$$

- And showed two key points

$$G_{[\mathbf{0}]}(\tau) = \frac{\sqrt{Z_\pi}}{2M_\pi} e^{-M_\pi \tau} f(4M_\pi^2) \left[ 1 - a_{\pi\pi} \sqrt{\frac{m_\pi}{\pi\tau}} + O(\tau^{-3/2}) \right]$$

$G_{[\mathbf{p} \neq \mathbf{0}]}(\tau)$  is plagued by un-physical (operator dependent) contributions

- Recent work with M. Bruno connects this story to spectral function amplitudes

Variations on the Maiani-Testa approach and the inverse problem

M. Bruno<sup>a</sup> and M. T. Hansen<sup>b</sup>

arXiv: 2012.11488

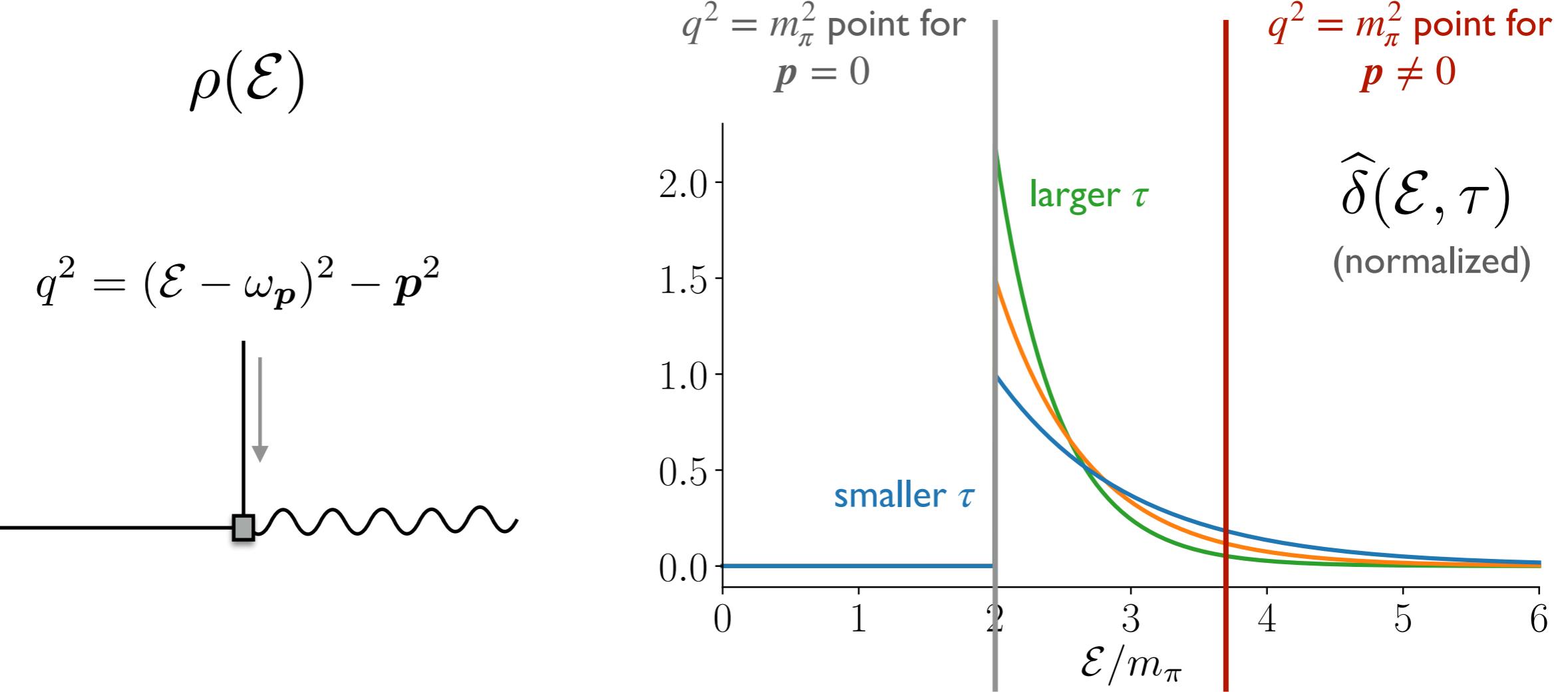


# $G$ is a smeared spectral function

- Correlator can be viewed as a smeared spectral function

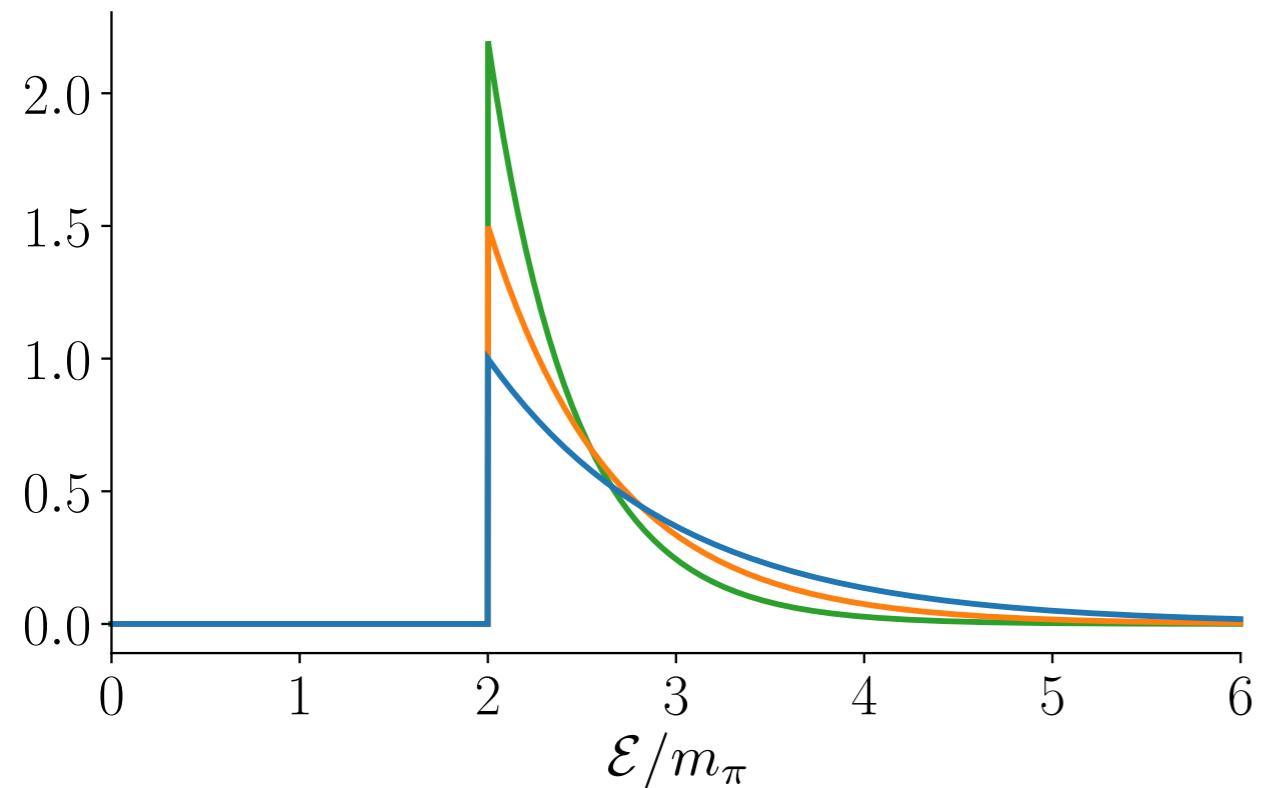
$$G_{[\mathbf{p}]}(\tau) = \int_0^\infty d\mathcal{E} \rho(\mathcal{E}) \hat{\delta}(\mathcal{E}, \tau)$$

$$\hat{\delta}(\mathcal{E}, \tau) = \theta(\mathcal{E} - 2m_\pi) \exp[-\mathcal{E}\tau]$$

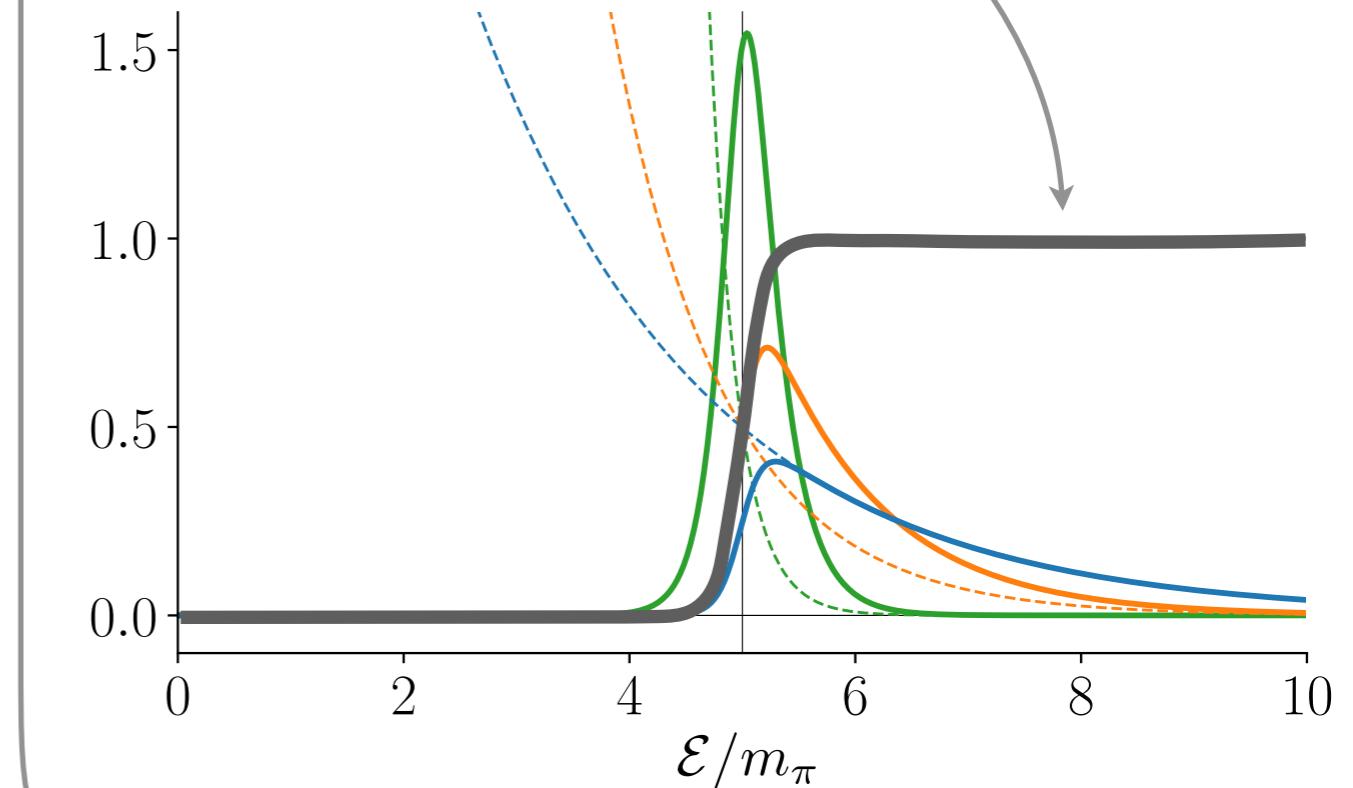


# Shift the peak!

$$\hat{\delta}(\mathcal{E}, \tau) = \theta(\mathcal{E} - 2m_\pi) \exp[-\mathcal{E}\tau]$$



$$\hat{\delta}^\Theta(\mathcal{E}, \tau) = \Theta(\mathcal{E} - 2m_\pi, \Delta) \exp[-\mathcal{E}\tau]$$



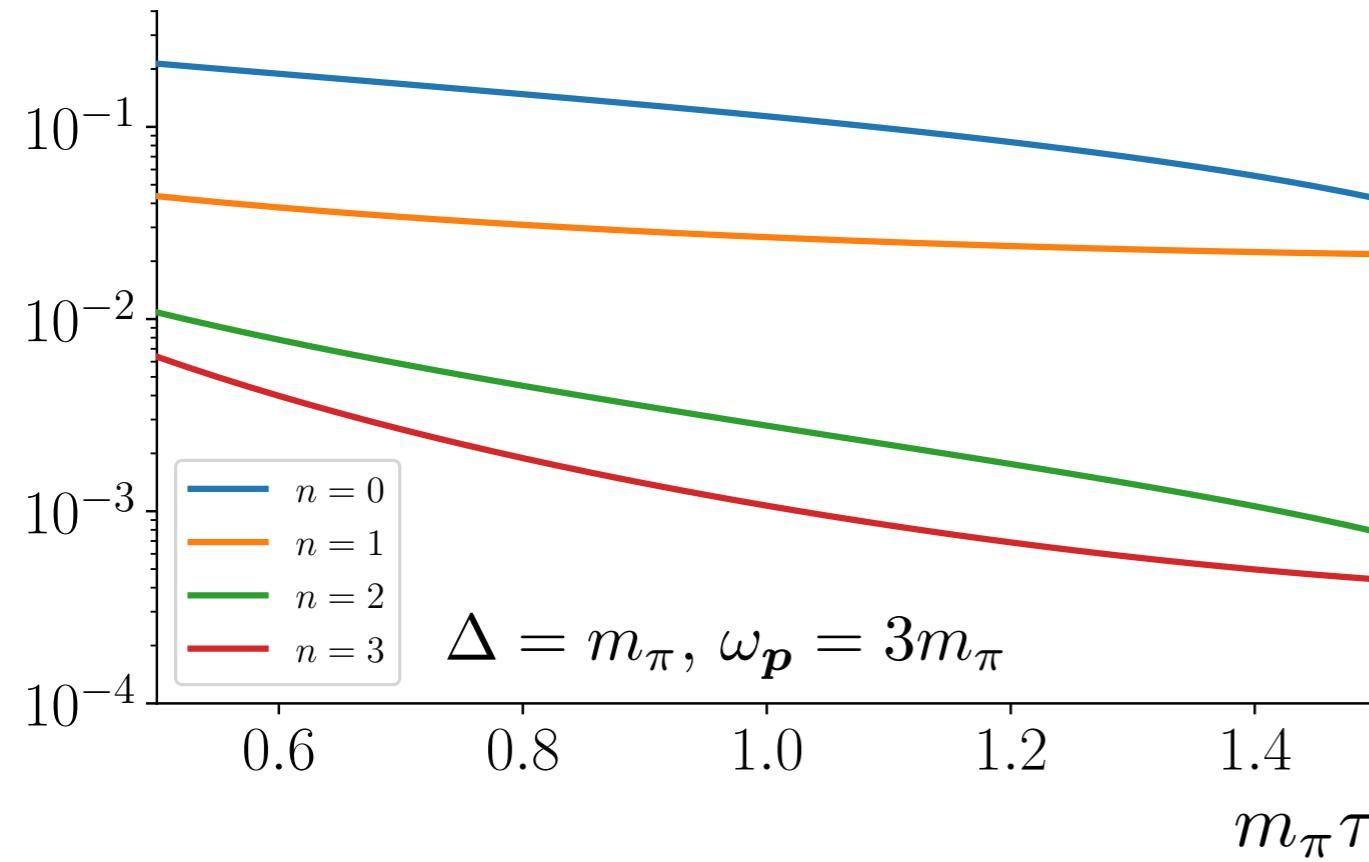
# Reaching above threshold

- So the Maiani and Testa correlator becomes

$$G_{[\mathbf{p}]}^{\Theta}(\tau) = \langle \pi_{-\mathbf{p}} | \pi_{\mathbf{p}}(\tau) \Theta(\hat{H} - 2\omega_{\mathbf{p}}, \Delta) J(0) | 0 \rangle$$

- Now separating the fields gives something useful above threshold

$$G_{[\mathbf{p}]}^{\Theta}(\tau) = \frac{\sqrt{Z_{\pi}}}{2\omega_{\mathbf{p}}} e^{-\omega_{\mathbf{p}}\tau} \left[ \Theta(0, \Delta) \text{Ref}(4\omega_{\mathbf{p}}^2) - 2\mathcal{J}^{(0)}(\tau, \Delta) \text{Im}f(4\omega_{\mathbf{p}}^2) + \dots \right]$$



Hierarchy of  $\mathcal{J}^{(n)}$  provides a useful fit function

# Constructing the $\Theta$ correlator

$$G_{[\mathbf{p}]}^{\Theta}(\tau) = \langle \pi_{-\mathbf{p}} | \pi_{\mathbf{p}}(\tau) \Theta(\hat{H} - 2\omega_{\mathbf{p}}, \Delta) J(0) | 0 \rangle$$

- Two main methods:
  - Backus-Gilbert and HLT method
  - GEVP

$$G_{[\mathbf{p}]}^{\Theta}(\tau) = \sum_n \Theta(E_n - 2\omega_{\mathbf{p}}, \Delta) e^{-(E_n - \omega_{\mathbf{p}})\tau} \langle \pi_{-\mathbf{p}} | \pi_{\mathbf{p}}(0) | n \rangle \langle n | J(0) | 0 \rangle$$

combine finite-volume energies and matrix elements

- Volume effects?... Suppressed as  $e^{-\Delta L}$ , but more investigation is needed

More about volume effects...

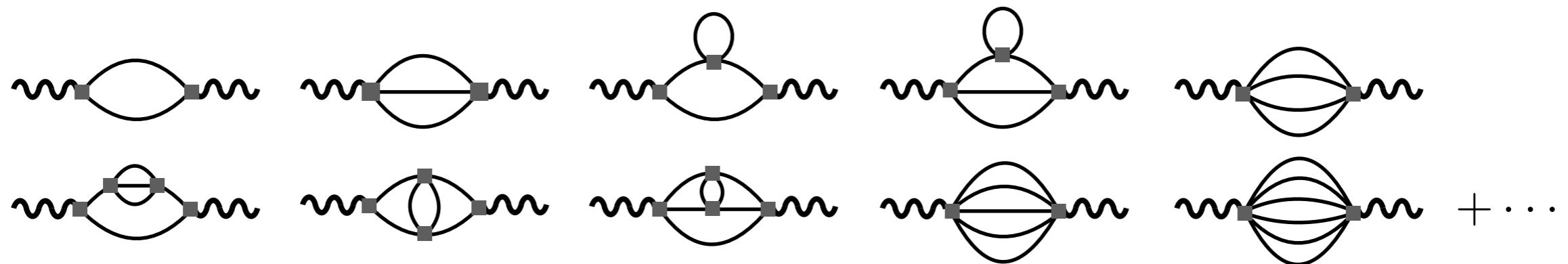
## Two-point function in a box

$$C_L(t) = \int_0^L dx \langle j_1^a(x) j_1^a(0) \rangle_L = \int_0^\infty d\omega e^{-\omega t} \rho_L(\omega)$$

$$\rho_{\epsilon,L}^x(E) = \int_0^\infty d\omega \delta_\epsilon^x(E - \omega) \rho_L(\omega)$$

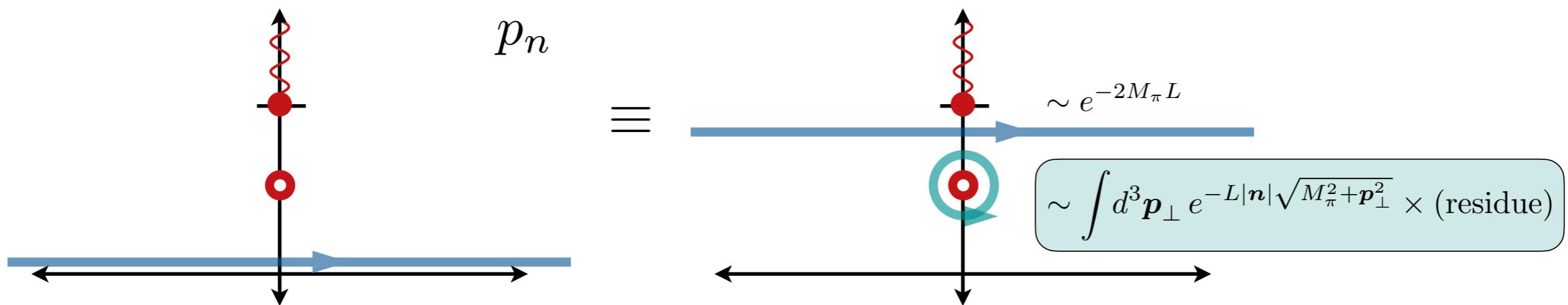
- $G(\tau)$  has exponentially suppressed volume effects
- Playing with 1+1 O(3) model
- Instructive cancellation of  $1/L$  effects in  $G(\tau)$  and  $\hat{\rho}_\epsilon(E)$
- $\hat{\rho}_\epsilon(E)$  has exponentially suppressed volume effects

$C_L(t)$  has exponentially suppressed volume effects



□ Loop momenta are summed... rewrite using **Poisson summation**

$$\frac{1}{L^3} \sum_{\mathbf{k}} \rightarrow \sum_{\mathbf{n}} \int \frac{d^3 \mathbf{k}}{(2\pi)^3} e^{i L \mathbf{n} \cdot \mathbf{k}}$$



Lüscher (1986)

Hansen, Patella (2019,2020)

# 1+1 O(3) Model

□ Integrable model... scattering phase, spectral function known exactly

- M. Karowski, P. Weisz (1978) •
- A. B. Zamolodchikov, A. B. Zamolodchikov (1978) • J. Balog, M. Niedermaier, (1997) •

□ Can predict two-particle part of smeared finite-volume spectral function

$$\rho_{\epsilon, L}^{(2)}(E) = \sum_n c_n^{(2)}(L) \delta_\epsilon(E - E_n^{(2)}(L))$$

$$2\delta(E) + L\sqrt{E^2/4 - m^2} = 2\pi n$$

$$c_n^{(2)}(L) = \pi \left( \frac{\partial [\delta(E) + \phi(E, L)]}{\partial E} \right)^{-1} \rho^{(2)}(E) \Big|_{E=E_n(L)}$$

$$\phi(E, L) = kL/2 = \sqrt{E^2/4 - m^2}L/2$$

Lüscher

Lellouch and Lüscher

two-particle part is only unambiguous for states below  $4m$

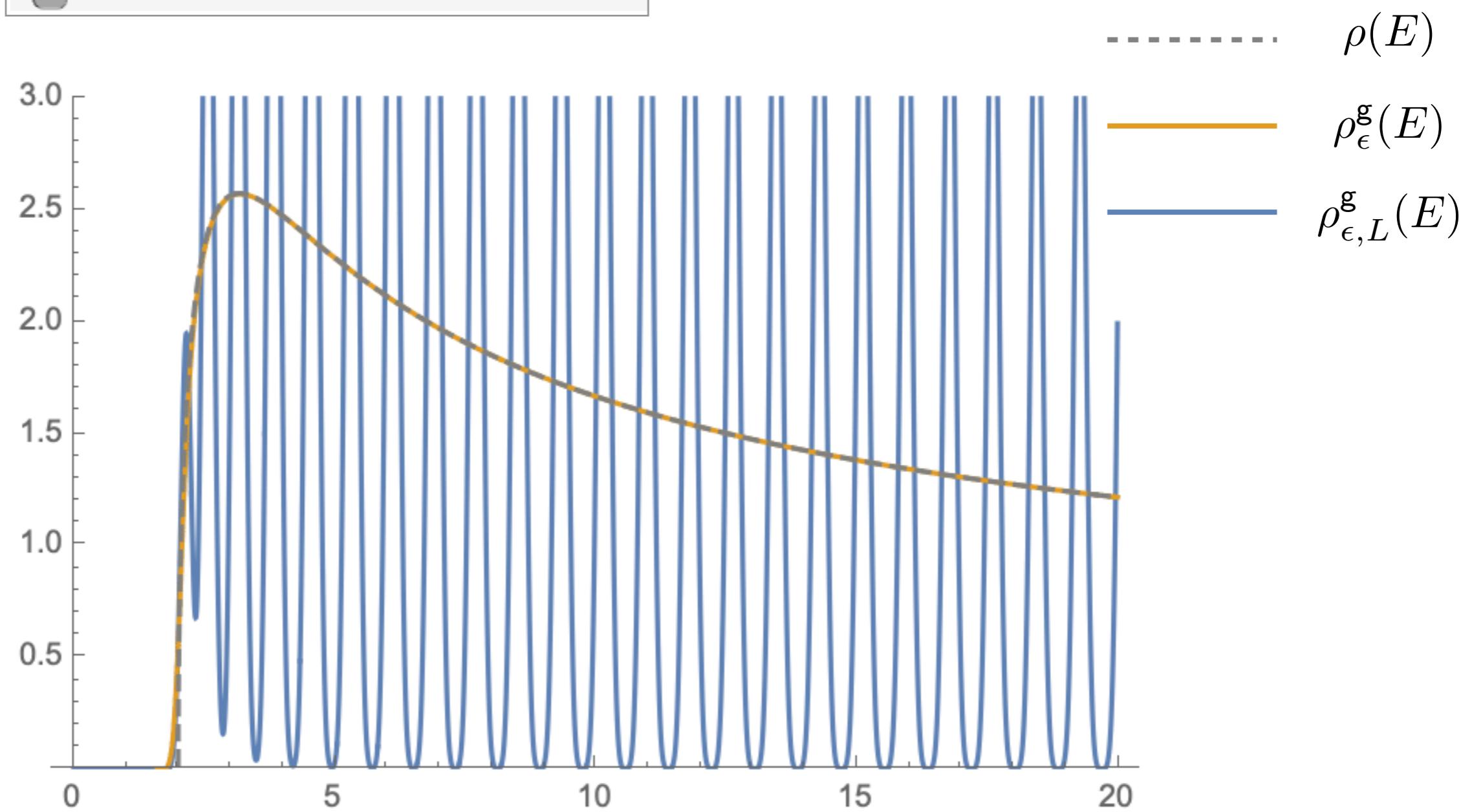
# Playing with $L$

Gaussian resolution function...  $\epsilon/m = 0.1$

$$mL = 15$$



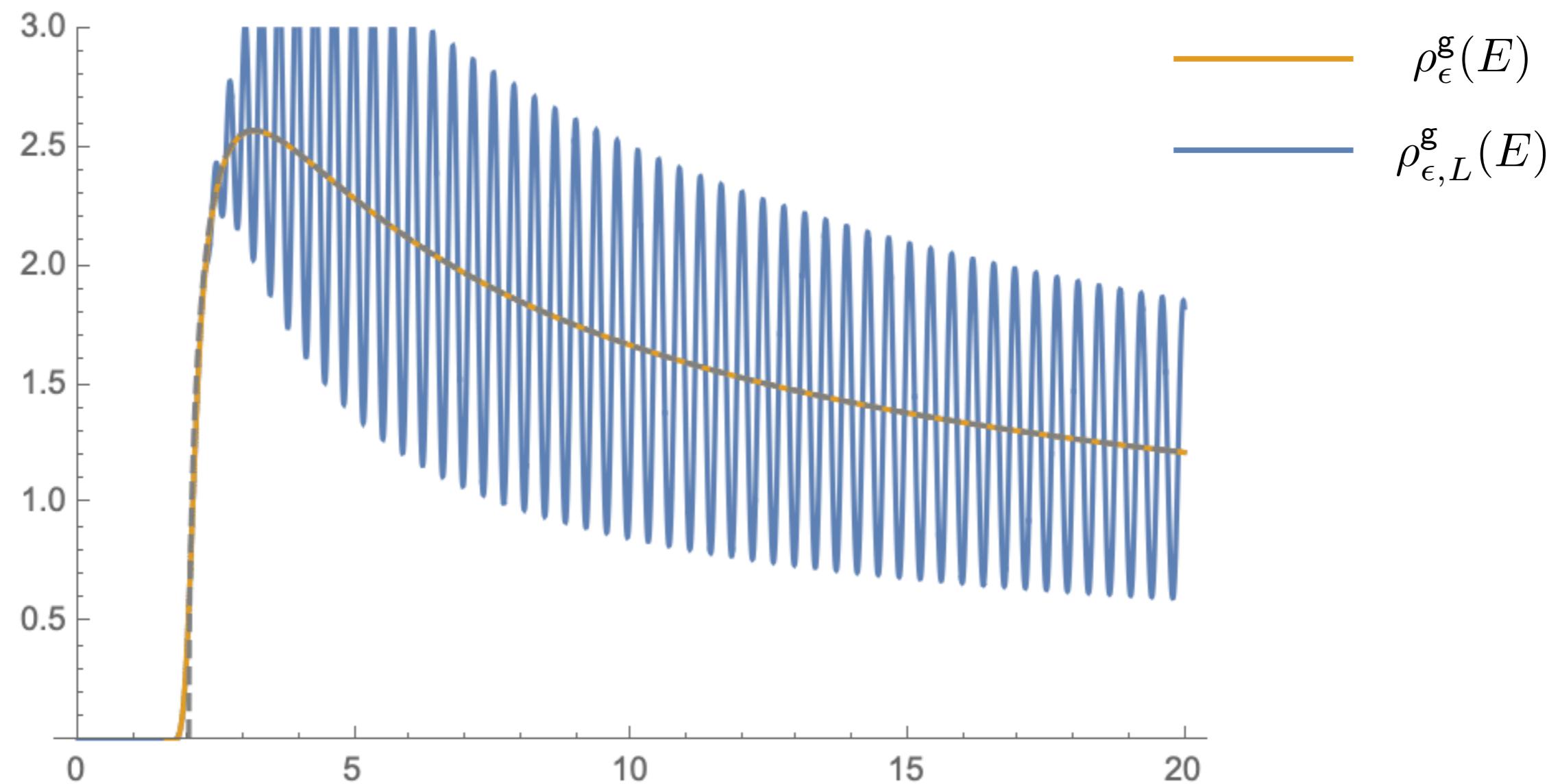
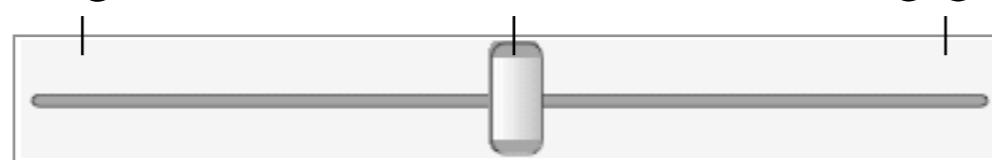
$$50$$



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Gaussian resolution function...  $\epsilon/m = 0.1$

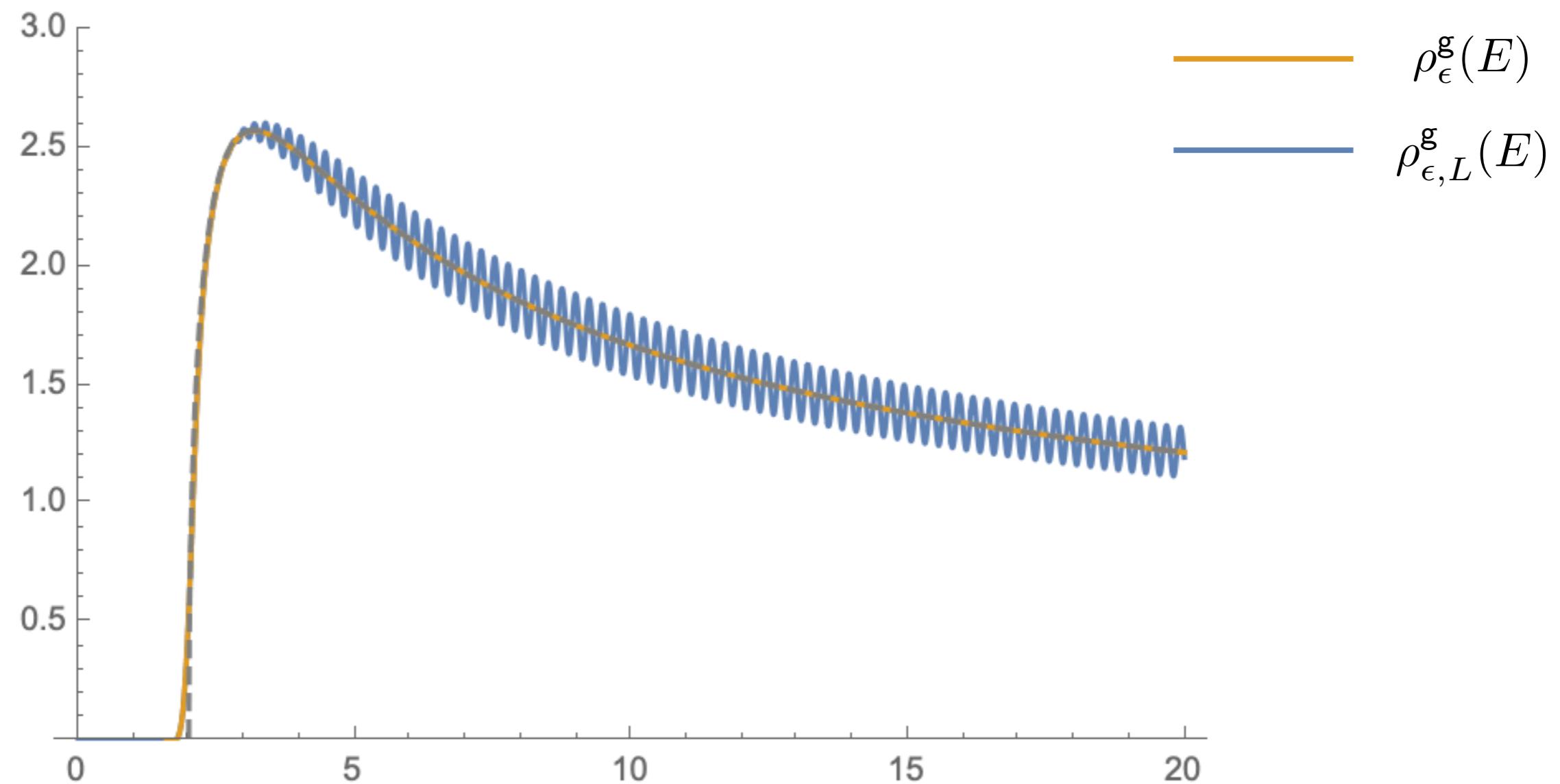
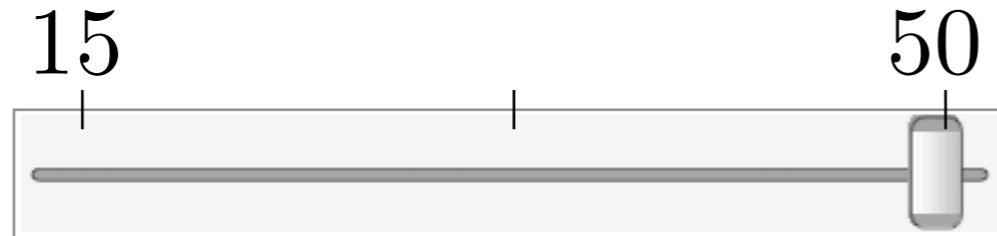
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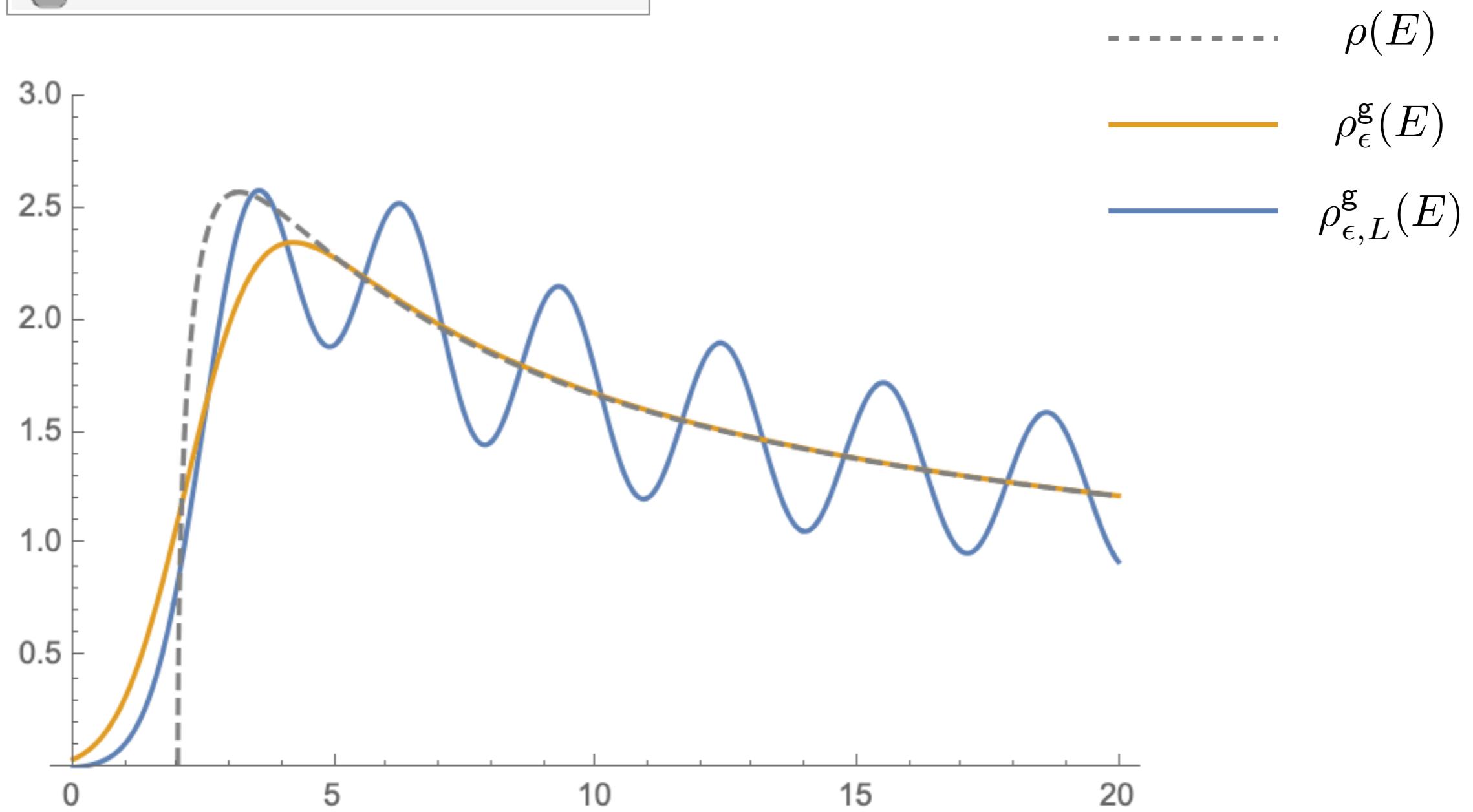
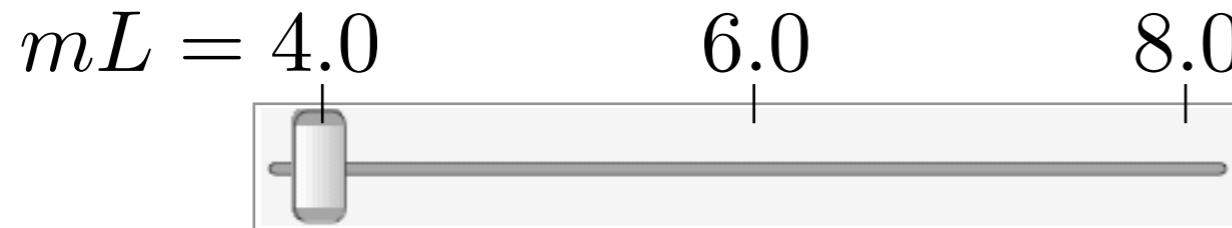
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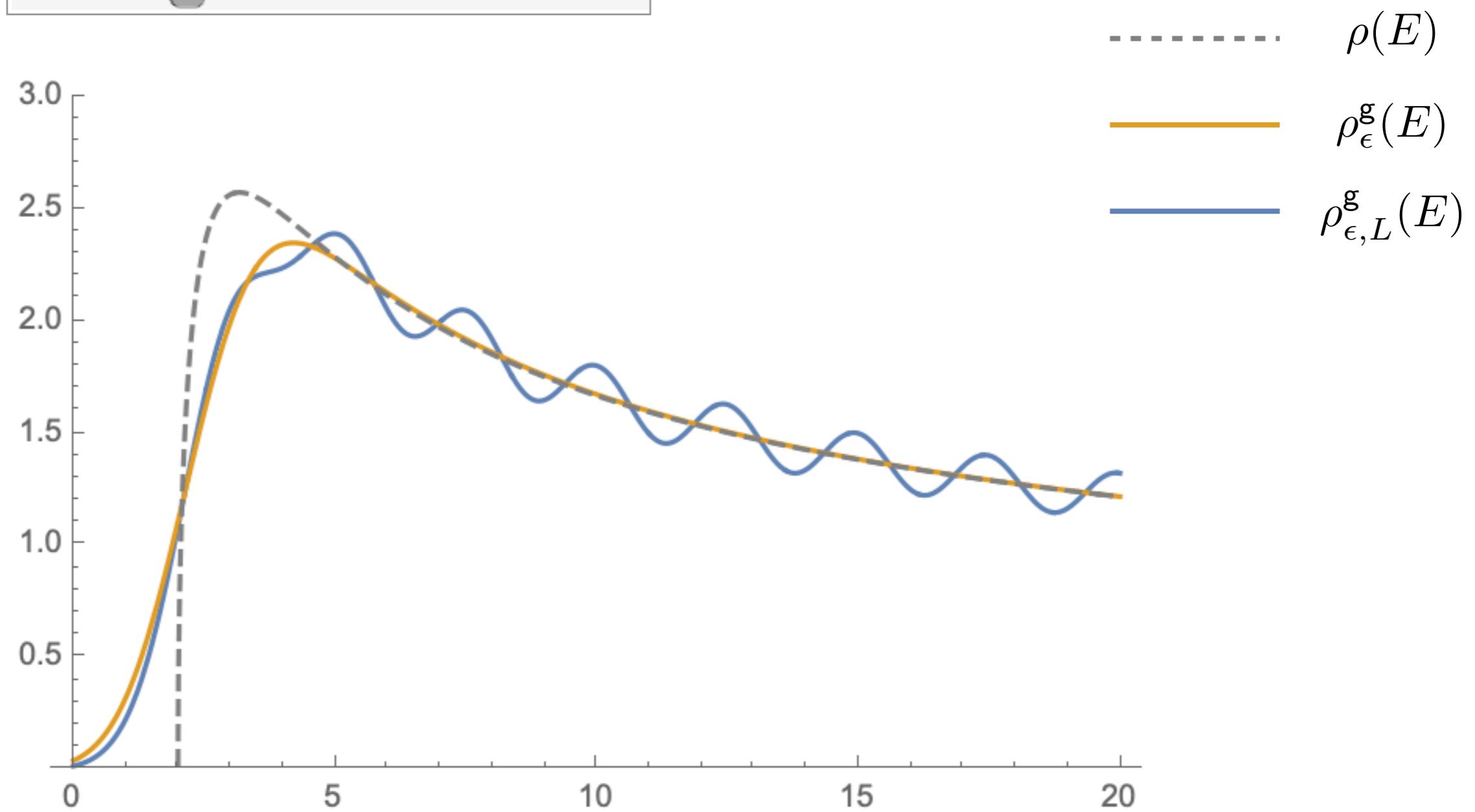
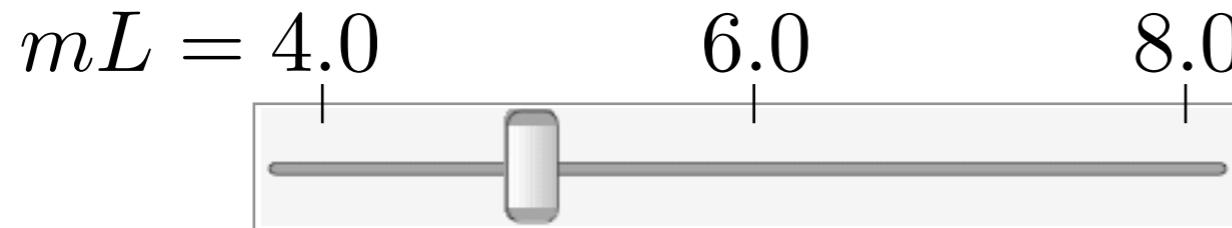
# Playing with $L$

Gaussian resolution function...  $\epsilon/m = 1.0$



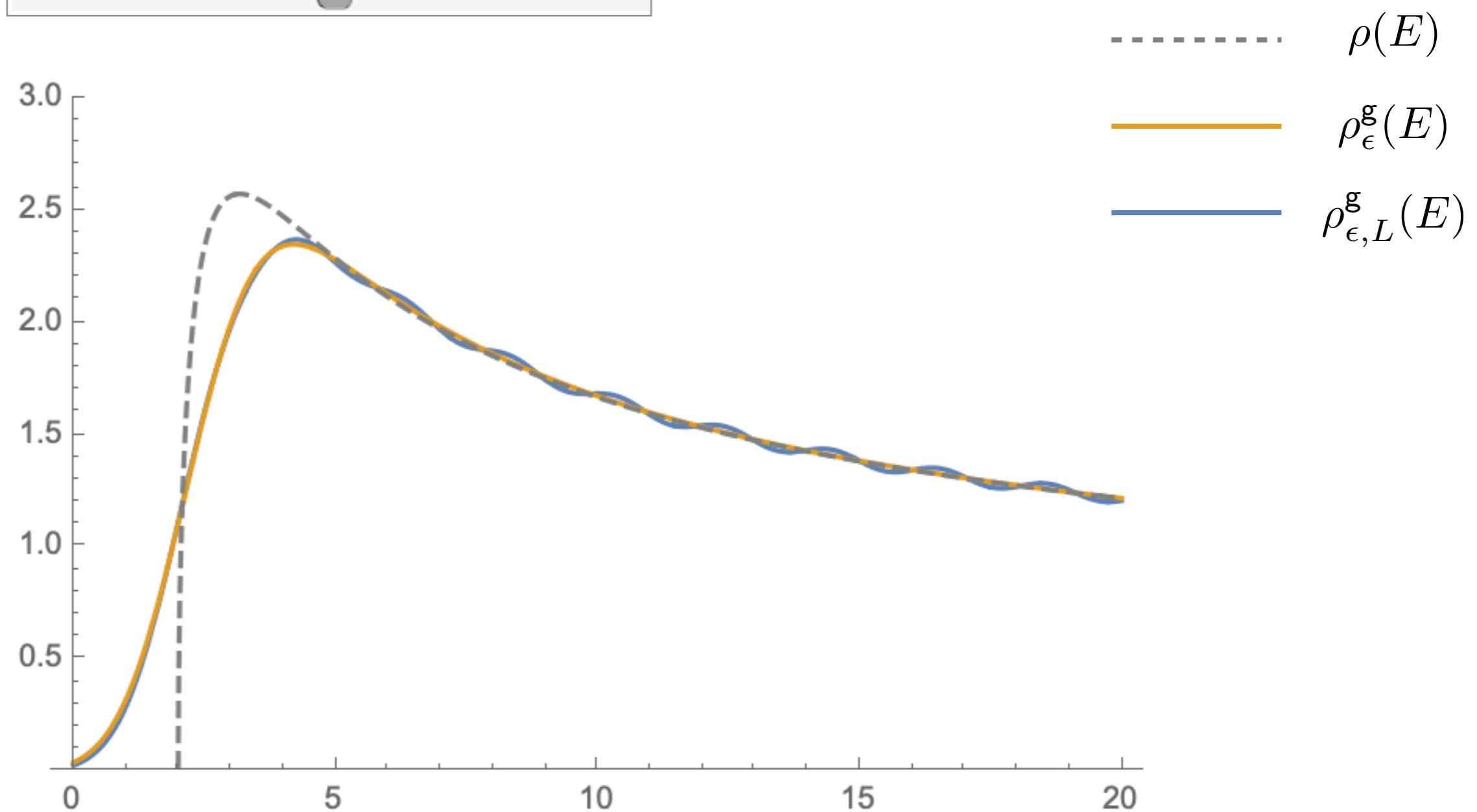
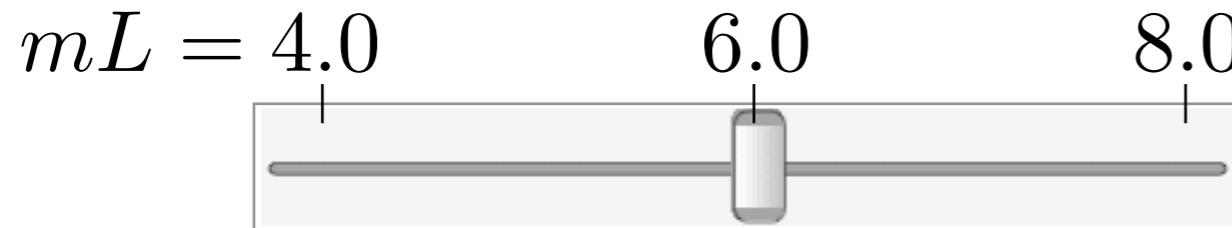
# Playing with $L$

Gaussian resolution function...  $\epsilon/m = 1.0$



# Playing with $L$

Gaussian resolution function...  $\epsilon/m = 1.0$



# Two-point function in a box

$$C_L(t) = \sum_n c_n(L) e^{-E_n(L)t}$$

$$C_\infty(t) = \int dE \rho(E) e^{-Et}$$

$$c_n(L) = \pi \left( \frac{\partial [\delta(E) + \phi(E, L)]}{\partial E} \right)^{-1} \rho(E) \Big|_{E=E_n(L)}$$

$$E_n(L) = E_n^{(0)}(L) - \frac{8\sqrt{E^2/4 - m^2}}{EL} \delta(E) \Big|_{E=E_n^{(0)}(L)} + \mathcal{O}(1/L^2)$$

$$\phi(E, L) = kL/2 = \sqrt{E^2/4 - m^2}L/2$$

□ Infinite-volume limit behaves as expected

$$\lim_{L \rightarrow \infty} C_L(t) = \lim_{L \rightarrow \infty} \frac{1}{L} \sum_n \frac{8\pi k}{E} e^{-Et} \rho(E) = \int dk \frac{dE}{dk} e^{-Et} \rho(E) = C_\infty(t)$$

□  $1/L$  correction cancels between energies and matrix elements

$$\begin{aligned} \lim_{L \rightarrow \infty} L [C_L(t) - C_\infty(t)] &= - \int \frac{dk}{2\pi} \left[ 4\pi e^{-Et} \rho(E) \frac{\partial \delta(E)}{\partial E} + \frac{8k\delta(E)}{E} \frac{\partial}{\partial E} \left( \frac{8\pi k}{E} e^{-Et} \rho(E) \right) \right] \\ &= -8 \int_{2m}^{\infty} dE \frac{\partial}{\partial E} \left[ e^{-Et} \rho(E) \frac{k}{E} \delta(E) \right] \end{aligned}$$

# Two-point function in a box

$$\rho_{\epsilon,L}(E) = \sum_n c_n(L) \delta_\epsilon(E - E_n(L)) \quad \rho_{\epsilon,\infty}(E) = \int d\omega \rho(\omega) \delta_\epsilon(E - \omega)$$

$$c_n(L) = \pi \left( \frac{\partial [\delta(E) + \phi(E, L)]}{\partial E} \right)^{-1} \rho(E) \Big|_{E=E_n(L)} \quad E_n(L) = E_n^{(0)}(L) - \frac{8\sqrt{E^2/4 - m^2}}{EL} \delta(E) \Big|_{E=E_n^{(0)}(L)} + \mathcal{O}(1/L^2)$$

$$\phi(E, L) = kL/2 = \sqrt{E^2/4 - m^2}L/2$$

□ Infinite-volume limit behaves as expected

$$\lim_{L \rightarrow \infty} \rho_{\epsilon,L}(E) = \lim_{L \rightarrow \infty} \frac{1}{L} \sum_n \frac{8\pi k}{\omega} \delta_\epsilon(E - \omega) \rho(\omega) = \int dk \frac{d\omega}{dk} \delta_\epsilon(E - \omega) \rho(\omega) = \rho_\epsilon(E)$$

□  $1/L$  correction cancels between energies and matrix elements

$$\begin{aligned} \lim_{L \rightarrow \infty} L [\rho_{\epsilon,L}(E) - \rho_{\epsilon,\infty}(E)] &= - \int \frac{dk}{2\pi} \left[ 4\pi \delta_\epsilon(E - \omega) \rho(\omega) \frac{\partial \delta(\omega)}{\partial \omega} + \frac{8k\delta(\omega)}{\omega} \frac{\partial}{\partial \omega} \left( \frac{8\pi k}{\omega} \delta_\epsilon(E - \omega) \rho(\omega) \right) \right] \\ &= -8 \int_{2m}^{\infty} d\omega \frac{\partial}{\partial \omega} \left[ \delta_\epsilon(E - \omega) \rho(\omega) \frac{k}{\omega} \delta(\omega) \right] \end{aligned}$$

## Two-point function in a box

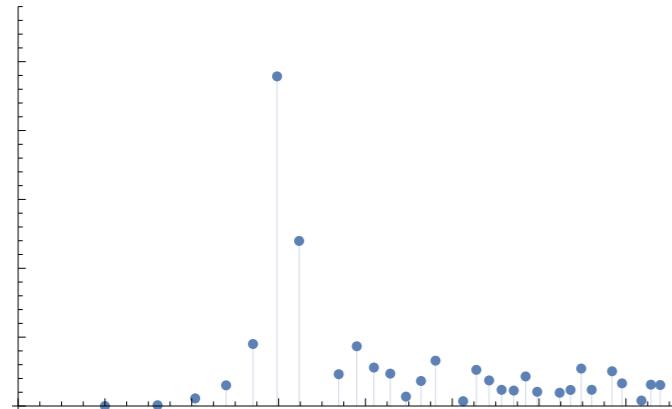
$$C_L(t) = \int_0^L dx \langle j_1^a(x) j_1^a(0) \rangle_L = \int_0^\infty d\omega e^{-\omega t} \rho_L(\omega)$$

$$\rho_{\epsilon,L}^x(E) = \int_0^\infty d\omega \delta_\epsilon^x(E - \omega) \rho_L(\omega)$$

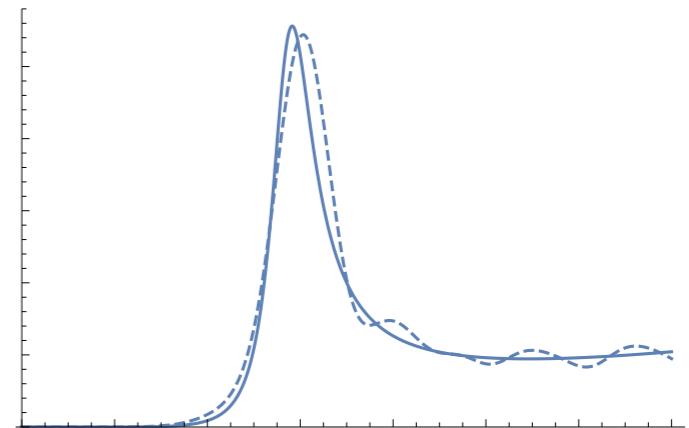
- $G(\tau)$  has exponentially suppressed volume effects
- Playing with 1+1 O(3) model
- Instructive cancellation of  $1/L$  effects in  $G(\tau)$  and  $\hat{\rho}_\epsilon(E)$
- $\hat{\rho}_\epsilon(E)$  has exponentially suppressed volume effects

# Conclusions

- Cannot solve the inverse problem, we can get  $\hat{\rho}_{L,\Delta}(\bar{\omega}) \equiv \int_0^\infty d\omega \hat{\delta}_\Delta(\bar{\omega}, \omega) \rho_L(\omega)$
- Smearing is needed anyway to *suppress volume effects*



$$1/L \ll \Delta \ll \mu_{\text{physical}}$$



- Generalized Backus-Gilbert takes  $\hat{\delta}_\Delta^{\text{target}}(\bar{\omega}, \omega)$  as input

This has unlocked a *playground* of calculations that we *just beginning* to explore

**Thanks!**

