

The inverse problem in hadron structure from lattice QCD

Martha Constantinou



Temple University

**Tackling The Real-Time Challenge In Strongly Correlated Systems:
Spectral Properties From Euclidean Path Integrals**

September 13 - 17, 2021

OUTLINE

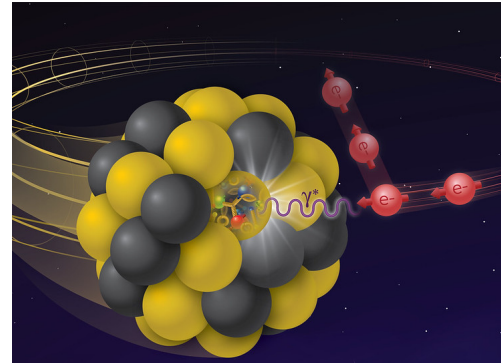
- ★ Formulation of the problem
- ★ Limitations of lattice data in accessing x-dependent PDFs (quasi-PDFs method)
- ★ Other reconstruction methods (Backus Gilbert)
- ★ Alternative methods to quasi-PDFs (pseudo-PDFs)
- ★ Naive vs advanced reconstruction methods (FT, BG, fitting reconstruction)
- ★ Concluding remarks

The problem:

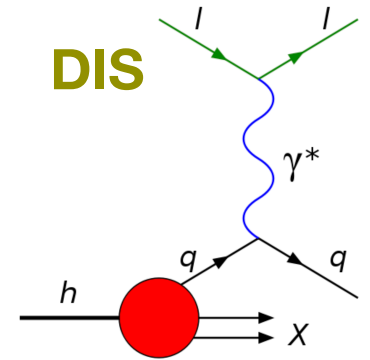
**PDF reconstruction
from lattice data**

Hadron Structure

- ★ Structure of hadrons explored in high-energy scattering processes



Collisions @ EIC

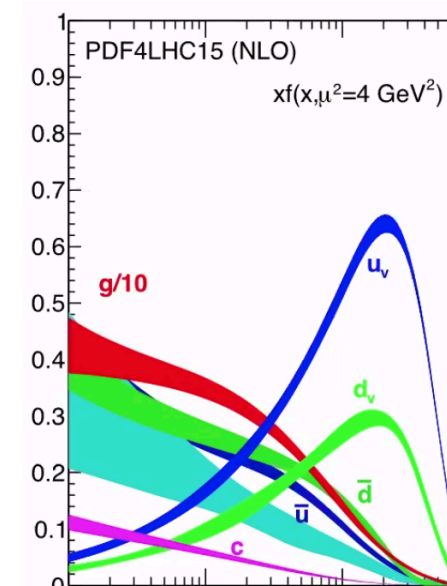


- ★ Processes cross-section contains information on hadron

$$\sigma_{\text{DIS}}(x, Q^2) = \sum_i [H_{\text{DIS}}^i \otimes f_i](x, Q^2)$$

$$[a \otimes b](x) \equiv \int_x^1 \frac{d\xi}{\xi} a\left(\frac{x}{\xi}\right) b(\xi)$$

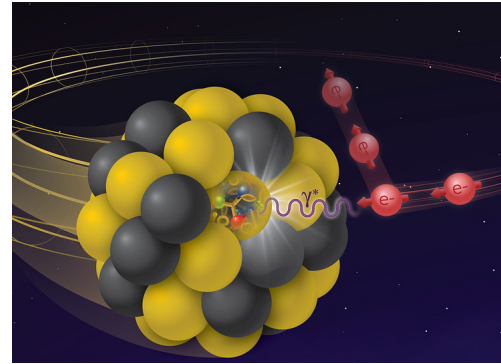
- ★ Hadron structure expressed in terms of distribution functions of partonic constituents (PDFs, GPDs, TMDs)



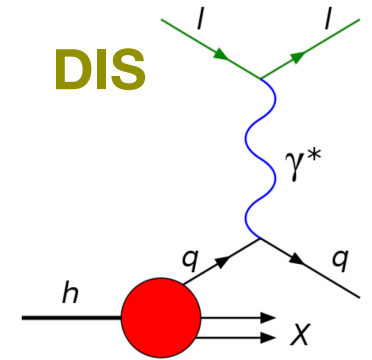
- ★ In hard scattering processes the partons propagate along the light-cone.

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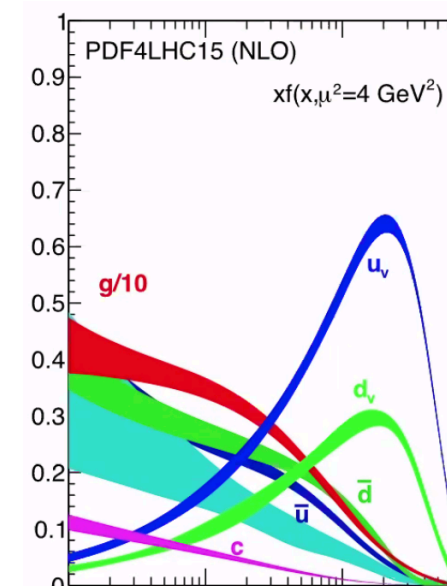
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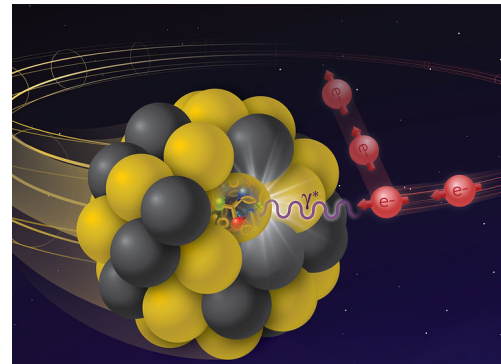
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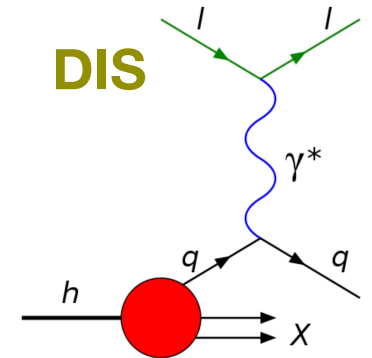
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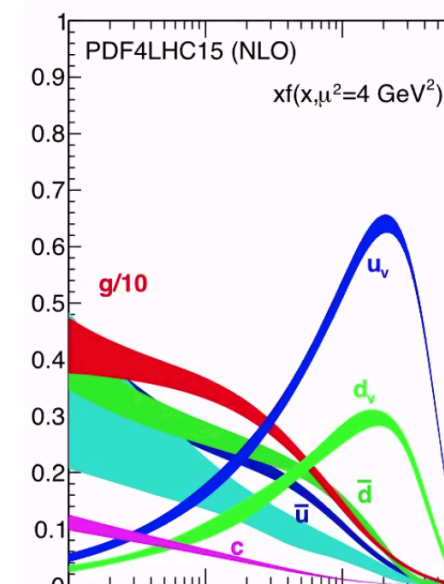
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Perturb. part
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Non-Perturb. part
(process “independent”)

- ★ Hadron structure expressed in terms of distribution functions of partonic constituents (PDFs, GPDs, TMDs)

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Distribution Functions

- ★ In parton model, the physical picture is valid for the infinite momentum frame.
- ★ DFs parameterized in terms of off-forward matrix elements of non-local light-cone operators

[R. P. Feynman, Phys. Rev. Lett. 23, 1415 (1969)]

$$f(x) = \frac{1}{4\pi} \int dy^- e^{-ixP^+ y^-} \langle P, S | \bar{\psi}_f \gamma^+ \mathcal{W} \psi_f | P, S \rangle \quad y^\pm = \frac{1}{2}(y^0 \pm y^3)$$

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- ★ DFs are not accessible on a Euclidean lattice. Instead one calculates an object with the fields spatially separated

[X. Ji, Phys. Rev. Lett. 110 (2013) 262002]

$$\tilde{q}_\Gamma(x, t, \xi, P_3, \mu) = \int_{-\infty}^{\infty} \frac{dz}{4\pi} e^{-ixP_3 z} \langle N(P_f) | \bar{\Psi}(z) \Gamma \mathcal{W}(z, 0) \Psi(0) | N(P_i) \rangle_\mu$$

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↓
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↓

Quasi-distribution
Manifestation of
INVERSE PROBLEM
Core ingredient of
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Distribution Functions

★ Alternative approaches proposed, e.g.:

Hadronic tensor

[K.F. Liu, S.J. Dong, PRL 72 (1994) 1790, K.F. Liu, PoS(LATTICE 2015) 115]

Auxiliary scalar quark

[U. Aglietti et al., Phys. Lett. B441, 371 (1998), arXiv:hep-ph/9806277]

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Good lattice cross sections

[Y-Q Ma & J. Qiu, Phys. Rev. Lett. 120, 022003 (2018), arXiv:1709.03018]

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See talks by:

- Kostas Orginos (Wednesday)
- Keh-Fei Liu (Friday)

Limitations of lattice data

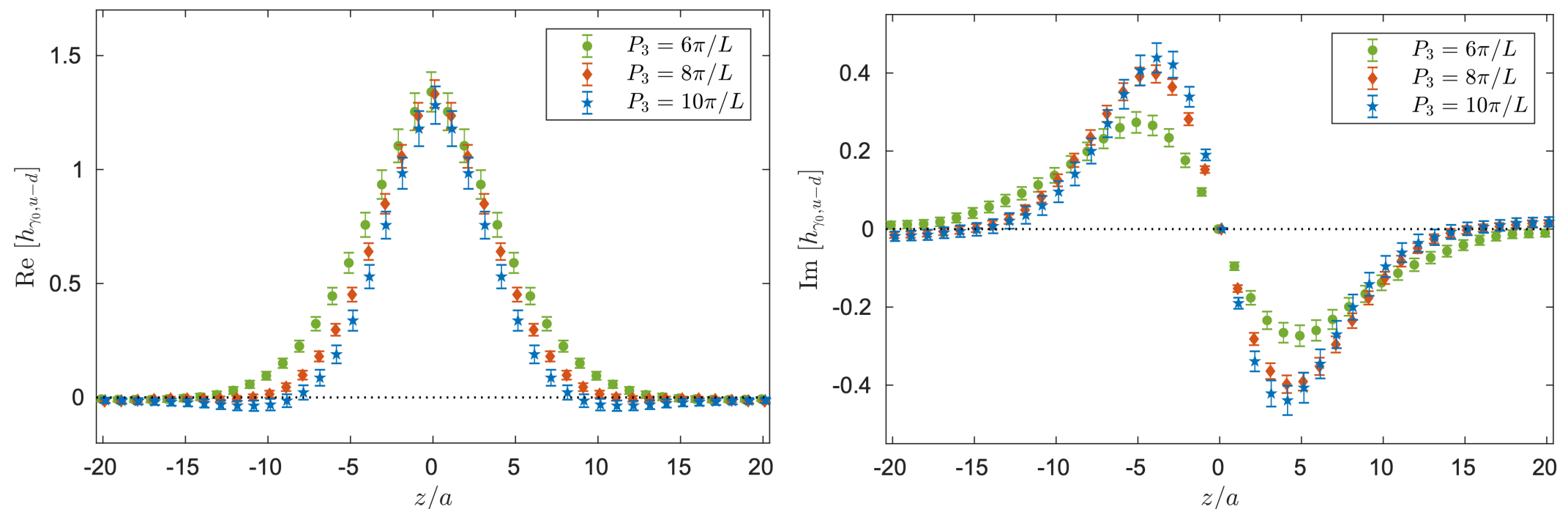
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Limitations of lattice data

Matrix elements of non-local operators defined in coordinate space (z)

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★ Lattice data limited by lattice size: $-\frac{L}{2} \leq z \leq \frac{L}{2}$



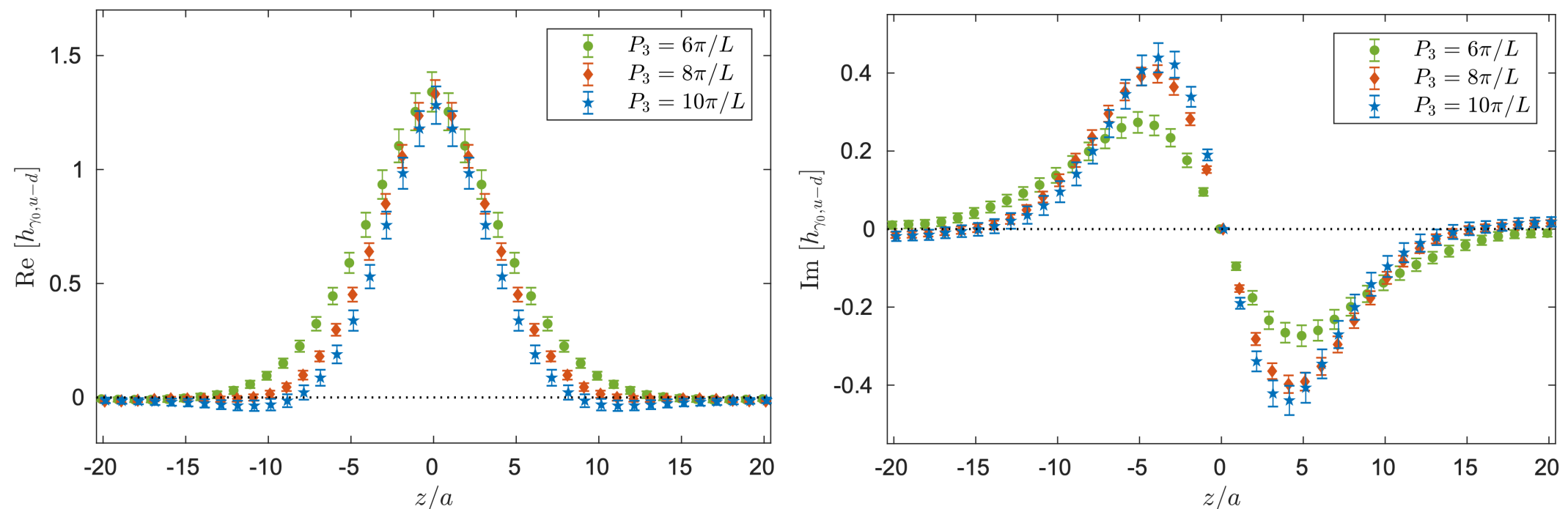
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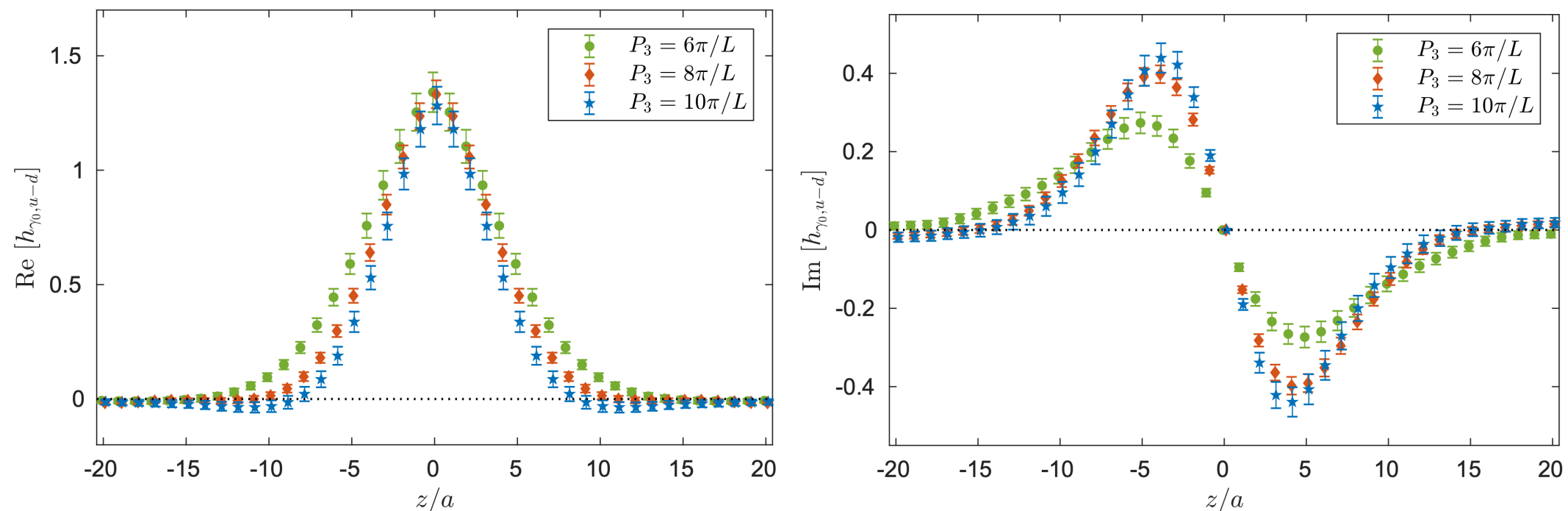
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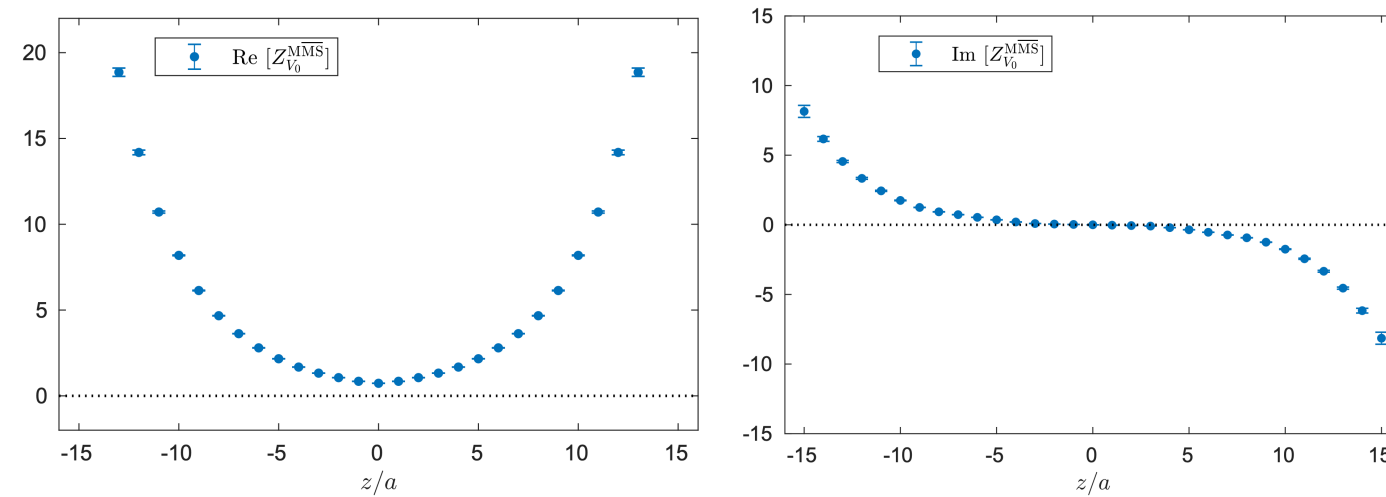
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ill-conditioned

Limitations of lattice data

★ Renormalization enhances systematics on data truncation

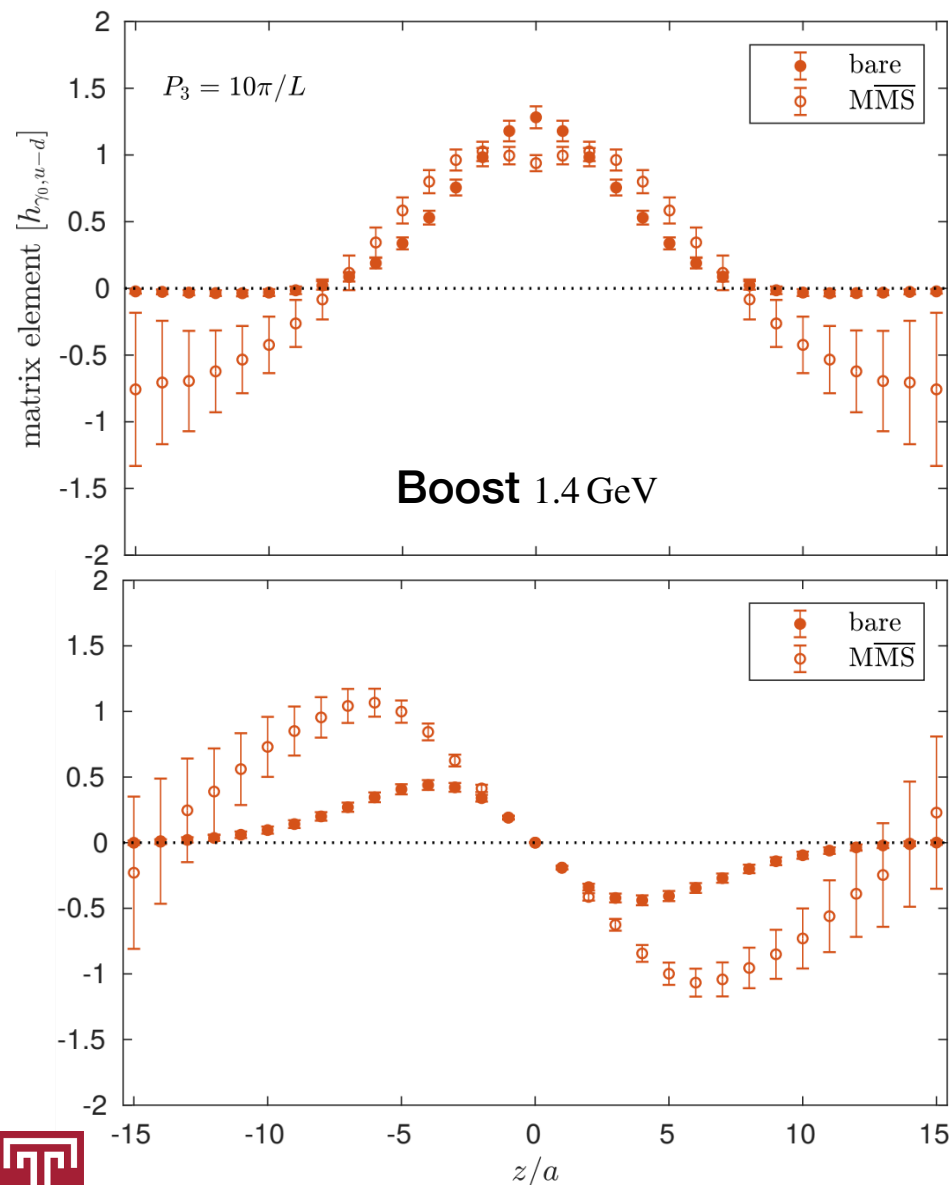
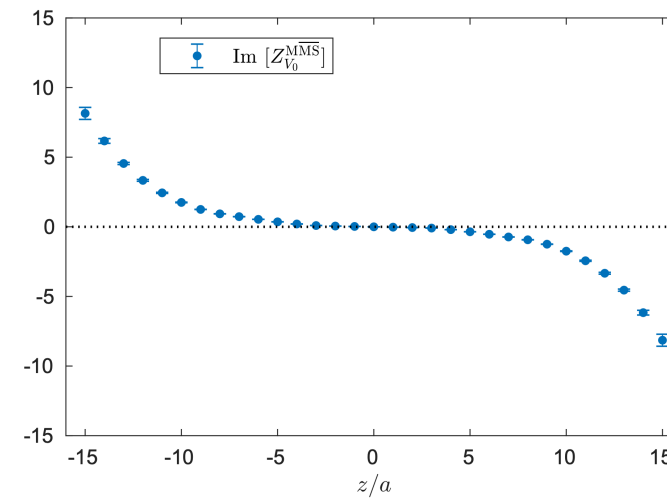
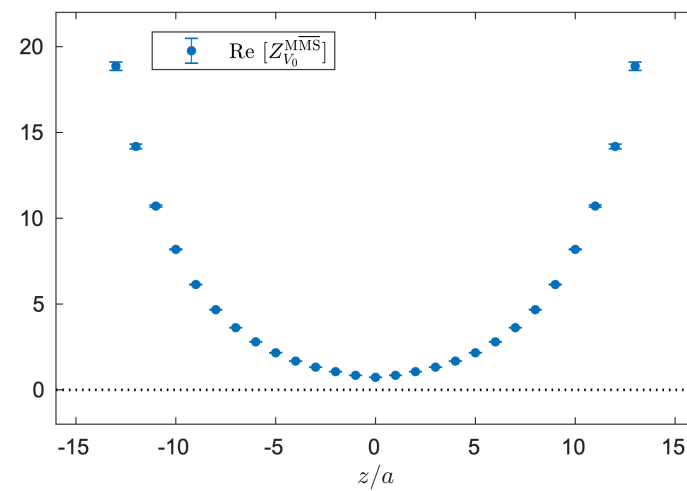
Power-law divergence!



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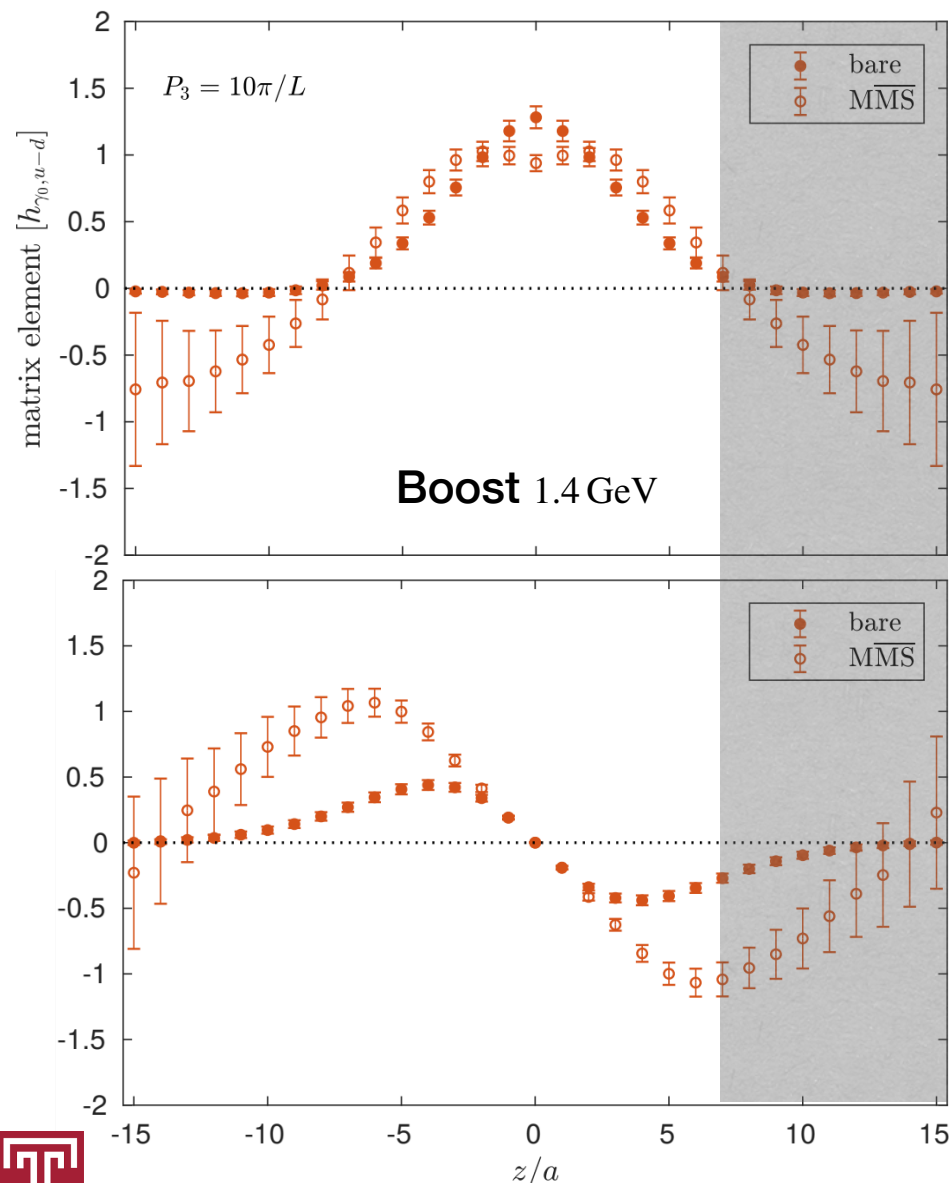
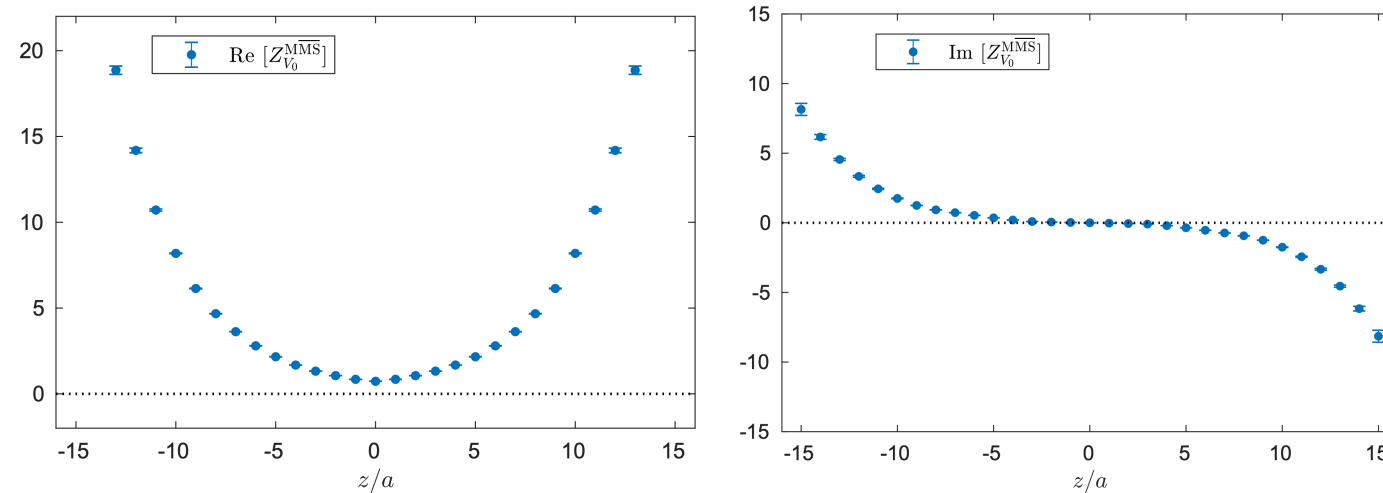
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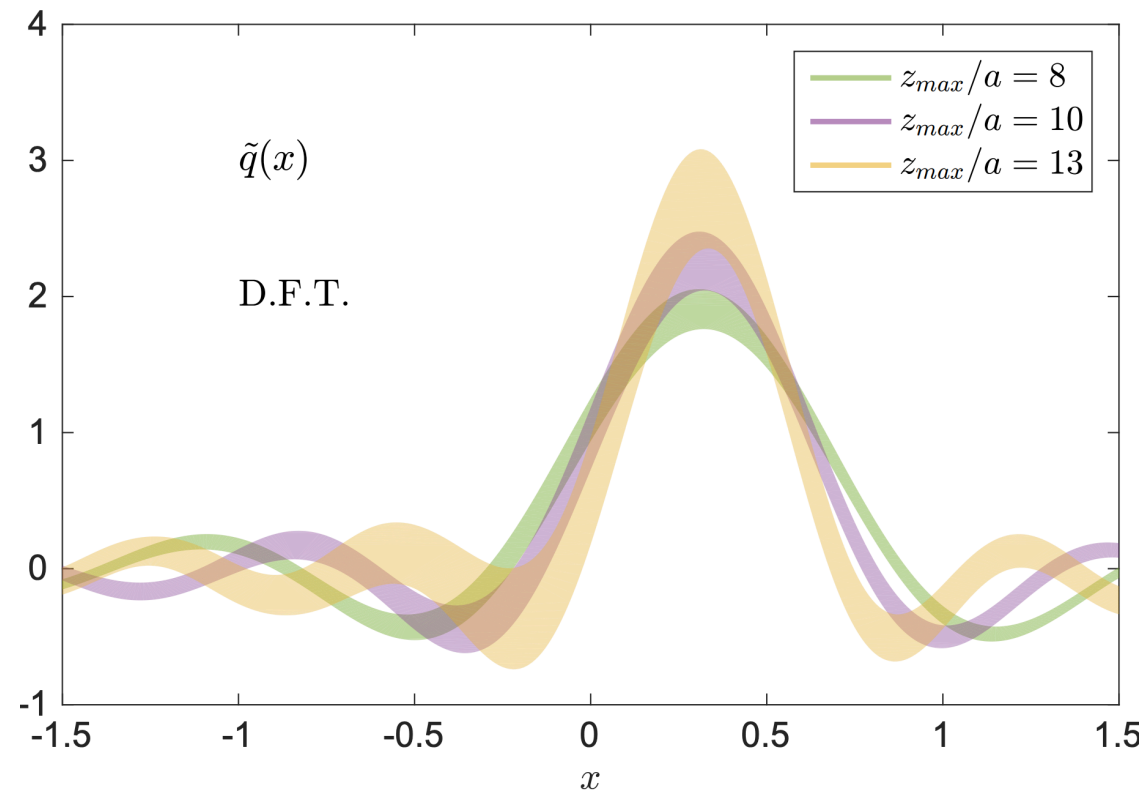
★ Identification of appropriate cut is non-trivial

★ Omitted data contain information for the reconstruction.

★ Naive Fourier transform problematic as it relies on assumptions about the matrix elements
(Not unique solution to the inverse problem)

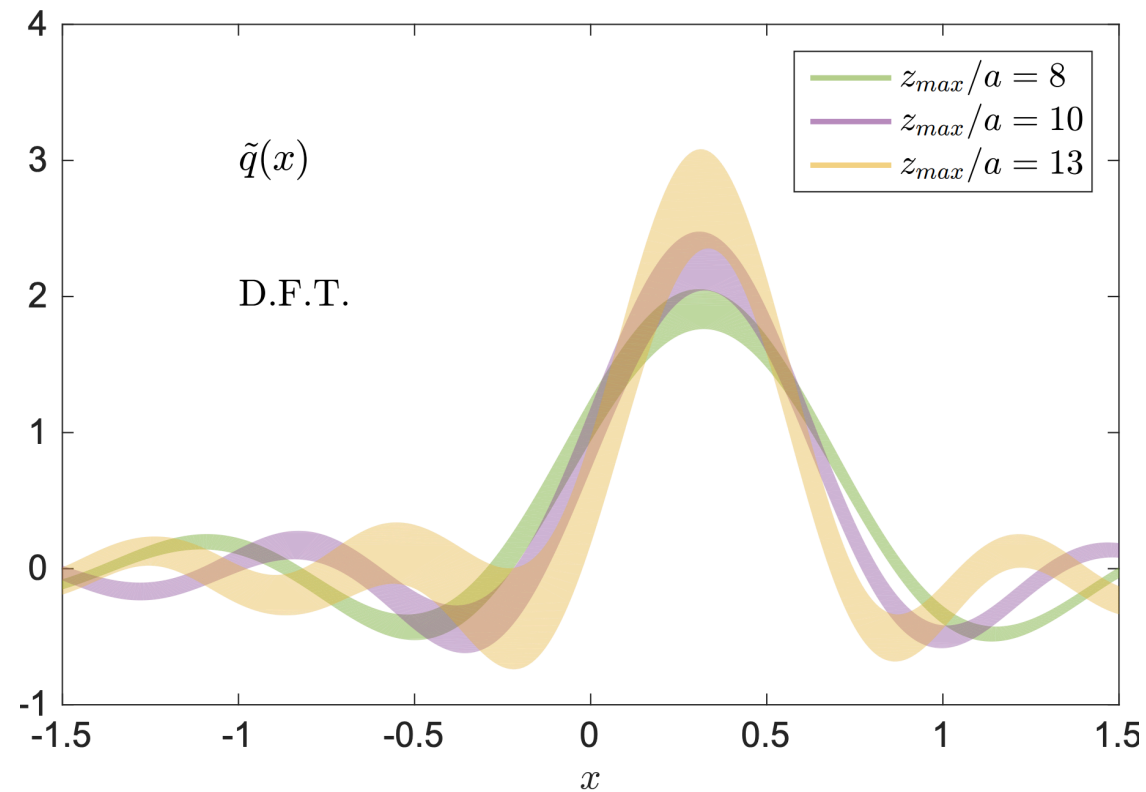
Limitations of lattice data

★ Discretized Fourier transform neglects contributions for $|z| > z_{\max}$



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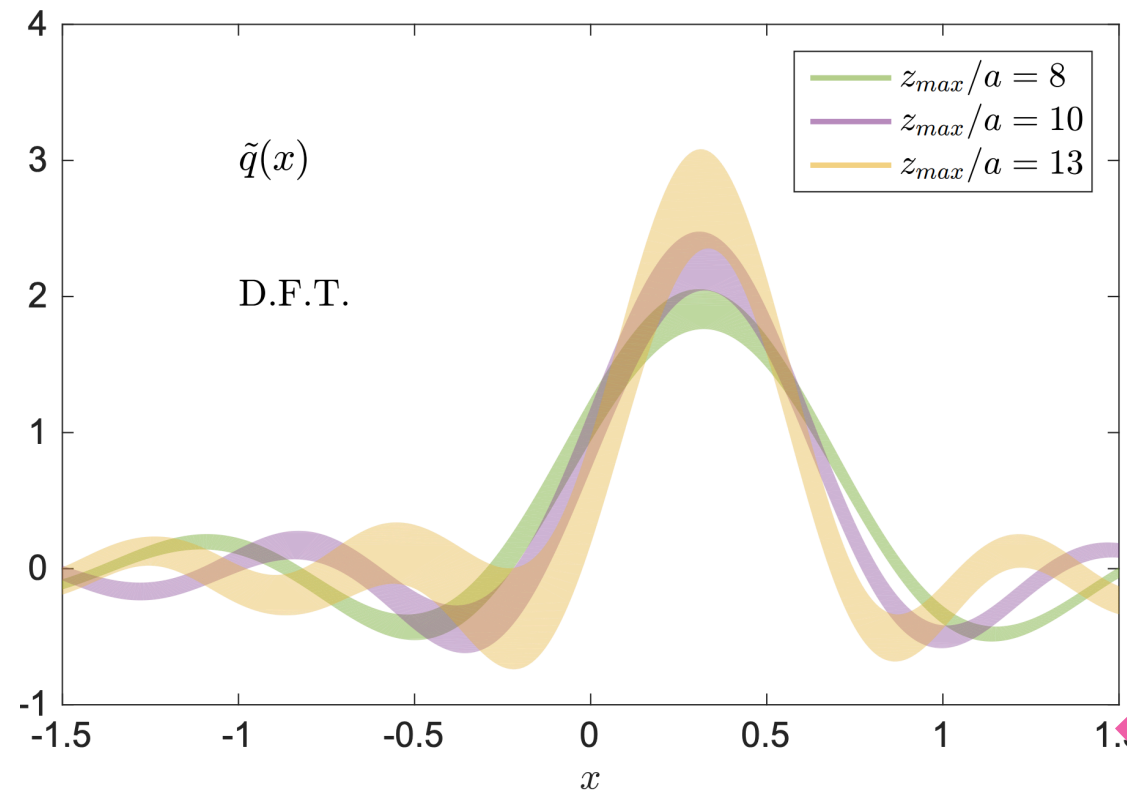
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Periodicity of Fourier transform
leads to oscillatory behavior

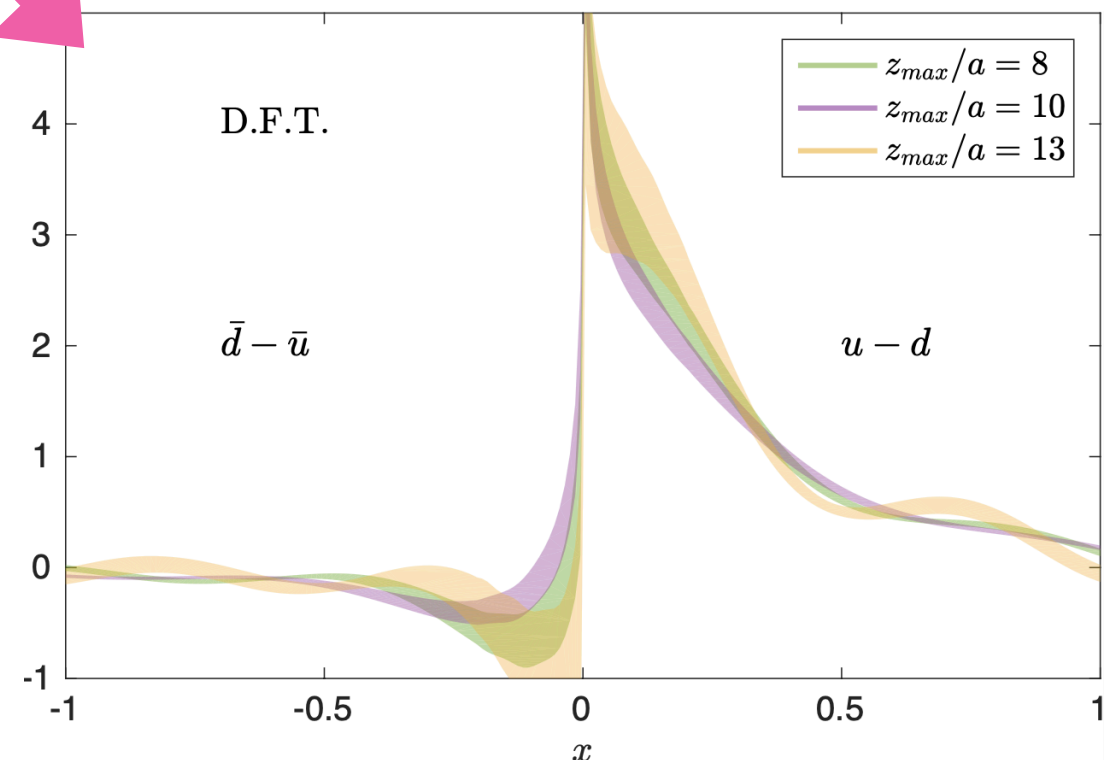
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Periodicity of Fourier transform leads to oscillatory behavior

- ★ Unphysical oscillations propagate to final PDFs



Alternative approaches to Fourier transform

Backus Gilbert

[G. Backus and F. Gilbert, Geophysical Journal International 16, 169 (1968)]

[J. Karpie, K. Orginos, and S. Zafeiropoulos, JHEP 11, 178 (2018), arXiv:1807.10933] (implementation)

- A model-independent criterion for reconstruction:
minimal variance of solution with respect to statistical variation of input data
- Reconstruction applied separately for each value of x
- Separation of FT in sine and cosine parts

$$\tilde{q}(x, \mu_0, P_3) = \frac{1}{2} \sum_{z/a} \left(a_{\cos}(x)_{z/a} \operatorname{Re} [\mathcal{M}(z, P_3, \mu_0)] + a_{\sin}(x)_{z/a} \operatorname{Im} [\mathcal{M}(z, P_3, \mu_0)] \right)$$

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- ★ At each x , a vector $a_K(x)$ is defined as an approximate inverse of kernel function $K(x)$. $a_K(x)$ identified from optimization conditions based on BG criterion

$$\Delta(x - x') = \sum_{z/a=0}^{d-1} a_K(x)_{z/a} K(x')_{z/a}$$

$K(x')_{z/a} = \cos(x' P_3 z), \sin(x' P_3 z)$
 d : number of input data

- ★ $\Delta(x - x')$ approximates Dirac delta function with minimized width.
Quality of approximation depends on d

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★ Width minimization leads to $\mathbf{a}_K(x) = \frac{\mathbf{M}_K^{-1}(x) \mathbf{u}_K}{\mathbf{u}_K^T \mathbf{M}_K^{-1}(x) \mathbf{u}_K}$

where $M_K(x)_{\nu\nu'} = \int_0^1 dx' (x - x')^2 K(x')_{\nu} K(x')_{\nu'} + \rho \delta_{\nu\nu'}$ $u_{K\nu} = \int_0^1 dx' K(x')_{\nu}$

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★ ρ regularizes matrix to make it invertible (Tikhonov)

★ Empirical value $\rho = 10^{-3}$

★ Smaller ρ values introduce large oscillations in reconstructed PDF due to very small eigenvalues of $M_K(x)$

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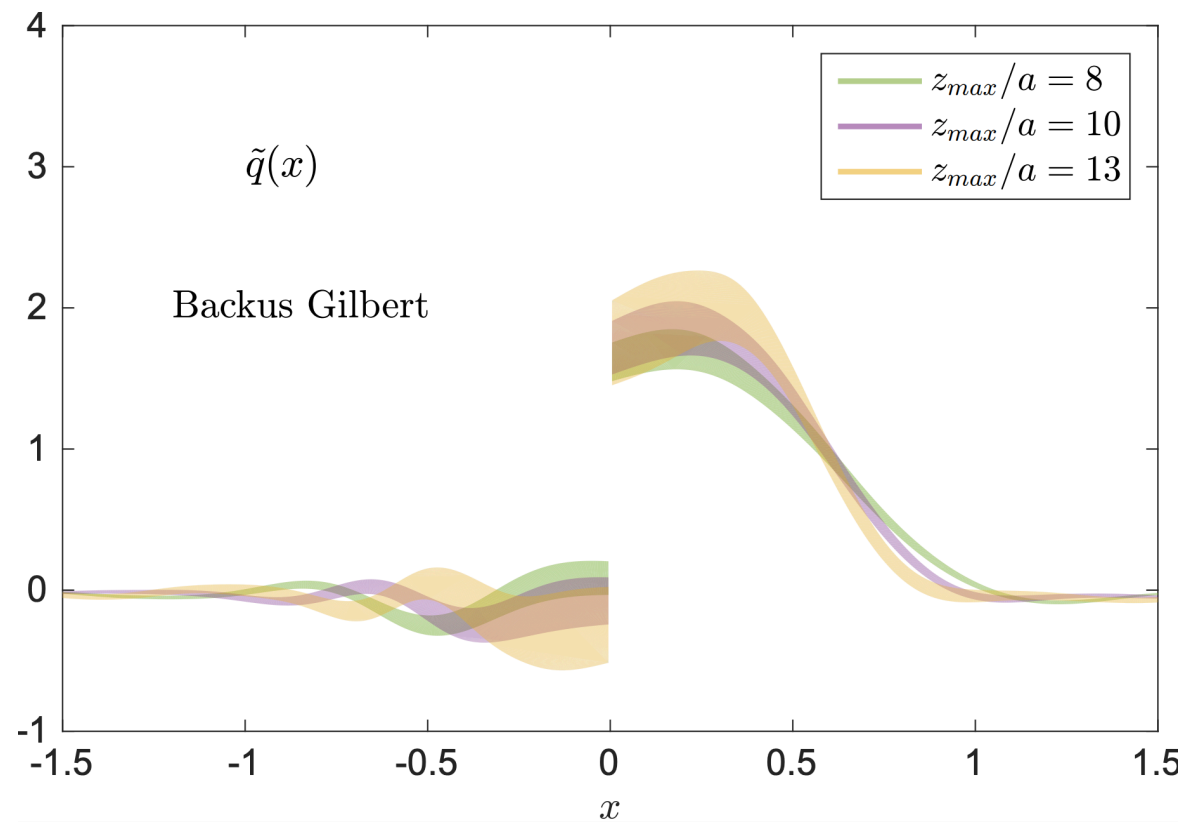
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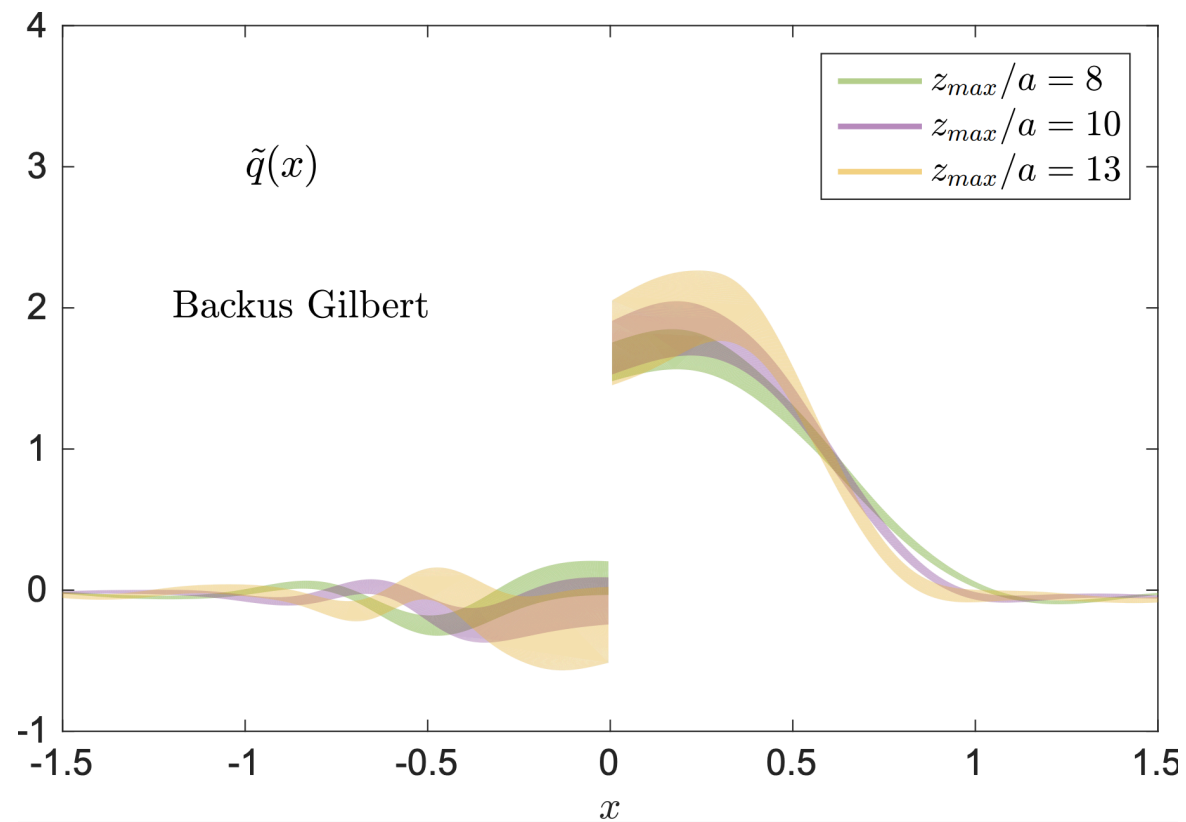
BG with preconditioning alternative approach
(Rescaling, $K(x) \rightarrow p(x)K(x)$, $q(x) \rightarrow q(x)/p(x)$)

Backus Gilbert



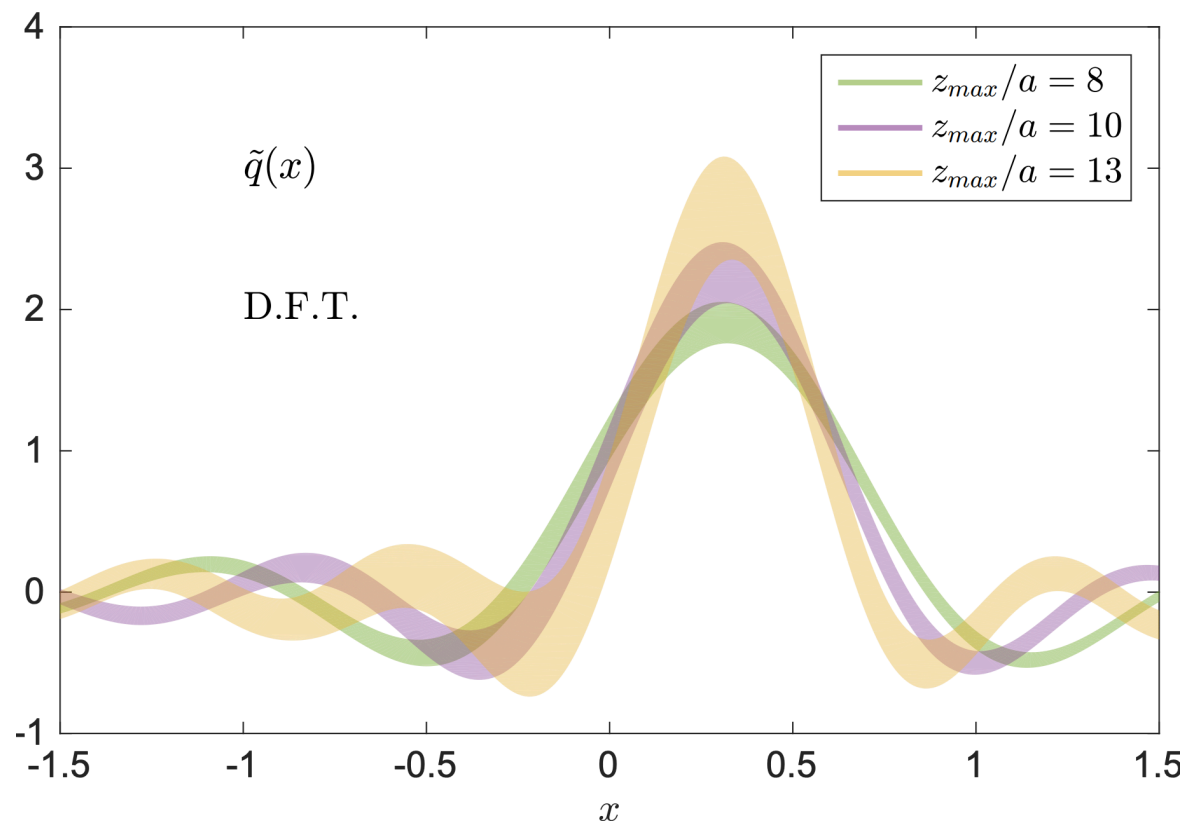
- ★ Convergence of results at about $d = 11$
- ★ Unphysical oscillations are negligible in the quark region

Backus Gilbert



★ Convergence of results at about $d = 11$

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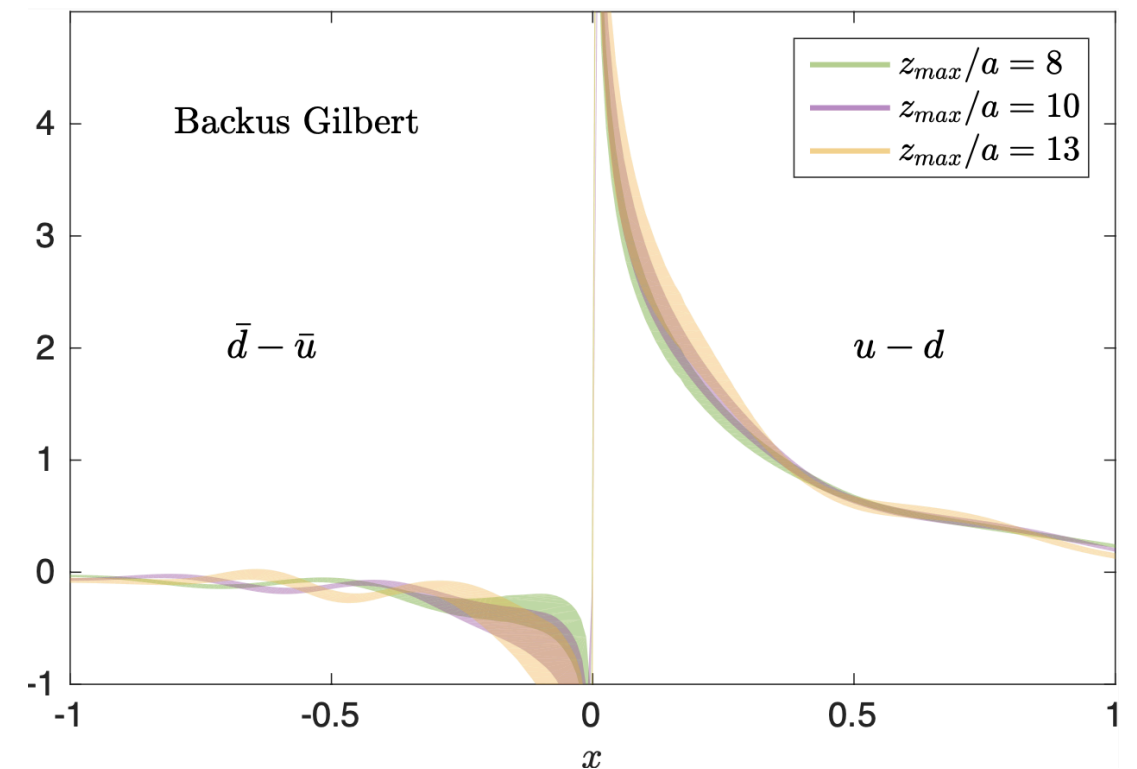
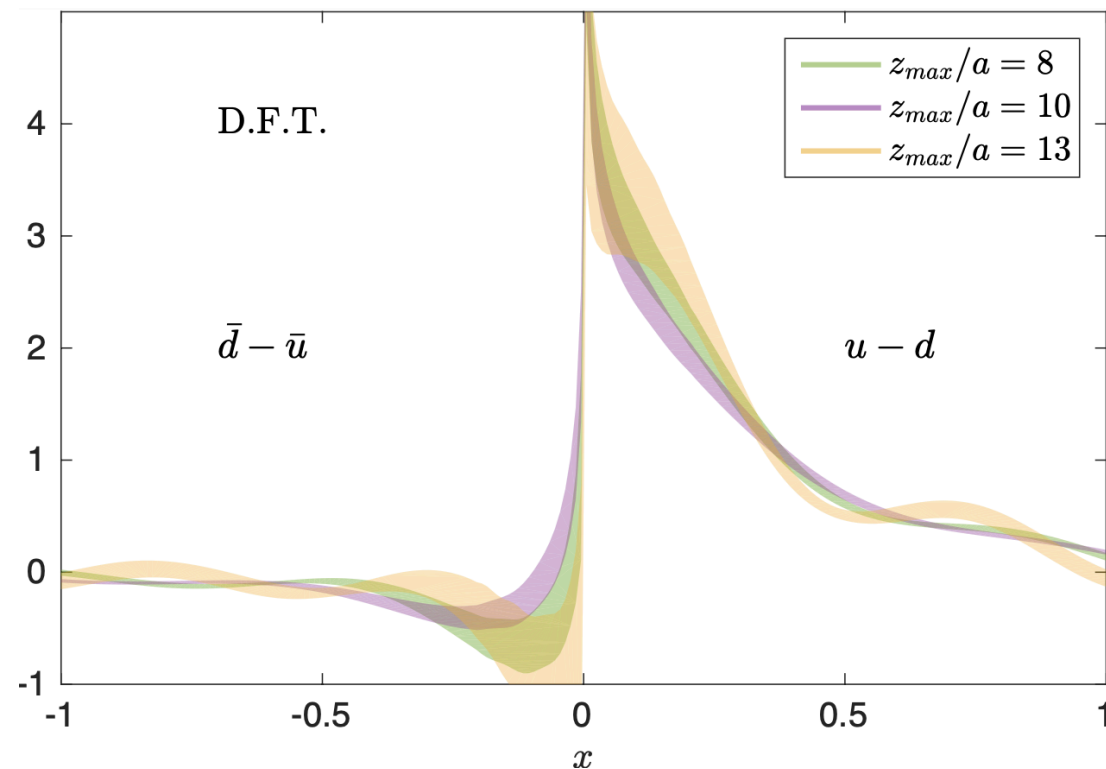
★ Standard FT: quasi-PDF is bound to be negative if matrix elements entering the transforms do not decay to zero fast enough.

Backus Gilbert

How does the addition of lattice data affect the final PDF as obtained from FT and BG reconstructions?

Backus Gilbert

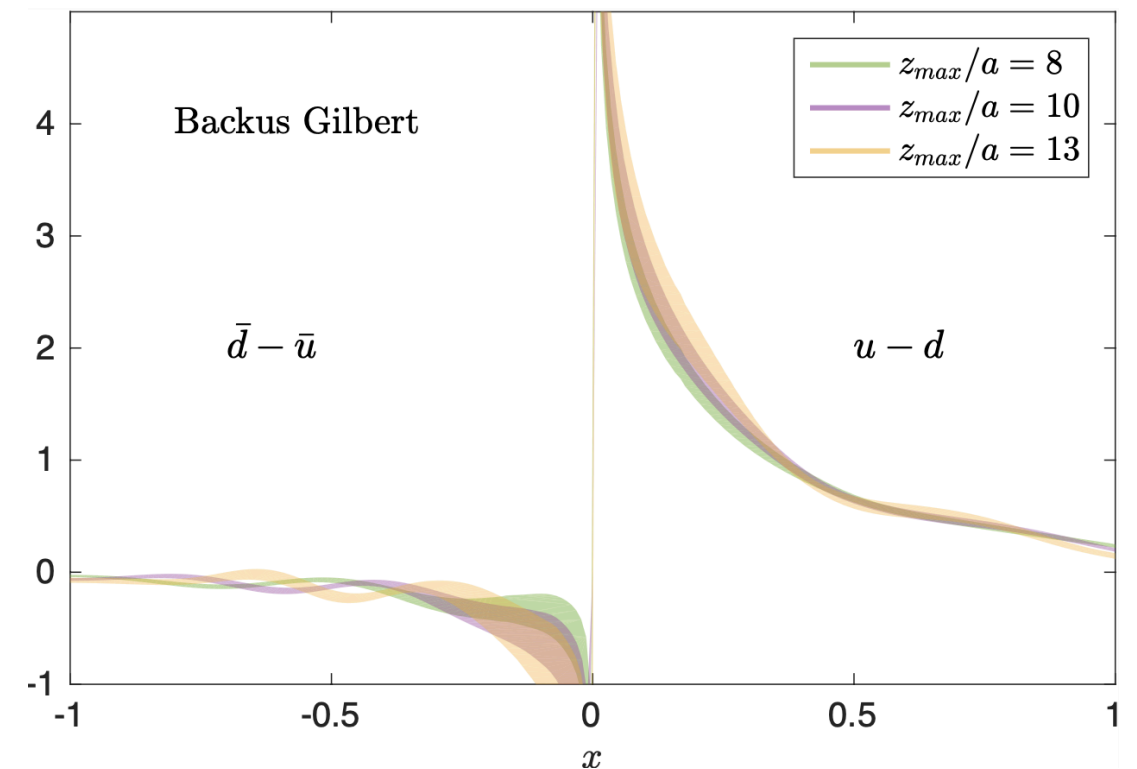
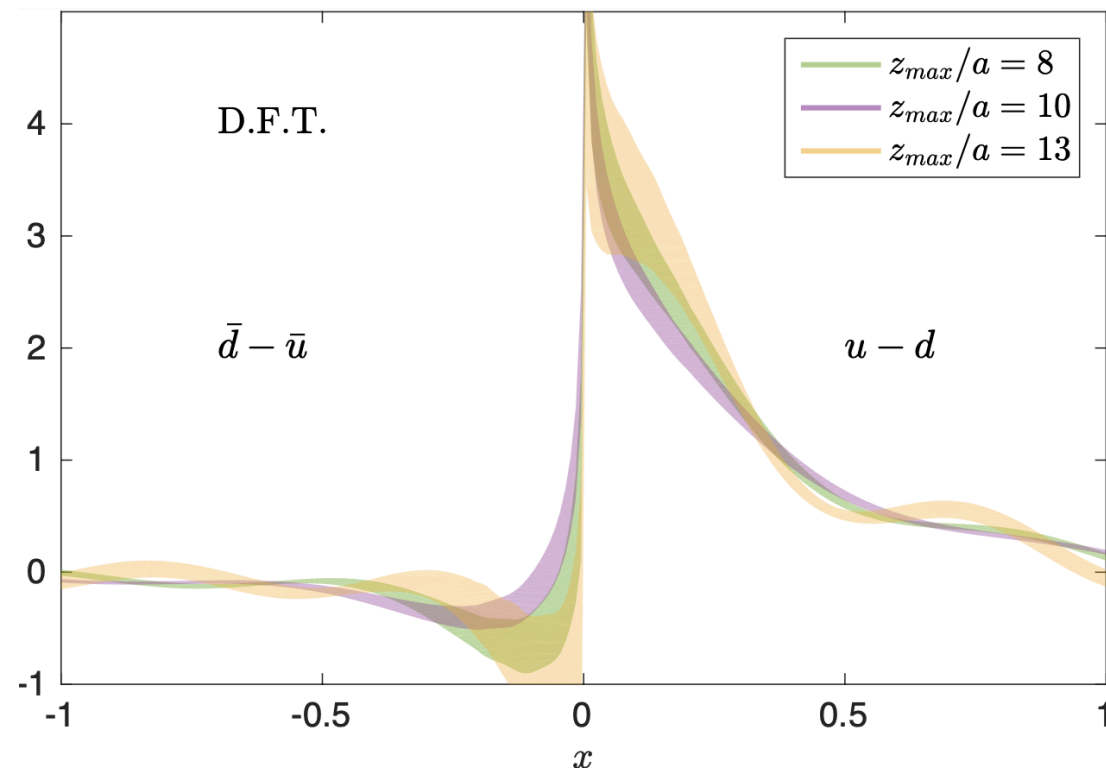
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★ Larger deviations in standard FT

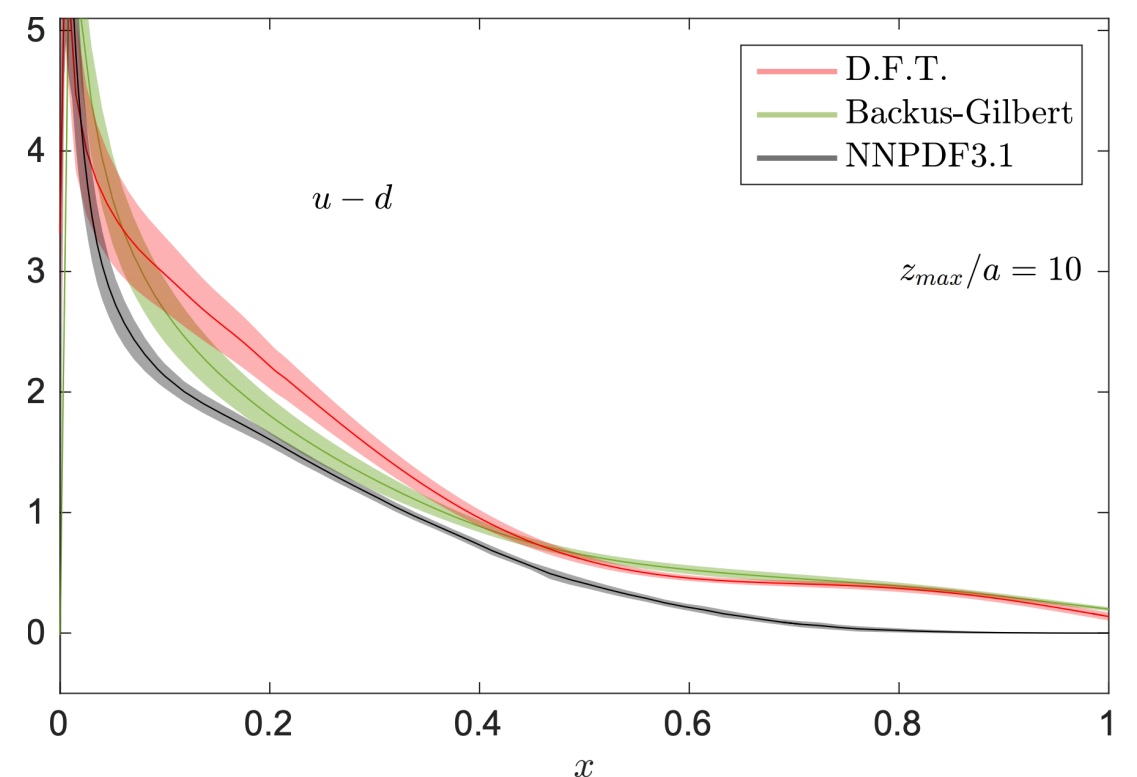
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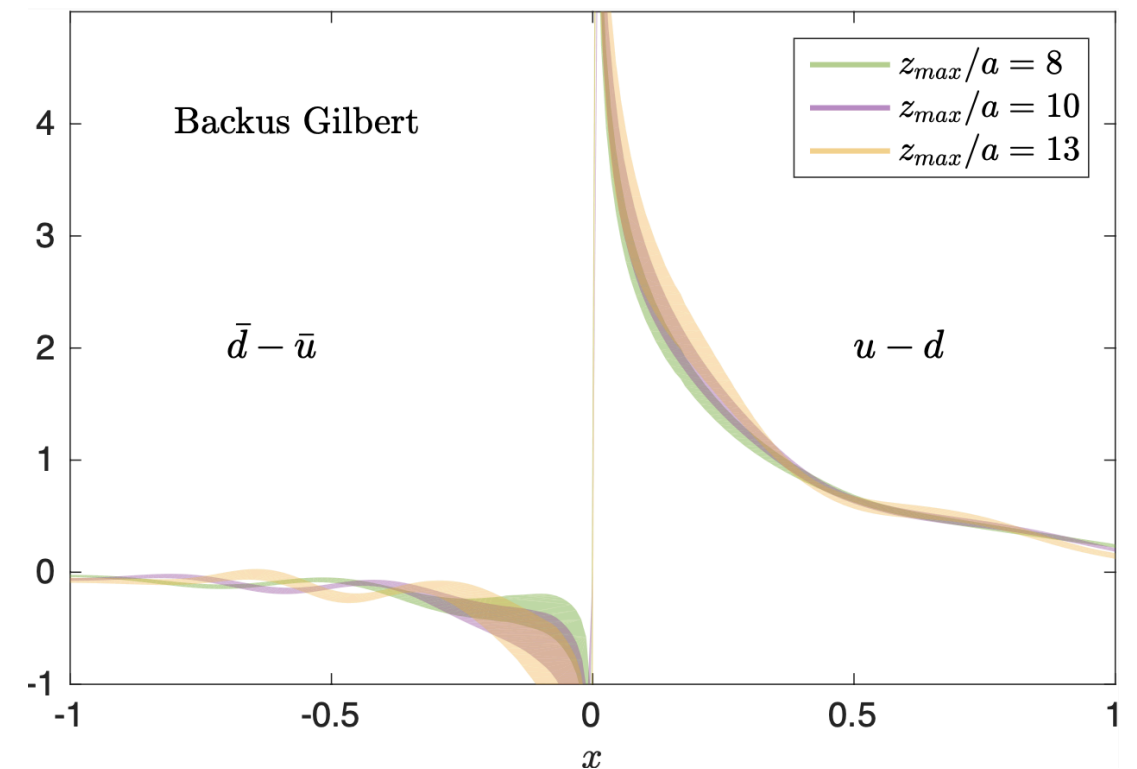
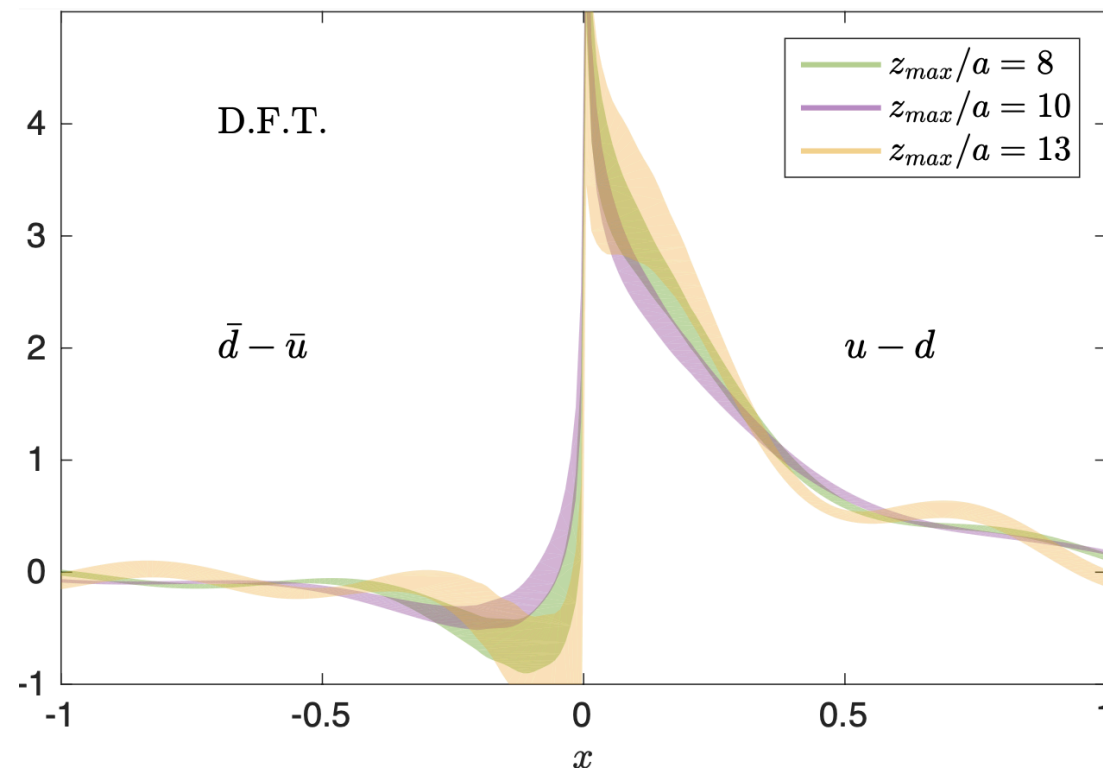
★ Larger deviations in standard FT

★ Small improvement in BG approach



Backus Gilbert

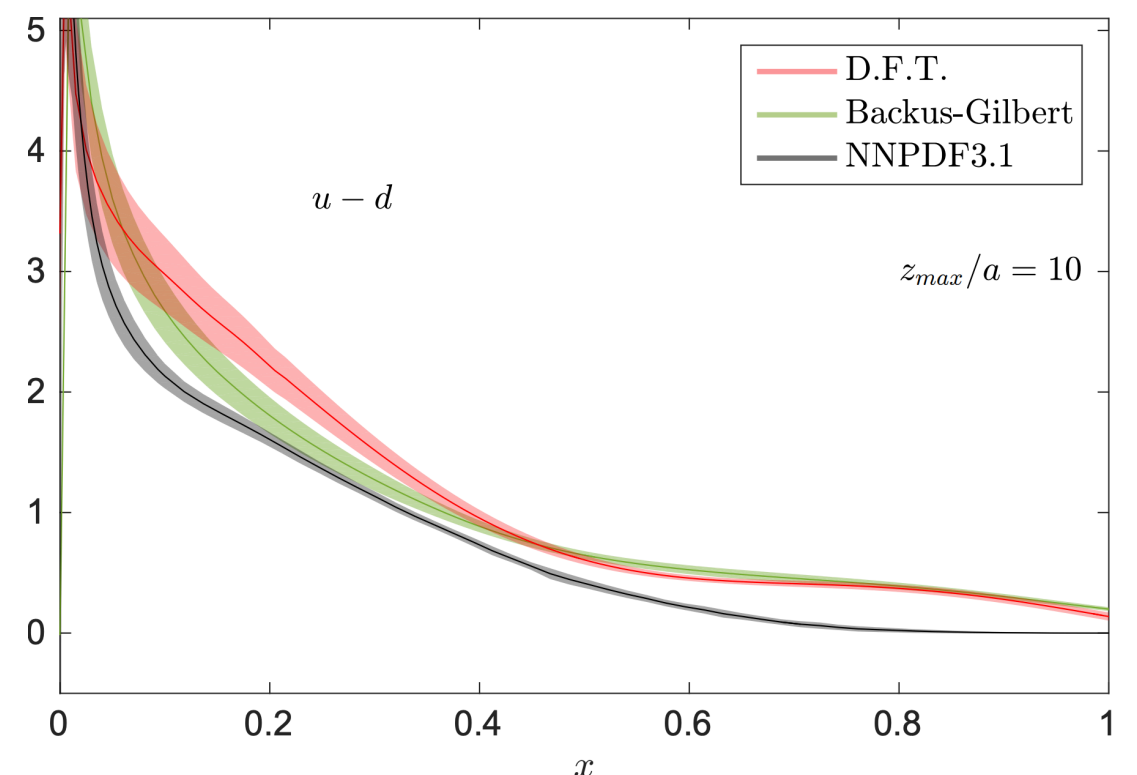
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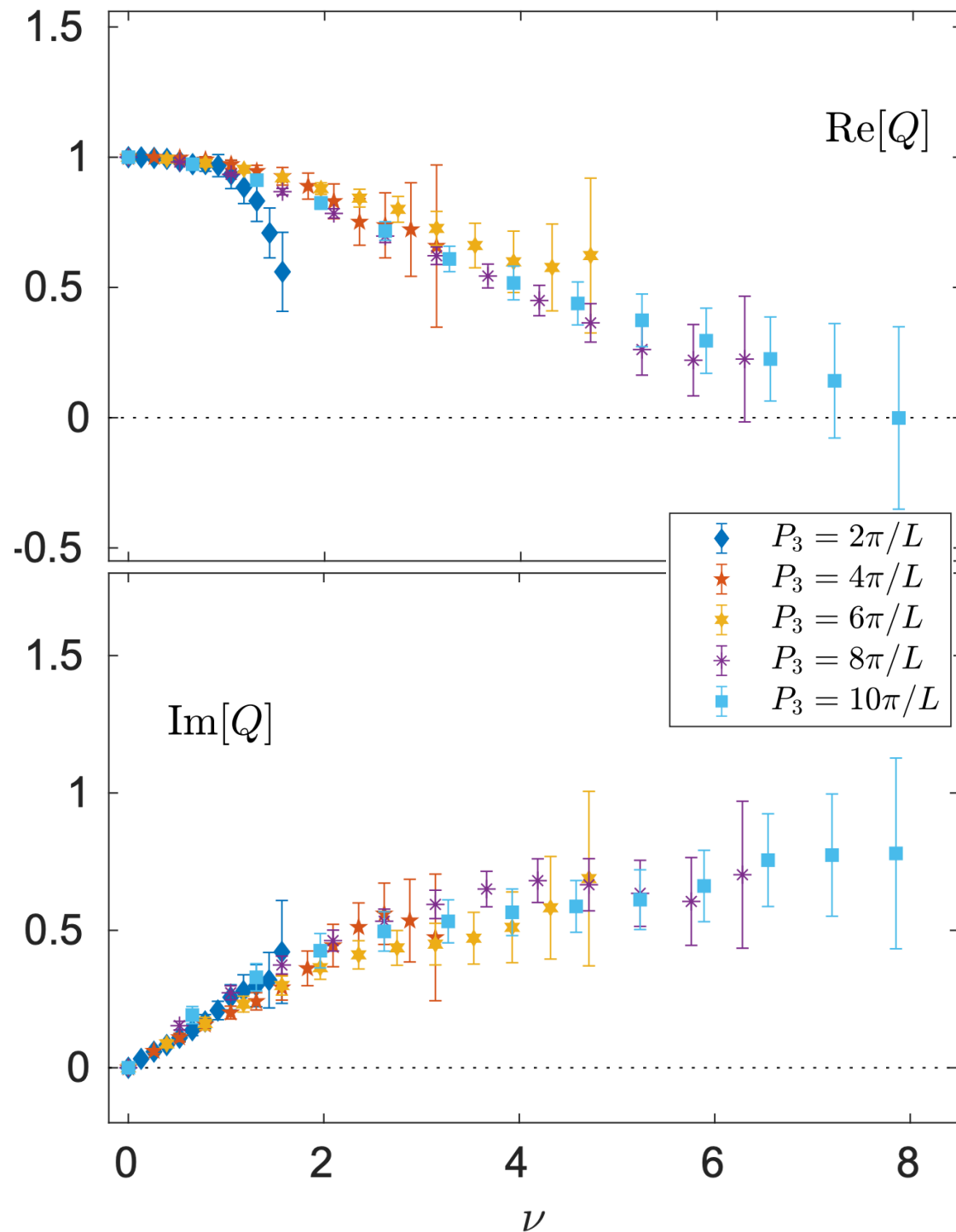
Further increase of data set requires simulations using large-volume ensembles and finer lattice spacing



Alternative methods for distribution functions (pseudo-PDFs)

pseudo-PDFs

[A. Radyushkin, Phys. Rev. D96 (2017) 034025]



★ Reduced Ioffe-time distributions (ITD)
(renormalization scheme)

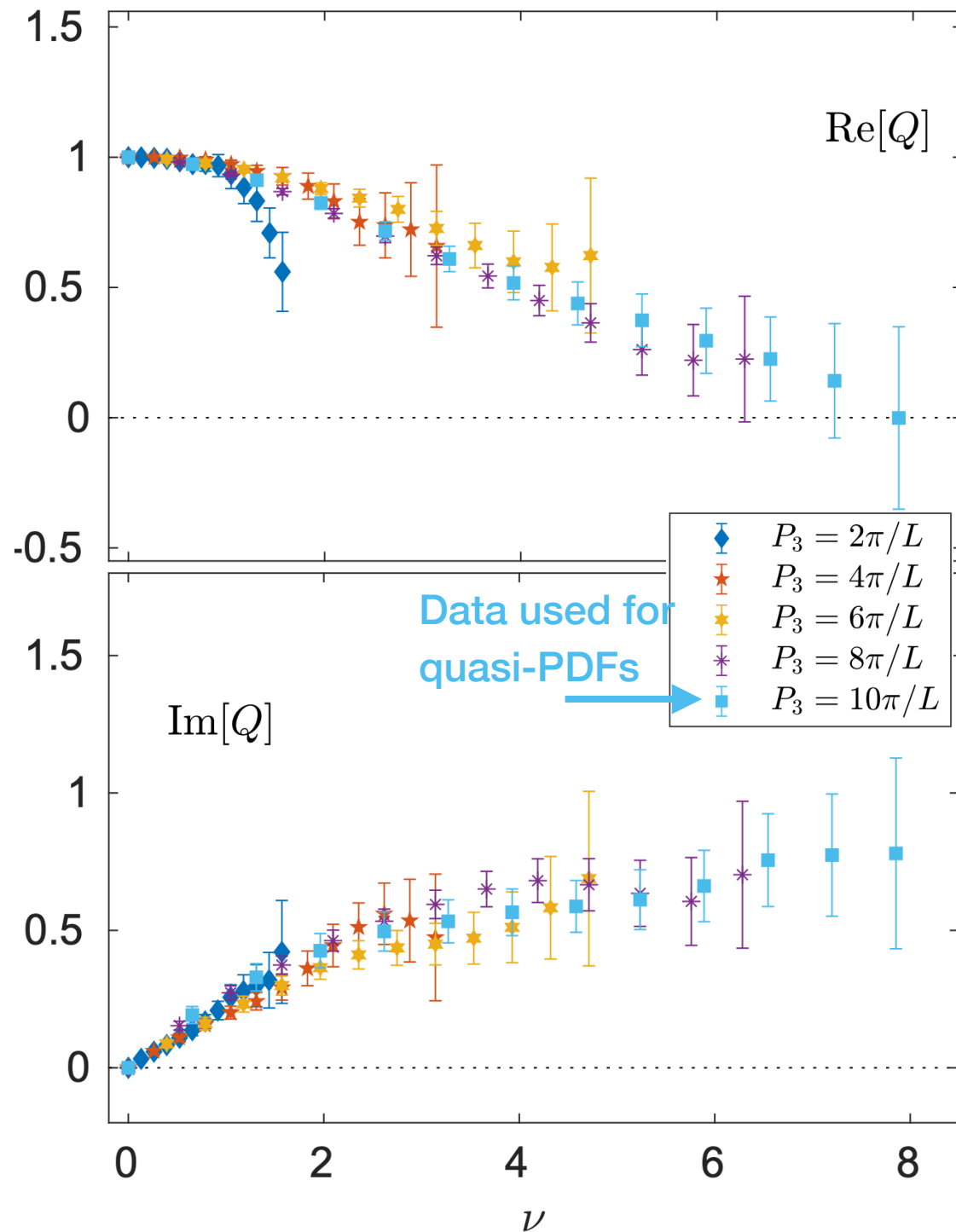
★ Practical advantage: all values of P_3
analyzed simultaneously

$$\mathfrak{M}(\nu, z^2) = \frac{\mathcal{M}(\nu, z^2) / \mathcal{M}(\nu, 0)}{\mathcal{M}(0, z^2) / \mathcal{M}(0, 0)} \quad (\nu = z \cdot P_3)$$

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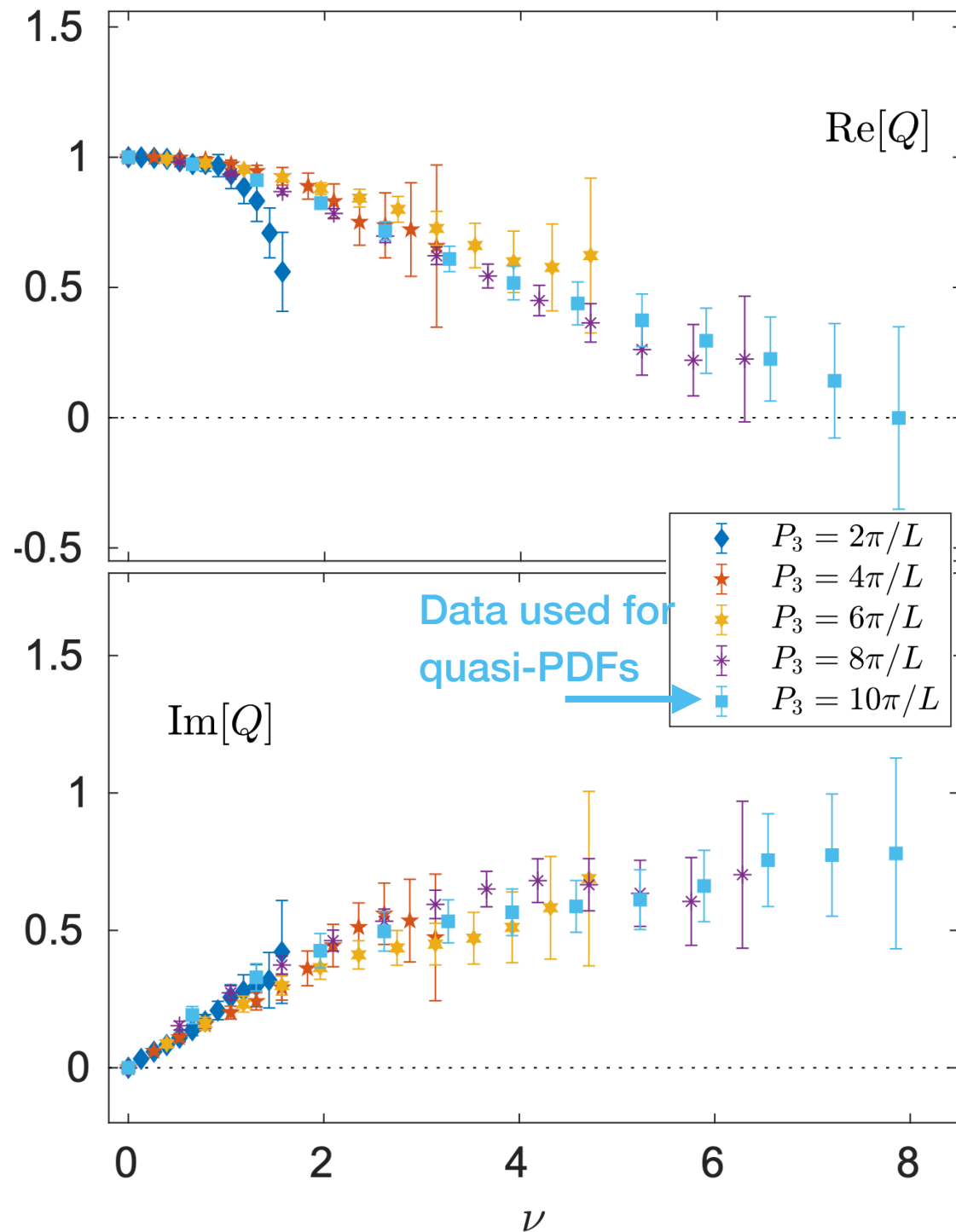
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★ Inverse problem present

$$Q(\nu, \mu^2) = \int_{-1}^1 dx e^{i\nu x} q(x, \mu^2)$$

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- ★ Alternative reconstruction technique: **fitting ansatz**

[B. Joo et. al, JHEP 12, 081, arXiv:1908.09771]

$$q(x) = N x^a (1 - x)^b$$

- ★ a, b fitting parameters, N normalization or free parameter

- ★ Fits performed minimizing the χ^2 function
where

$$\chi^2 = \sum_{\nu=0}^{\nu_{\max}} \frac{Q(\nu, \mu^2) - Q_f(\nu, \mu^2)}{\sigma_Q^2(\nu, \mu^2)}$$

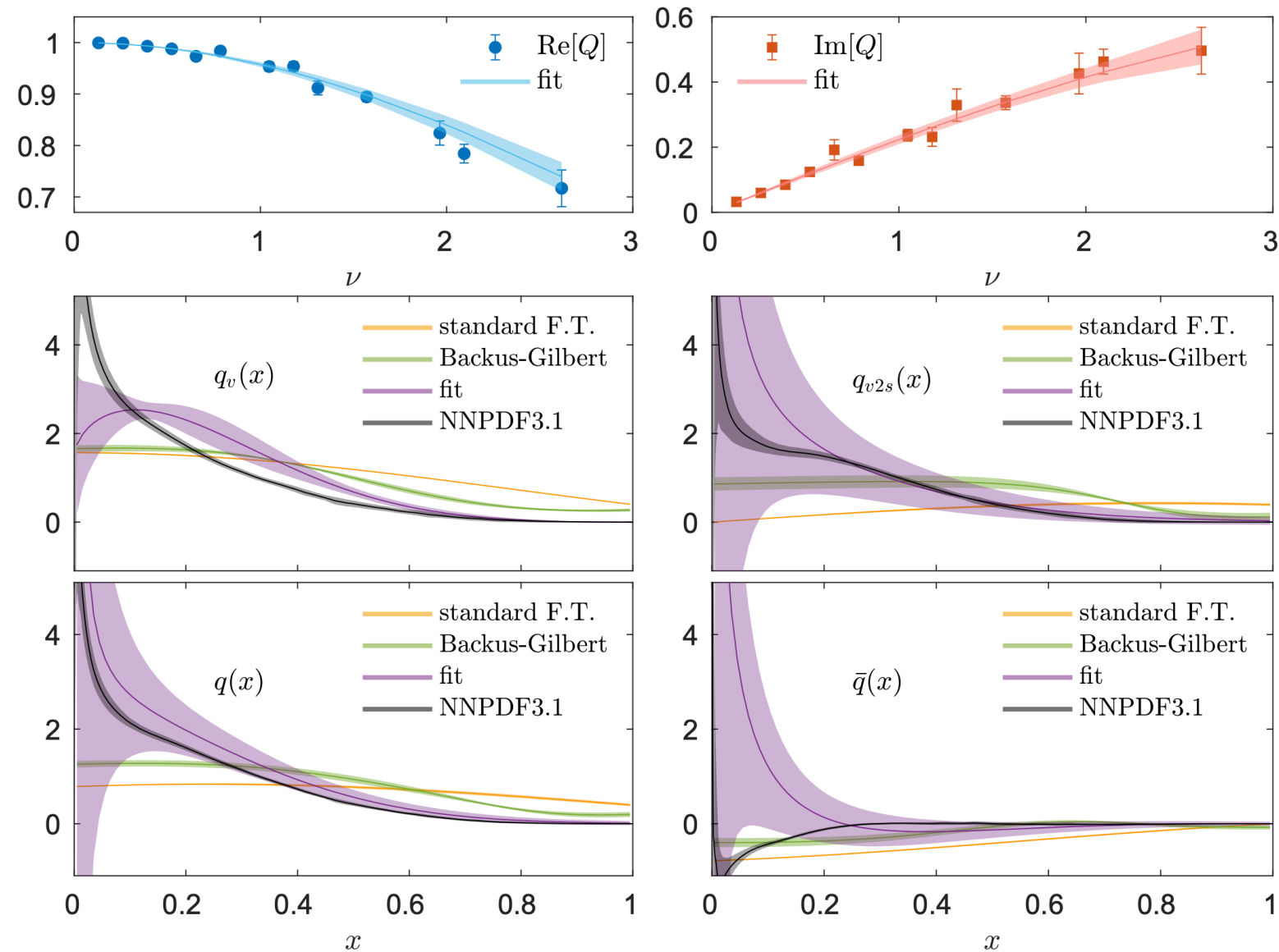
σ_Q is statistical error of Q ,

Q_f is FT of the ansatz for $q(x)$ $Q(\nu, \mu^2) = \int_{-1}^1 dx e^{i\nu x} q(x, \mu^2)$

- ★ The fitted function is continuous and reconstruction is not subject to inverse problem (**other systematic effects present**)

Comparison of reconstruction methods

$$\nu_{\max} = 2.6, \quad z_{\max} = 4a$$

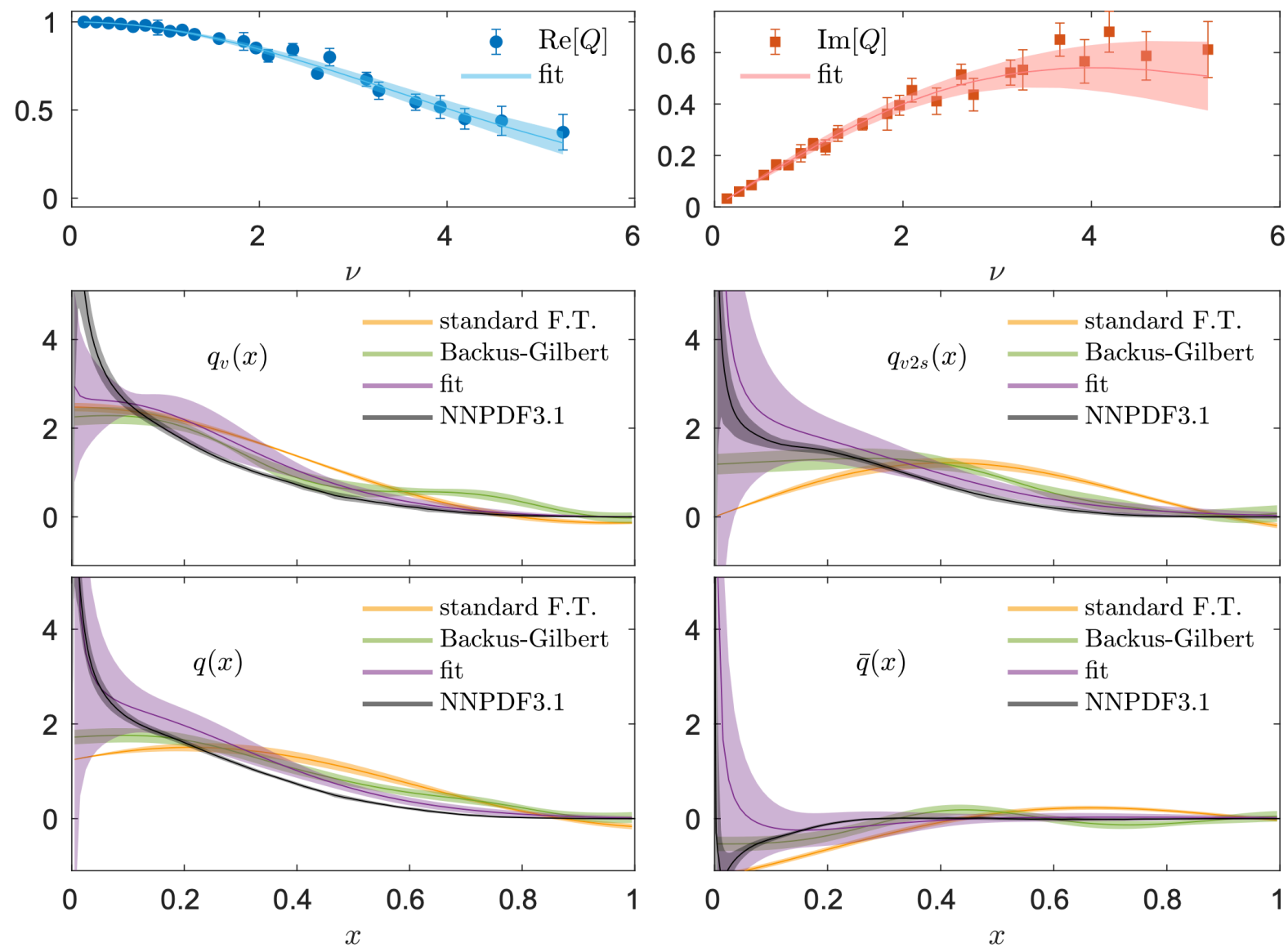


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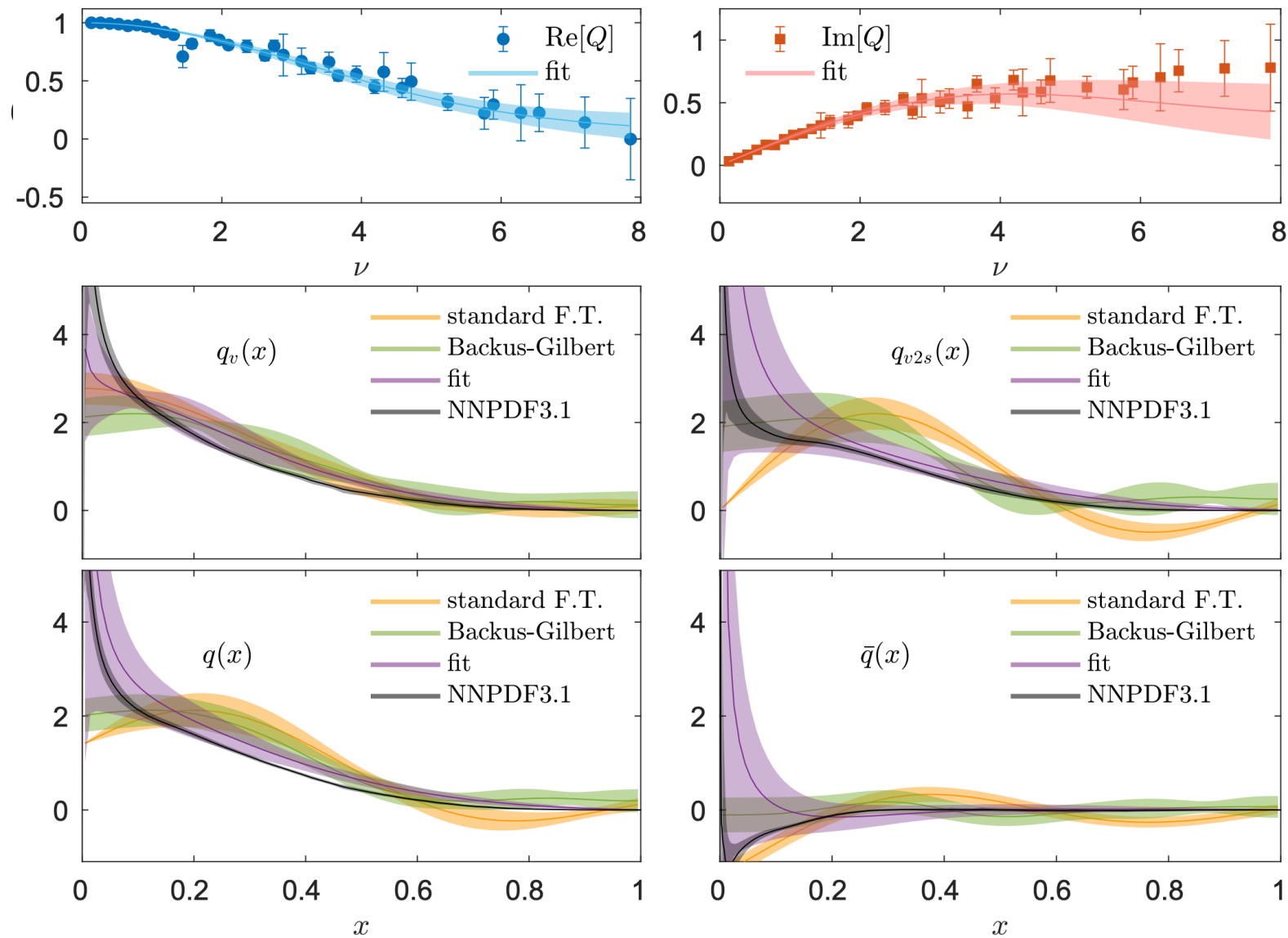
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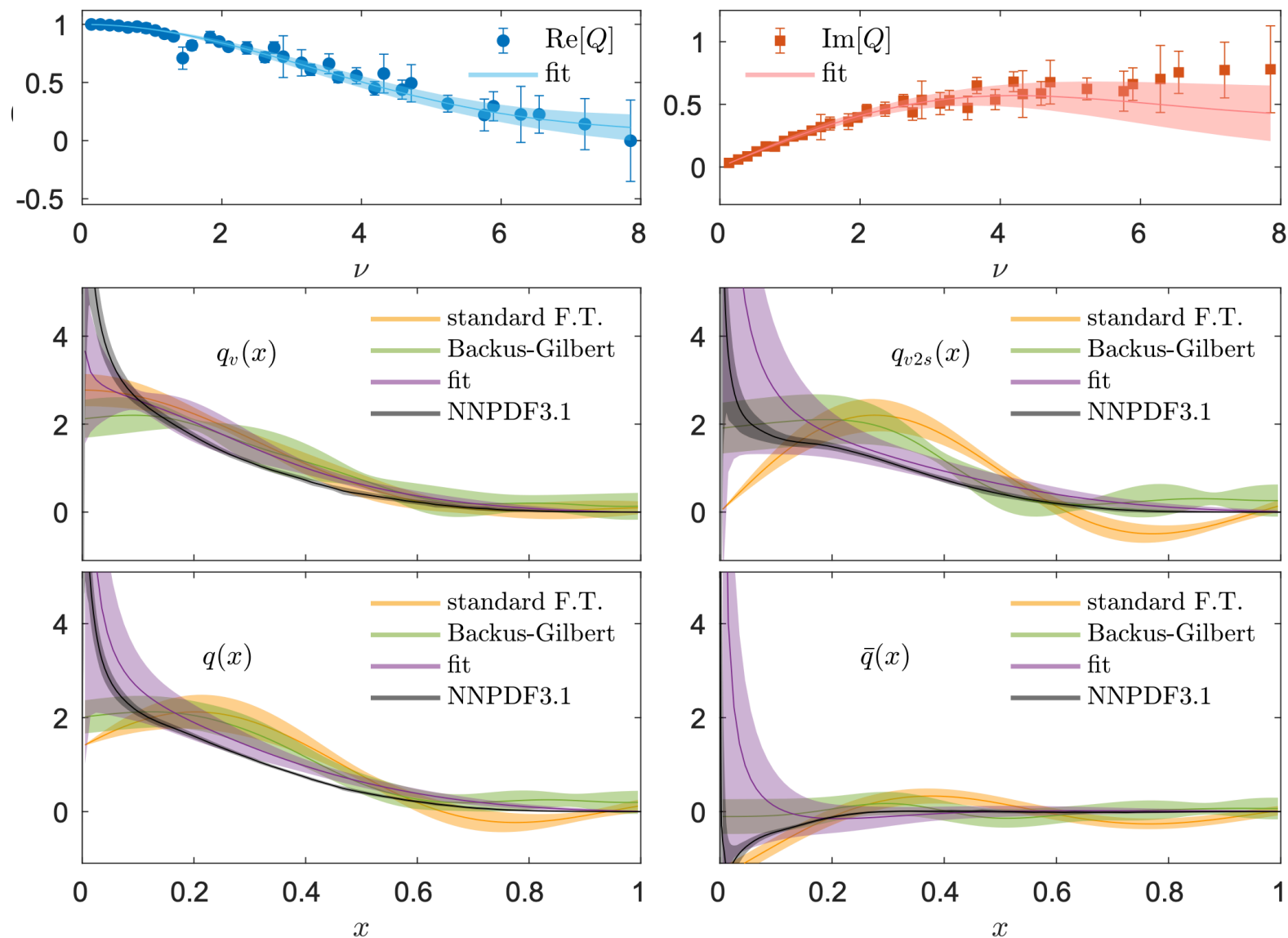
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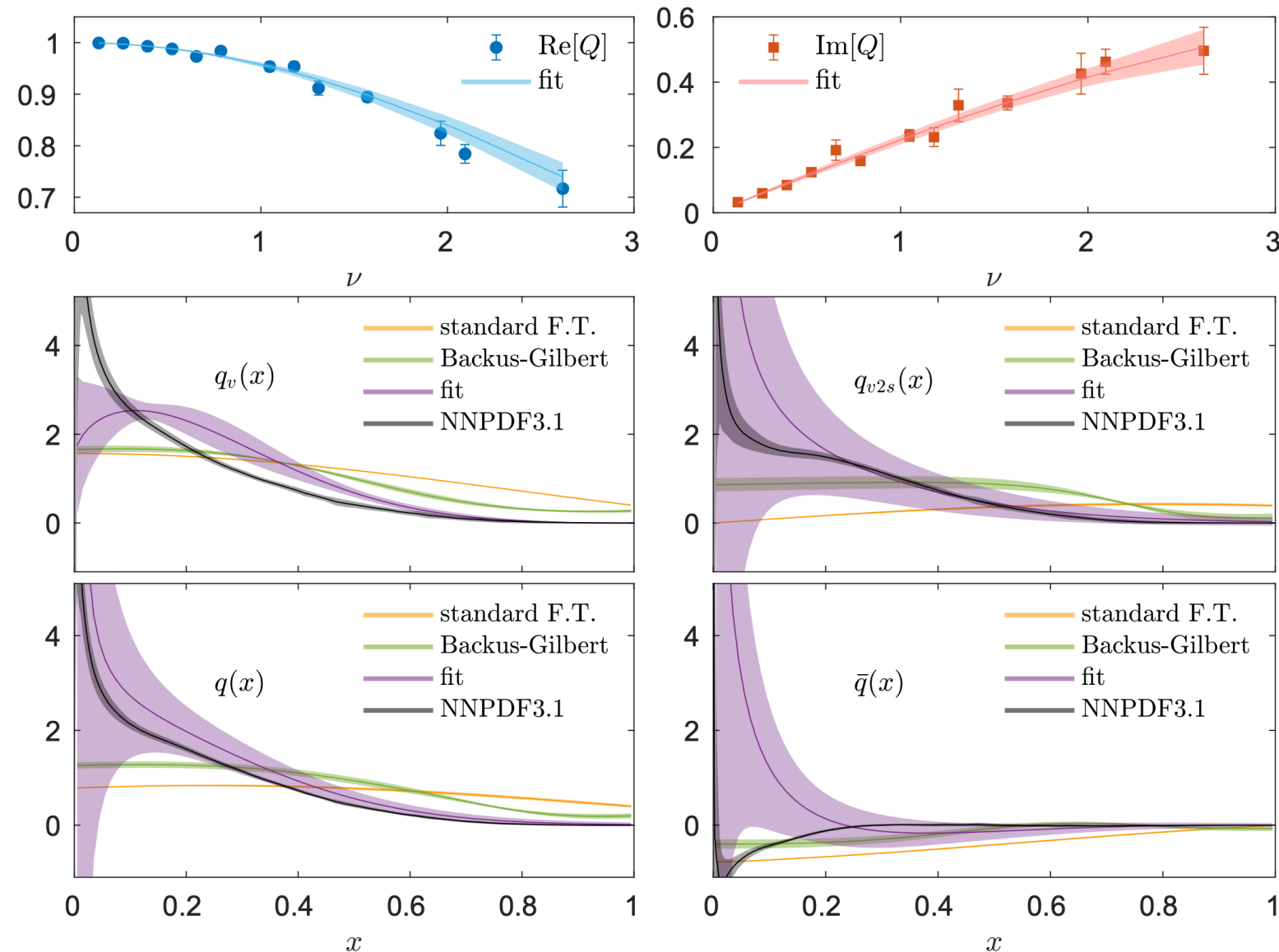
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- ★ Shape of standard FT approaches phenom. data as ν increases. However oscillatory behavior increases. Errors do not reflect lack of data

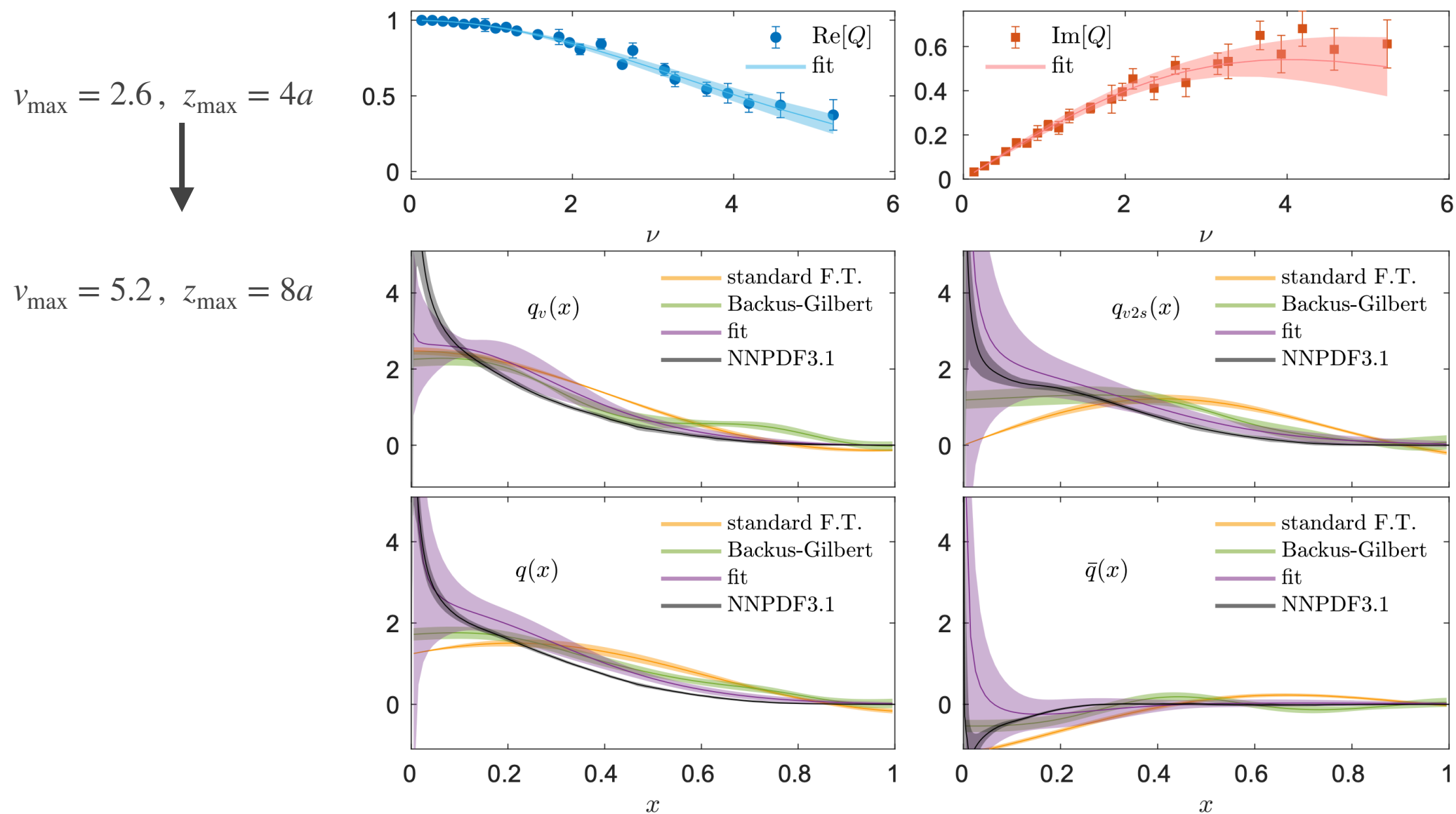
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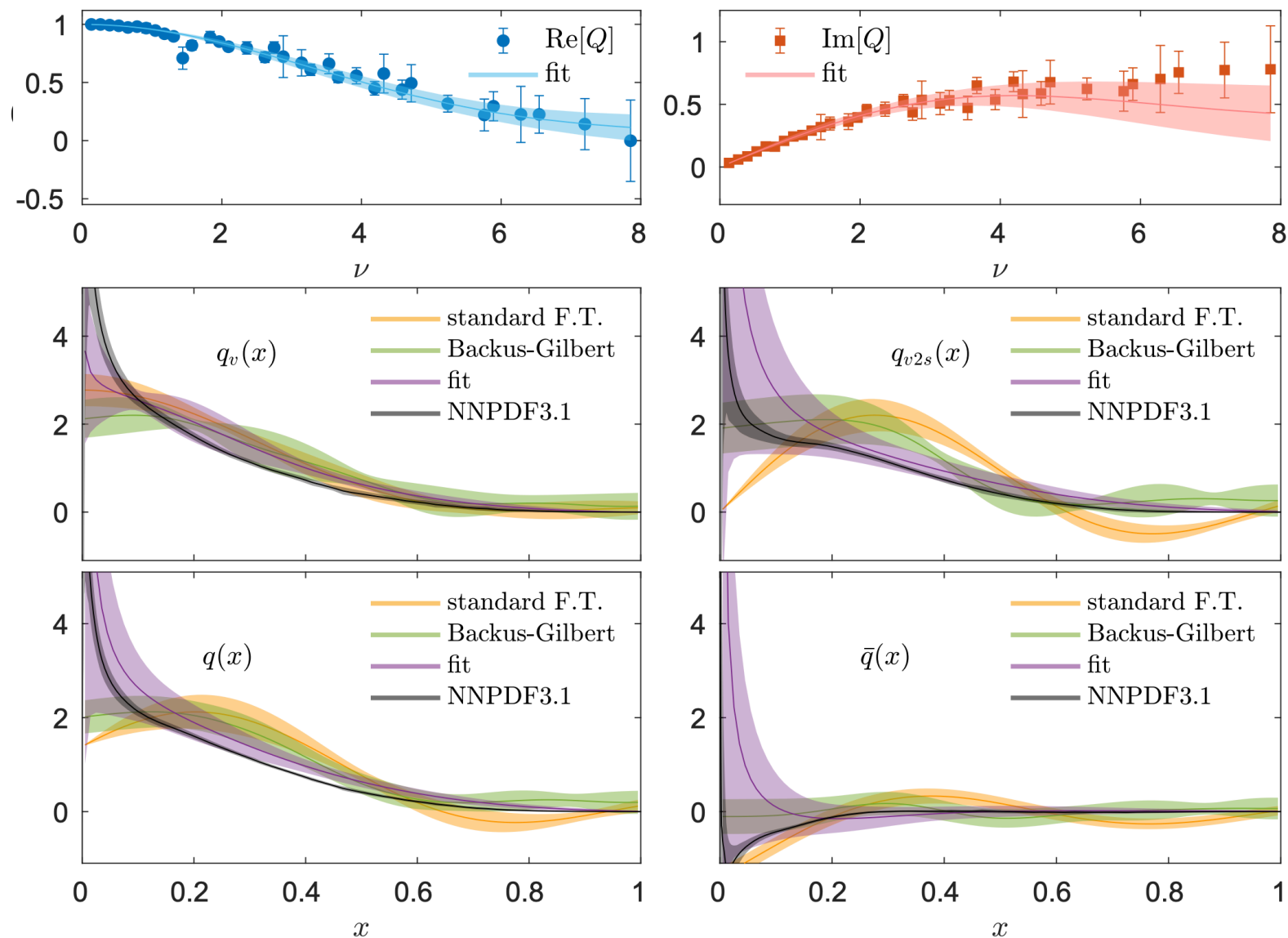
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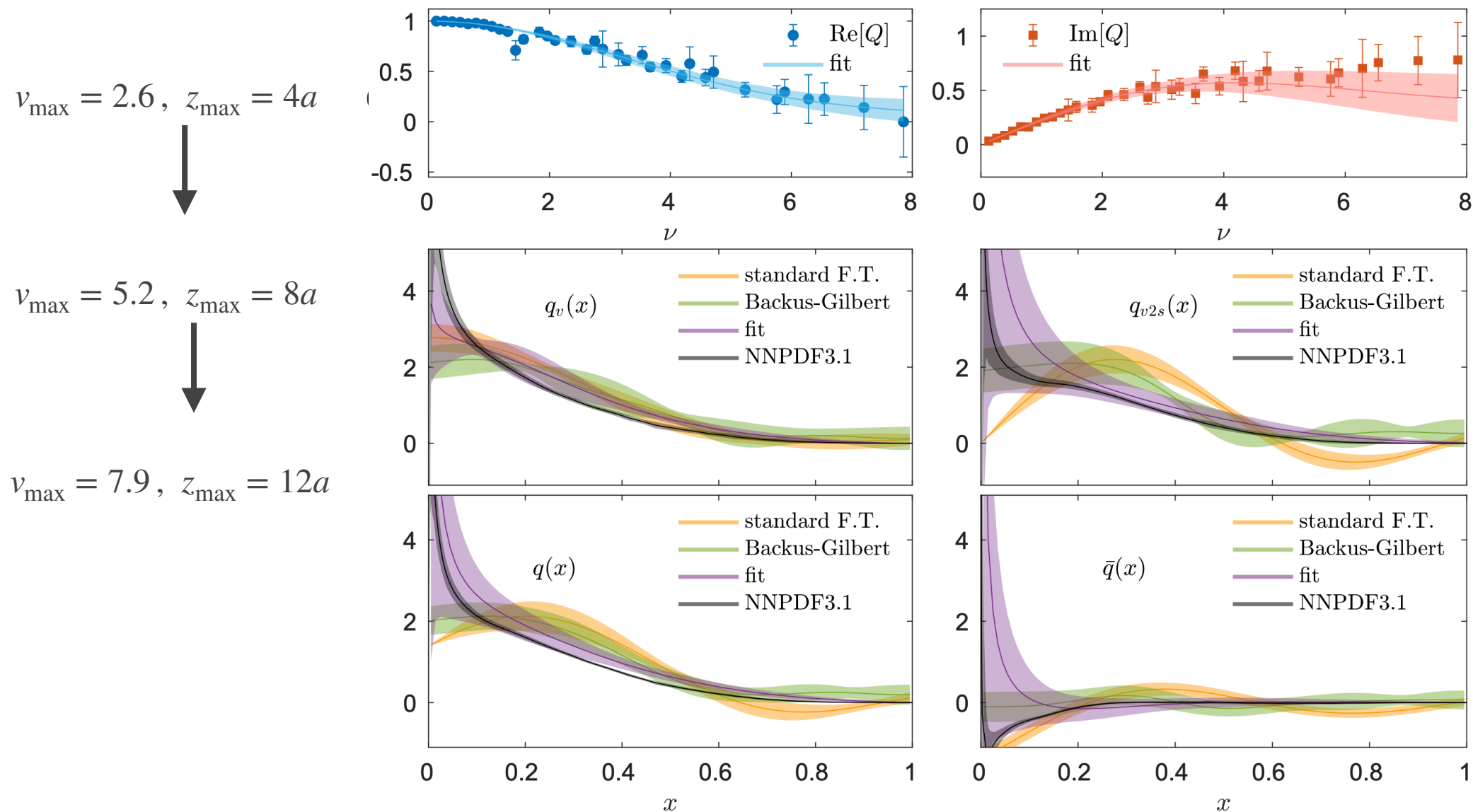


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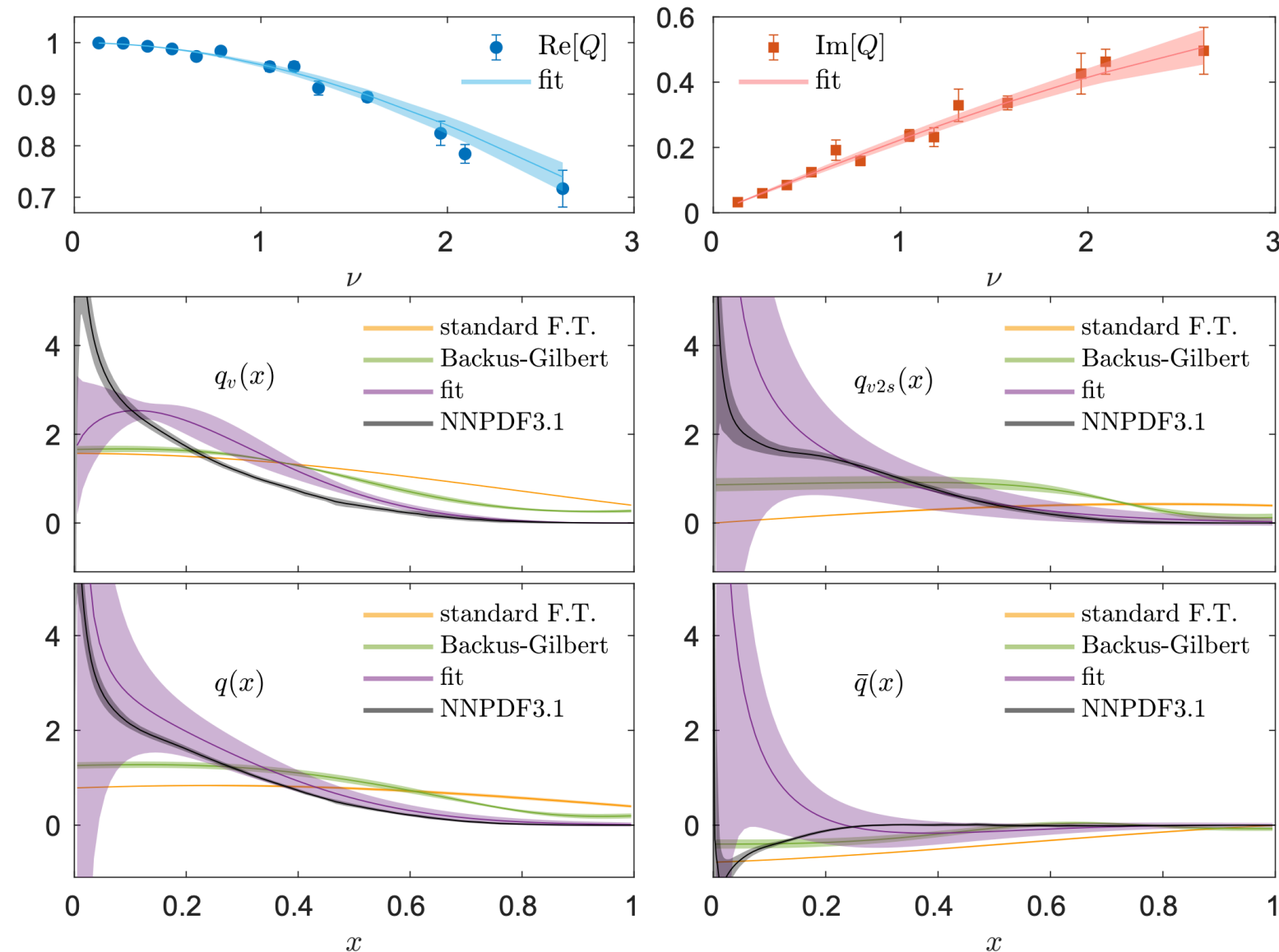
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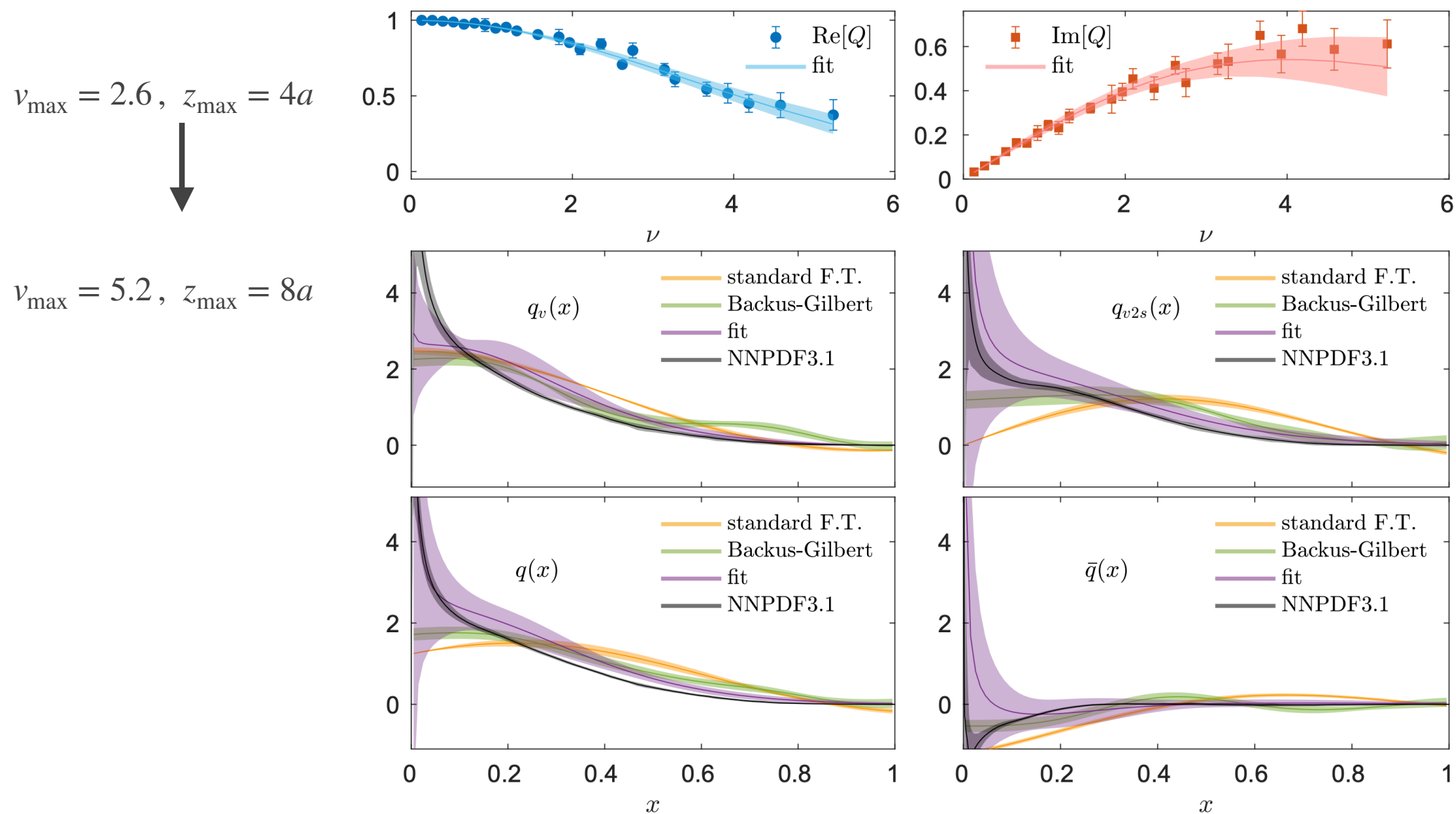
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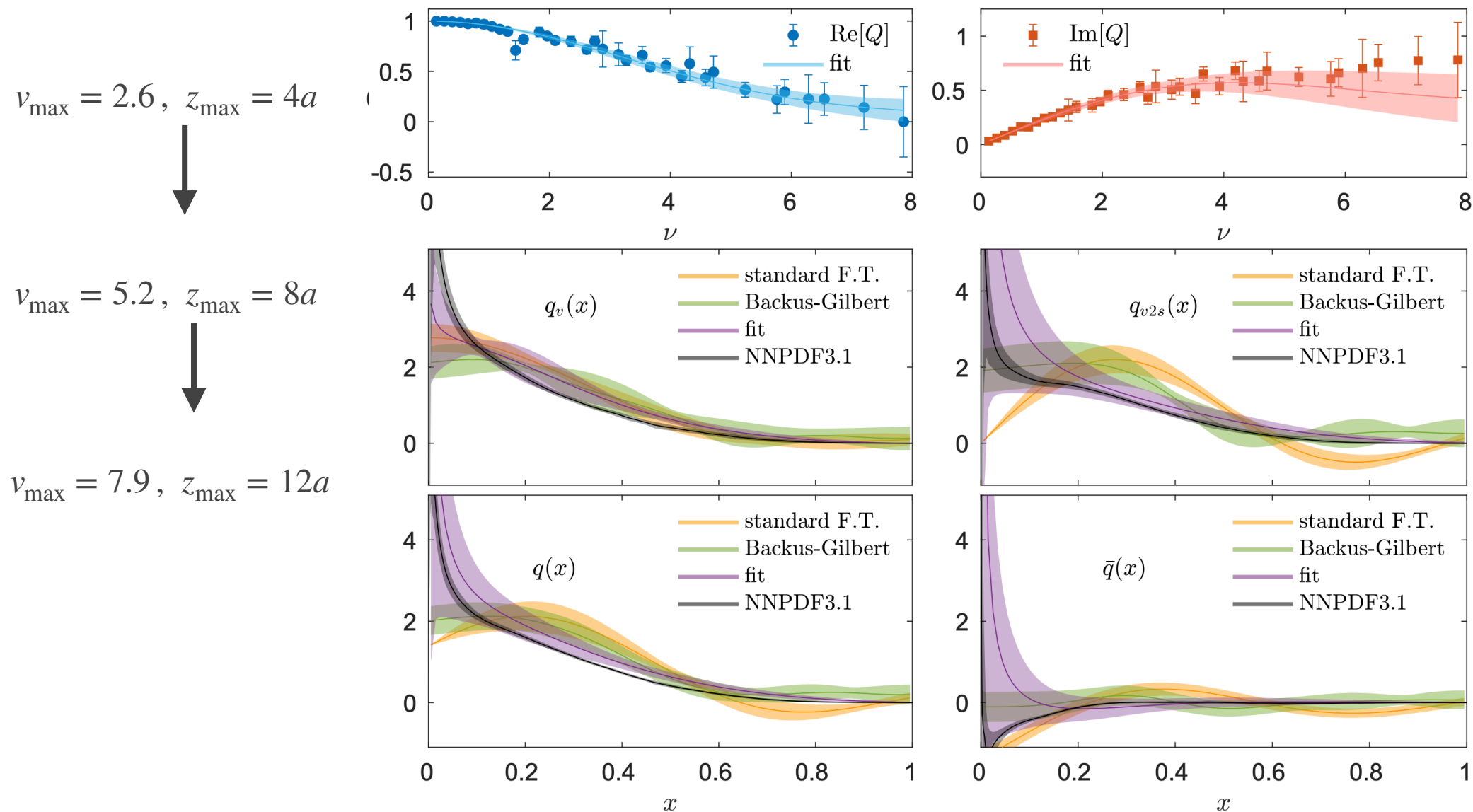
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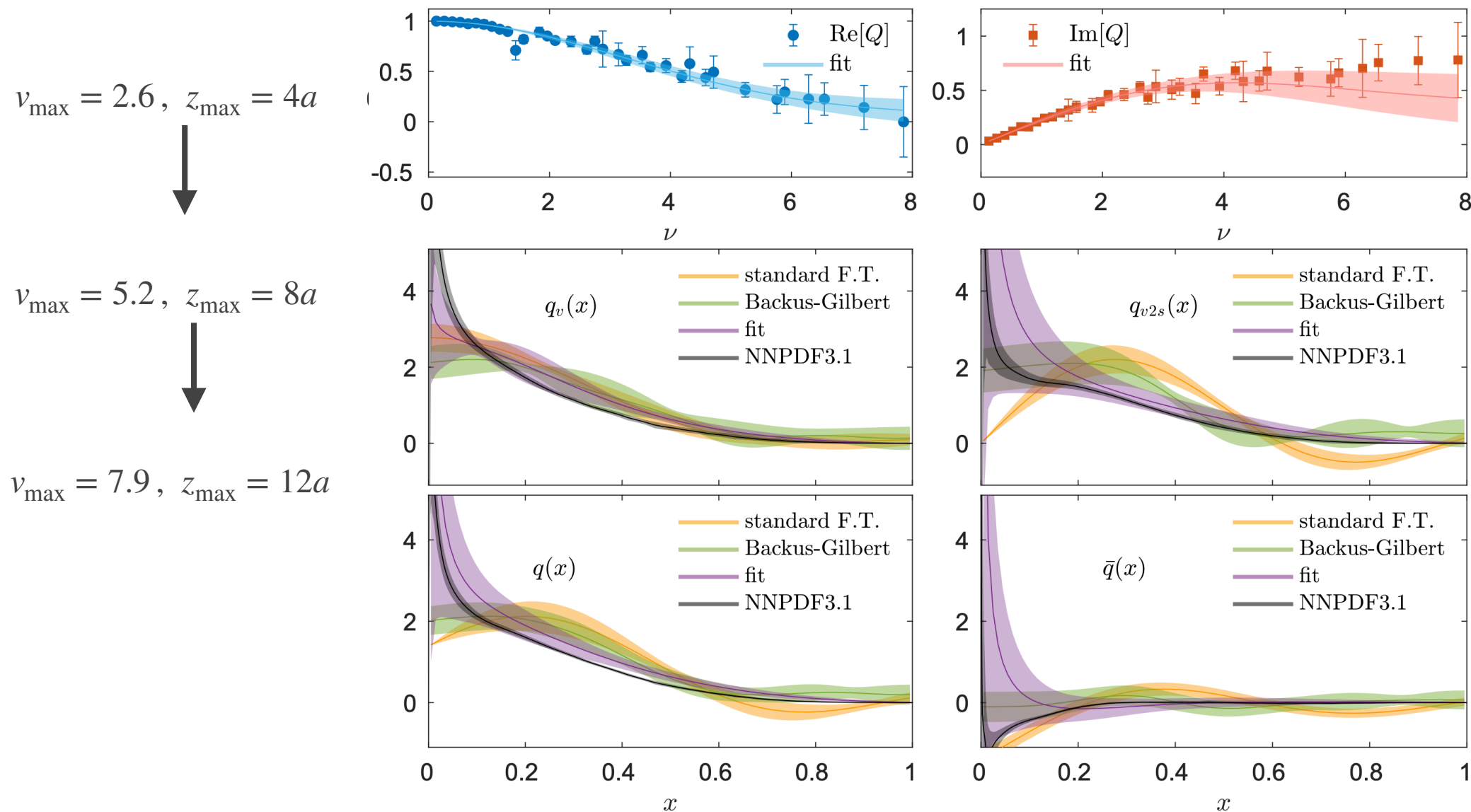
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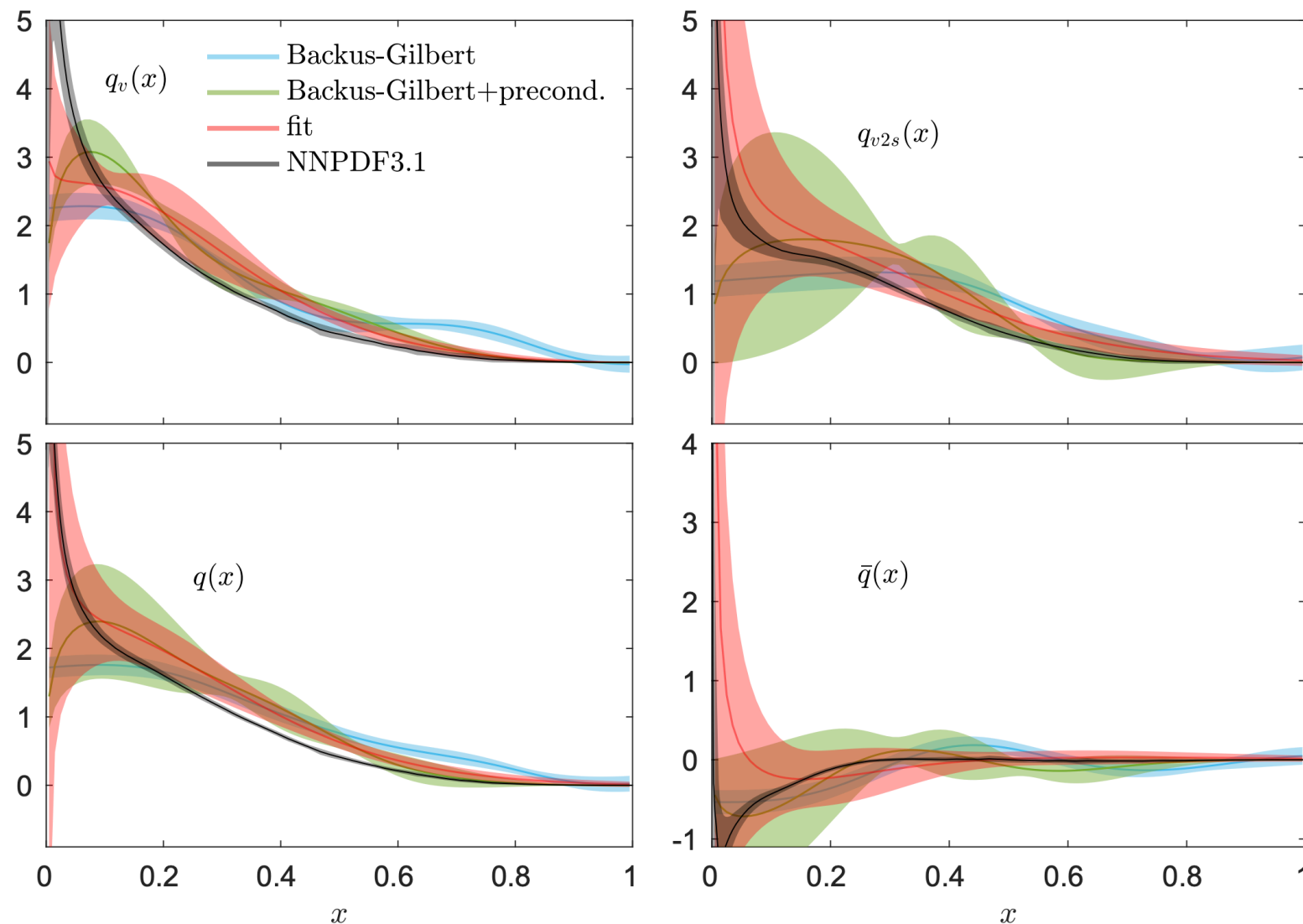
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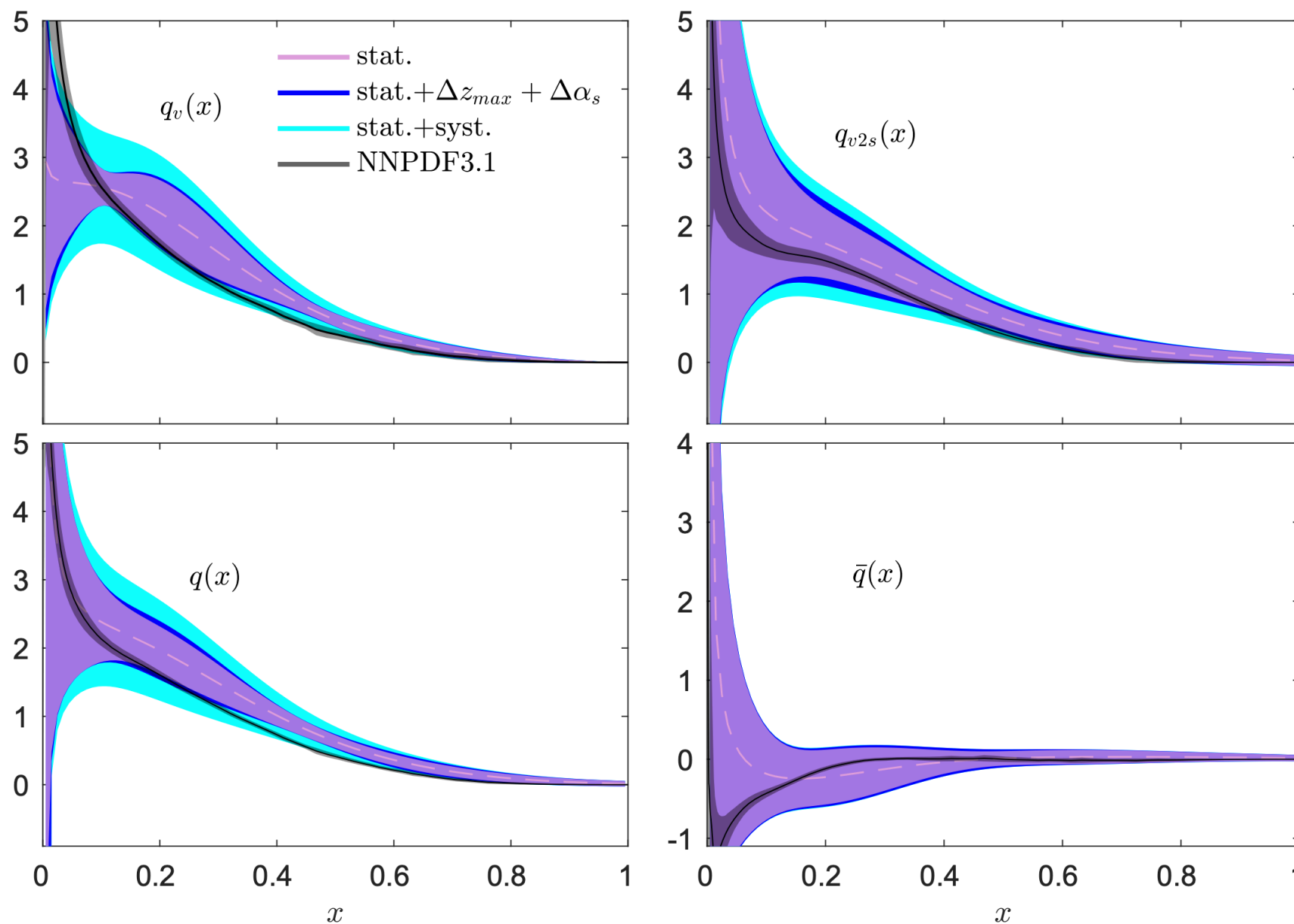
$$v_{\max} = 5.2, \quad z_{\max} = 8a$$

[M. Bhat et al. (ETMC) PRD 103 (2021) 3, 034510, arXiv:2005.02102]

- ★ Preconditioning in BG improves reconstruction
- ★ Fitting reconstruction performs better than BG
- ★ Conclusions hold for specific input data

Final lattice data

- ★ Exploration of systematic uncertainties and various reconstruction methods leads to a better understanding in the comparison with global analyses of experimental data

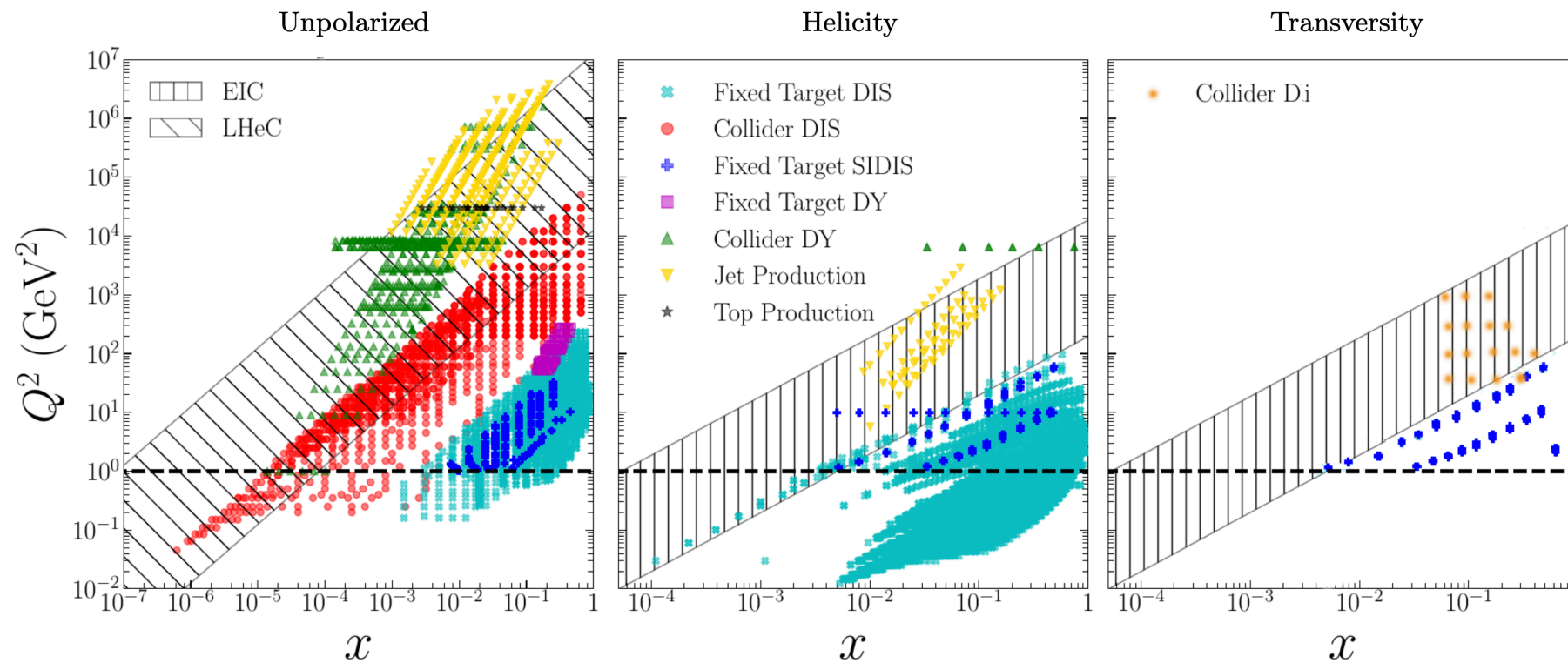


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Synergy between lattice and phenomenology

Incorporating lattice PDFs in global analyses

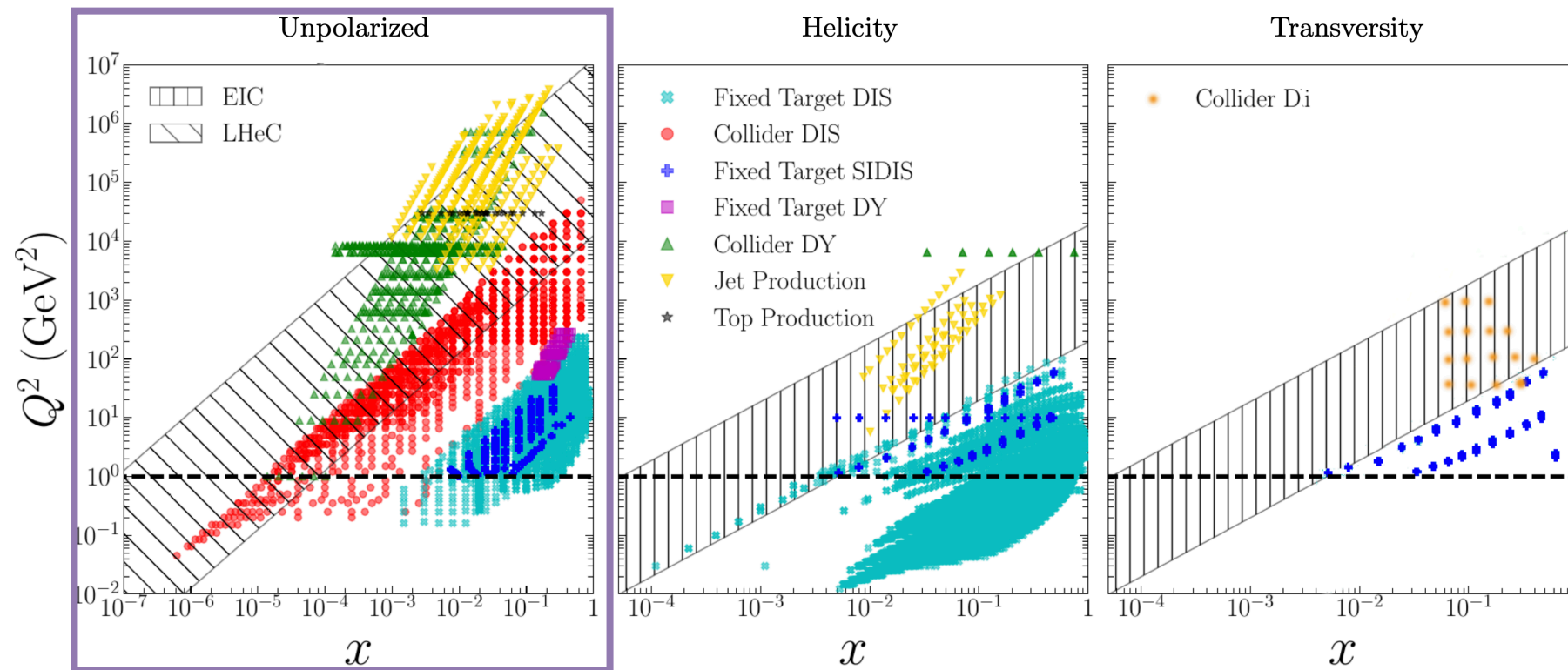
Can PDFs be better constrained in regions where experimental data are sparse, imprecise, or non-existing?



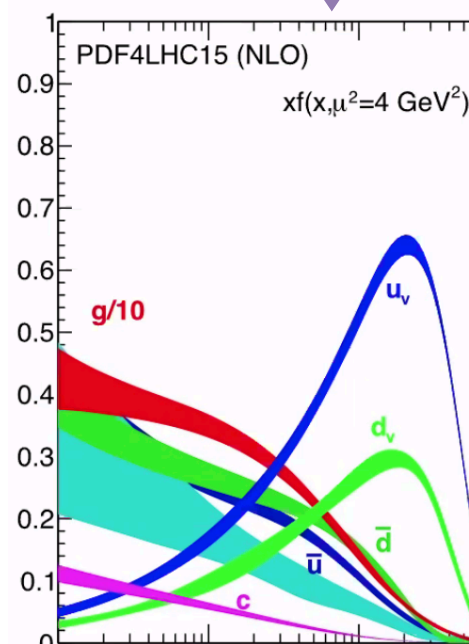
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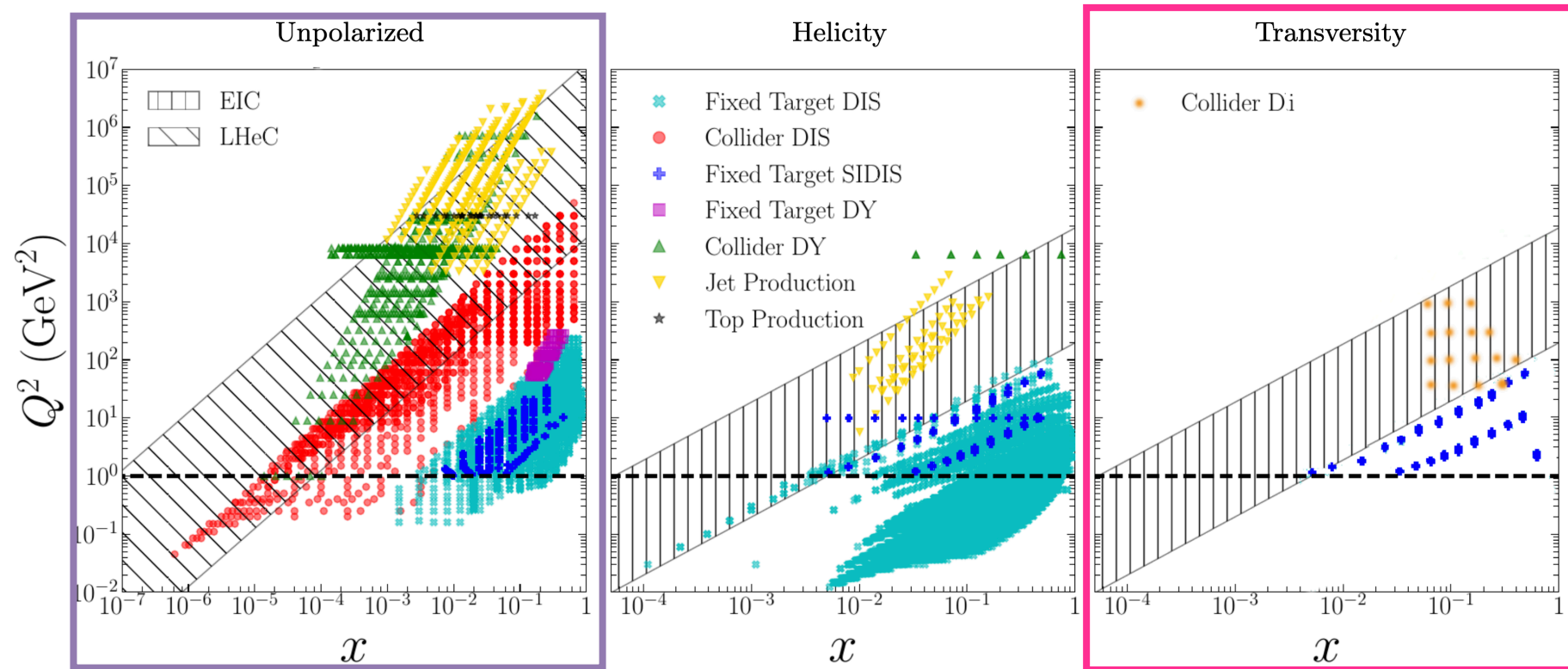


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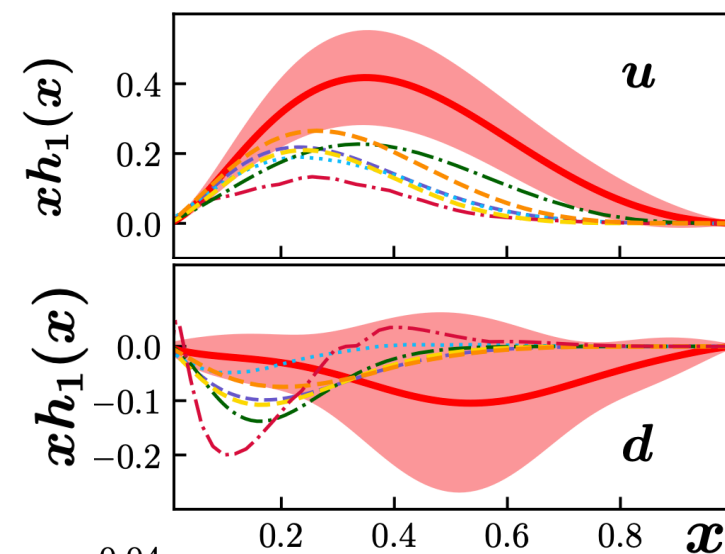
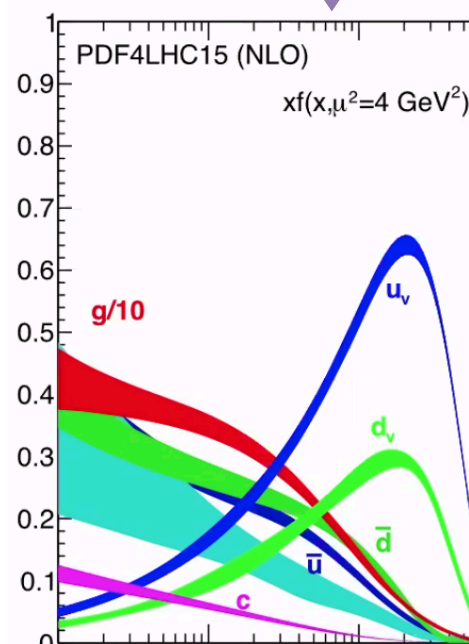


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JAM 2020

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- ★ Lattice and experimental data sets data within the same global analysis
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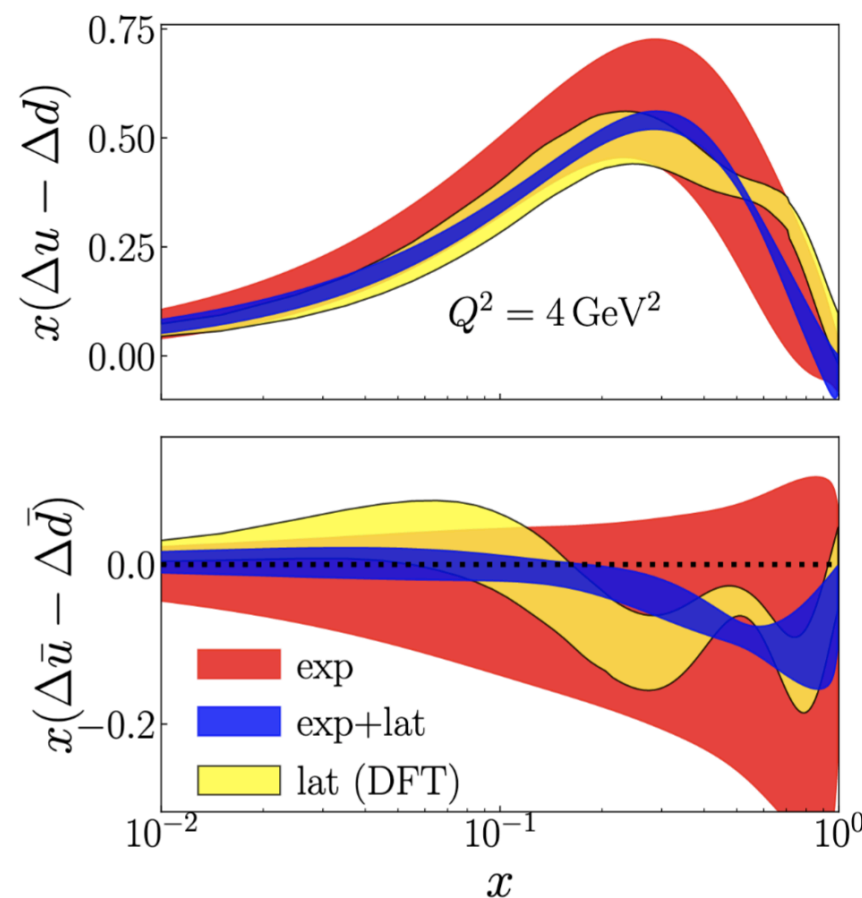
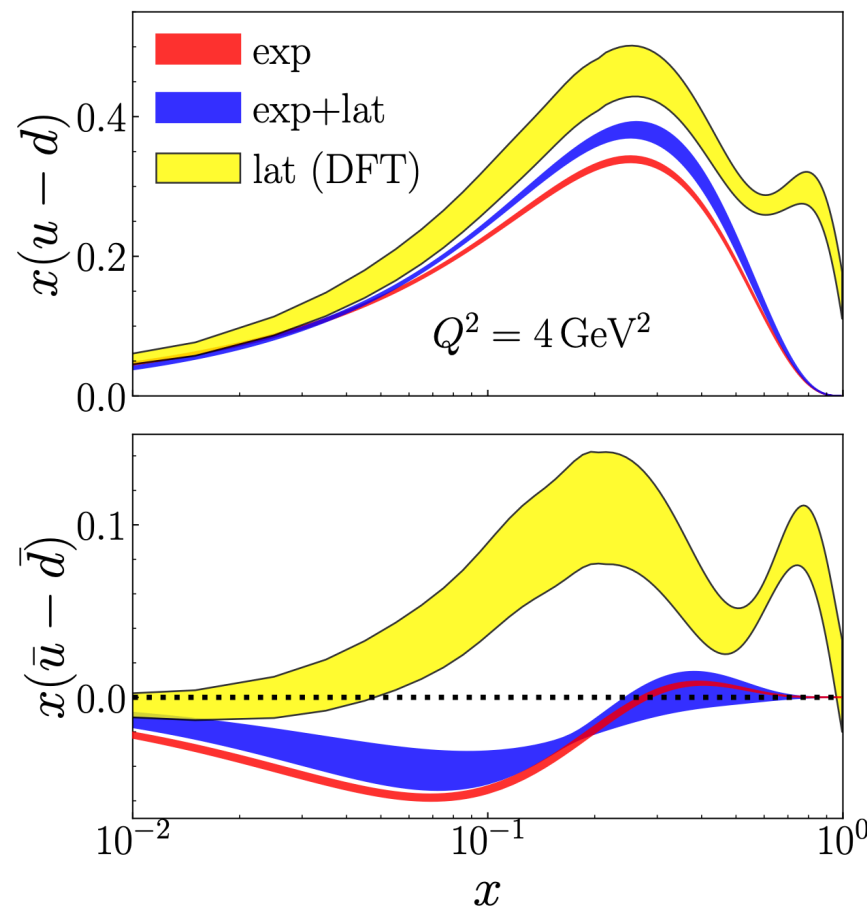
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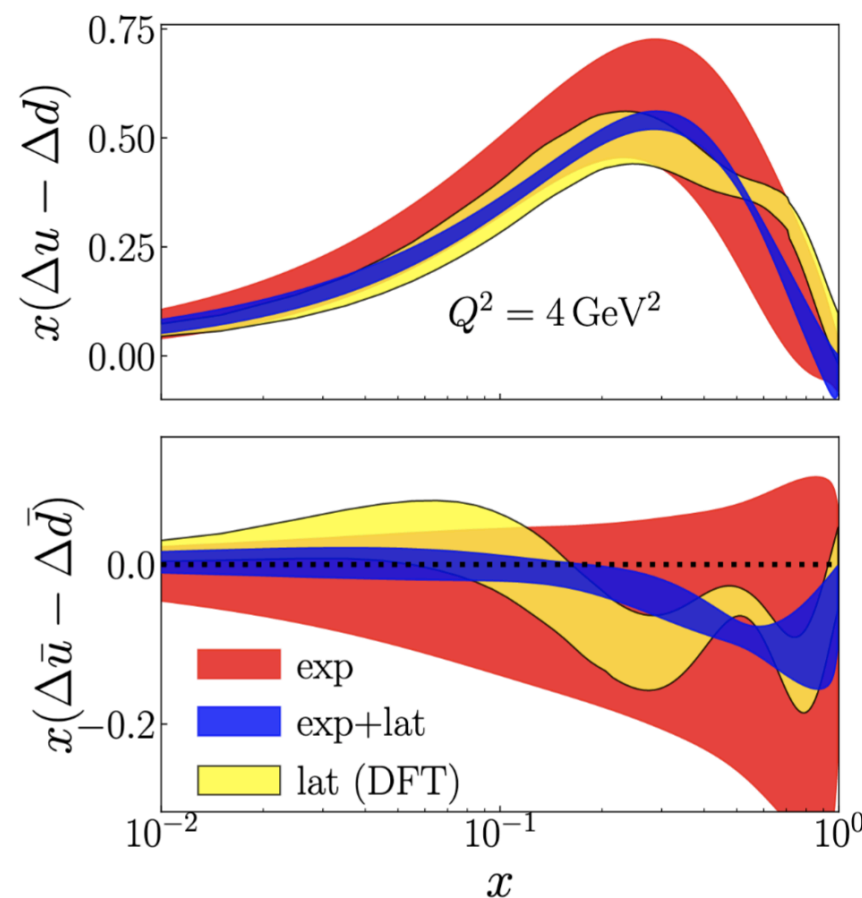
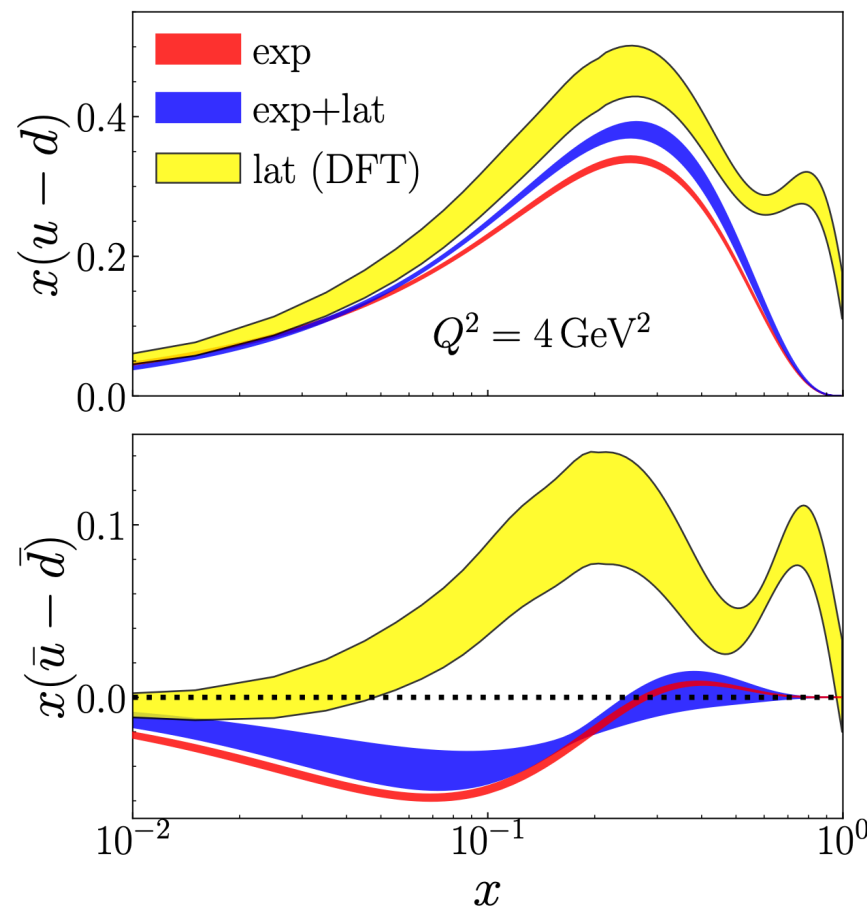
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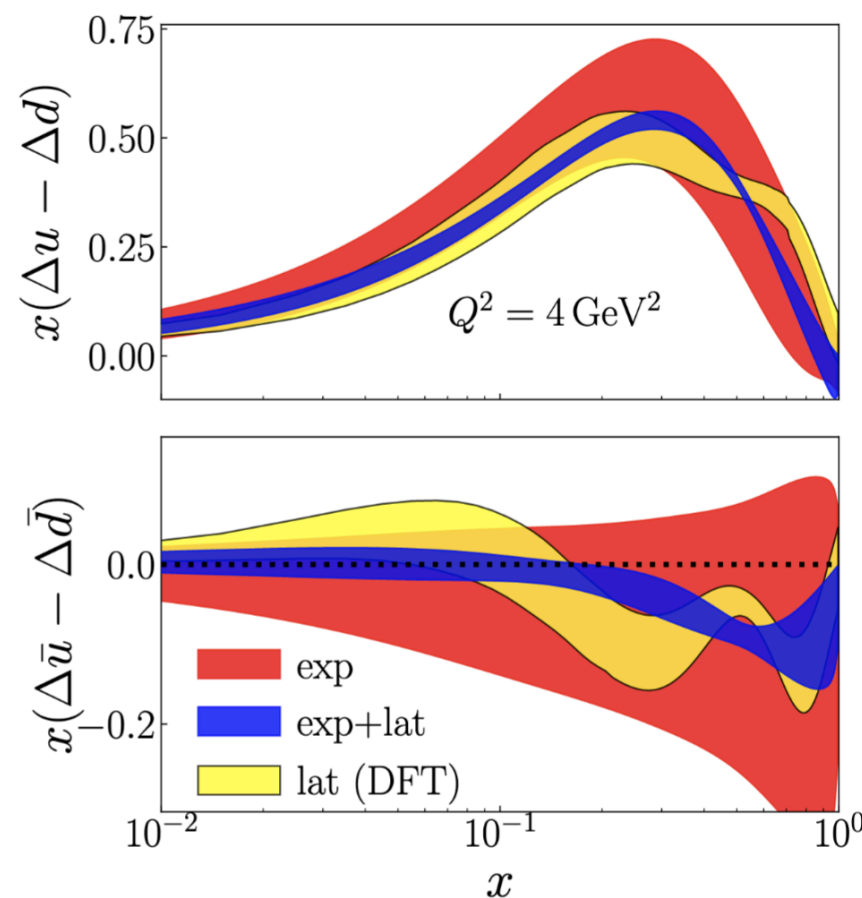
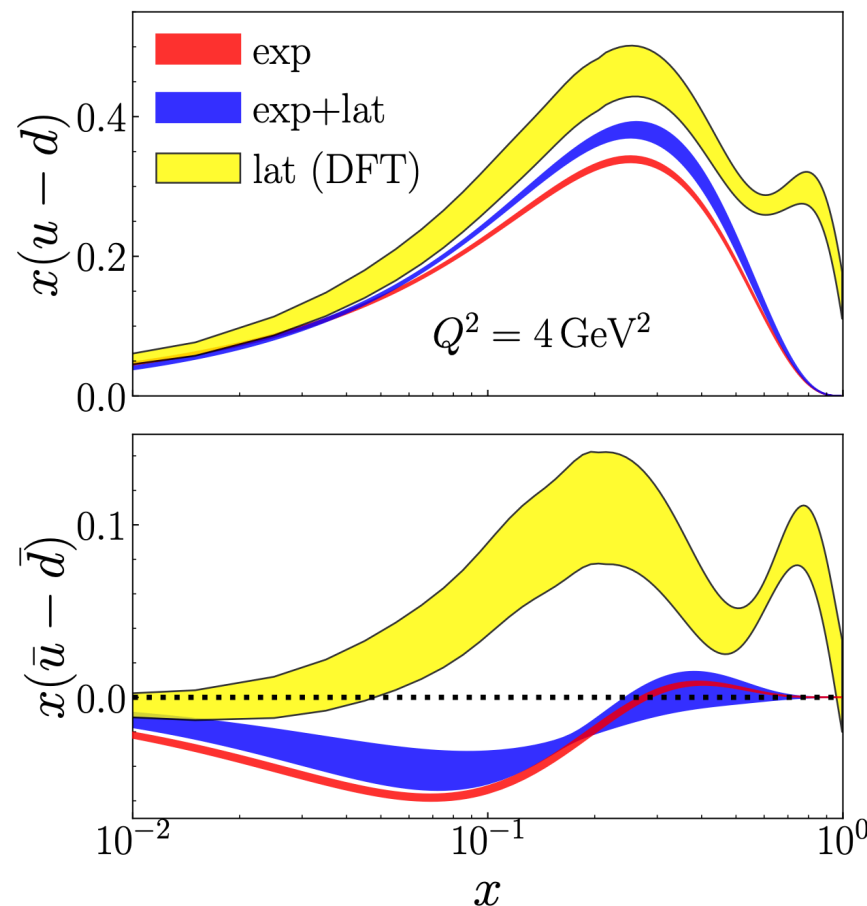
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- ★ Interest in applying similar approach to quantities that are more challenging to extract experimentally
(GPDs, twist-3 distributions, ...)

Let's recapitulate

Concluding Remarks

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[J. Karpie et al., JHEP 04 (2019) 057, [arXiv:1901.05408](#)]

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Thank you



DOE Early Career Award (NP)
Grant No. DE-SC0020405

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