

## Proton rms-radius from electron scattering

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### Proton form factors studied for long time

since the time of Bob Hofstadter  
measured up to the largest  $q$ 's  
with  $\sigma$ 's as accurate as 0.5%

### RMS-radius $R$

result of fits to data  
not much of an issue  
changed in 2010

- $R$  measured via Lambshift in muonic hydrogen  
energy difference gave  $R$  in  $0.84 fm$  region  
much more precise than value from (e,e)  
disagrees with  $R \sim 0.88 fm$  from (e,e)
- $R$  from hyper-precise transition energies in electronic hydrogen  
determine  $R$  despite very small effect  
situation at present not clear  
Munich experiment close to muonic radius  
Paris experiment close to value from (e,e)

## Has generated intense interest

embarrassing to not know  $R$  accurately  
affects definition of fundamental Rydberg constant  
many re-analyses of data  
often sloppy (see Phys. Rev. C 95 (2017) 012501)  
several new experiments  
partly with non-optimal approaches  
12GeV accelerator to measure  $q = 0$  property?  
with initial-state radiation?  
with muons instead of electrons (PSI experiment)  
many speculations about new physics

## Will not enter this discussion

my job: do the best one can to get  $R$  from existing data on (e,e)  
subject is tricky enough all by itself

## Published results on $R$ : disturbing

large scatter of results  
values between  $0.84$  and  $0.92 fm$   
with error bars of typically  $0.015 fm$   
the more serious ones near  $0.88 fm$  disagree with muonic hydrogen  $.8409 \pm .0004 fm$

Main problem: interpretation of data

smaller problem: differences between data sets

Goal of talk

go to bottom of discrepancies

understand causes for differences

redo to-be-taken-seriously analyses to locate origin

will not discuss obviously flawed ones

What to expect

survey of methods used

identification of main problems occurring

determination of average of trustworthy results  $\rightarrow R \pm \delta R$

Main insight

apparently "simple" task of determining  $R$  surprisingly complex

"naive" extrapolation to  $q = 0$  very model-dependent

State right away

results do not fix discrepancy with  $\mu H$

## Generalities

Sachs form factors  $G_e(q)$ ,  $G_m(q)$  from

$$\frac{d\sigma}{d\Omega} = \sigma_{Mott} f_{recoil} \left[ (G_e^2 + \tau G_m^2)/(1 + \tau) + 2\tau G_m^2 \operatorname{tg}^2(\theta/2) \right]$$

with momentum transfer

$$q^2 = 4 E E' \sin^2(\theta/2), \quad \tau = q^2/4m^2$$

$E$  and  $E'$  incident and scattered electron energies, and  $\theta$  scattering angle

## Separation of $G_e$ and $G_m$

data at same  $q$  but variable  $\theta$

difficult for  $G_e$  at large  $q$ , difficult for  $G_m$  at low  $q$

helped by polarization transfer which yields  $G_e/G_m$

## Two-photon exchange corrections

Coulomb distortion (second soft photon)

important at low  $q$ ,  $\Delta R \sim 0.01 fm$

second hard photon, important at large  $q$

fixes problems with  $G_e$  from Rosenbluth  $\leftrightarrow$  polarization transfer

## Optimal approach to get $G$ 's

parameterize  $G_e$  and  $G_m$ , fit to *world* data, L/T during fit

## Charge radius and density

Radius  $R$  defined via

$$R^2 \equiv \int_0^\infty \rho(r) r^4 4\pi dr$$

with (non-relativistically)  $\rho(r)$  from

$$G_e(q) = \frac{4\pi}{q} \int_0^\infty \rho(r) \sin(qr) r dr$$

or, inverted

$$\rho(r) = \frac{1}{2\pi^2 r} \int_0^\infty G_e(q) \sin(qr) q dq$$

Not practical as

### 1. Maximum $q \neq \infty$

fit model- $\rho(r)$  or model- $G(q)$  to data

### 2. Relativistic corrections

- Relevant coordinate system = Breit frame, not nucleon rest frame

Lorentz contraction

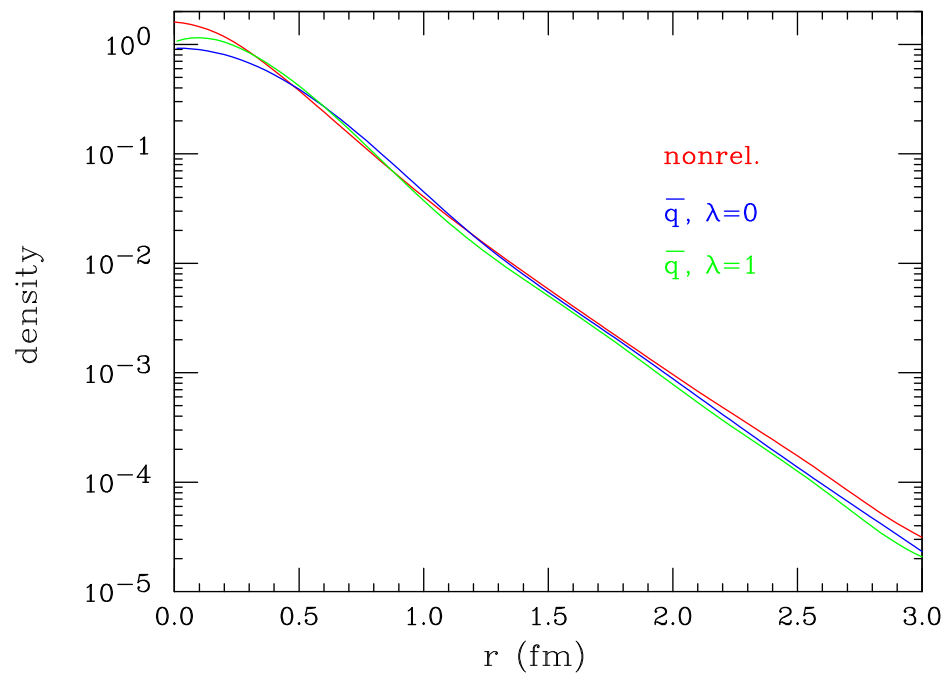
corrected using  $\tilde{q}^2 = q^2(1 + q^2/4m^2)$

- Boost operator in some theories interaction dependent  
additional multiplicative correction  $(1 + q^2/4m^2)^\lambda$   
 $\lambda = 0$  or  $1$  for  $G_e$

## Effect of relativistic corrections

explore using [3/5]Pade fit to  $G_e$ ,  $q_{max} = 10 fm^{-1}$

determine  $\rho(r)$  with/without relativistic corrections and  $\lambda = 0, 1$



## Result

important change at  $r \sim 0$ , fixes problems with cusp

minor effect at large  $r$ , hardly affects shape of  $\rho(r > 1 fm)$

Despite relativistic corrections  $\rho(r)$  at large  $r$  remains well-defined

this  $\rho(r)$  strongly affects  $R!$  (see below)

## Standard idea to get $R$

from slope of  $G_e$  at  $q = 0$ , without ever considering  $\rho(r)$

$$R^2 = -6 \left. \frac{dG_e(q^2)}{dq^2} \right|_{q=0}$$

## This is the origin of many problems of $R$ -determinations

- $q = 0$  slope cannot be measured
- model dependence of  $q = 0$  extrapolation from  $q$ 's that are measurable *and* sensitive to  $R$

## Peculiarities and difficulties for proton

### 1. Importance of $\rho(r)$ at large $r$

charge at radius  $r_0$  generates Fourier component  $\sin(qr_0)/(qr_0)$

for large  $r_0$  generates curvature of  $G(q)$  at low  $q_0 \sim \pi/(2r_0)$

this curvature affects extrapolation to  $q = 0$

## Density very different from standard Woods-Saxon as $G(q) \sim$ dipole

$$G_D(q) = 1/(1 + q^2 R_D^2/12)^2$$

Hence density close to exponential

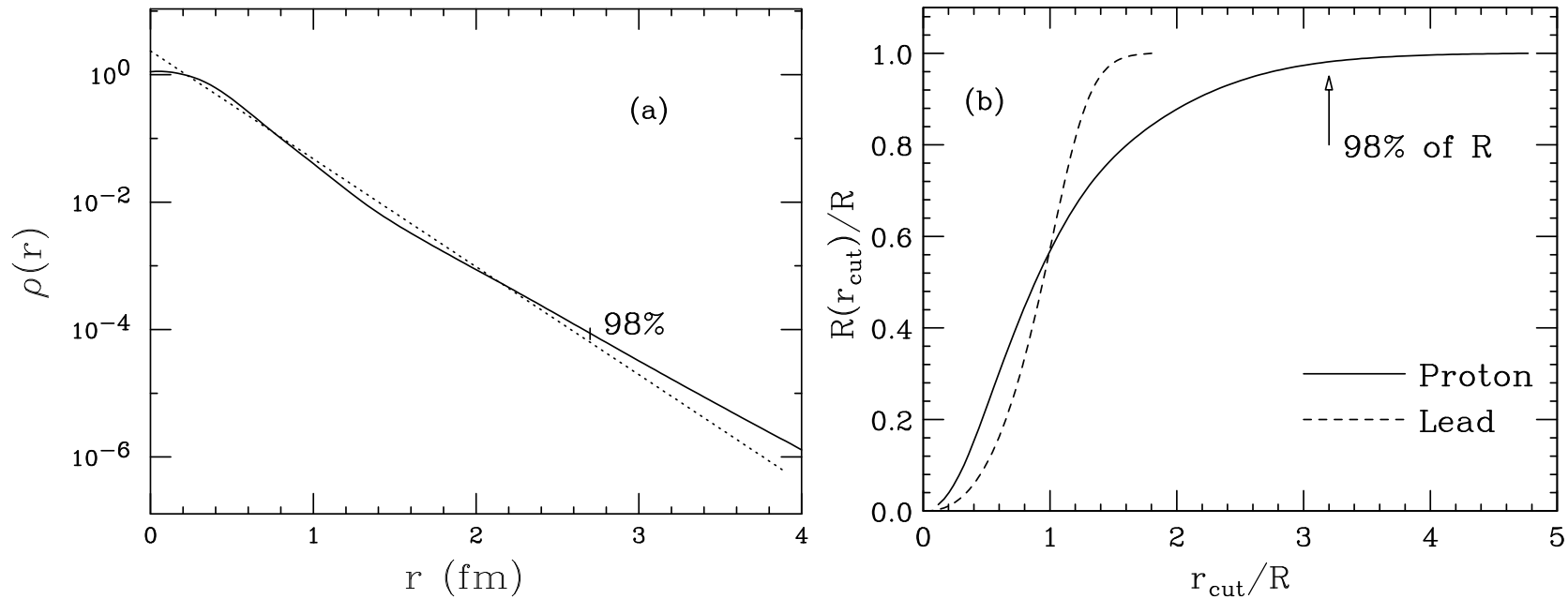
$$\rho_D(r) \propto e^{-\sqrt{12} r/R_D}$$

exhibits long tail towards large  $r$  which contributes a lot to  $R$

## Study partial integral determining $R$

$$R(r_{cut}) = \left[ \int_0^{r_{cut}} \rho(r) r^4 dr \ / \ \int_0^{\infty} \rho(r) r^4 dr \right]^{1/2}$$

with  $R = R(r_{cut} = \infty)$



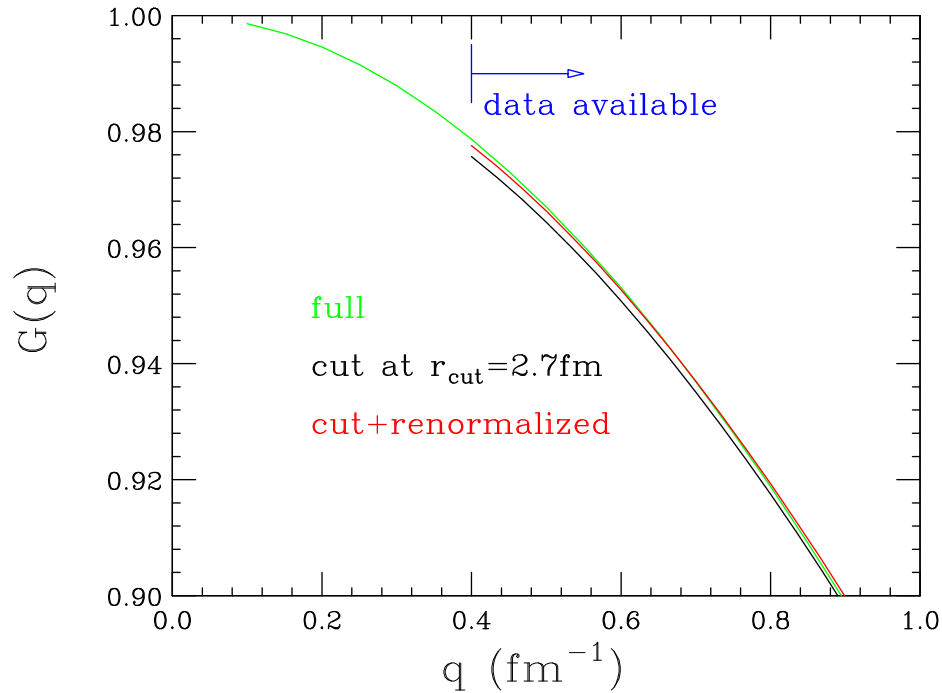
dotted: dipole, solid: realistic

To get 98% of  $R$  must integrate out to  $2.7 fm$

there  $\rho(r)$  has dropped to  $\sim 10^{-4}$  of central value!



## Effect of $\rho(r > 2.7\text{fm})$ upon $G(q)$



green=dipole black=cut at  $2.7\text{fm}$  red=cut+renormalized

Difference green-red  $< 0.12\%$ , not measurable!

same argument applicable to  $r_{\text{cut}} = 2.4\text{fm} \rightarrow 4\%$  error of  $R$

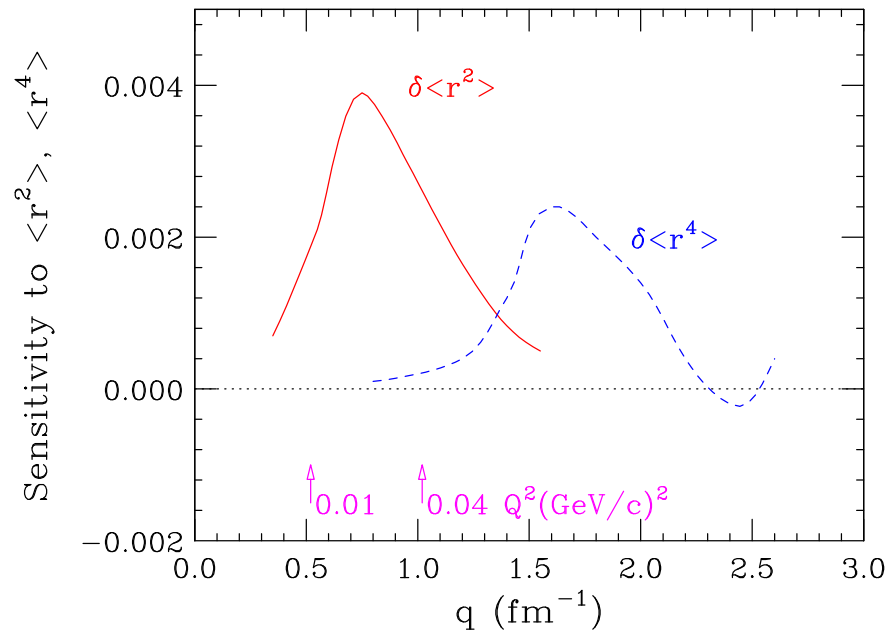
$\rightarrow$  cannot be fixed by curve-fitting of data of realistic precision

$\rightarrow$  need to constrain  $\rho(r)$  at large  $r$

## 2. Smallness of contribution of $R$ to $G(q)$ where is it maximal? how large?

### Notch-test

change  $G(q)$  in narrow region around  $q_0$   
refit data, get  $\Delta R$   
plot  $\Delta R$  as function of  $q_0$



Data sensitive at  $0.5 < q < 1.2 \text{ fm}^{-1}$  ( $0.01 < Q^2 < 0.04 \text{ GeV}^2/c^2$ )  
at  $0.8 \text{ fm}^{-1}$  effect of  $R \sim q^2 R^2/6 \sim 0.08$

To measure  $R$  to 1% must measure  $G(q)$  to  $\pm 0.0016$ , i.e. 0.17%!

## Consequence

Fits aiming at  $\delta R \sim 1\%$  must reproduce data to  $<0.17\%$

requires best  $\chi^2$  possible

requires look at difference data-fit with  $<0.1\%$  resolution

visual fits (often standard) not good enough

fits achieving small  $\chi^2$  by rescaling error bars neither: need small  $G_{exp} - G_{fit}$

### 3. Parameterizations restricted to $q$ -space are problematic

standard in analysis of data

$\rho(r)$  systematically ignored

can generate uncontrolled effects

### Example

Fit of Bernauer data up to  $2fm^{-1}$  using Pade

$$G(q) = [1 + a_1 q^2] / [1 + b_1 q^2 + b_2 q^4 + b_3 q^6]$$

Fit has none of diseases often encountered

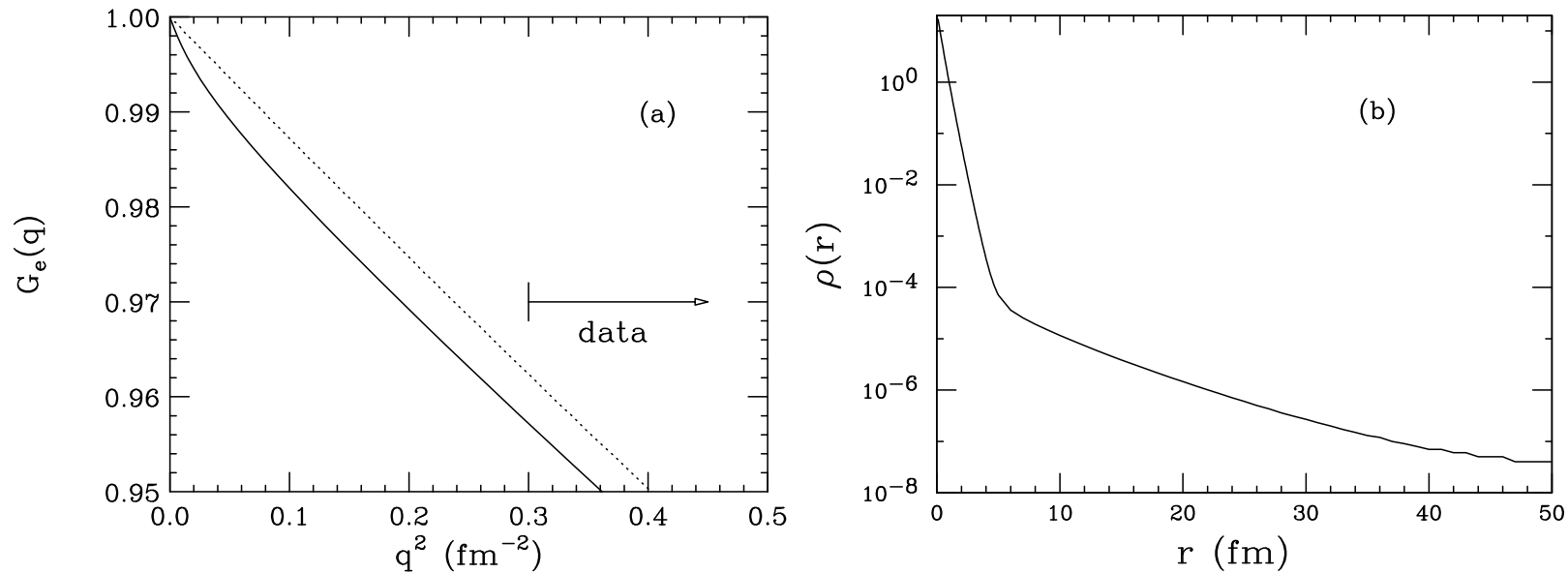
no poles, no unphysical behavior for  $q \rightarrow \infty$

achieves  $\chi^2$  as low as spline-fit

analytical form as acceptable as standard parameterizations of  $G(q)$

Yields  $R = 1.48fm!$

## Reason for large $R$ : curvature of $G(q)$ at *very* low $q$



Note: above  $0.2 \text{fm}^{-2}$  Pade and standard fit parallel

Pade and standard fit have same  $\chi^2$  as data floating

Problem immediately seen when looking at  $\rho(r)$

outrageously long tail

Generic problem with  $q$ -space parameterizations

most do not have Fourier transform, therefore no  $\rho(r)$

cannot certify absence of anomalies of above type

## Second example

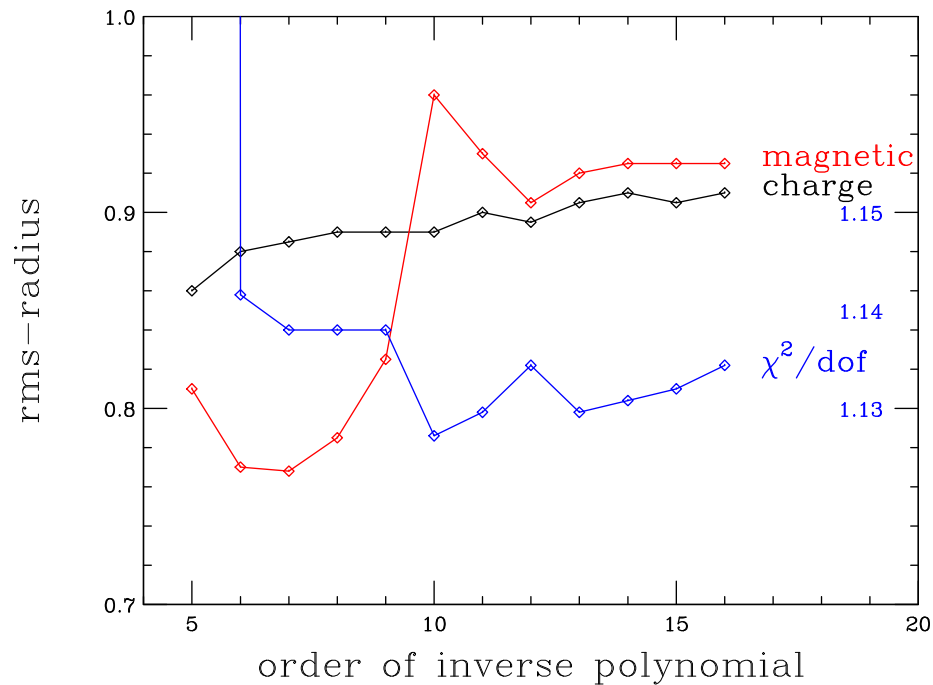
some parameterization have poles at  $q > q_{max}$

power series, inverse polynomials, some Pade  
can cause problems

poles  $\rightarrow$  oscillations in  $\rho(r)$  out to extremely large  $r$

### consequences

large- $r$  contributions can have adverse effects on  $R$   
cannot judge if large- $r$  behavior of  $\rho(r)$  sensible



example: IP fit Bernauer  
jump at  $N=10$  due to close pole

note: choice of  $N \rightarrow$  arbitrariness of  $R$

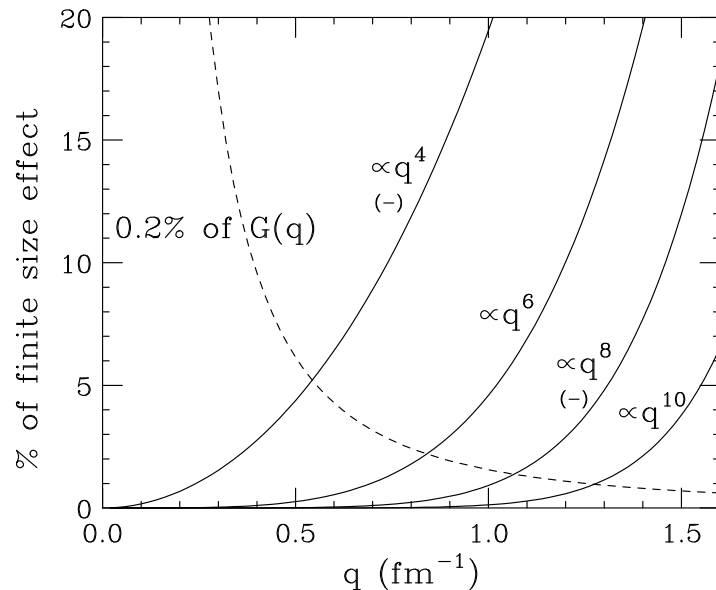
#### 4. $R$ from low- $q$ data impractical

$$G(q) = 1 - q^2 R^2/6 + \dots$$

Can get  $R$  from low- $q$ -data without worrying about higher moments?

Exponential shape of  $\rho(r) \rightarrow$  large  $\langle r^n \rangle$

illustrated by contributions of  $\langle r^n \rangle$  to finite size effect  $1 - G(q)$



at  $q = 0.8 \text{ fm}^{-1}$  effect  $\langle r^4 \rangle$  15%

To reduce contribution of  $n \geq 2$  to  $<1\%$  in  $R$  need  $q_{max} = 0.34 \text{ fm}^{-1}$

there  $q^2 R^2/6$  is extremely small: 0.015

but experimental uncertainties are (at best) fraction of 0.01

**A 1% measurement of  $R$  would need  $\delta G$  of 0.03%! Not realistic!**

Accurate  $q = 0$  slope *without* dealing with higher moments hopeless

## Numerical example

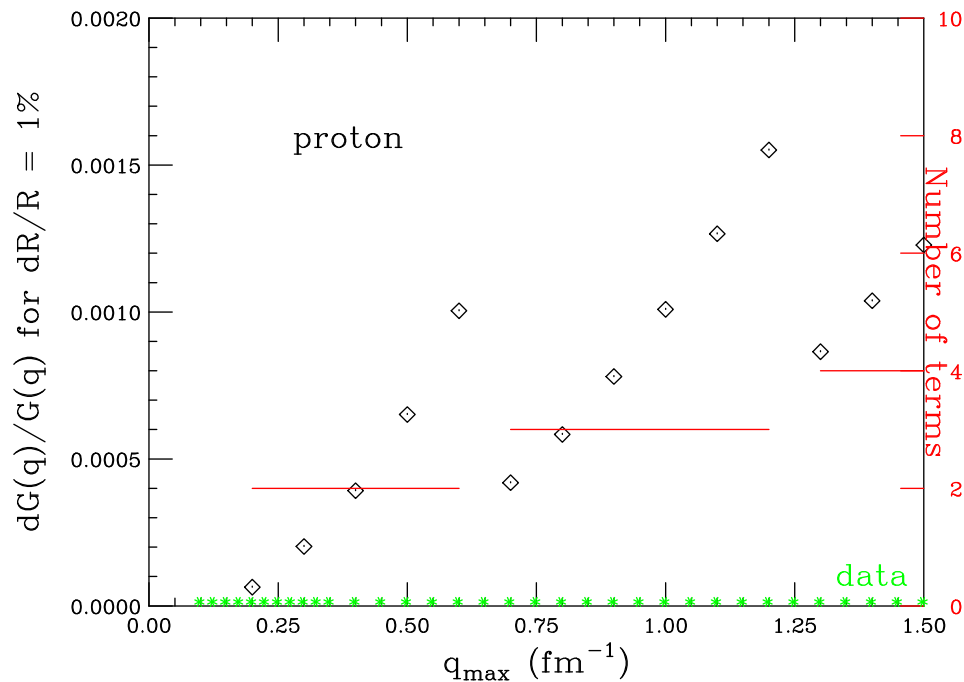
invent  $G(q) \pm \delta G(q)$  data (at green  $q$ 's in plot)

fit with suitable function, *e.g.*  $N$ 'th order polynomial in  $q^2$

adjust  $N$  such that  $a_{N+1}$  has significance  $< 5\sigma$

adjust values  $\delta G(q)$  such that  $\delta R$  becomes 1%

plot  $\delta G(q)$  as function of  $q_{max}$



jumps of  $\delta G$  due to jump in  $N$

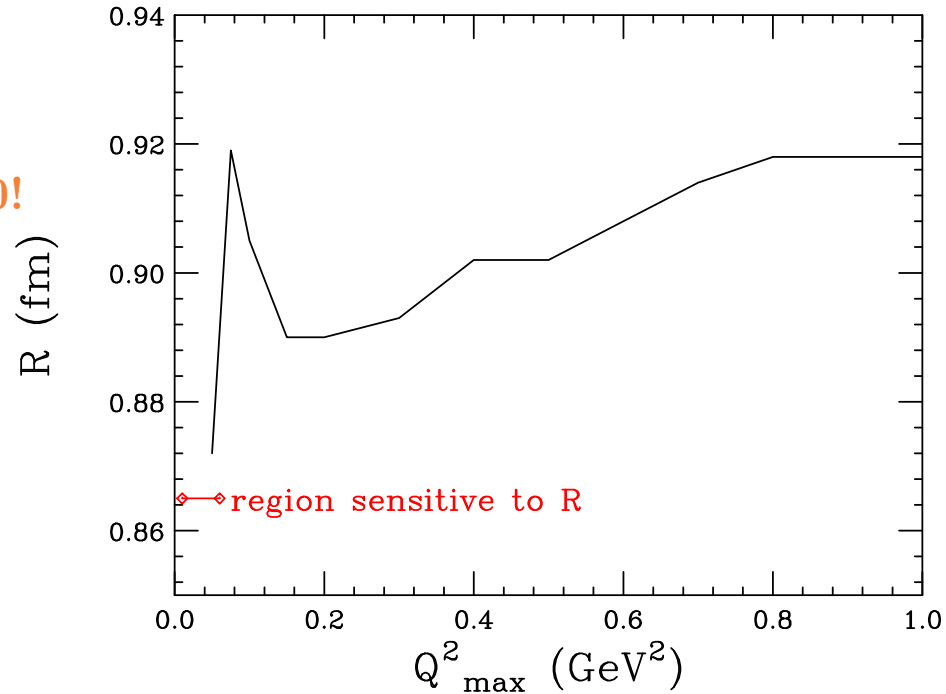
required  $\delta G$  extremely small  
not achievable in practice

true: slope determined by  $R$   
but: slope not accurately measurable  
must get  $R$  from  $\rho(r)$

## 5. A counter-intuitive observation

in many analyses  $R$  depends on  $q_{max}$   
fits with *large*  $q_{max}$ ,  $5\text{fm}^{-1} - 10\text{fm}^{-1}$ , tend to give  $R > 0.88\text{fm}$   
show as example  $q_{max}$ -dependence of Lee *et al.*

How can these large  $q$ 's affect  $R$ ?  
supposedly  $R$  'measured' at  $q = 0$ !



This behavior calls for explanation!



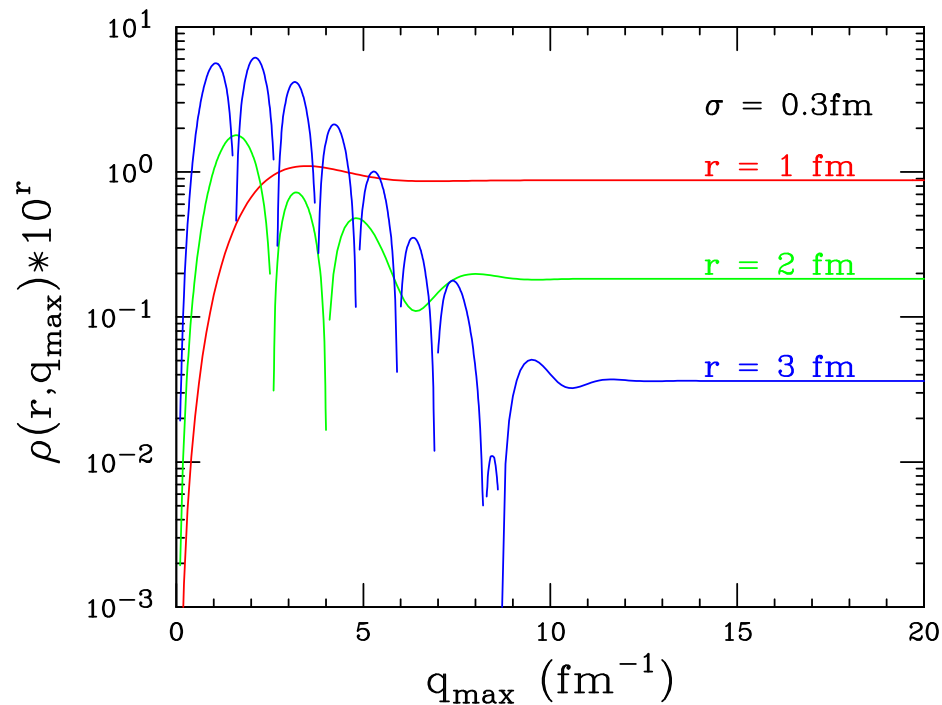
## Understanding: effect of large- $r$ tail of $\rho(r)$

remember:  $R$  sensitive to *large*  $r$  due to  $r^4$  weight  
large  $r$  affect low  $q$  and curvature of  $G(q)$  below  $q_{min}$

## Data up to large $q$ fix *shape* of $\rho(r)$ including large- $r$ tail

this reduces arbitrariness of shape of fitted  $G(q)$  at low  $q$   
this leads to more reliable extrapolation from  $q_{min}$  to  $q = 0$

For demonstration study  $\rho(r, q_{max}) = \dots \frac{1}{r} \int_0^{q_{max}} G(q) \sin(qr) q dq$



converged  $\rho(r)$  for larger  $r$   
needs higher  $q_{max}$

**Important observation:** to fix  $\rho(r)$  at the larger  $r$  must include  $G(q)$  at the higher  $q$ 's

**Fits with maximal  $q_{max}$  yield the most reliable extrapolation to  $q = 0$**

Importance of high  $q$  data for  $dG/dq^2(q = 0)$  is not a contradiction

low- $q$  data important to fix  $G(q)$  in region where data sensitive to  $R$

high- $q$  data fix *shape* of  $\rho(r)$  *i.e.* *shape* of  $G(q)$  needed for extrapolation to  $q = 0$

Or more simply said:

rms-radius depends strongly on density at large  $r$ :  $R^2 = \dots \int \rho(r)r^4 dr$

to fix (implicitly) this density need  $G(q)$  up to large  $q$

$G(q)$  at high  $q$  small, fixes small Fourier components important for  $\rho(r)$  at large  $r$

In following discuss fits that:

include world data up to large  $q \sim 10 fm^{-1}$

parameterizations that do correspond to densities

do allow to check for sensible  $\rho$  at large  $r$

Above considerations special case of more general point:

## 6. Lack of an important *physics* constraint in $G(q)$ -fits

Do parameterizations of  $G(q)$  guarantee  $\rho(r > 3fm) = 0$ ?

does  $G(q)$  contain components of  $\sin(qr)/qr$  with  $r > 3fm$ ?

would be undesirable as we believe charge of p to be confined to  $r < 3fm$

can be checked if  $G(q)$  has Fourier Transform

is difficult if  $G(q)$  has *no* FT ( $\pm$  standard in literature)

Lenz, 1969, investigated model-independent information from (e,e)

is contained in first moment function

$$T(Q) = \int_0^Q r(Q') dQ'$$

integral over  $\rho(r)$  up to fractional charge  $Q$

Convenient distribution for  $\rho$  and  $T(Q)$ :

$$\rho(r) = \sum_i a_i \delta(r_i) \rightarrow T(Q_i) = \sum_{l=1}^i a_l r_l$$

Can approximate moment function to any desired accuracy

same  $T(Q)$   $\rightarrow$  same cross sections

Test model- $G(q)$  via fit with  $\sum a_i \delta(r_i)$

for  $G(q)$  from  $\rho(r)$  with  $\rho(r > 3fm)=0$  expect  $a_i = 0$  for  $r_i > 3fm$

for  $G(q)$  *not* from  $\rho(r)$  could get  $a_i \neq 0$  for  $r_i > 3fm$

would indicate that  $G(q)$  contains unphysical Fourier components

## Practicalities

fit model- $G(q)$  with  $\sum a_i \delta(r_i)$ , 10 terms for  $r_i < 7fm$ , uniformly distributed  
sum contributions to linear moment from  $\delta(r_i > 3fm)$

## Results

Find no contribution for:

MD, [3/5]Pade, Laguerre, Borisyuk, [1/3]Pade Kelly

Find contributions of several % for:

Lee, Horbatsch+Hessels, Inv.Polynomial, Polynomial Higibotham/Griffioen

$G(q)$  *without* FT often contain *unphysical* contributions implying charge at  $r > 3fm!$

..... which affect curvature of  $G(q)$  below  $q_{min}$

→ Use  $G(q)$ 's that do correspond to  $\rho$ 's with  $\rho(r > 3fm)=0$

## Parameterizations and fits

### 1. [3/5] Pade, Arrington *et al*

Often "best" approximation of curve by rational function of given order

$$G(q) = \left( 1 + \sum_{i=1}^I a_i q^{2i} \right) / \left( 1 + \sum_{j=1}^J b_j q^{2j} \right)$$

use  $b_j > 0$  and  $J \geq I+2$

avoids poles and divergences

Fit of *world* data up to  $10 \text{ fm}^{-1}$ , excellent  $\chi^2$

without Bernauer data (disagree)

includes two-photon corrections

finds 'well behaved' large- $r$  density (shown above)

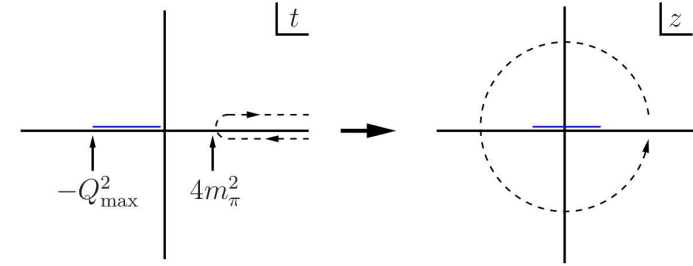
yields  $R = 0.878 \text{ fm}$ .

## 2. Conformal mapping, Lee *et al*

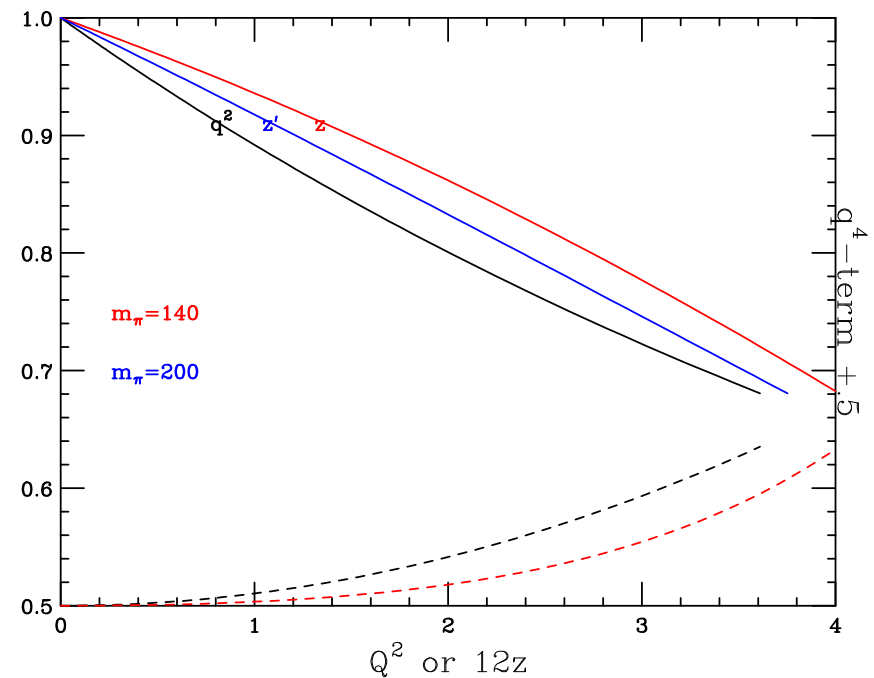
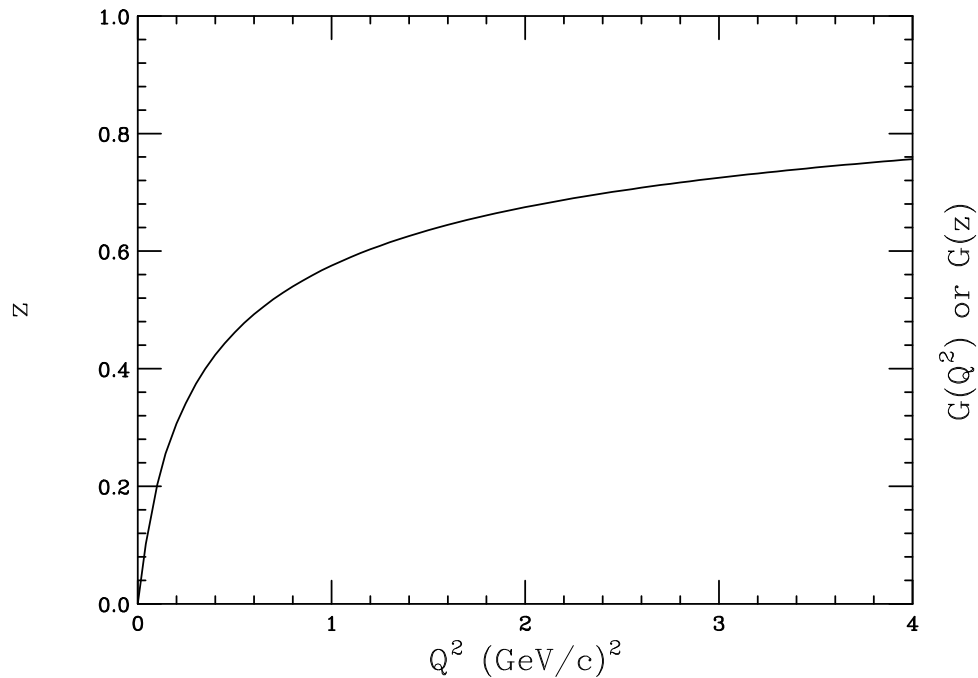
flexible expansions of  $G(q)$  in terms of  $q$  not optimal  
 expansion parameter can become  $>1$   
 variable-transformation could help

Standard choice  $z = \frac{\sqrt{t_c-t}-\sqrt{t_c-t_0}}{\sqrt{t_c-t}+\sqrt{t_c+t_0}}, \quad t = -q^2$

most often with  $t_0 = 0$  and  $t_c = 4m_\pi^2$   
 yields expansion parameter  $z < 1$ , see figure

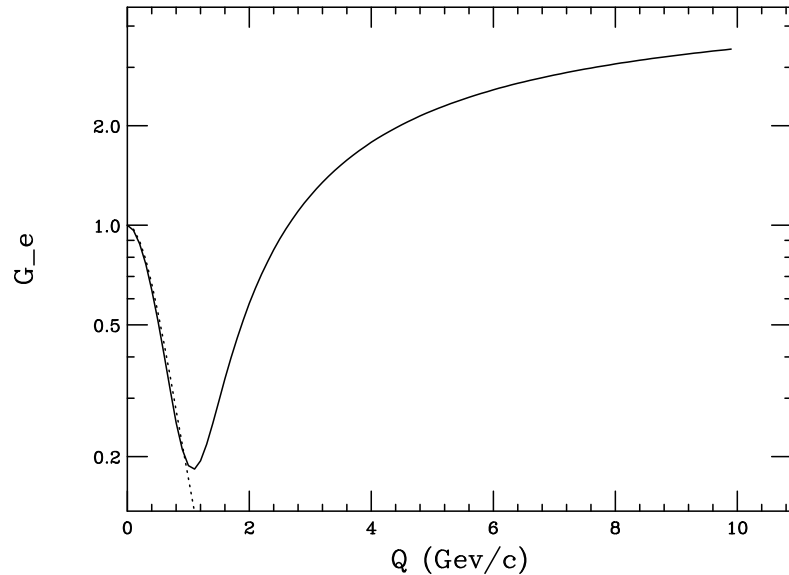


Claimed to 'linearize' extrapolation: only to small extent



From careful analysis of world data Lee *et al* find  $R=0.916\pm 0.024 fm$   
what is reason for large  $R$ ?

Even in terms of  $z$ , use of power-series is highly unwise!



### Standard disease of power-expansions

must generate *small*  $G(q)$  at large  $q$  via cancellations

large contribution at  $q_{max}$  of low-order terms

for  $q_{max} = 5 fm^{-1}$  term yielding  $R$  contributes 70% of FSE!

### Better choice: parameterization a la Borisjuk

$G(q)$  = polynomial in  $z$  times dipole in  $q$

then  $q^{-4}$  fall-off guaranteed, polynomial remains of order 1

polynomial only parameterizes deviation from dominant dipole

Analysis following closely Lee *etal*, but with better parameterization:

find  $R$  systematically  $0.03 fm$  lower

find large- $r$  density close to MD, VDM densities (see below)

→ large  $R$  of Lee not due to use of  $z$ , but due to unphysical  $G(z(q))$

### 3. Polynomial in $\xi$ times dipole, Borisyuk

$$\xi = q^2 / (1 + q^2 / \xi_0), \quad \xi_0 = 0.71 GeV^2 / c^2$$

$\xi$  very similar to  $z$ , maximum value 0.7

$$G(q) = (1 - \xi / \xi_0)^2 \sum a_k \xi^k$$

then  $q^{-4}$  fall-off guaranteed, polynomial remains of order 1

Repeating analysis of Borisyuk with

world data up to  $10 fm^{-1}$

2-photon exchange corrections

yields  $0.880 \pm 0.011 fm$

large- $r$  density close to MD, VDM density (see below)



## 4. $R$ from Bayesian inference, Graczyk+Juszczak

form factors come from large class of models

sigmoid functions times dipole

combination determined from neural network with 1 hidden layer

fixed via maximum a posteriori weights

likelihood via  $\chi^2$  plus Occam factor

Applied to

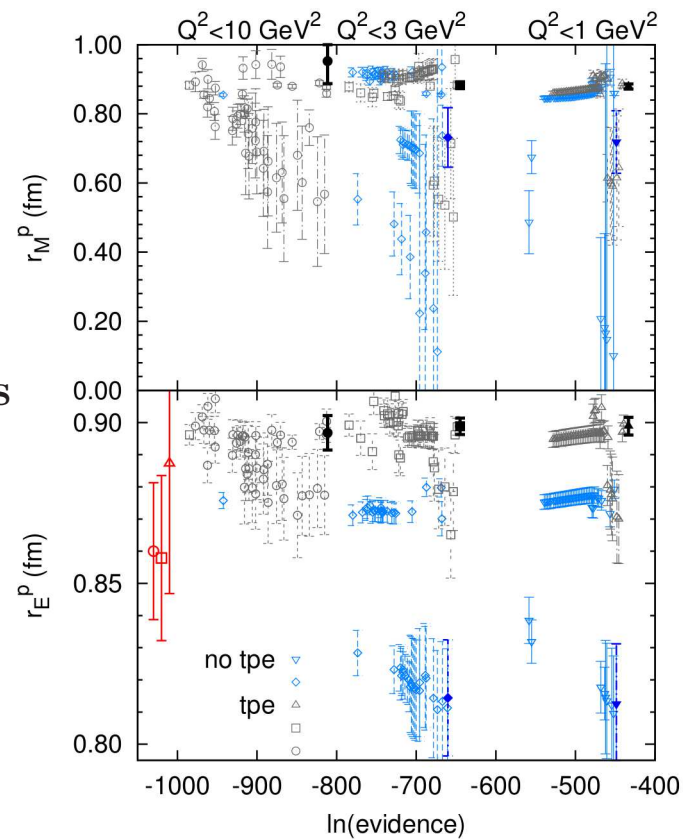
world data up to  $10 fm^{-1}$

including 2-photon corrections

find  $R = 0.899 \pm 0.003 fm$

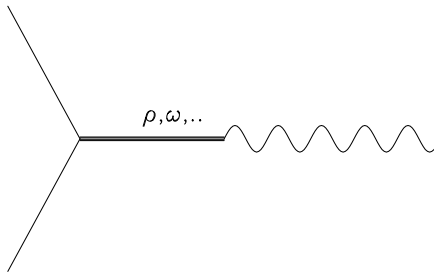
well-behaved density out to  $2.7 fm$

0.003 seems low given systematic errors



## 5. $R$ from Vector Dominance Model fits

### Basic assumption of VDM



leads a priori to form factor  $G(q) = \sum_i a_i / (1 + q^2 \gamma_i)$ ,  $\rho(r) = \sum a_i e^{-\gamma_i r} / r$   
 $\gamma_i^{-1}$  = masses squared of vector mesons

The promise: VDM could fix problem with large- $r$  behavior

tail  $\sim e^{-\gamma r} / r$  is given by *physics*

### Complication

'pole' closest to physical region (responsible for low  $q$ ) is *not* a pole

it is a cut starting at  $4m_\pi^2$

accounts for interaction with pion tail of N (triangle diagram)

Strength distribution in cut: difficult to come by

determined by Hoehler *et al.* in 1976 using dispersion relations

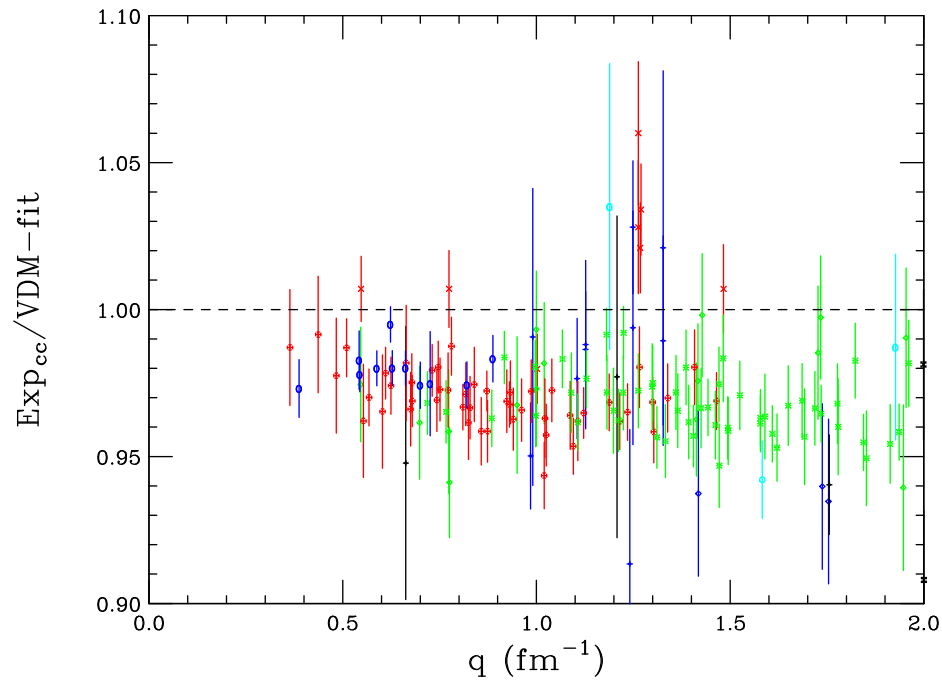
only partly updated since

Result of VDM-fits:  $R \sim 0.84 \text{ fm}$

Generic problem of VDM analyses

$\chi^2$  is too large

systematic differences to data at low  $q$ : fit Mergel *et al.*



difference to true  $R$   
can be trivially read off figure  
 $\pm$ same for all VDM fits  
since Hoehler's time

## Reason for too large $\chi^2$

VDM spectral function is too strong a constraint  
has not enough flexibility to allow good fit of (e,e)

## Demonstration of constraining role of spectral function

VDM analysis of Adamuscin *et al.*  
want to fit (e,e) data over largest  $q^2$ -range ( $< 0, > 0$ )

Difficulty: TPE in (e,e) cross sections ( $G_e$  from L/T and PT disagree)  
their 'solution': omit  $\sigma$ , fit only polarization transfer data  
result:  $R = 0.848 \pm 0.007 fm$   
amazingly small error bar!

## BUT...

PT data measure only *ratio*  $G_e/G_m$   
contain no information whatsoever on charge form factor

## Conclusion

spectral function all by itself fixes  $R$  to amazing precision  
adding cross section data only leads to bad  $\chi^2$ !  
explains the problem of VDM analyses since Hoehler's time  
find always poor  $\chi^2$  and  $0.84 fm$  + systematic deviations from data

## 6. VDM-motivated parameterization: MD

VDM adherents claim that analytic structure of  $G(q)$  important indeed: helps to control large- $r$  tail

use VDM-type form factor:

sum of monopoles times dipole = M·D-parameterization

$$G(q) = \sum_i a_i / (1 + q^2 \gamma_i) \quad 1 / (1 + q^2 \Gamma)^2$$

with free  $a_i$ ,  $\gamma_i$  with VDM-constraint  $\gamma_i^{-1} > 4m_\pi^2$

ensures physical fall-off of large- $r$  density

$\Gamma < \gamma_i/5$  (such as not to affect shape of tail)

has been very successful in past: IJL, BZ, ...

Result of fit of *world* data up to  $10 fm^{-1}$

variation of  $\gamma_i$ 's ( $\pm$ uniform distribution) not even needed

fit of parameters  $a_i$  enough

$\chi^2$  as low as other best fits (SOG, Laguerre) of same data

**Find  $R = 0.891 \pm 0.013 fm$**

M·D parameterization optimal for (partial) control of large- $r$  density

## 7. Laguerre function fits

often difficult to find parameters for multi-parameter functions  
local minima of  $\chi^2$ , many failed fits in literature  
orthogonal basis should help

### Laguerre functions

optimal since incorporates  $e^{-\mu r}/r$  shape expected from pion tail

$$\rho(r) = \sum_{n=0}^N a_n e^{-x} L_n(x) = \sum_{n=0}^N a_n \sum_{m=0}^n c_{nm} x^m e^{-x}$$

with  $x = r/\beta$ ,  $L_n$ =Laguerre polynomial

### Similar to other multi-parameter expansions

sensitive to  $N$

too many parameters  $\rightarrow$  correlations between higher-order  $a_n$ 's  
avoided with penalty in  $\chi^2$

### Fits to world data

$q_{max} = 10 fm^{-1}$ , two-photon corrections  
for  $2.7+1$  parameters, 604 data get  $\chi^2=540$

find  $R = 0.879 \pm 0.02 fm$

$\rho(r)$  out to  $2.6 fm$  agrees with VMD, SOG, MD

## 8. Sum-Of-Gaussians with tail constraint

SOG often employed for  $A > 1$

width  $\Gamma$  limits fine structure of  $\rho$   
decouples densities at different  $r$

Best used together with tail constraint

at  $r < 1\text{fm}$  quark/gluon structure of p complicated  
at large  $r$   $\rho$  dominated by Fock component  $n + \pi^+$   
example: cloudy bag model for  $r > 0.8\text{fm}$

Shape of density at large  $r$  :

asymptotic w.f. of pion  $W_{-\eta,3/2}(2\kappa r)/r$   
can be used as constraint on *shape*  
used extensively for  $A \geq 2$

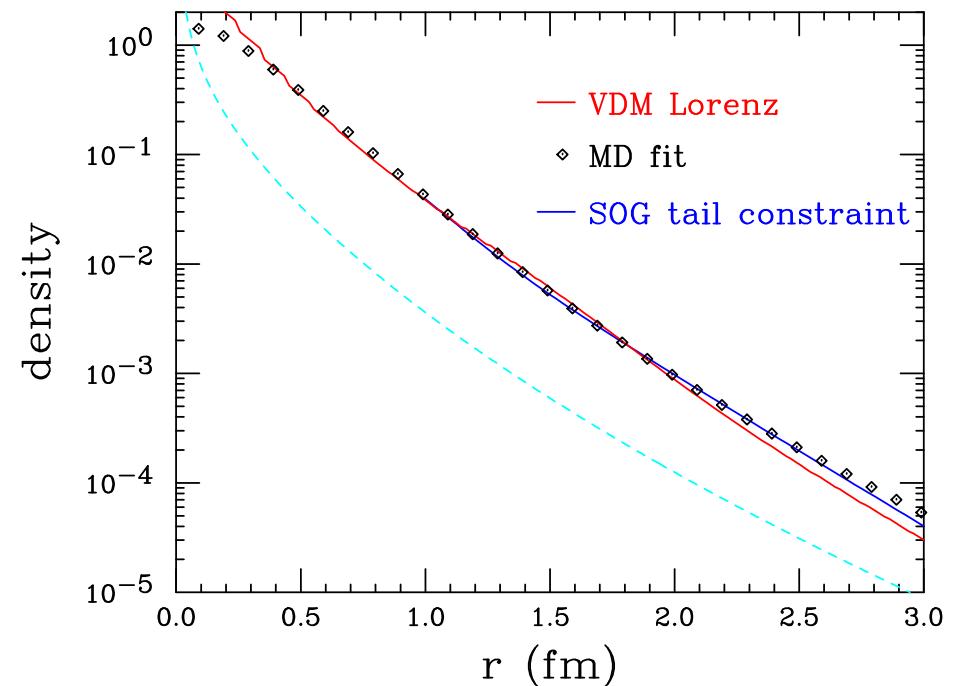
Corrections+sophistications

CM,  $\pi$ -size,  $\pi + \Delta$  components, ...  
investigated, minor numerical importance

Physics  $\equiv$   $2\pi$  triangle diagram in VDM

Fit of world data up to  $10\text{fm}^{-1}$ ,  $2\gamma$  corrections,  
constraint for  $r > 1.2\text{fm}$

find  $R = 0.886 \pm 0.008\text{fm}$



## Summary

### Pointed out in this talk;

difficulties of standard  $q \rightarrow 0$  extrapolation  
curvature of parameterized function model-dependent  
lacks constraint that  $\rho(r)$  confined to  $r \leq 3fm$

### Emphasized

curvature at low  $q$  related to  $\rho(r)$  at large  $r$   
there have knowledge: density dominated by least-bound Fock state  
for reliable  $R$ :  $\rho(r)$  must be close to this behavior

## Three consequences

- Use physical  $G(q)$  which does correspond to density
- Fit data up to largest  $q_{max}$   
data fix shape  $\rho(r)$  *including* large- $r$  tail
- Verification that shape  $\rho$  at large  $r$  is *physical*  
fix shape using *physics* constraint

## Unweighted average of fits respecting above insights:

[3/5]Pade, Dip. $\cdot$ Poly( $z$ ), Dip. $\cdot$ Poly( $\xi$ ), Bayesian, MD, Laguerre, SOG  
radii .878, .886, .880, .899, .891, .879, .886fm

$R = 0.887 \pm 0.012fm$ , disagrees with  $.8409 \pm .0004fm$  from  $\mu H$



Reason for difference: many speculations!

address only a popular one:  $e \leftrightarrow \mu$

discuss by looking at other nuclei

## Deuteron

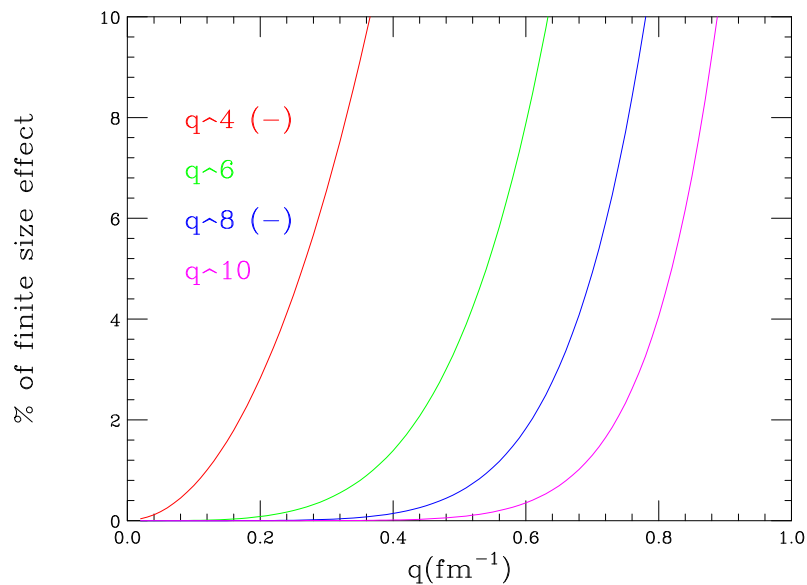
Problematic in past: large scatter of results

main problem same as for proton: large- $r$  tail

actually much worse than for proton

last 2% of  $R$  come from  $r > 7 \text{ fm}$ !

corresponding contribution in  $G(q)$  not measurable



demonstration case for  $R$  not from  $q = 0$  slope  
 $q_{min}$  of data  $\sim 0.4 \text{ fm}^{-1}$

precise  $R$  would be of high interest as theory predicts very accurate radius from  $V_{NN}$

Added complication: 3 form factors, need  $T_{20}$  data  
separation of C0 enhances uncertainty

Determination of  $R$ : use same approach as for proton

use *world* data

up to largest  $q_{max}$  possible

use tail constraint

shape of large- $r$  density well known from theory

Hankel function determined by binding energy

include 2-photon corrections (Coulomb distortion)

previously always neglected

significantly change  $R$

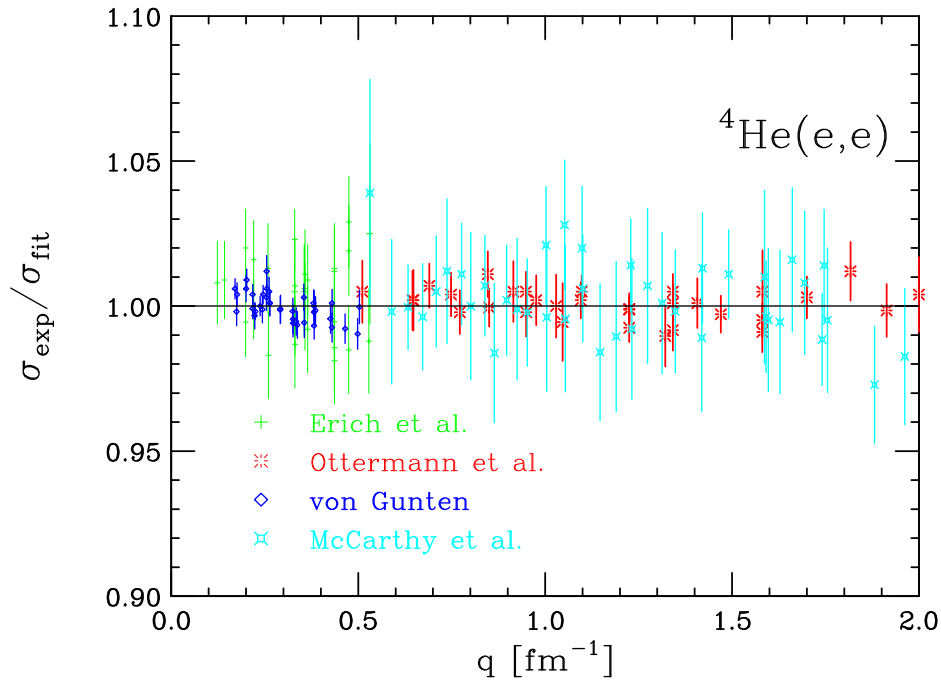
$(e, e)$	$2.130 \pm 0.010 \text{ fm}$ (Sick 1998)
$\mu H$	$2.1289 \pm 0.0012 \text{ fm}$ (prelim.)
$a_{n-p}$	$2.131 \text{ fm}$

Find perfect agreement with  $\mu X$  data from Pohl et al.

agreement within 0.5% significant given 4% discrepancy for proton

## Helium 4

Great:  $^4\text{He}$  data most precise of all light nuclei



Simple-most case: only *one* form factor

no error-enhancing L/T-separation needed

Perform exactly same type analysis as for proton

parameterize  $\rho$  in  $r$ -space using SOG

employ data up to largest  $q_{\text{max}}$

use tail constraint

Most helpful: FDR analysis of *world* data on  $p - ^4\text{He}$  scattering

determines residuum of closest singularity  
corresponding to exchange scattering at  $0^\circ$   
yields *absolute* normalization of tail to  $\pm 10\%$   
can use in fitting (e,e) data

Consequence: get most precise rms-radius of all nuclei

$R = 1.681 \pm 0.004 \text{ fm}$  (Sick 2008)

$R = 1.6783 \pm 0.0005 \text{ fm}$   $\mu^4\text{H}$ , Antognini et al. (prelim.)

Highly significant: agreement (e,e)- $\mu\text{X}$  within 0.25%

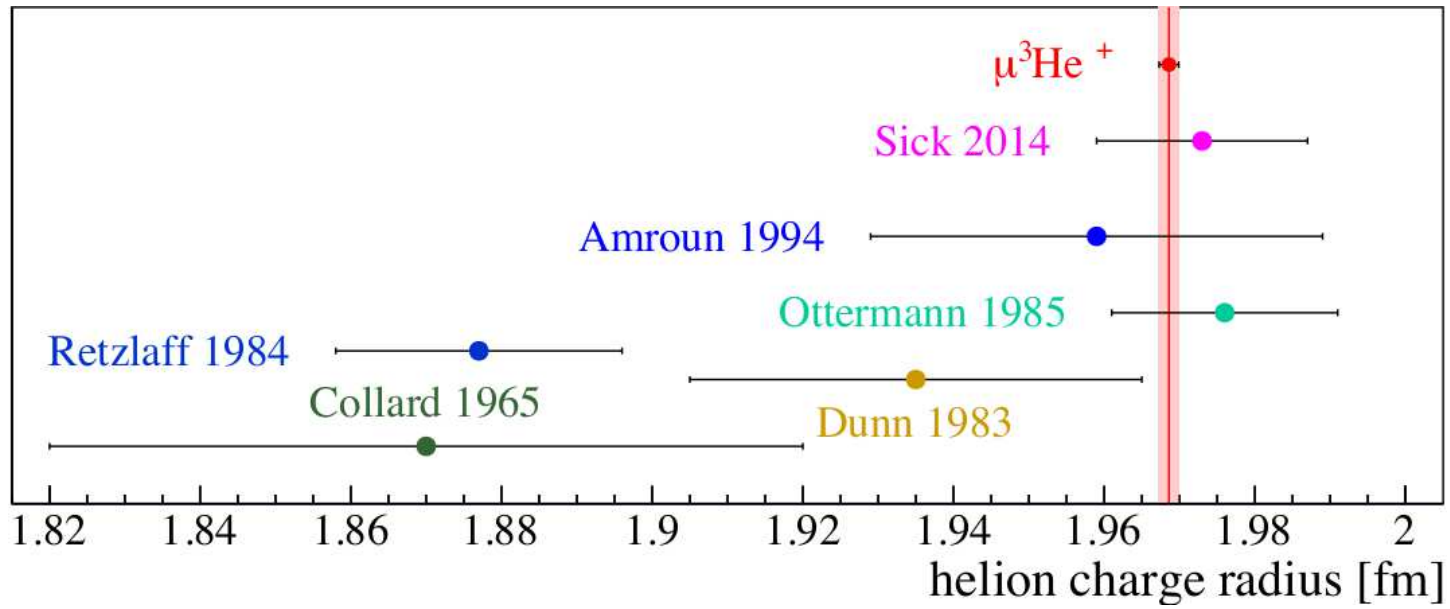
as compared to 4% for proton

Conclude: problem is not  $e \leftrightarrow \mu$ , problem is with *proton*

Similar agreement for  ${}^3\text{He}$

though less precise

recent data from Antognini et al



Generic problem for proton:

extremely large higher moments due to  $\rho(r) \sim \text{exponential}$

	$\langle r^4 \rangle / \langle r^2 \rangle^2$	$\langle r^6 \rangle / \langle r^2 \rangle^3$	
naive estimate	$\sim 1.$		
exponential density	2.49	8.82	
experimental value	4.32	64.2	fit of $q \leq 5 fm^{-1}$ data Bernauer 2010
Horbatsch,Hessels,Pineda	1.25	14.5	

large- $r$  contribution even worse than for exponential density

Consequence: at  $q \sim 0.8 fm^{-1}$  of maximal sensitivity to  $\langle r^2 \rangle$ :

contribution of  $\langle r^4 \rangle \sim 15\%$  of finite-size effect, even  $\langle r^6 \rangle$  contributes 4%

even at  $q^2=0.6$ , where finite size effect only 0.077,  $\langle r^4 \rangle$  contributes 10%

→ serious interference of higher moments

Wrong  $\langle r^4 \rangle$  or wrong  $\langle r^6 \rangle \rightarrow$  wrong  $R$

= short version why some determinations of  $R$ , discussed below, are wrong

## Example: fit of Horbatsch, Hessels, Pineda

polynomial in  $q^2$

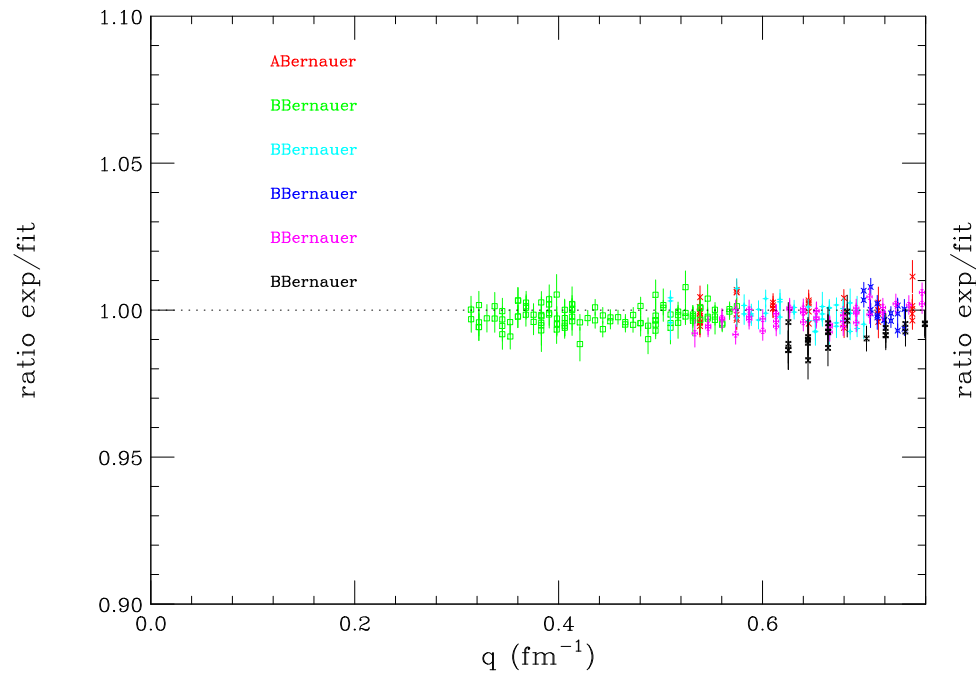
higher moments from CPT

R fit to Bernauer data, low  $q$

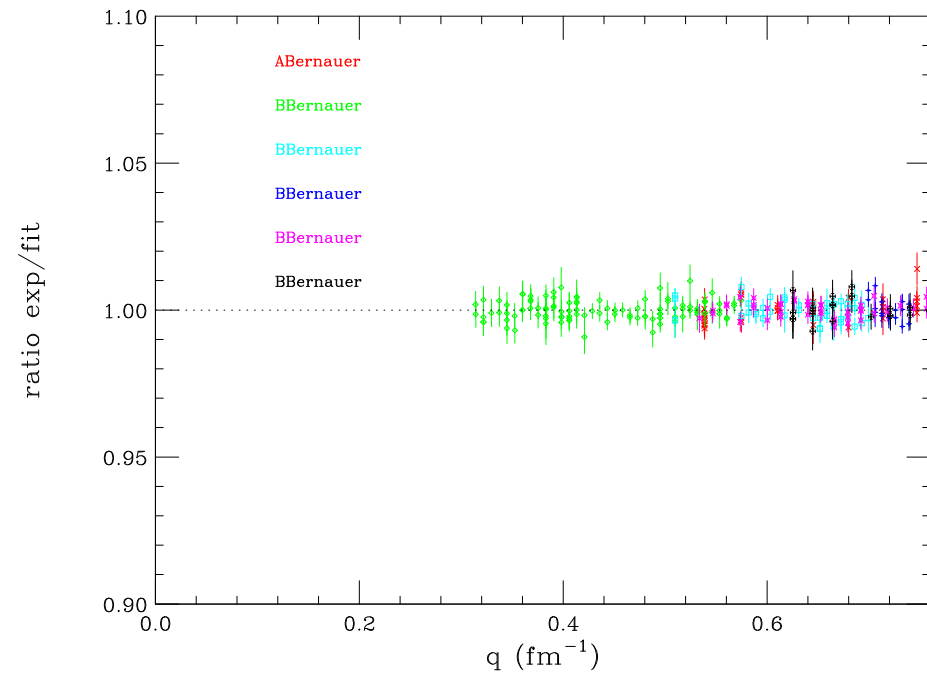
$\langle r^4 \rangle$  extremely low

disagrees with fit to (e,e) data

fit HHP



fit CF



difference in  $\chi^2$ : factor 1.5

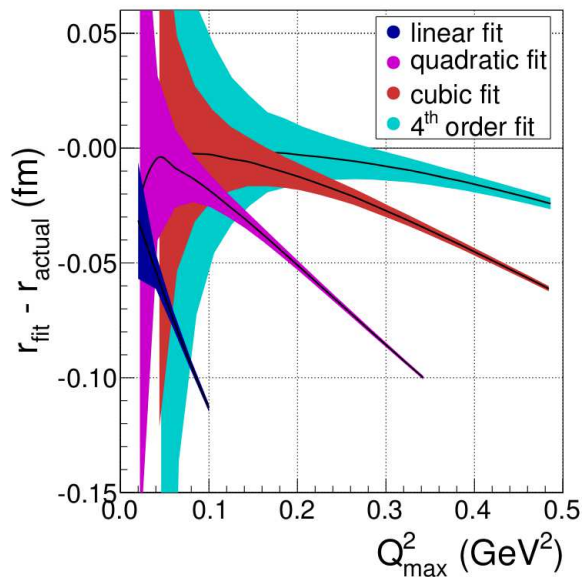
$G(q)$  as polynomial in  $q^2$ :  $1 - q^2\langle r^2\rangle/6 + q^4\langle r^4\rangle/120 - \dots ??$

used by many authors in past

shown already in 2003 that *not* suitable, Phys. Lett. B 576 (2003) 62

in 2014 quantitatively studied by Kraus *et al.*

parameterized  $G(q) \rightarrow$  pseudo data  $\pm 0.4\%$   $\rightarrow$  power series fit  $\rightarrow R_{fit}$   
always gives low  $R_{fit}$ , and  $R_{fit}$  depends strongly on  $q_{max}$

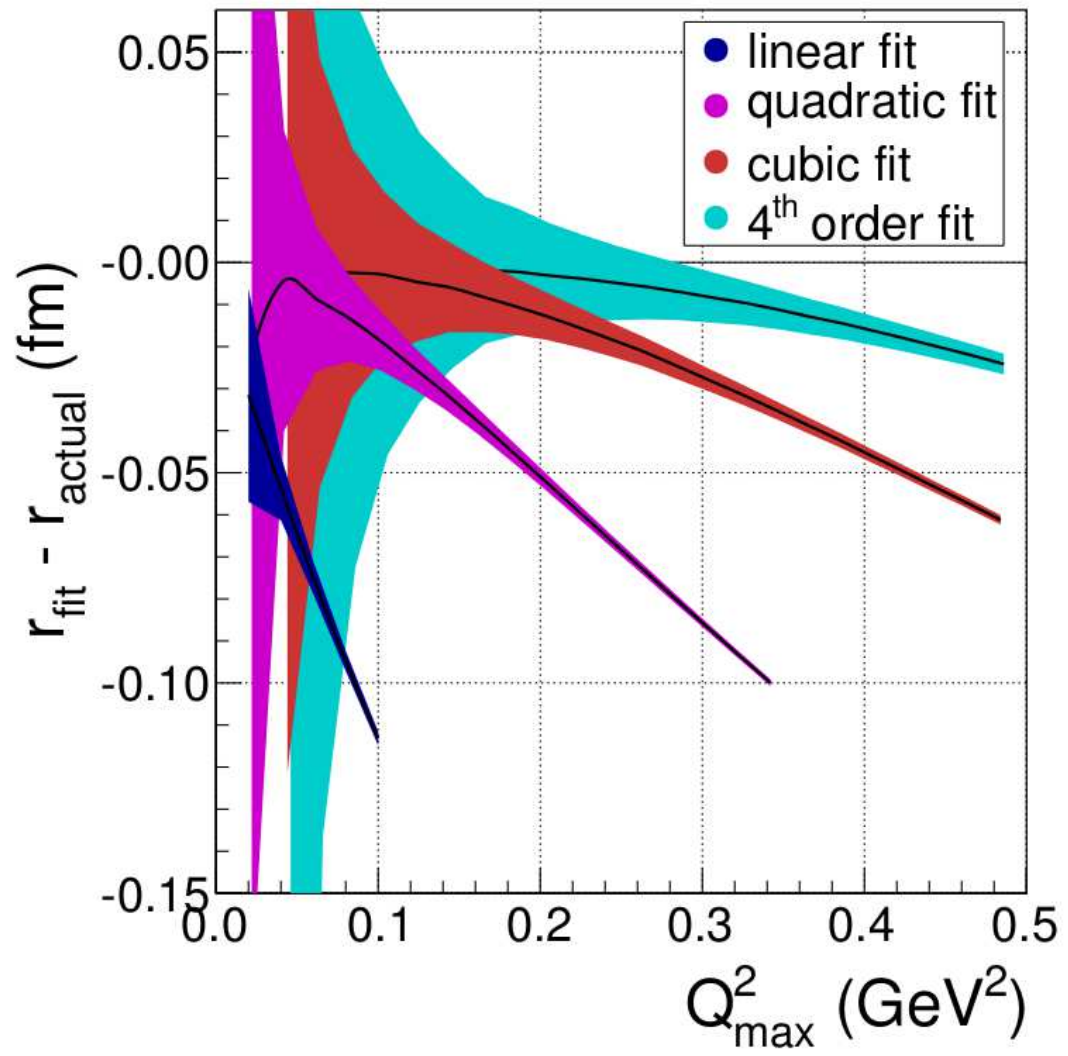


*e.g.* for  $Q^2 = 0.03$  (typical) and linear fit  
defect according to figure =  $0.04 fm$   
as large as discrepancy (e,e)... $\mu X$

must be dumb to use low-order polynomial  
but some authors do it anyway



..



## Understanding of low $R$ 's

discuss for "very low- $q$ " linear fit in  $q^2$

corresponds to  $\langle r^4 \rangle = 0$

how can produce  $\langle r^2 \rangle \neq 0$  with  $\langle r^4 \rangle = 0$ ?

## What would a physicist think?

would try to think how corresponding  $\rho(r)$  would look like:

positive inside, negative at very large  $r$

then negative tail can compensate positive part in  $\langle r^4 \rangle$  to yield  $\langle r^4 \rangle = 0$

given  $r^6$  weight in  $\langle r^4 \rangle$ -integral

**But: negative tail also impacts  $\langle r^2 \rangle$**

**will yield too small value for  $R$**

remember:  $\langle r^4 \rangle$  contributes  $\sim 15\%$  of finite-size effect

at  $q$  of maximal sensitivity to  $R$

= physical explanation of above results of Kraus *et al.*

= general argument why truncated polynomial (also higher order) generates problems

## Illustration of problems with low-order polynomial fits

recent fit of Higinbotham *et al.*

Mainz80+Saskatoon data

$q_{max}^2 = 0.8 fm^{-2}$  for  $R$  with smallest  $\delta R$

$$G(q) = a_0(1 + a_1q^2)$$

Find  $R = 0.844 \pm 0.009 fm$

conclude that is compatible with  $\mu X$  result

Wrong, as a trivial back-of-the-envelope estimate shows! For  $q^2=0.8$

$$\left. \begin{array}{l} q^2 R^2 / 6 = 0.094 \\ q^4 \langle r^4 \rangle / 120 = 0.0138 \end{array} \right\} q^4 \text{ contribution is 14.7\% of } q^2 \text{ contribution}$$

→  $q^2$  contribution wrong by  $\sim 14.7\%$

→  $R^2$  is wrong by  $\sim 14.7\%$

... and this sort of analysis is claimed to provide insight on radius-puzzle!

Another illustration: recent fit by Griffioen, Carlson, Maddox

use  $G(q) = 1 - q^2 \langle r^2 \rangle / 6 + q^4 \langle r^4 \rangle / 120$

$Q^2 \leq 0.02 GeV^2$ , "Bernauer" data,  $R = 0.850 \pm 0.019 fm$  compatible with  $\mu X$ ?

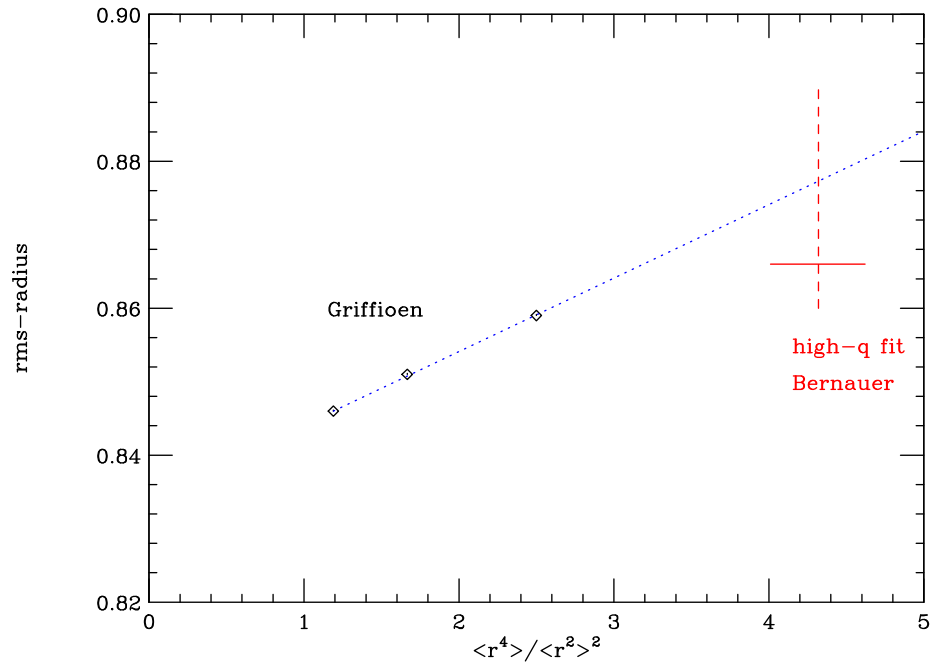
find contribution  $q^4$ -term 0.0018

experimental  $\langle r^4 \rangle$  yields 0.011

}  $\Delta \sim 15\%$  error in  $\langle r^2 \rangle$ .  $R=0.850$  simply wrong!

## Another demonstration of effect of $\langle r^4 \rangle$

$\langle r^2 \rangle$  vs  $\langle r^4 \rangle$  for different densities  
 $\delta(r - c)$ , exponential, gaussian  
Griffioen *et al.*



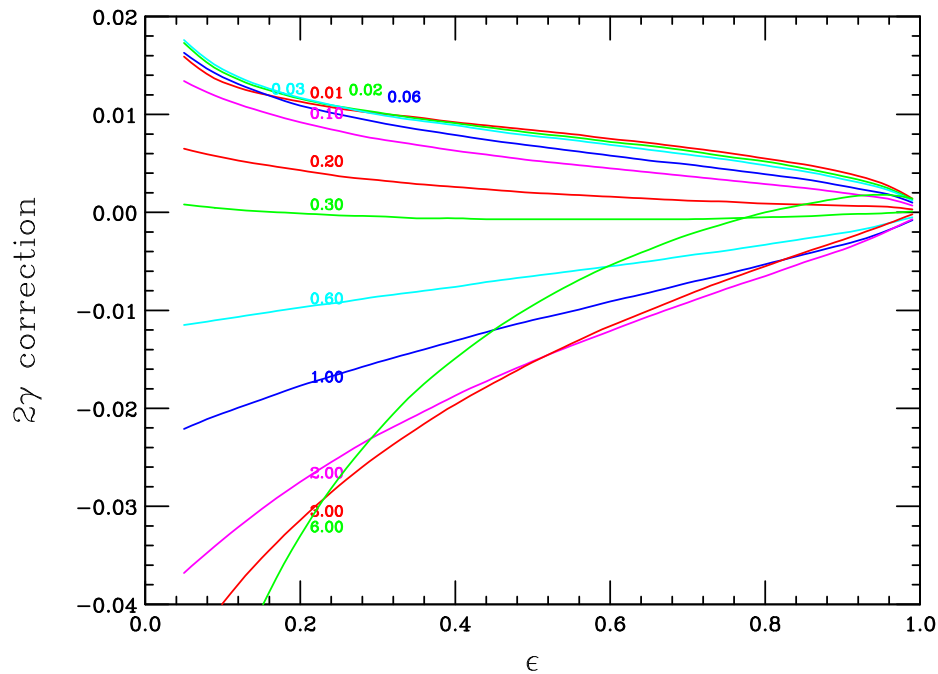
$R$  linear function of  $\langle r^4 \rangle / \langle r^2 \rangle^2$

extrapolate to true  $\langle r^4 \rangle$  (Bernauer high- $q$  fit)

get  $R \sim 0.88 \text{ fm}$

## Two-photon effects

PWIA relation  $\sigma \leftrightarrow G(q)$  complicated by 2- $\gamma$  exchange TPE  
at low  $q$  mainly Coulomb distortion, well under control



At  $q$  of maximum sensitivity to  $R$   $1 - G(q) \sim 0.2$ , so TPE  $\sim 0.01$  do matter!

### Inappropriate TPE:

no corrections, corrections for point nucleus (McKinley-Feshbach)  
phenomenological corrections (not determined at low  $q$ )

For valid result on  $R$  must use valid TPE

## Good $\chi^2$

Remember: one standard deviation  $\sigma$  corresponds to  $\Delta\chi^2 = 1$

many analyses take cavalier-attitude about  $\chi^2$   
accept  $\chi^2$  of (say) 1.5 *per degree of freedom*  
while good fits give 1.1

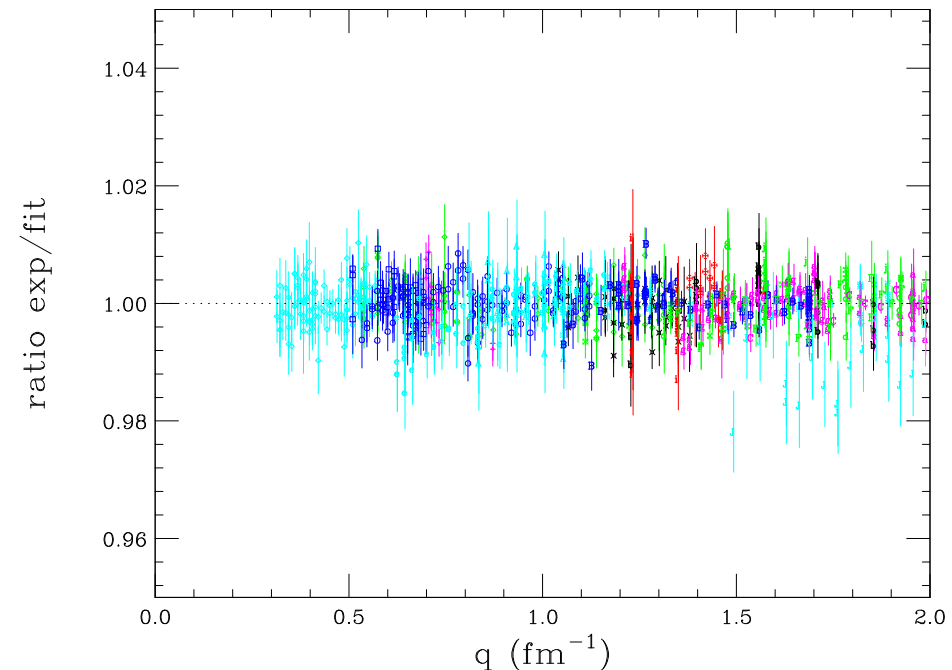
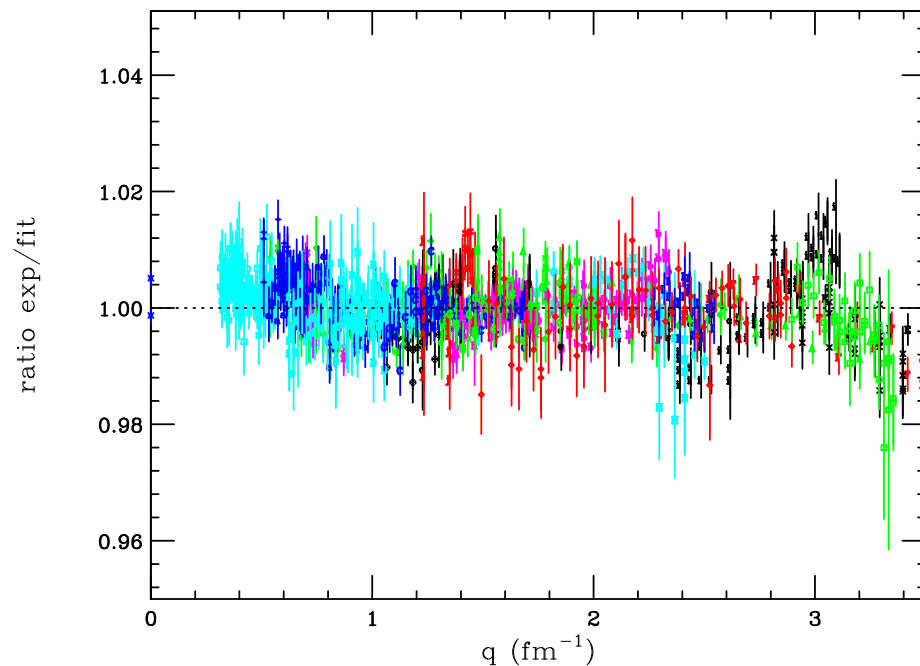
With (typically) 500 data points

difference 1.1  $\leftrightarrow$  1.5 corresponds to  $\Delta\chi^2$  of 200 **corresponding to  $14\sigma$ !**  
which is not acceptable when discussing a  $5\sigma$  difference  $(e,e)\leftrightarrow\mu H$

compare

fit Lorenz

fit Bernauer



Important distinction: absolute value of  $\chi^2$  is not the main issue

depends on optimism of experimentalist assigning  $\delta\sigma$

depends on eventual rescaling of  $\delta\sigma$

Really relevant: comparison of fits to *same*  $\sigma \pm \delta\sigma$

if fit "A" gives significantly larger  $\chi^2$  than fit "B"

then fit "A" has *systematic* differences to data

then fit "A" must be discarded

Many published fits have  $\chi^2 \gg \chi_{min}^2$ , hence are irrelevant

## Illustration

recent fit of Higinbotham *et al.*

use dipole form factor

fit Mainz80+Saskatoon+Stanford+JLab data

fit has reduced  $\chi^2$  of 1.25

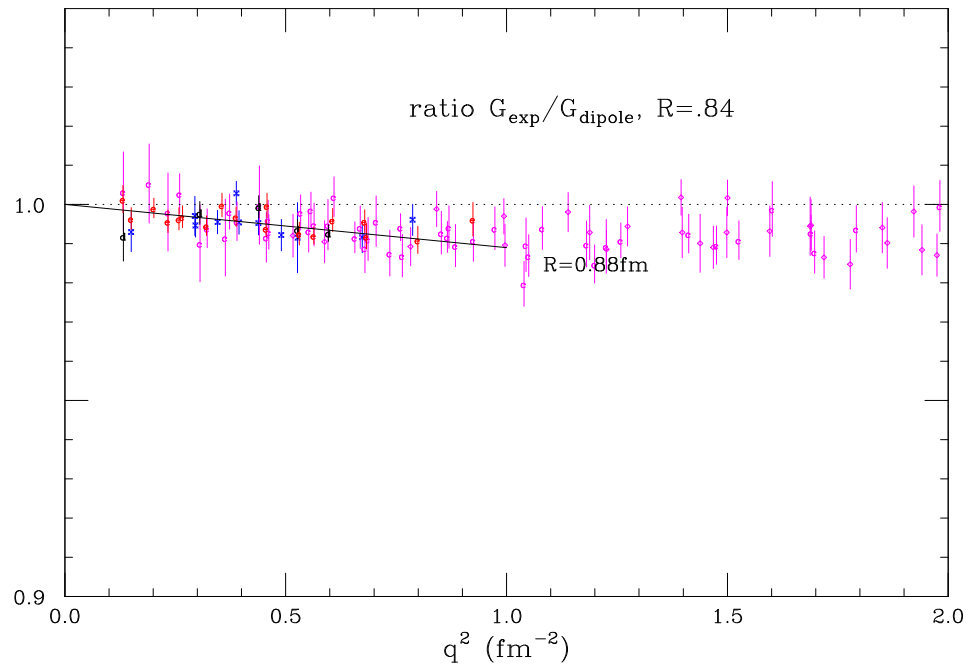
find  $R = 0.849 \pm 0.006 fm$

conclude that  $R$  is compatible with  $\mu X$

But  $\chi^2$  is much too large

take one of my fits of *world* data (603 data points)  
find reduced  $\chi^2$  of 0.96, *not* 1.25

Consequence: systematic deviation of Higibotham dipole fit from cross section data



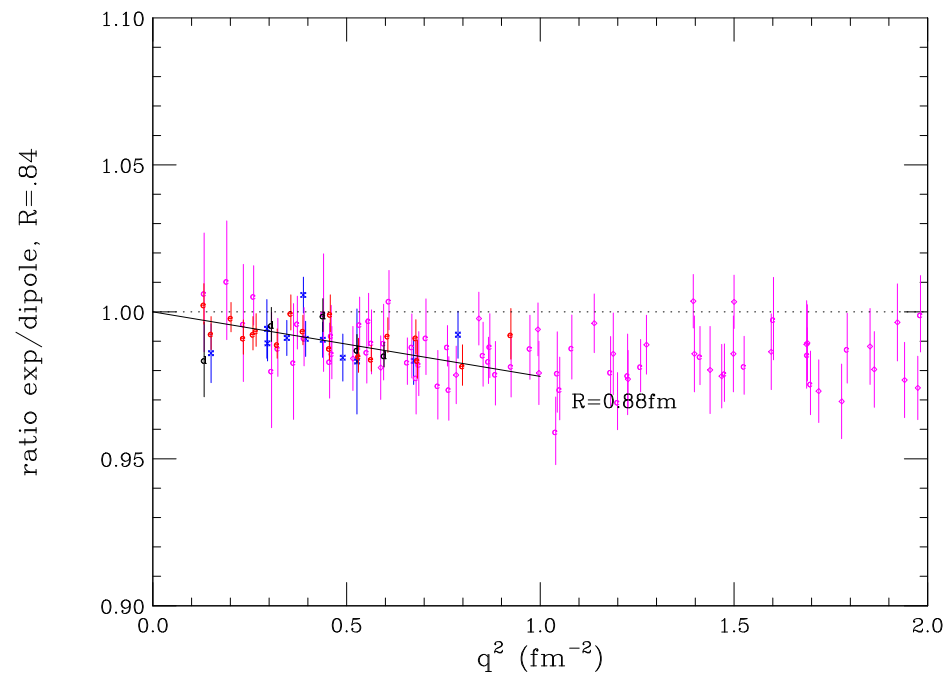
solid line shows change of low- $q$  slope to  $R = 0.88\text{fm}$   
fits data!

the dipole "fit" (dotted line) is simply wrong

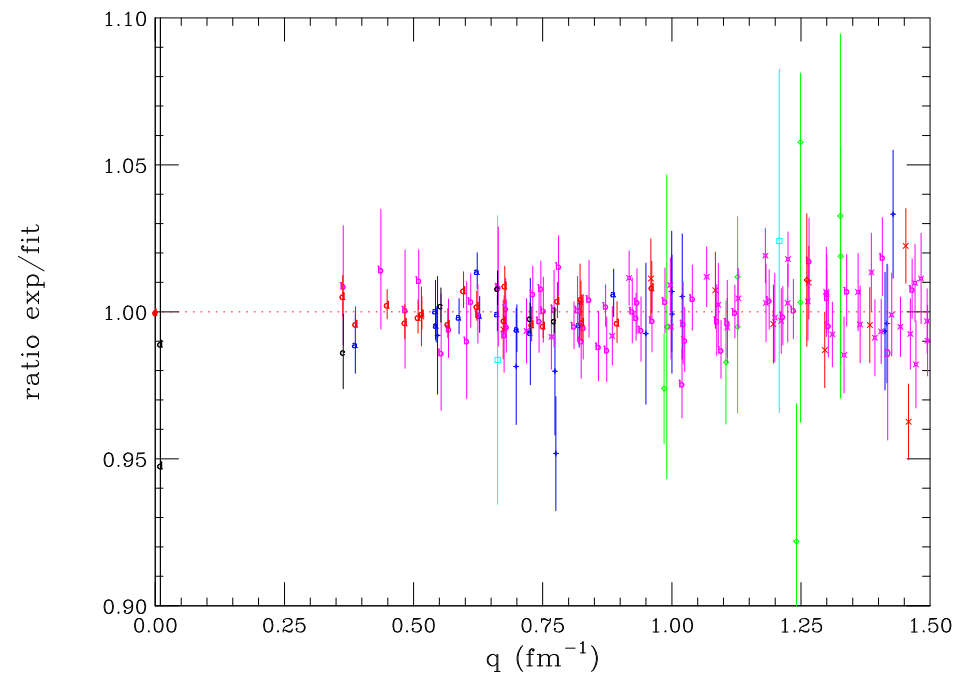


# A direct comparison of cross section ratios of "fit" and fit

## Higinbotham dipole



## MD



Another illustration: CF fit of Griffioen *et al.*

fit Bernauer data

$$q_{max} = 5 fm^{-1}$$

get reduced  $\chi^2$  of 1.61 (+pole ...)

$$\text{find } R = 0.8389 \pm 0.0004 fm$$

conclude that agrees with  $\mu X$

But Bernauer data can be fit with reduced  $\chi^2$  of 1.14  
shown years ago

For 1400 data points difference 1.61 .. 1.14 is  $\Delta\chi^2 = 660!$

Who in his right mind would call that a "fit"?

## Model-dependence due to choice of fit-function

some authors use 1- parameter fits at low  $q$

power of  $q^2$ , linear in  $z$ , single dipole, ....

then  $\langle r^4 \rangle / \langle r^2 \rangle$  is fixed by fit-function, not data

$\langle r^4 \rangle \neq$  true value known from fit of data over whole  $q$ -range

then  $\langle r^2 \rangle$  must compensate for wrong  $\langle r^4 \rangle \rightarrow$  wrong value of  $R$

also gives larger  $\chi^2$

## Illustration: low- $q$ fits of Horbatsch+Hessels

fit Bernauer data,  $q_{max} \sim 1.6 fm^{-1}$

use 1-parameter dipole resp. 1-parameter linear function in  $z$

find  $R = 0.842(2)$  resp.  $0.888(1) fm$

fit-function fixes  $\langle r^4 \rangle$  to  $1.244 fm^4$  resp.  $2.15 fm^4$ . True value  $2.58 fm^4$  (fit to all data)

explains both low and discrepant radii

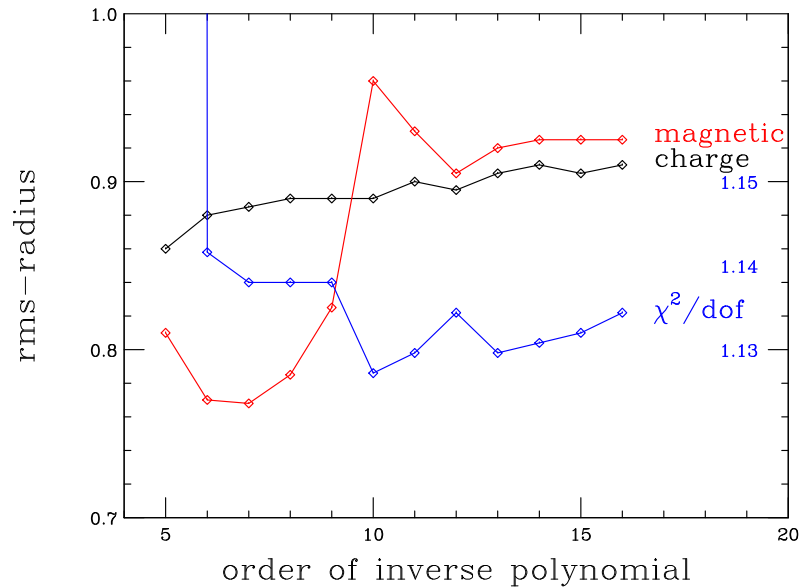
is reason why  $\chi^2/dof = 1.11$  instead of 1.03

for 761 points  $\Delta\chi^2 = 61!$  ( $\sim 8\sigma$ 's)

'Fits'  $8\sigma$ 's from minimum are irrelevant when discussing  $5\sigma$  difference (e,e) $\leftrightarrow \mu X!$

## Inverse Polynomial, Bernauer

$$G(q^2) = 1/(1 + a_1q^2 + a_2q^4 + \dots)$$



### Curious behavior:

between order  $N=7$  and  $N=10$   $R^M$  jumps from  $0.76\text{fm}$  to  $0.96\text{fm}$   
 $\chi^2$  best for  $N=10$   
would nominally be *the* best fit!

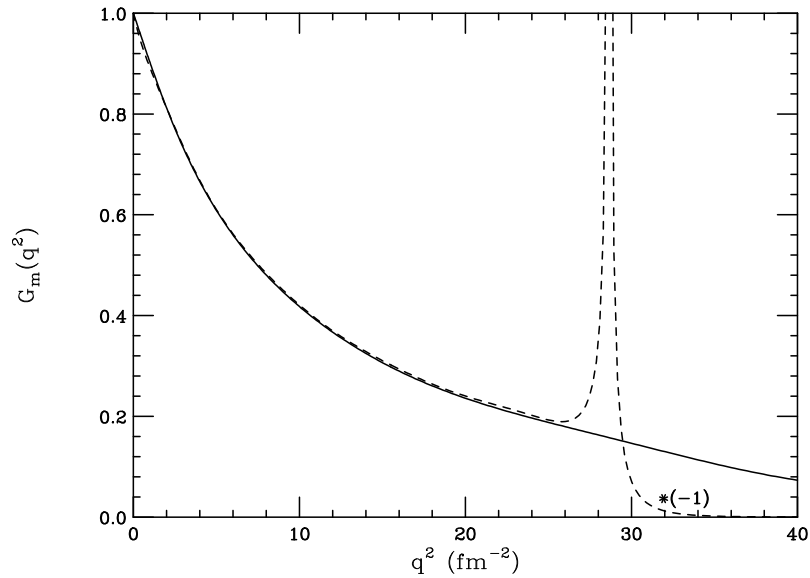
Bernauer *et al.* chose order  $N=7$  ( $\chi^2$   $\pm$  stabilized)

Question remains:

what is responsible for jump?

how can the  $q^{20}$ -term affect the *rms*-radius?

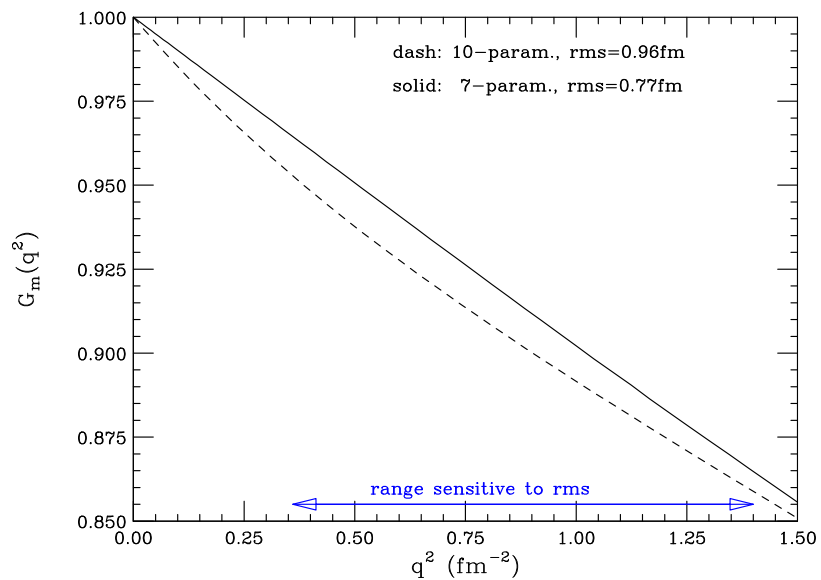
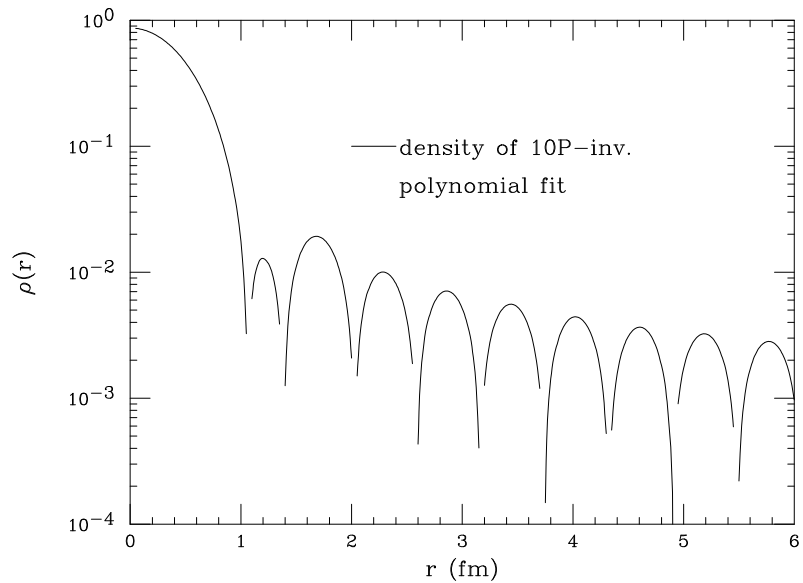
Understanding



$G_M$  for  $N=10$  has pole at  $q > q_{max}$

In  $\rho(r)_m$  this leads to oscillatory tail extending to *very* large  $r$ , see next page

## Density from $G(q)$ with pole



Tail affects  $G_m(q)$  at *very low*  $q$   
below  $q_{min}$  of data

N=10 fit pathological

N=7 better? Has pole too, at larger  $q$

Cannot believe either radius

Failed fits with too large  $\chi^2$   
continued fraction fits by Lorenz *et al.*

$$G(q) = \frac{1}{1 + \frac{q^2 b_1}{1 + \frac{q^2 b_2}{1 + \dots}}}$$

many fits of Bernauer data with variable  $q_{max}$

for *e.g.* 5 terms and  $q_{max} = 3.5 \text{ fm}^{-1}$  find charge-rms-radius  $0.84 \text{ fm}$

disagrees with "accepted" result of  $0.88 \text{ fm}$

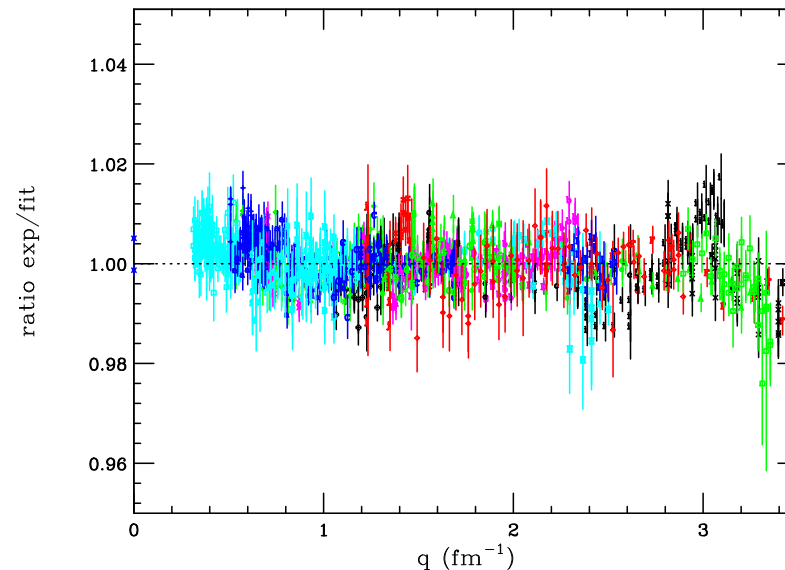
One reason

$\chi^2 \sim 1.4/dof$  much to big

→ systematic deviations at low  $q$

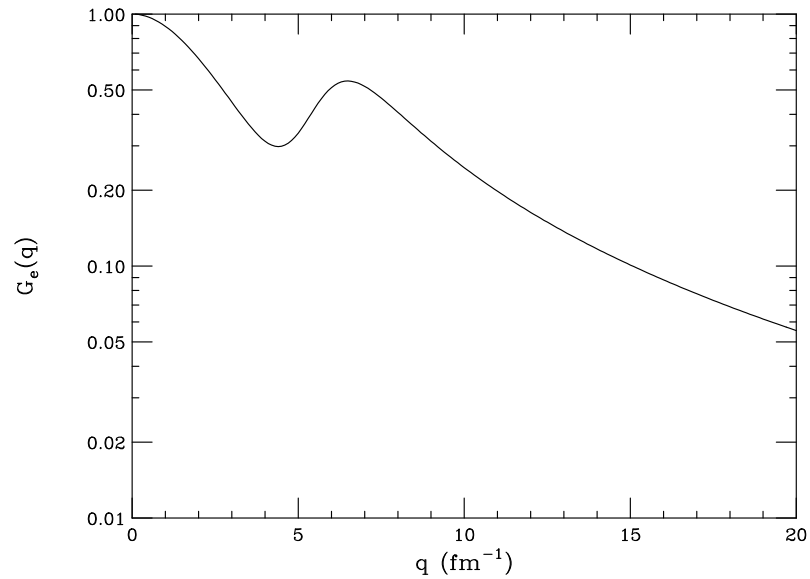
Spline fit gives  $1.06/dof$

from such a fit cannot draw conclusions



## Main problem of Lorenz *et al.*

Unphysical behavior of  $G$  at  $q > q_{max} = 3.5 \text{ fm}^{-1}$



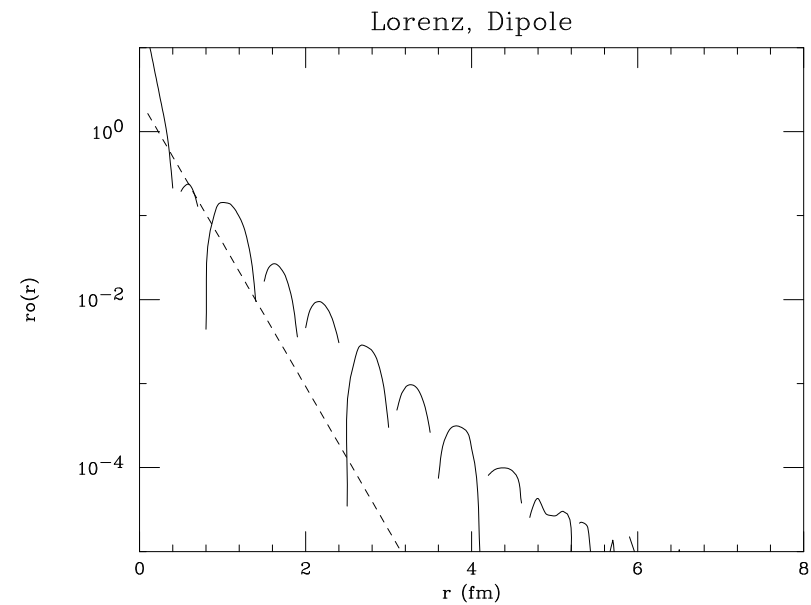
large  $G(q)$  at large  $q$

falls *very* slowly

→ structure of  $\rho(r)$  at very large  $r$

large contribution to *rms*-radius

main effect on  $G(q)$  at  $q < q_{min}$





## Physical model for large $r$

least-bound Fock state:  $p = n + \pi^+$

dominates  $\rho(r)$  completely at large-enough  $r$  ( $> 0.8 fm$  in cloudy bag model)

## To maximally fix $\rho(r)$ from $G(q)$ -data

want to use data up to largest  $q$ 's measured

must account for relativistic corrections

## $\rho(r)_{exp}$ from (e,e) vs relativistic corrections

non-relativistic:  $\rho(r) =$  Fourier-transform of  $G_e(q)$

Relativistic corrections:

### 1. Determine $\rho(r)$ in Breit-frame, accounts for Lorentz contraction

use as momentum transfer  $\kappa^2 = q^2/(1 + \tau)$ ,  $\tau = q^2/4M^2$

### 2. For composite systems boost operator depends on structure

various theoretical results (Licht, Mitra, Ji, Holzwarth,...), all of form

$$G_e(q) \rightarrow G_e(q)(1 + \tau)^\lambda, \lambda=1 \text{ or } 2$$

numerical test:  $\lambda=1$  or  $2$  makes little difference for  $\rho$  at large  $r$

(but fixes unphysical behavior at  $r \sim 0$ )

## Calculation of density at very large $r$

**a priori:** asymptotic form = Whittaker function  $W_{-\eta, 3/2}(2\kappa r)/r$   
with physical masses  $m_N, m_\pi, l=1$   
with separation energy =  $m_\pi$ , include CM-correction

makes sense only at *large* n- $\pi$  relative distance:  $R^p = 0.89 fm, R^\pi = 0.66 fm$   
only at large  $r$  overlap of n and  $\pi$  small

## potential difficulty

need to fold  $W^2/r^2$  with charge distribution of n,  $\pi$   
could get into trouble with  $r = 0$  divergence of  $W(2\kappa r)/r$

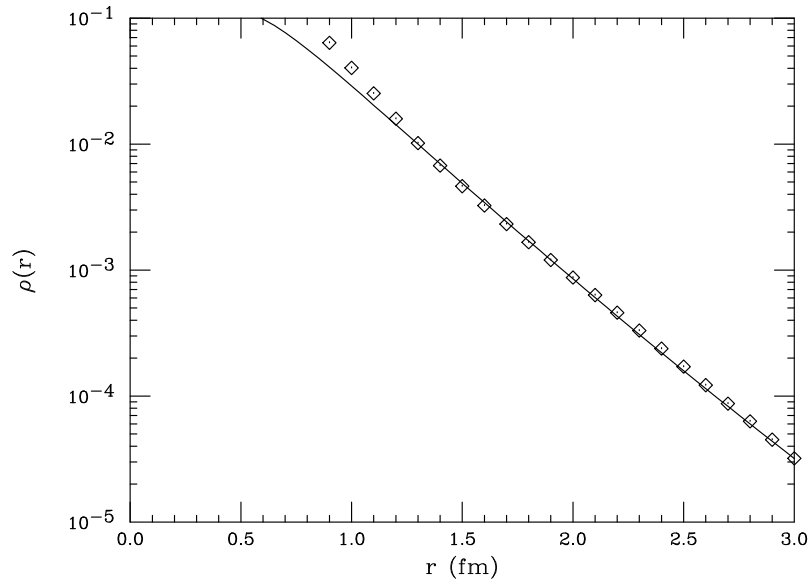
## In practice

calculate w.f. in square well potential,  $V(r > r_0) = 0$  (courtesy D.Trautmann)  
radius  $r_0 = 0.8 fm$  (not important), depth adjusted to separation energy

for  $r > r_0$  shape of  $\psi^2 \equiv$  shape of Whittaker function  
can easily fold

## Result

excellent agreement with shape of  $\rho_{exp}(r)$   $\diamond$   
(= fit *world* data with [3][5] Pade)  
(normalization fitted to  $\rho_{exp}$ )



## ”Refinements” of model (not needed, nice consistency check)

allow also for  $\Delta + \pi$  contribution

coefficients of various terms from Dziembowski,...,Speth

’Pionic contribution to nucleon EM properties in light-front approach’

include all states:  $\pi^+n$ ,  $\pi^-p$ ,  $\pi^-\Delta^{++}$ ,  $\pi^+\Delta^0$ ,  $\pi^-\Delta^+$ ,  $\pi^+\Delta^-$

effect on p-tail: small, tail even a bit closer to  $\rho_{exp}$  at small  $r$

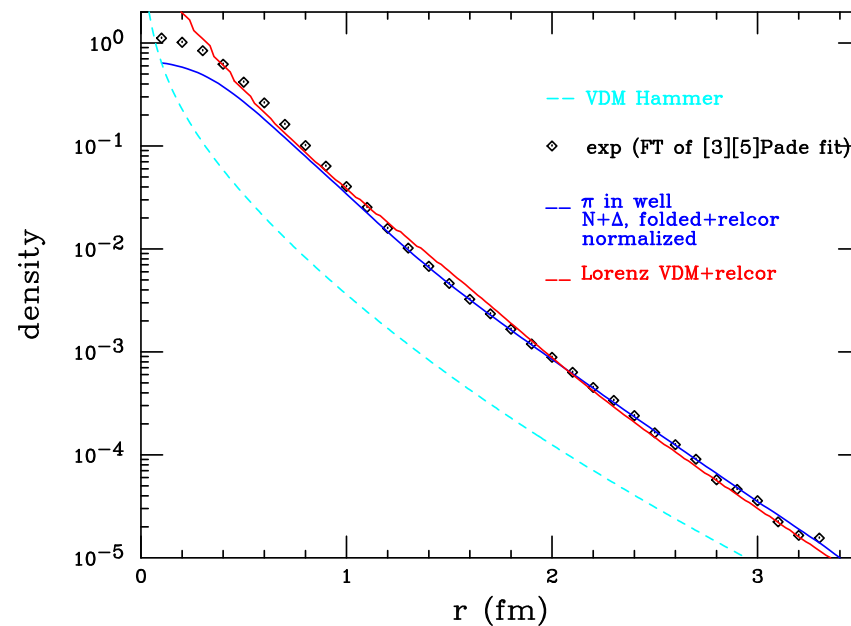
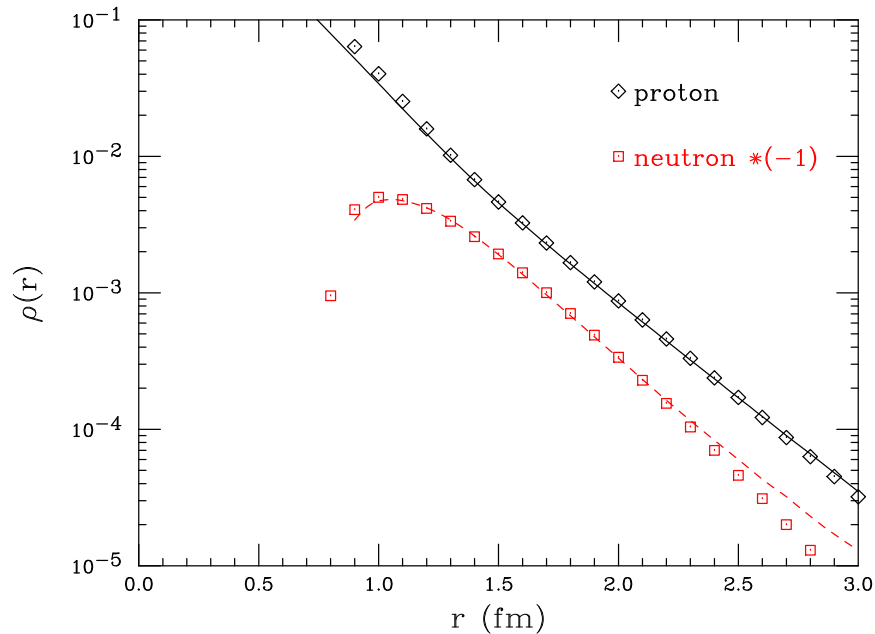
effect on n-tail: larger, gets close to  $\rho_{exp}$  with exactly *same* parameters

nice consistency check

will ignore n since components  $\neq \pi^-p$  too important

◇  $\rho_p(r)$ , ◇  $-\rho_n(r)$ , — shape tail,

compares nicely to (new) VDM



## Details of SOG fit

### Data used

*world* (e,e) data up to  $12 \text{ fm}^{-1}$

both cross sections and polarization data, 605 data points  
for some fits add Bernauer  $\sigma$  with 0.4% quadr. added to  $\delta\sigma$

accounts for problems with background subtraction, target offsets  
two-photon exchange corrections

needed to make  $G_{ep}$  from  $\sigma$  and P agree

includes both soft+hard photons, Melnitchouk+Tjon  
(relative) tail density for  $r > 1.3 \text{ fm}$

### Parameterization for $G_e$ and $G_m$

use  $r$ -space SOG parameterization to implement constraint  
equivalent results with Laguerre

### Results

average over various combinations of data sets  
floating or not of normalization

$$R^{ch} = .886 \pm 0.008 \text{ fm} \quad R^m = .858 \pm .024 \text{ fm}$$

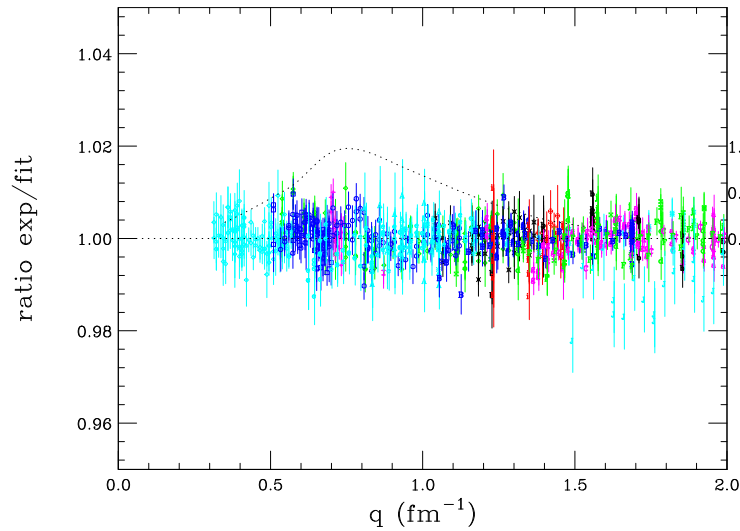
**Conclusion: disagreement with  $\mu$ -H confirmed**

Question: to which degree could fit (e,e) with  $R_{\mu X}$ ?

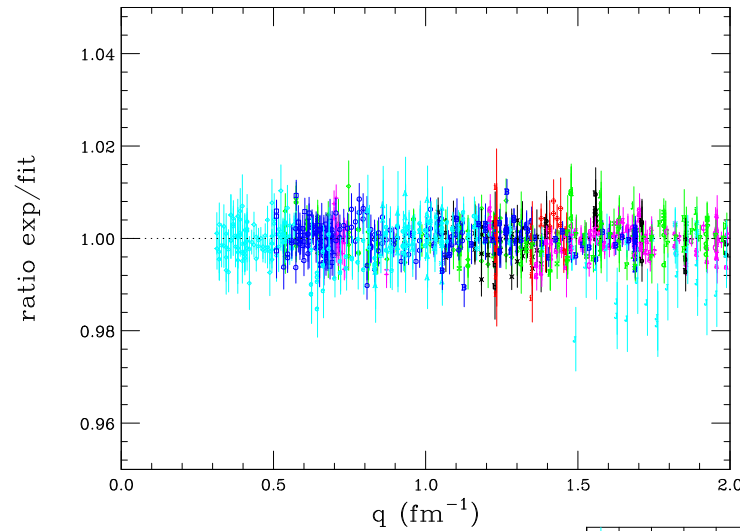
redo analysis with various combinations of data, normalization

1. Bernauer data alone:  $\Delta\chi^2 \sim 5\%$ , not visible in  $\sigma_{exp}/\sigma_{fit}$

$R=0.84fm$



$R=0.88fm$



2. world(floated) + Bernauer:  $\Delta\chi^2 \sim 8\%$

3. world(floated) + tail:  $\Delta\chi^2 \sim 10\%$

4. world + tail:  $\Delta\chi^2 \sim 24\%$

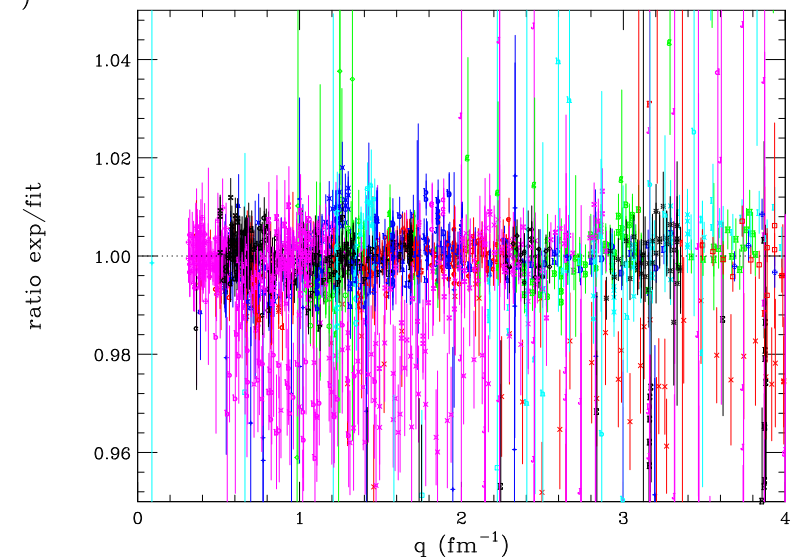
5. world + Bernauer + tail:  $\Delta\chi^2 \sim 24\% \rightarrow$

visible disagreement data/fit

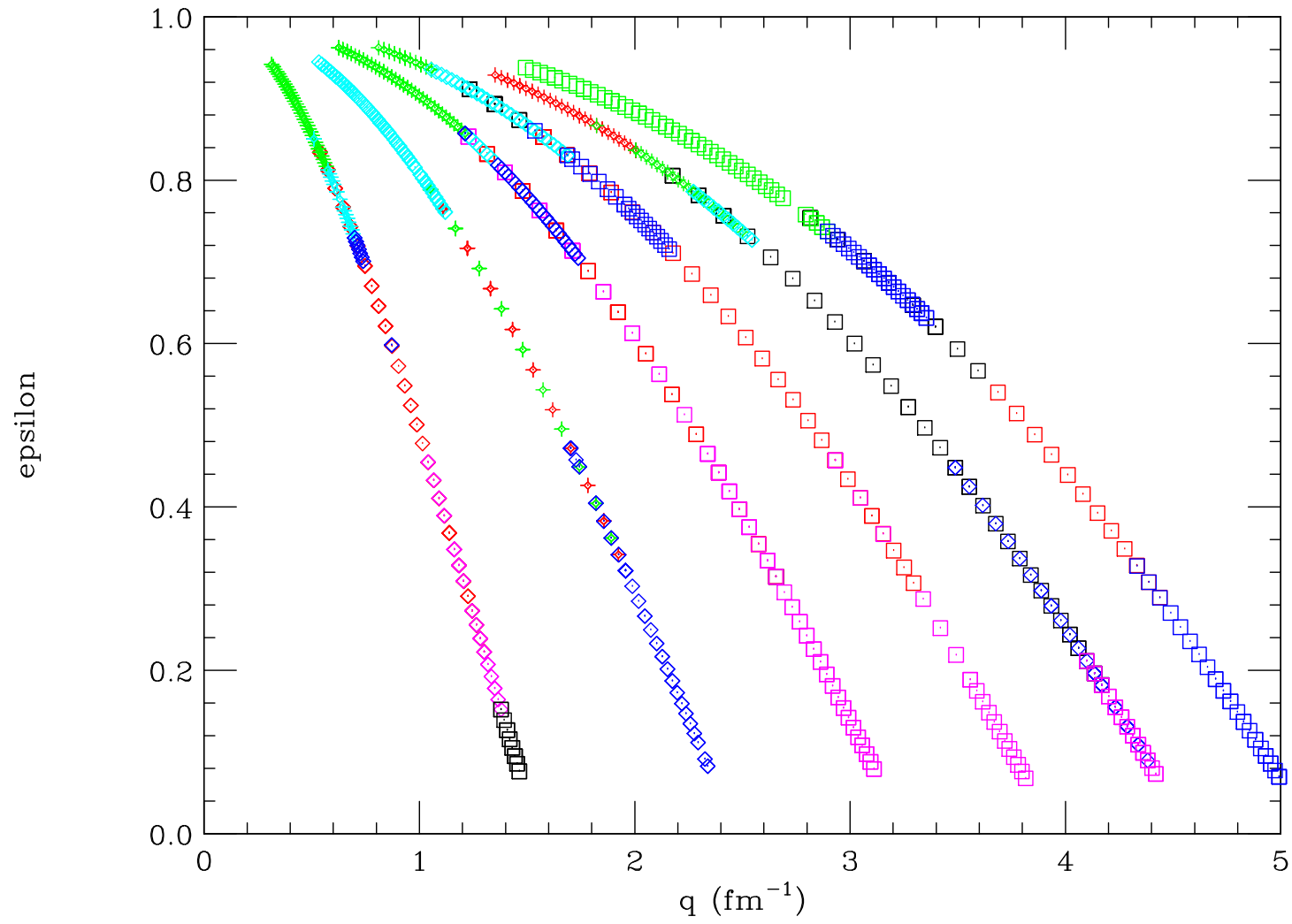
world 2-3% below fit

$\rightarrow$  absolute data + tail information most helpful

B.002+W, SOG, 0.84



## Kinematics of Bernauer data



## Bernauer data, background subtraction

$R^{ch} = 0.879 \pm 0.007 \text{ fm}$ ,  $R^m = 0.777 \pm 0.02 \text{ fm}$   
at first sight nice confirmation of previous  $R^{ch}$

**Problematic:** disagreement with *world* value  $R^m = 0.855 \pm 0.035 \text{ fm}$

**Note:**  $R^m$ -discrepancy only 0.3% of  $\sigma$  at  $q$  of maximal sensitivity to *rms*-radius

At this level target-window subtraction no good

background 4 ... 10% , **not measured, but simulated!**

## Window subtraction via model wrong

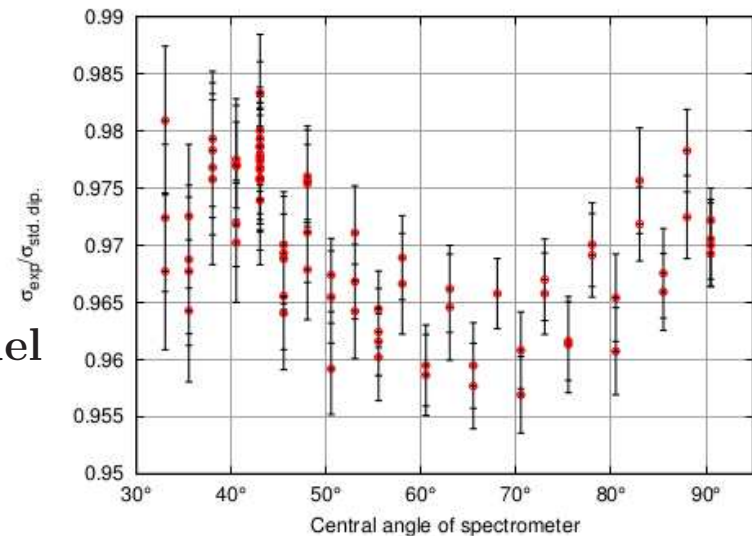
data affected by detector inefficiency  
window model *not* affected

## Window model much too primitive

radiative tail+q.e.-scattering in Fermi-Gas model  
no inelastic scattering, F-G model no good

## Fit of data poor

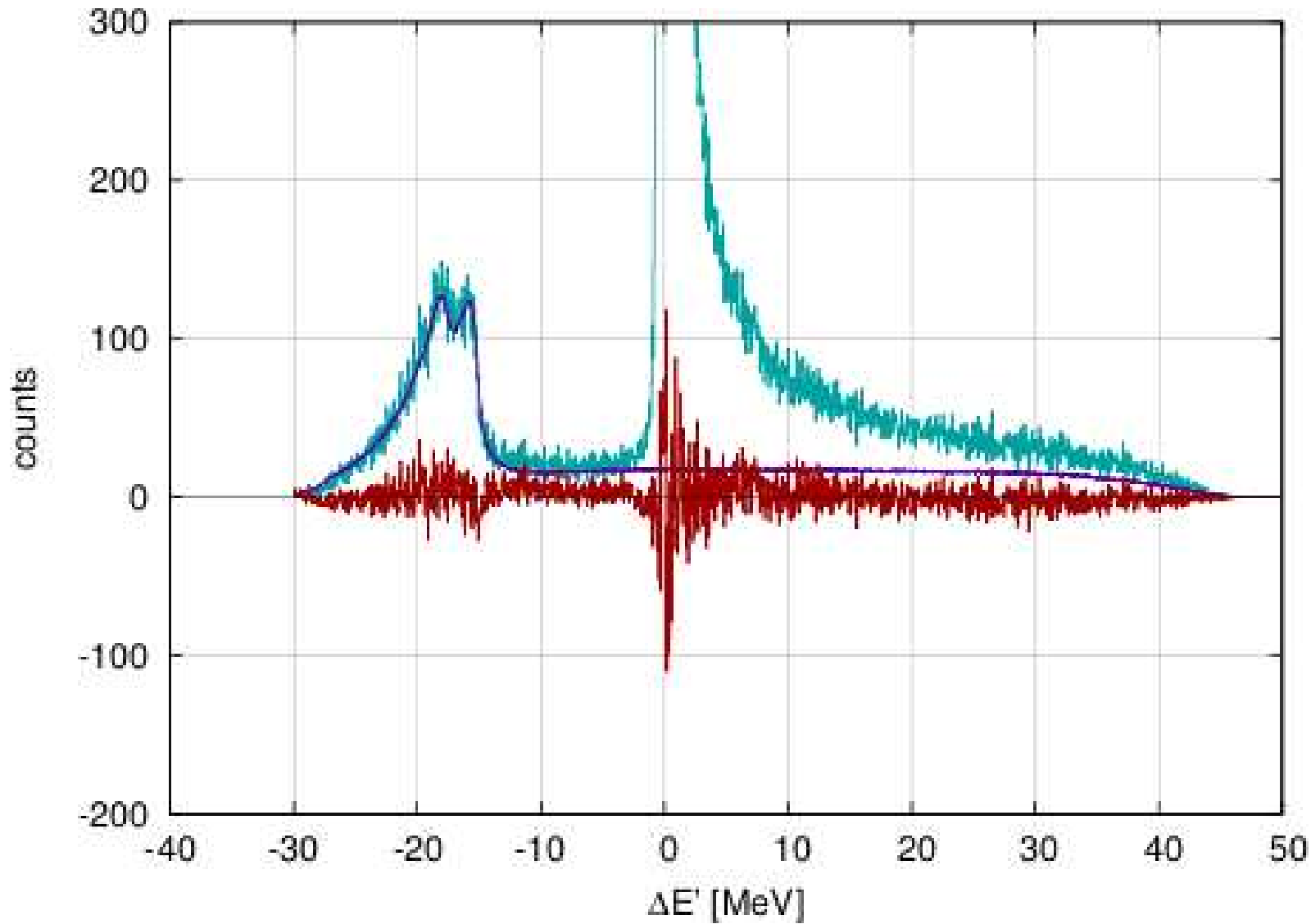
see next page





Spectrum shown in thesis

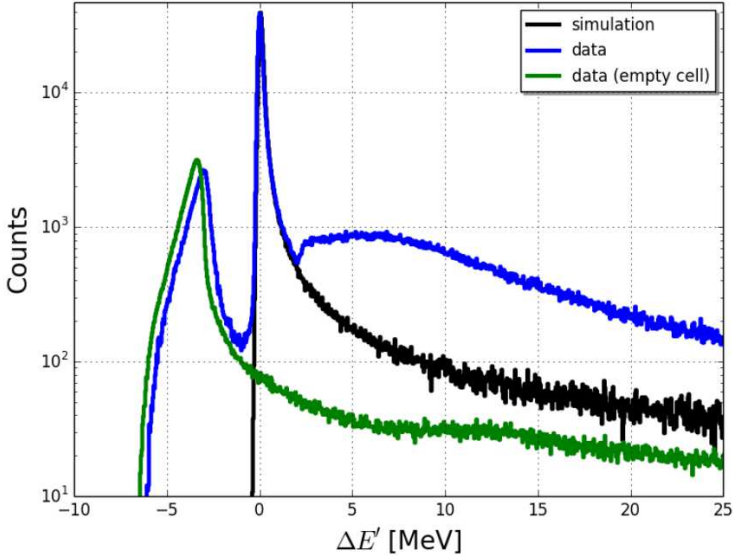
shows misfit amounting to 1.2% in cross section! Large compared to 0.3%!



Must be fixed before can believe results

# Measured window contribution

## MAMI d(e,e)-experiment



shows structure of background relevant in  $1 fm^{-1}$  region

Problem of Bernauer data also shown by fits

Inverse polynomial fit of Bernauer

$\chi^2$  as low as other best-fits

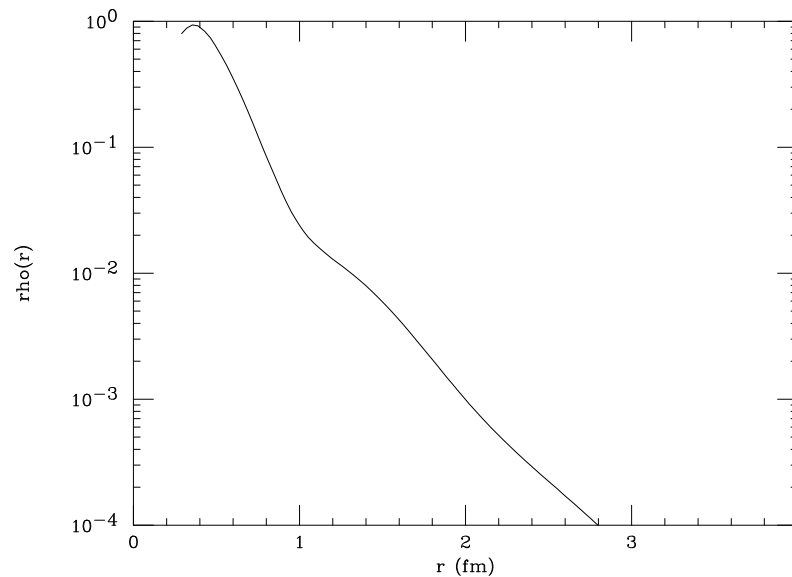
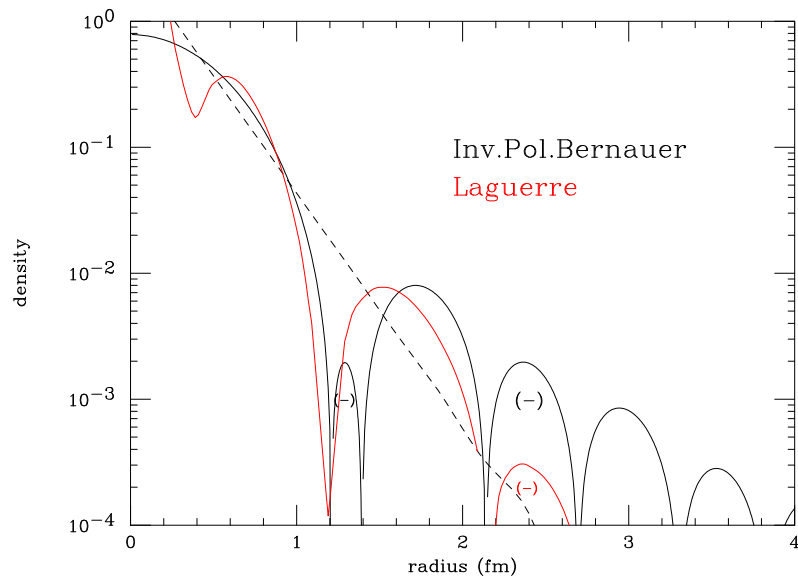
yields strongly oscillating density, same with Laguerre

Laguerre fits with tail constraint

trying to reduce unphysical oscillations

$\chi^2$  higher by factor  $\sim 1.5$

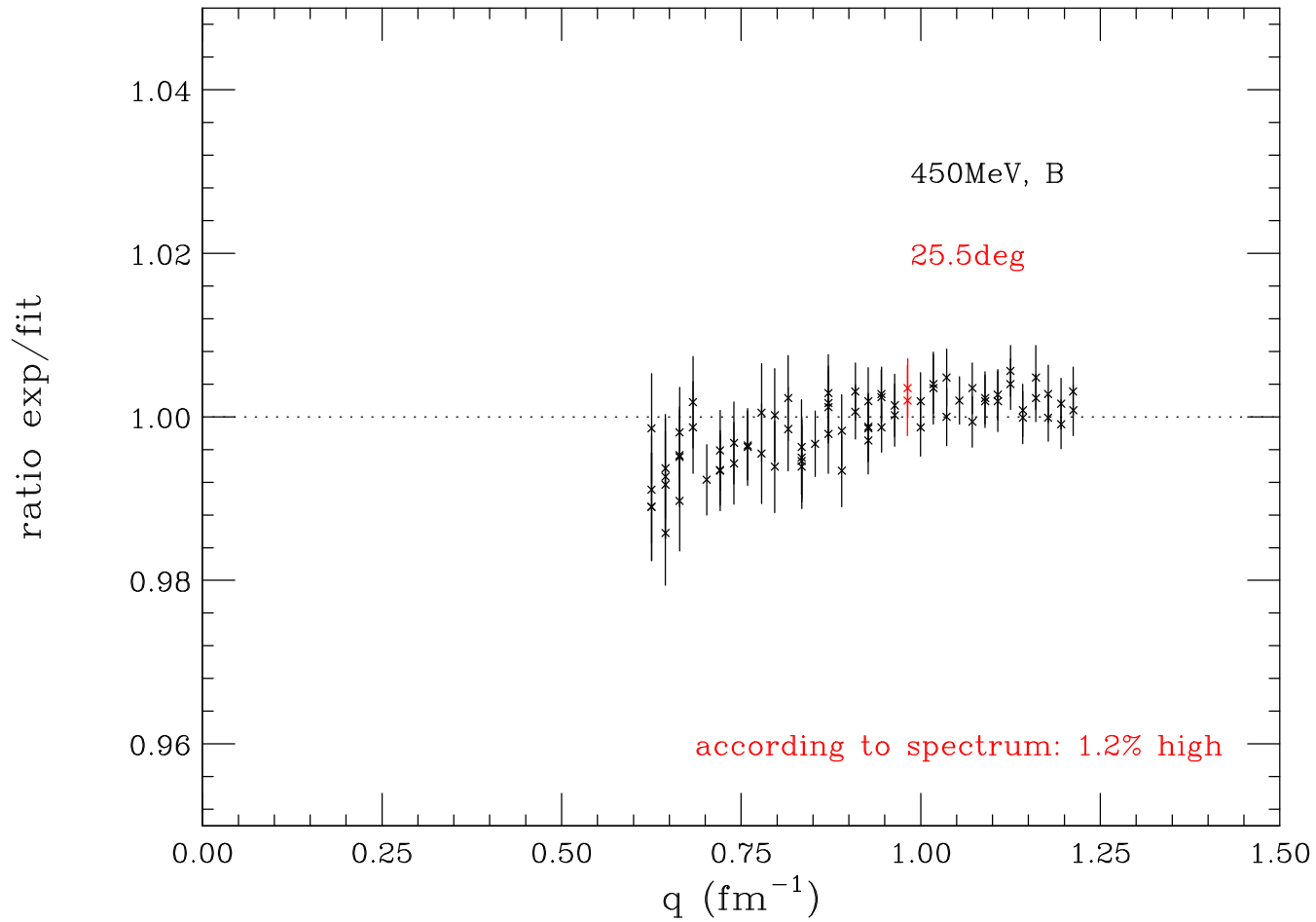
but density still shows remainders of oscillations



differences Bernauer-world of few % lead to unreasonable  $\rho$

also true for other parameterizations MD, SOG, ..

## 1.2% problem is systematic



→ concerns entire *region* of  $q$

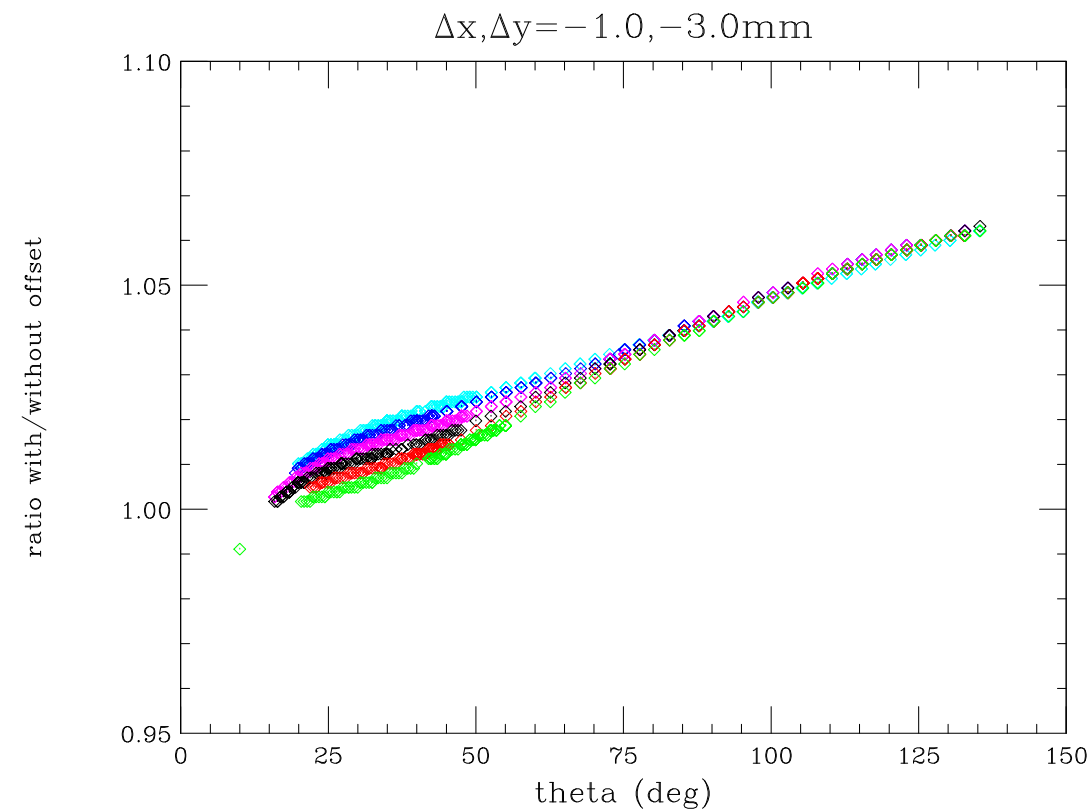
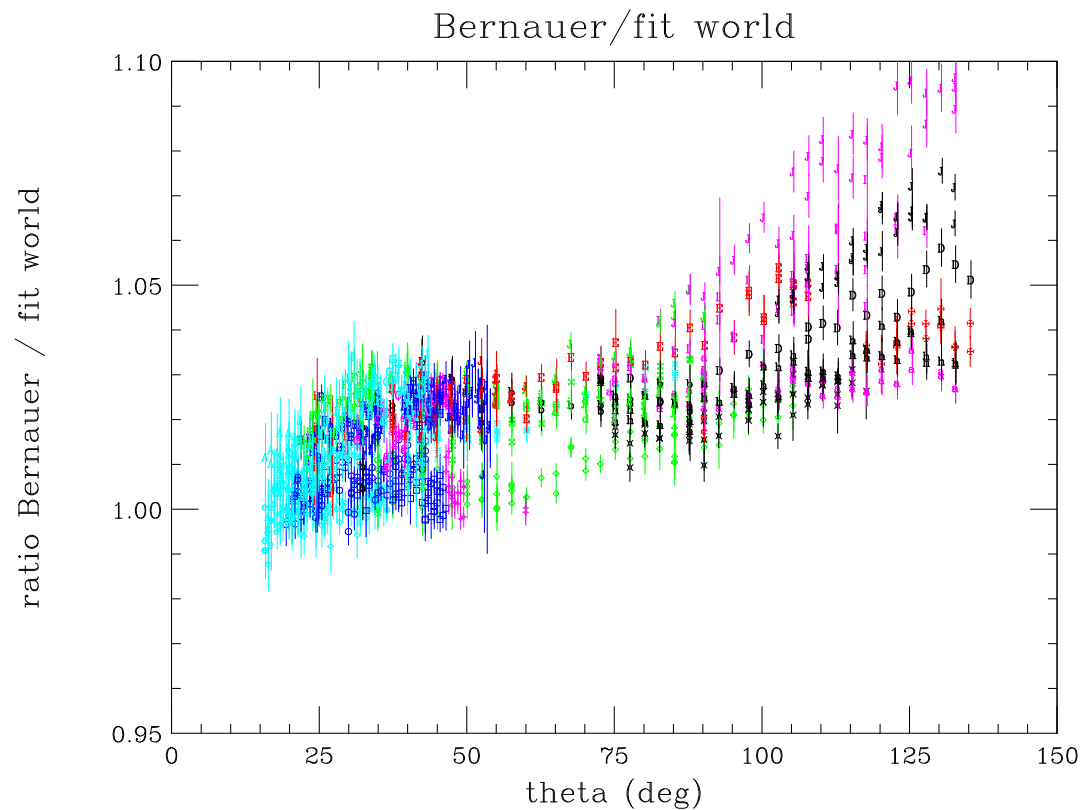
≡ region of maximal sensitivity to *rms*-radius

## Disagreement Bernauer $\leftrightarrow$ world data: very poor $\chi^2$ of common fit

disagreement studied as function of different variables

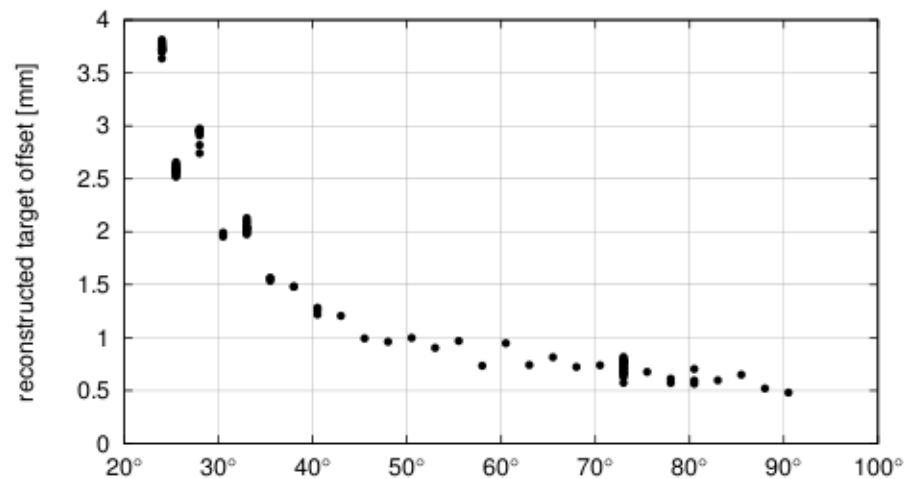
*systematic* difference seen in ratio as function of *angle*

unlikely to be problem of world data ( $\sim 20$  independent sets)



Most likely reason: x/y-offset of target from center of rotation

Off-set indeed seen by Bernauer et al.



according to thesis: corrected for in data analysis

**BUT:** there is a possible *different* reason

magnetic asymmetry of spectrometer relative to mid-plane  
or misaligned detector system  
would also lead to incorrect reconstruction of target position

→ different correction to  $\sigma$ : basically none

One A1 spectrometer now known to have asymmetry  
(partial short in coil)

not enough information available to make true correction