



Centre de  
Physique  
Théorique



# Small-x improved TMD factorization

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# Contents of the talk

- Gluon TMDs in the small- $x$  limit
  - TMDs = transverse-momentum-dependent parton distributions
  - non-linear QCD evolution obtained from the JIMWLK equation
- Probing the gluon TMDs at colliders
  - improved TMD factorization framework
  - unification of BFKL and TMD physics at small  $x$
- Unpolarized and linearly-polarized gluon TMDs
  - using (transverse) gluon polarization to look for saturation effects

# Gluon TMDs at small $x$

# Gluon TMDs and gauge links

- the naive operator definition is not gauge-invariant

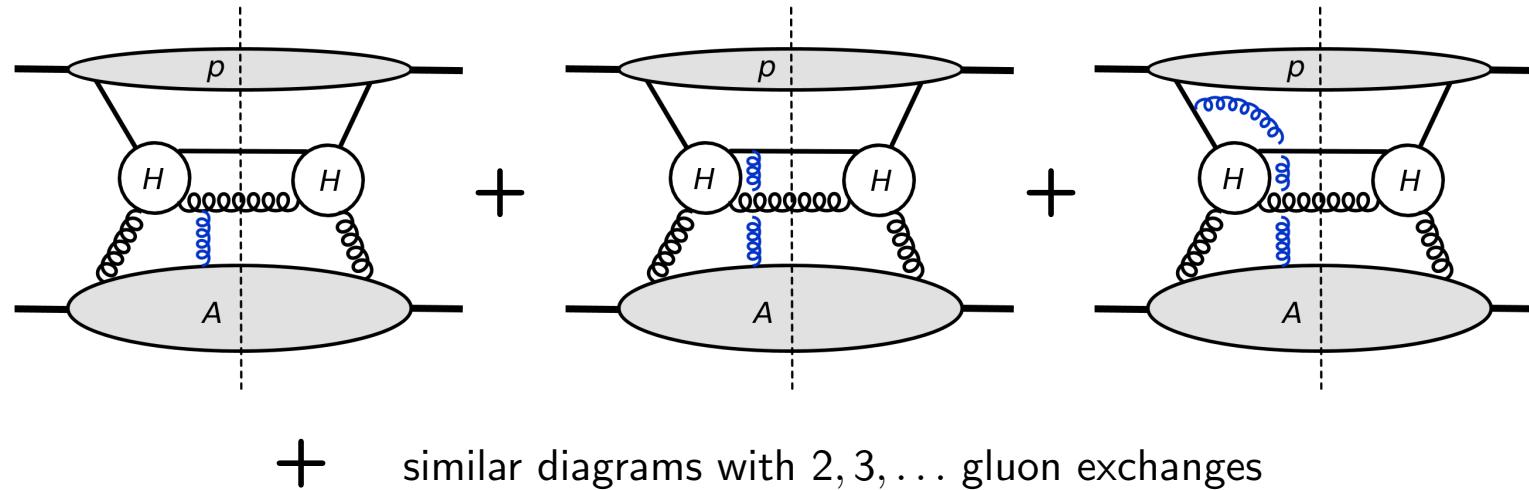
$$\mathcal{F}_{g/A}(x_2, k_t) \stackrel{\text{naive}}{=} 2 \int \frac{d\xi^+ d^2\boldsymbol{\xi}_t}{(2\pi)^3 p_A^-} e^{ix_2 p_A^- \xi^+ - i \boldsymbol{k}_t \cdot \boldsymbol{\xi}_t} \langle A | \text{Tr} [F^{i-}(\xi^+, \boldsymbol{\xi}_t) F^{i-}(0)] | A \rangle$$

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- a theoretically consistent definition requires to include more diagrams



They all contribute at leading power and need to be resummed.

this is done by including gauge links in the operator definition

# Process-dependent TMDs

- the proper operator definition(s)

some gauge link

$$\mathcal{P} \exp \left[ -ig \int_{\alpha}^{\beta} d\eta^{\mu} A^a(\eta) T^a \right]$$

$$\mathcal{F}_{g/A}(x_2, k_t) = 2 \int \frac{d\xi^+ d^2 \xi_t}{(2\pi)^3 p_A^-} e^{i x_2 p_A^- \xi^+ - i k_t \cdot \xi_t} \langle A | \text{Tr} [F^{i-}(\xi^+, \xi_t) U_{[\xi, 0]} F^{i-}(0)] | A \rangle$$

- $U_{[\alpha, \beta]}$  renders gluon distribution gauge invariant

different processes require a different gauge-link structure,  
implying in turn different gluon TMDs

# Process-dependent TMDs

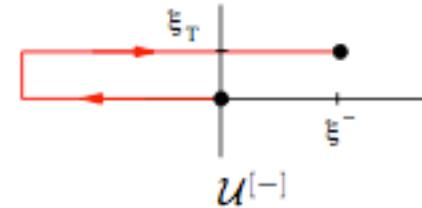
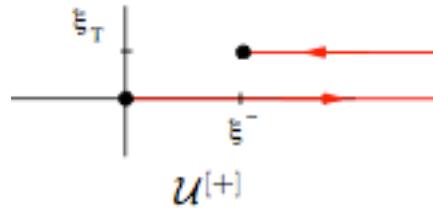
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Dominguez, Xiao and Yuan (2011)

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several paths are possible for the gauge links

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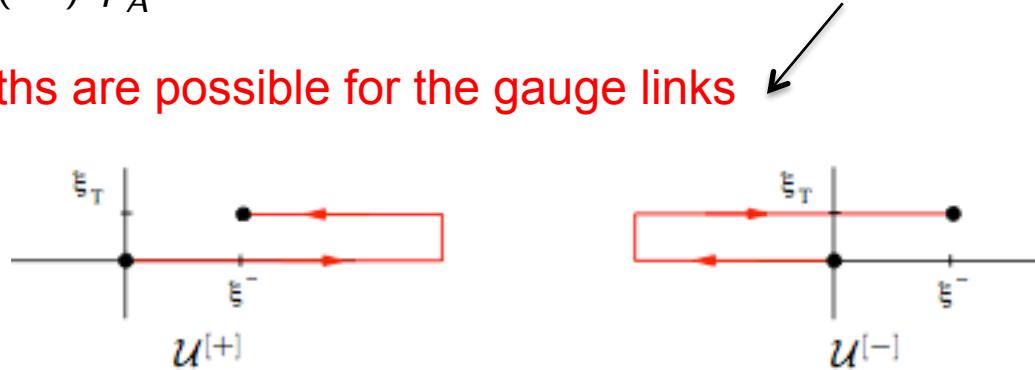
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examples :



- in the large  $k_t$  limit, the process dependence of the gauge links disappears (like for the integrated gluon distribution), and a single gluon distribution is sufficient

in particular, at small-x, all the TMDs share a universal perturbative tail called the unintegrated gluon distribution:

$$\mathcal{F}_{g/A}(x_2, k_t) = UGD(x_2, k_t) + \mathcal{O}(Q_s^2/k_t^2)$$

Kotko, Kutak, CM, Petreska, Sapeta, van Hameren (2015)

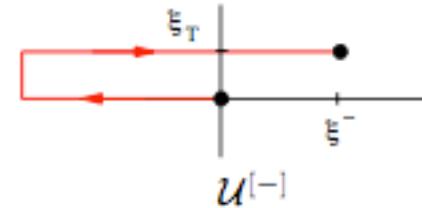
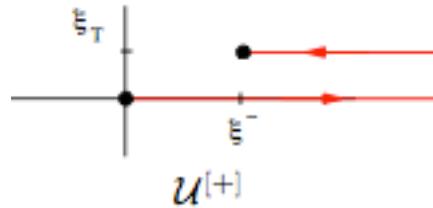
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several paths are possible for the gauge links

examples :



- one can compute the gluon TMDs at small  $x$ , using the Color Glass Condensate effective description of the dense parton content of the wave function, in terms of the large gluon field  $A^-$ :

$$\frac{\langle A | \cdot | A \rangle}{\langle A | A \rangle} \rightarrow \langle \cdot \rangle_x = \int D A^- |\phi_x[A^-]|^2 .$$

# Gluon TMDs at small-x

Dominguez, CM, Xiao and Yuan (2011)

- most-known examples of gluon TMDs :

the (fundamental) dipole gluon TMD

$$\mathcal{F}_{DP}(x_2, k_t) = 2 \int \frac{d\xi^+ d^2\boldsymbol{\xi}}{(2\pi)^3 p_A^-} e^{ix_2 p_A^- \xi^+ - i \boldsymbol{k}_t \cdot \boldsymbol{\xi}} \left\langle A \middle| \text{Tr} \left[ F^{i-}(\xi) \mathcal{U}^{[-]\dagger} F^{i-}(0) \mathcal{U}^{[+]} \right] \middle| A \right\rangle$$

the Weizsäcker-Williams gluon TMD

$$\mathcal{F}_{WW}(x_2, k_t) = 2 \int \frac{d\xi^+ d^2\boldsymbol{\xi}}{(2\pi)^3 p_A^-} e^{ix_2 p_A^- \xi^+ - i \boldsymbol{k}_t \cdot \boldsymbol{\xi}} \left\langle A \middle| \text{Tr} \left[ F^{i-}(\xi) \mathcal{U}^{[+]\dagger} F^{i-}(0) \mathcal{U}^{[+]} \right] \middle| A \right\rangle$$

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- at small x they can be written as:

$$U_{\mathbf{x}} = \mathcal{P} \exp \left[ ig \int_{-\infty}^{\infty} dx^+ A_a^-(x^+, \mathbf{x}) t^a \right]$$

$$\mathcal{F}_{DP}(x_2, k_t) = \frac{4}{g^2} \int \frac{d^2\mathbf{x} d^2\mathbf{y}}{(2\pi)^3} e^{-ik_t \cdot (\mathbf{x} - \mathbf{y})} \left\langle \text{Tr} [(\partial_i U_{\mathbf{y}})(\partial_i U_{\mathbf{x}}^\dagger)] \right\rangle_{x_2}$$

$$\mathcal{F}_{WW}(x_2, k_t) = -\frac{4}{g^2} \int \frac{d^2\mathbf{x} d^2\mathbf{y}}{(2\pi)^3} e^{-ik_t \cdot (\mathbf{x} - \mathbf{y})} \left\langle \text{Tr} [(\partial_i U_{\mathbf{x}}) U_{\mathbf{y}}^\dagger (\partial_i U_{\mathbf{y}}) U_{\mathbf{x}}^\dagger] \right\rangle_{x_2}$$

these Wilson line correlators also emerge directly in CGC calculations

# $x$ evolution of the gluon TMDs

the evolution of Wilson line correlators with decreasing  $x$  can  
be computed from the so-called JIMWLK equation

$$\frac{d}{d \ln(1/x_2)} \langle O \rangle_{x_2} = \langle H_{JIMWLK} \ O \rangle_{x_2}$$

Jalilian-Marian, Iancu,  
McLerran, Weigert,  
Leonidov, Kovner

a functional RG equation that resums the  
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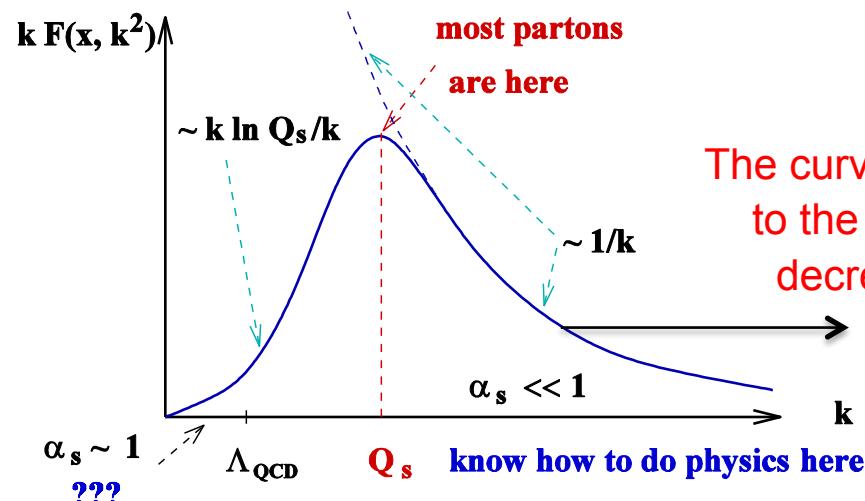
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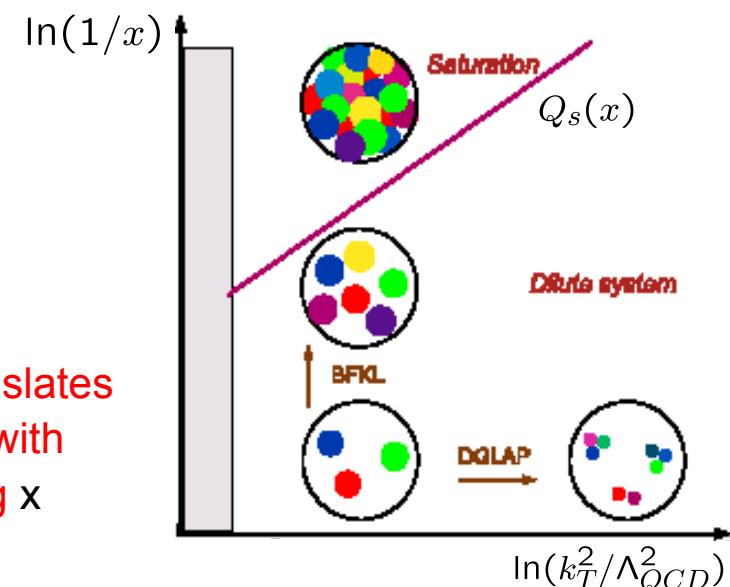
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a functional RG equation that resums the leading logarithms in  $y = \ln(1/x_2)$

- qualitative solutions for the gluon TMDs:



The curve translates to the right with decreasing  $x$



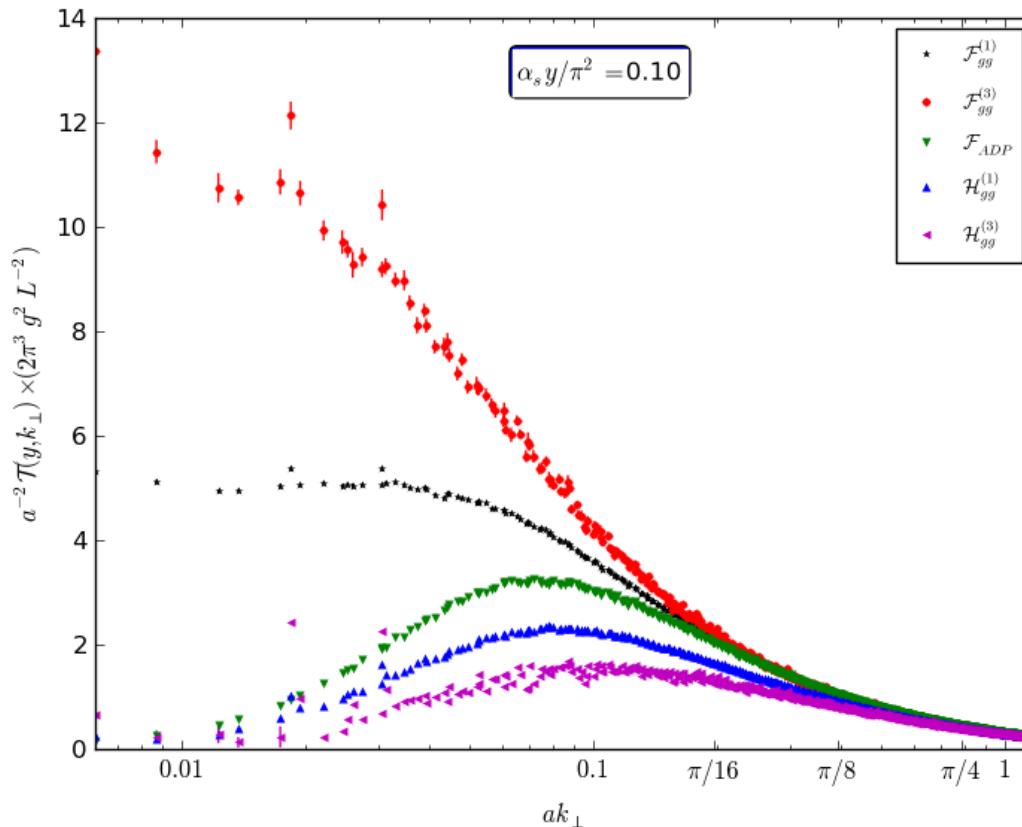
the distribution of partons as a function of  $x$  and  $k_T$

# JIMWLK numerical results

initial condition at  $y=0$  : MV model  
evolution: JIMWLK at leading log

CM, Petreska, Roiesnel (2016)

CM, Roiesnel, Taels (2017)



saturation effects impact the various gluon TMDs in very different ways

# running-coupling JIMWLK

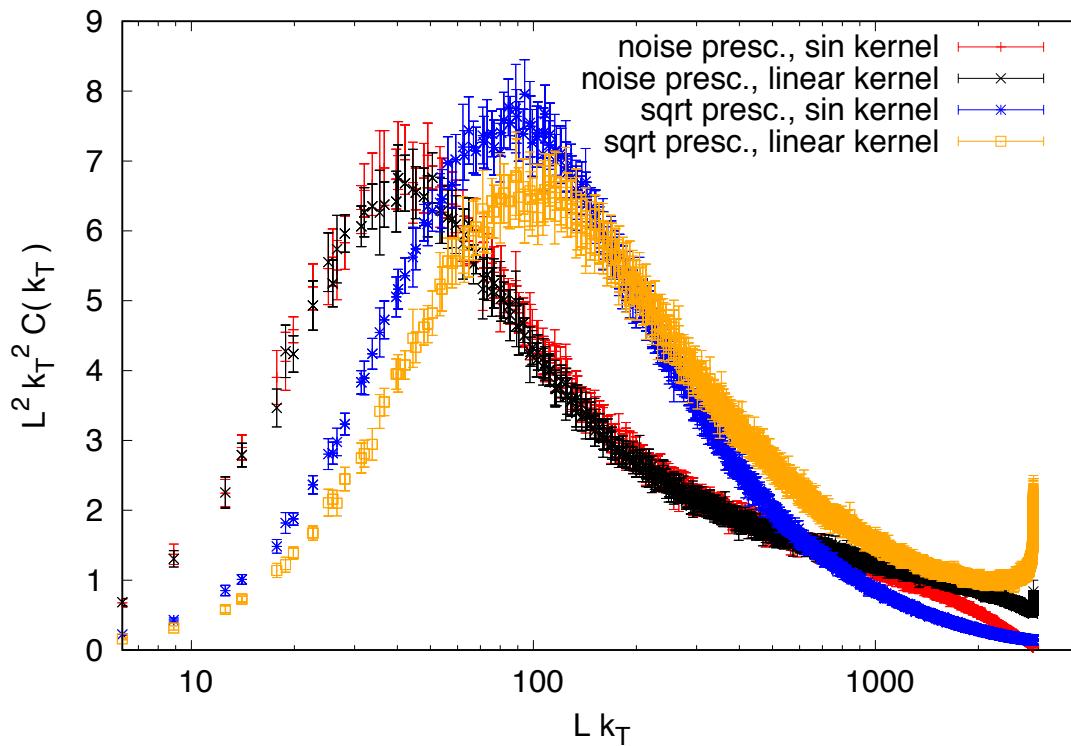
different prescriptions exist to introduce the running of the coupling in the JIMWLK equation

Rummukainen, Weigert (2004)

Lappi, Mäntysaari (2013)

Korcyl (2020)

Cali, Cichy, Korcyl, Kotko, Kutak, CM (2021)

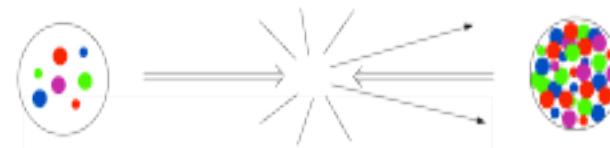
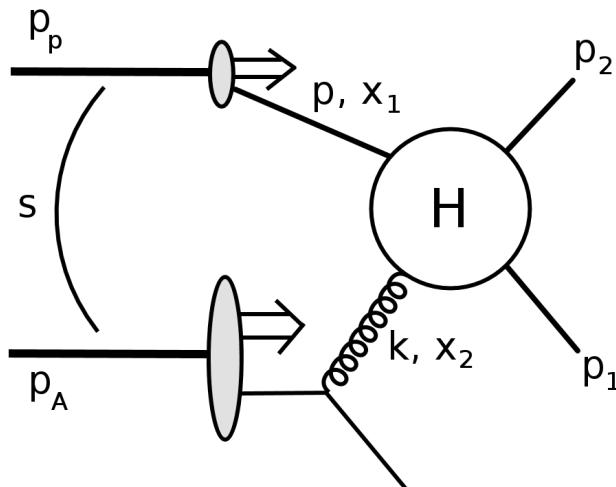


systematic effects impact the various prescriptions different ways

# Probing the gluon TMDs at colliders

# Dilute-dense 2-to-2 processes

- large- $x$  projectile (proton) on small- $x$  target (proton or nucleus)



$$\langle k_{1t} \rangle \sim \Lambda_{QCD} \quad \langle k_{2t} \rangle \sim Q_s(x_2)$$

$$Q_s(x_2) \gg \Lambda_{QCD}$$

Incoming partons' energy fractions:

$$x_1 = \frac{1}{\sqrt{s}} (|p_{1t}| e^{y_1} + |p_{2t}| e^{y_2})$$

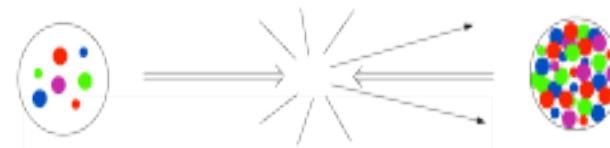
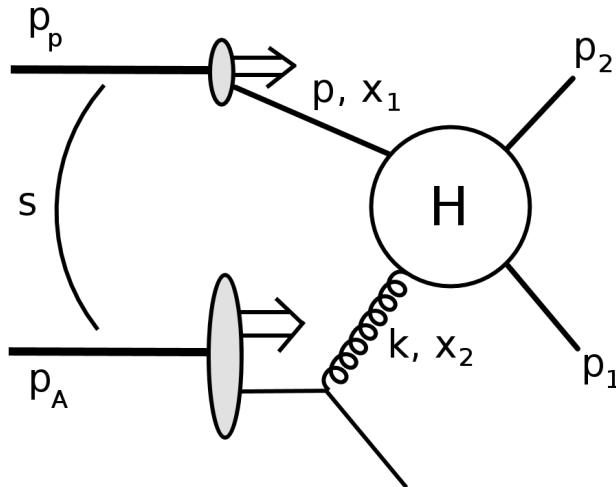
$$x_2 = \frac{1}{\sqrt{s}} (|p_{1t}| e^{-y_1} + |p_{2t}| e^{-y_2})$$

so-called “dilute-dense” kinematics

$$\xrightarrow{y_1, y_2 \gg 0} \quad \begin{array}{lll} x_1 & \sim & 1 \\ x_2 & \ll & 1 \end{array}$$

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Incoming partons' energy fractions:

$$x_1 = \frac{1}{\sqrt{s}} (|p_{1t}| e^{y_1} + |p_{2t}| e^{y_2}) \xrightarrow{y_1, y_2 \gg 0} x_1 \sim 1$$

$$x_2 = \frac{1}{\sqrt{s}} (|p_{1t}| e^{-y_1} + |p_{2t}| e^{-y_2}) \qquad \qquad \qquad x_2 \ll 1$$

so-called “dilute-dense” kinematics

Gluon's transverse momentum ( $p_{1t}, p_{2t}$  imbalance):

$$|k_t|^2 = |p_{1t} + p_{2t}|^2 = |p_{1t}|^2 + |p_{2t}|^2 + 2|p_{1t}||p_{2t}| \cos \Delta\phi$$

relevant regime here :  $|p_{1t}|, |p_{2t}| \sim \mathbf{P} \gg Q_s$

# Small-x improved TMD factorization

Kotko, Kutak, CM, Petreska, Sapeta, van Hameren (2015 - 2016)  
Altinoluk, Boussarie, Kotko (2019)

$$d\sigma \propto f(x_1) \sum_c H_{(c)}(\mathbf{P}, k_t) \times \text{TMD}_{(c)}(x_2, k_t)$$

standard collinear pdf  
for the large-x projectile

off-shell  
hard factors

several gluon TMDs  
for the small-x target



# ITMD factorization (schematically)

Kotko, Kutak, CM, Petreska, Sapeta, van Hameren (2015 - 2016)  
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standard collinear pdf  
for the large- $x$  projectile
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several gluon TMDs  
for the small- $x$  target

**ITMD** ~  $f(x_1) \sum_c \left[ H_{(c)}(\mathbf{P}, 0) + \mathcal{O}\left(\frac{k_t^2}{\mathbf{P}^2}\right)_{(c)} \right] \times \left[ \text{UGD}(x_2, k_t) + \mathcal{O}\left(\frac{Q_s^2(x_2)}{k_t^2}\right)_{(c)} \right]$

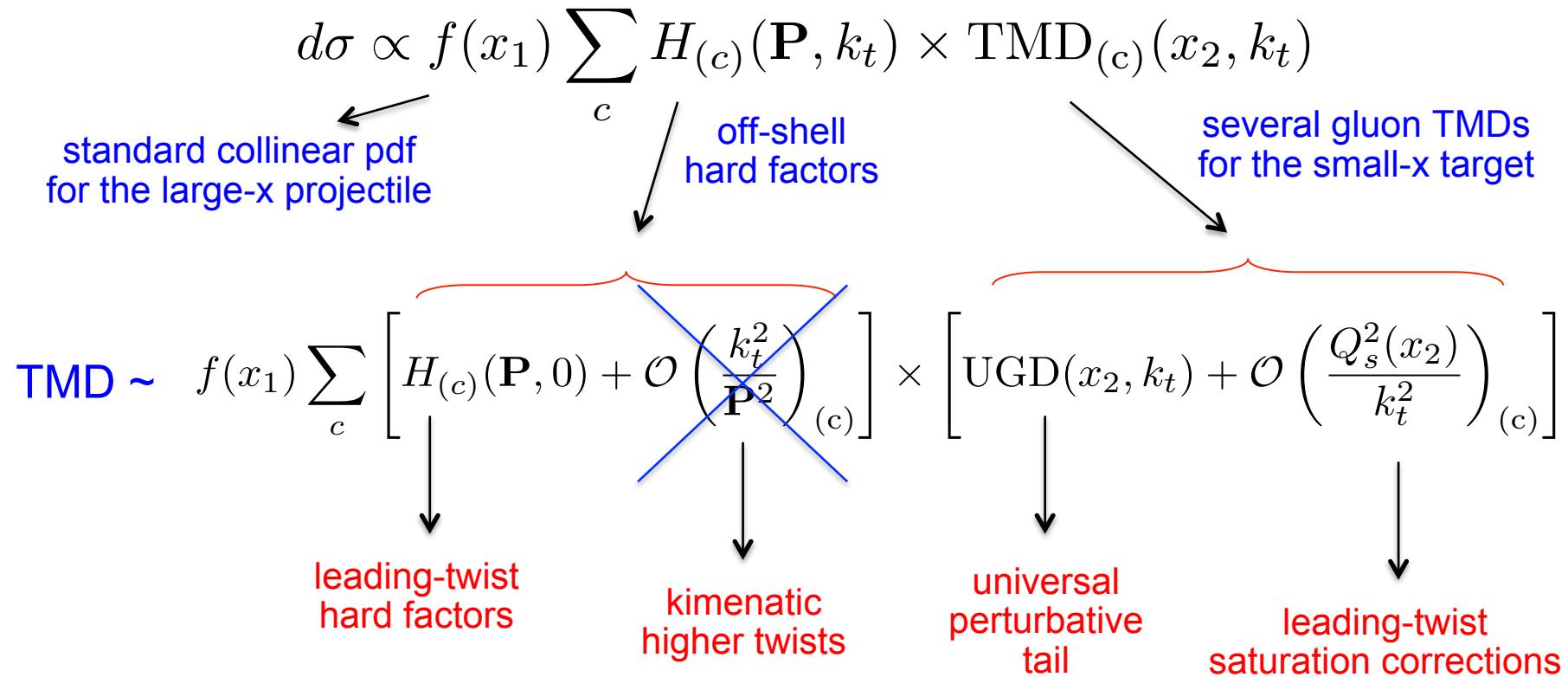
leading-twist  
hard factors
kinematic  
higher twists
universal  
perturbative  
tail
leading-twist  
saturation corrections

```

graph TD
    TopEquation[dσ ∝ f(x₁) ∑c H(c)(P, kt) × TMD(c)(x₂, kt)] --> BottomEquation[ITMD ~ f(x₁) ∑c [H(c)(P, 0) + O(kt²/P²)_(c)] × [UGD(x₂, kt) + O(Qs²(x₂)/kt²)_(c)]]
    TopEquation -- "standard collinear pdf  
for the large-x projectile" --> BottomEquation
    TopEquation -- "off-shell  
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    TopEquation -- "several gluon TMDs  
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    BottomEquation --> LTHard[leading-twist  
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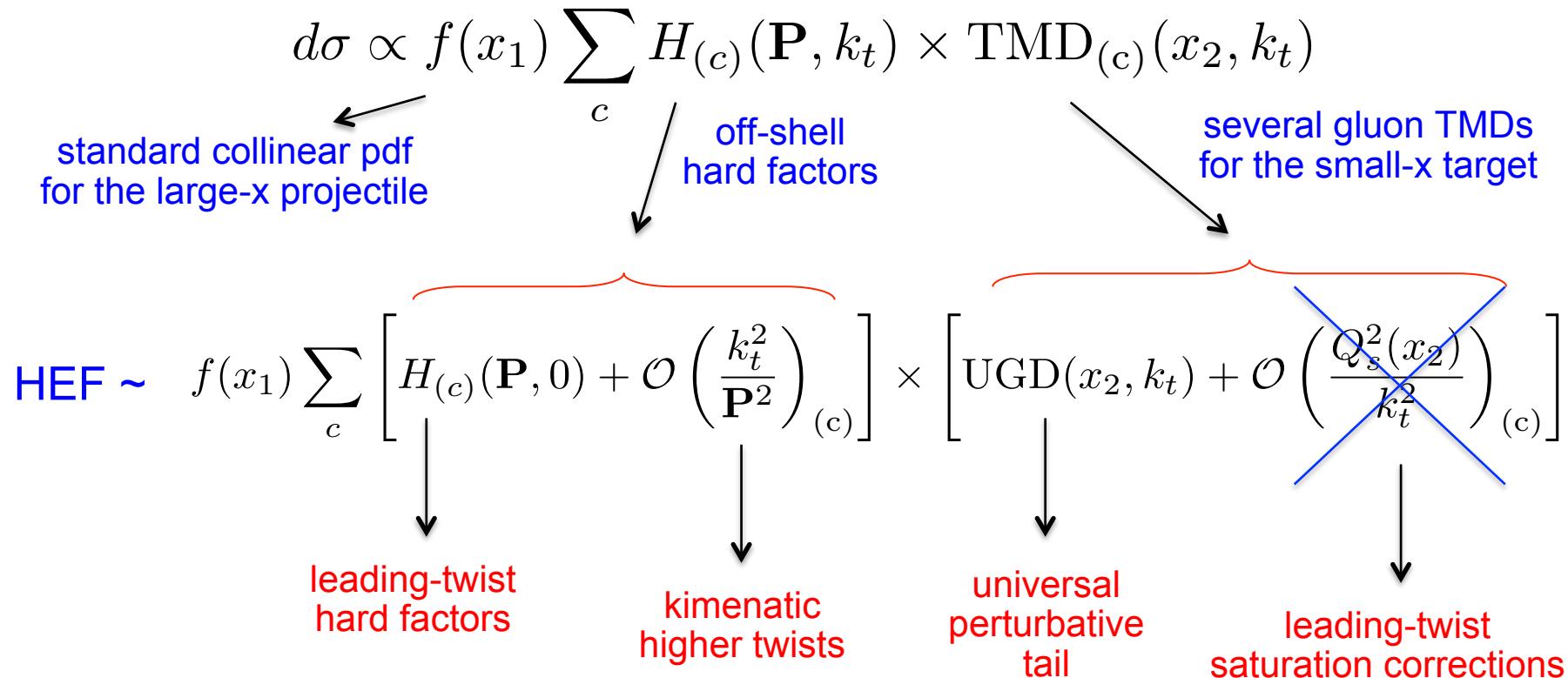
Kotko, Kutak, CM, Petreska, Sapeta, van Hameren (2015 - 2016)  
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improvement wrt TMD factorization is all-order resummation of kinematic twists, which allows proper matching to BFKL physics at large  $k_t$

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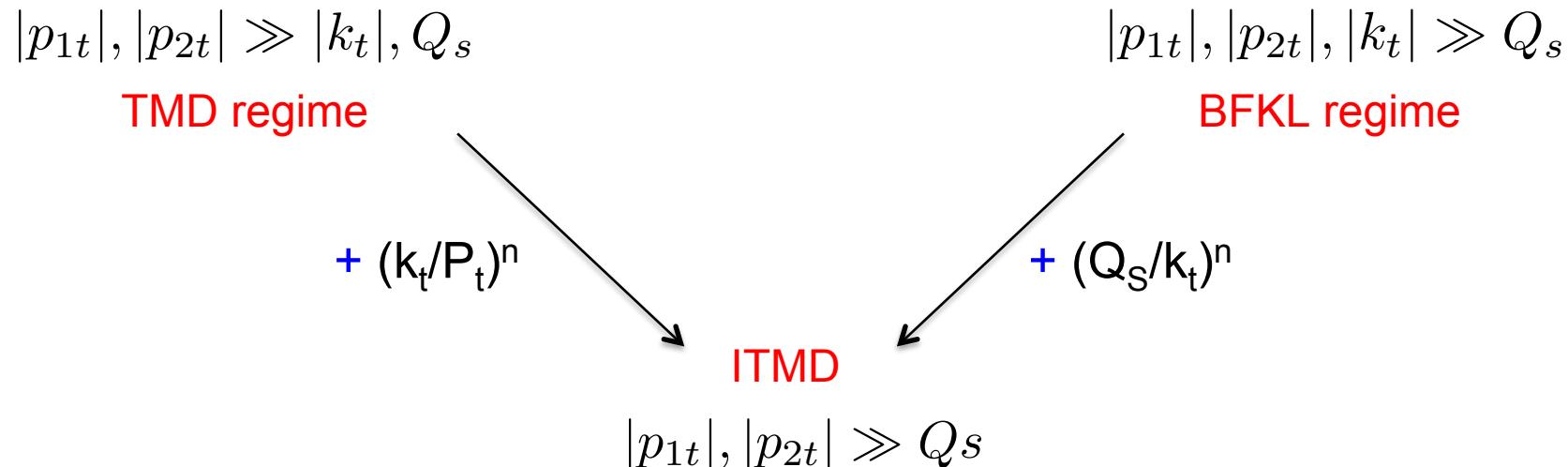
Kotko, Kutak, CM, Petreska, Sapeta, van Hameren (2015 - 2016)  
 Altinoluk, Boussarie, Kotko (2019)



improvement wrt HEF factorization is all-order resummation of leading twist saturation corrections, which unveils the process-dependent TMDs and allows matching to TMD physics at low  $k_t$

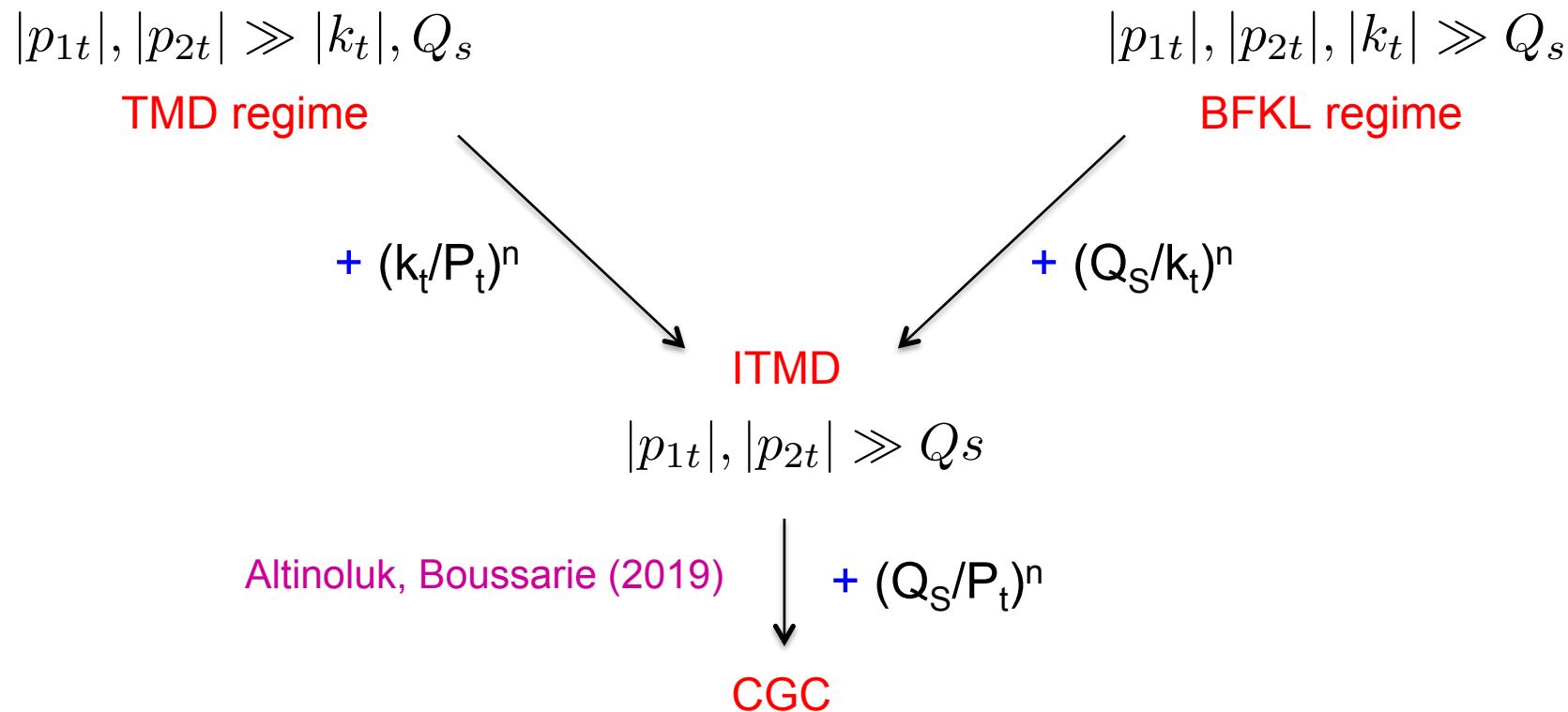
# Genuine higher-twist corrections

ITMD factorization emerges from CGC calculations in the  $P \gg Q_s$  limit



# Genuine higher-twist corrections

ITMD factorization emerges from CGC calculations in the  $P \gg Q_s$  limit



- CGC and ITMD can be compared numerically

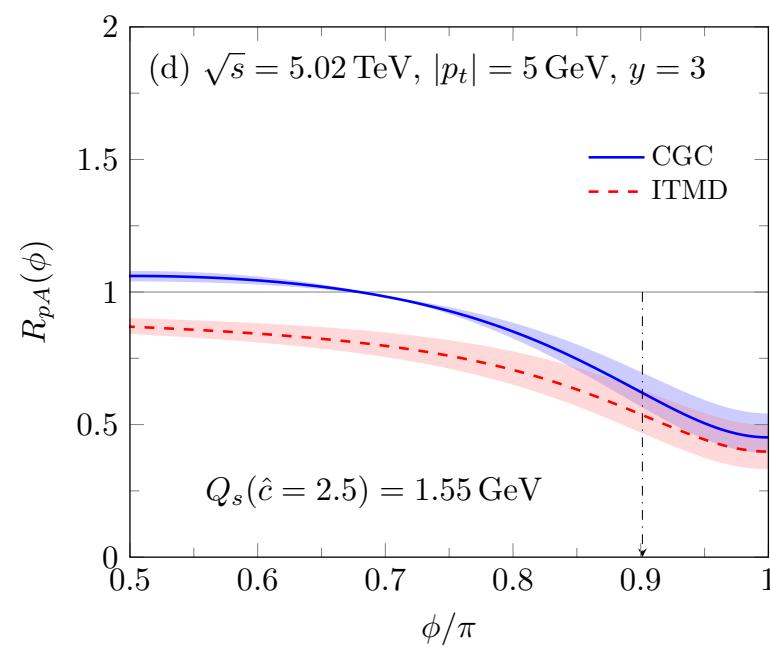
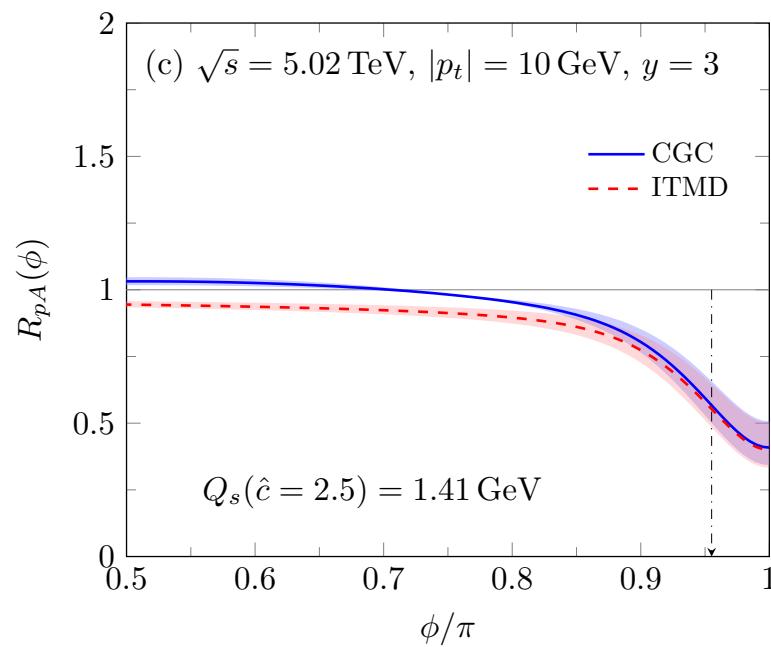
Fujii, CM, Watanabe (2020)    Boussarie, Mäntysaari Salazar, Schenke (2021)

# Genuine higher-twist corrections

the genuine-twist corrections start to matter when the jet transverse momenta get closer to  $Q_s$

for the  $gA \rightarrow q\bar{q} + X$  final state

Fujii, CM, Watanabe (2020)



# Unpolarized & linearly-polarized gluon TMDs

# Spin physics and TMDs

TMDs are crucial to describe hard processes in polarized collisions  
(e.g. Drell-Yan and semi-inclusive DIS)

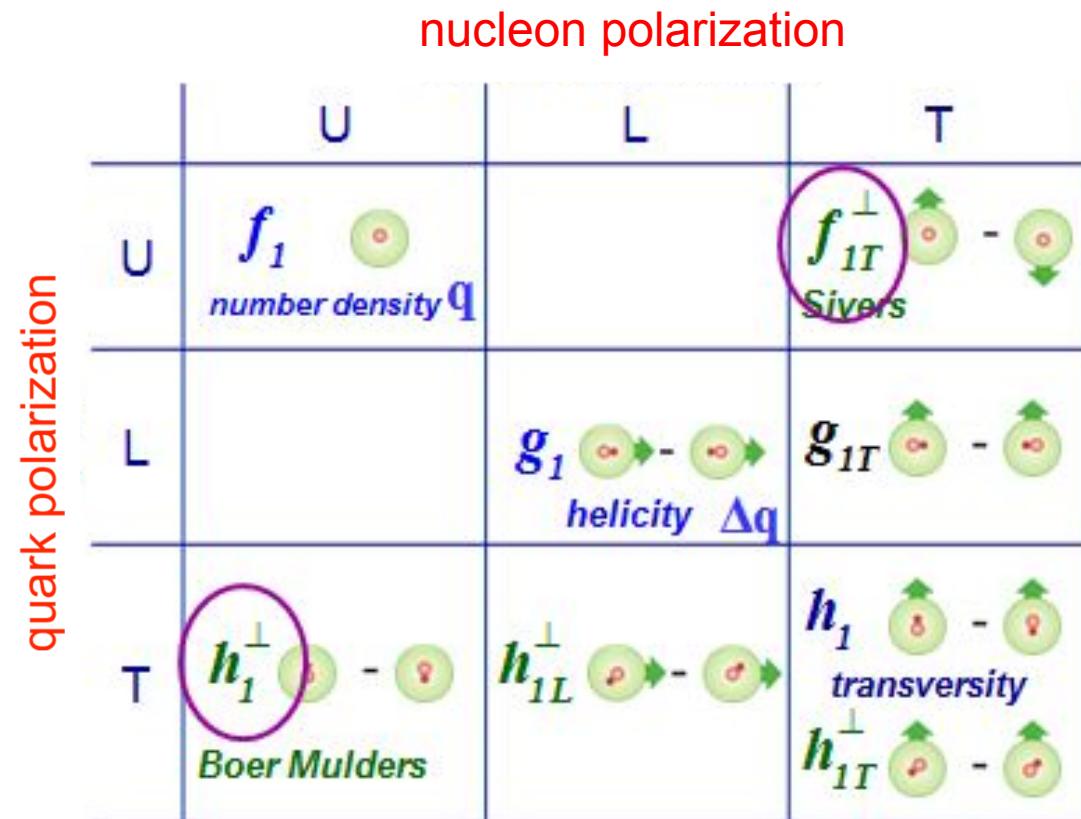
8 leading-twist TMDs

Sivers function

correlation between transverse spin of the nucleon and transverse momentum of the quark

Boer-Mulders function

correlation between transverse spin and transverse momentum of the quark in unpolarized nucleon



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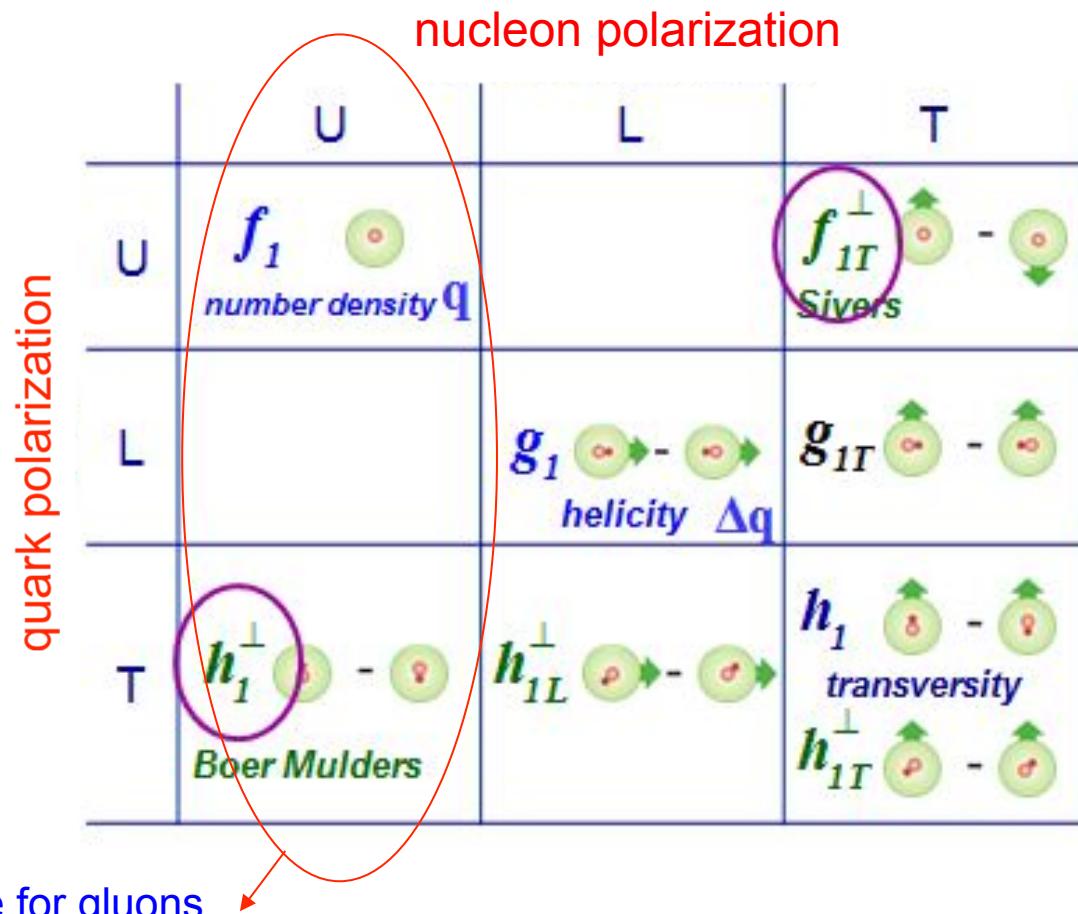
Sivers function

correlation between transverse spin of the nucleon and transverse momentum of the quark

Boer-Mulders function

correlation between transverse spin and transverse momentum of the quark in unpolarized nucleon

I discuss those for gluons



# Generic definitions of gluon TMDs

I consider only hadronic/nuclear states that are *unpolarized*

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I consider only hadronic/nuclear states that are *unpolarized*

- TMDs appear in cross-sections by pairs:  
several pairs may be involved depending on the process

$$d\sigma \propto f(x_1) \sum_c H_{(c)}^{ij}(\mathbf{P}, k_t) \left[ \frac{1}{2} \delta^{ij} \mathcal{F}_{(c)}(x_2, k_t) + \left( \frac{k^i k^j}{k_t^2} - \frac{1}{2} \delta^{ij} \right) \mathcal{H}_{(c)}(x_2, k_t) \right]$$

# Generic definitions of gluon TMDs

I consider only hadronic/nuclear states that are *unpolarized*

- TMDs comes by pair, expect dipole type TMDs for which  $\mathcal{F} = \mathcal{H}$
  - at small  $x$ ,  $\mathcal{F} = \mathcal{H} = \text{UGD}$  in the BFKL regime, for all TMD types :

$$\mathcal{F}(x_2, k_t) - \mathcal{H}(x_2, k_t) = \mathcal{O}(Q_s^2/k_t^2)$$

# Processes sensitive to $\mathcal{H}$

- ITMD factorization may be rewritten

$$d\sigma \propto f(x_1) \sum_c \left[ H_{(c)}^{ns}(\mathbf{P}, k_t) \mathcal{F}_{(c)}(x_2, k_t) + H_{(c)}^h(\mathbf{P}, k_t) \left( \mathcal{H}_{(c)}(x_2, k_t) - \mathcal{F}_{(c)}(x_2, k_t) \right) \right]$$

↓  
projections onto  
“non-sense” polarization

$$H_{(c)}^{ns} = H_{(c)}^{ij} k^i k^j / k_t^2$$

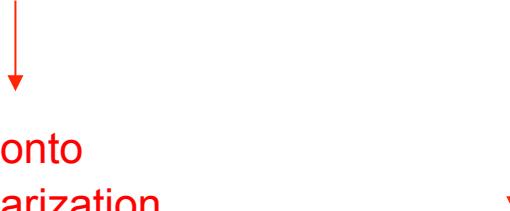
↓  
= 0 in BFKL regime  
projections onto linear polarization

$$H_{(c)}^h = H_{(c)}^{ij} (k^i k^j / k_t^2 - \delta^{ij} / 2)$$

# Processes sensitive to $\mathcal{H}$

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$$d\sigma \propto f(x_1) \sum_c \left[ H_{(c)}^{ns}(\mathbf{P}, k_t) \mathcal{F}_{(c)}(x_2, k_t) + H_{(c)}^h(\mathbf{P}, k_t) \underbrace{\left( \mathcal{H}_{(c)}(x_2, k_t) - \mathcal{F}_{(c)}(x_2, k_t) \right)}_{= 0 \text{ in BFKL regime}} \right]$$


  
 projections onto  
 “non-sense” polarization      projections onto linear polarization

$$H_{(c)}^{ns} = H_{(c)}^{ij} k^i k^j / k_t^2$$

$$H_{(c)}^h = H_{(c)}^{ij} (k^i k^j / k_t^2 - \delta^{ij} / 2)$$

- processes for which the  $H_{(c)}^h$  hard factors are non-zero:
    - dijets in deep inelastic scattering (e+p or e+A)
    - heavy-quark pair production (in photo-production or p+A collisions)
    - trijets or more
- Altinoluk, Boussarie, CM, Taels (2019 - 2020)  
 Altinoluk, CM, Taels (2021)

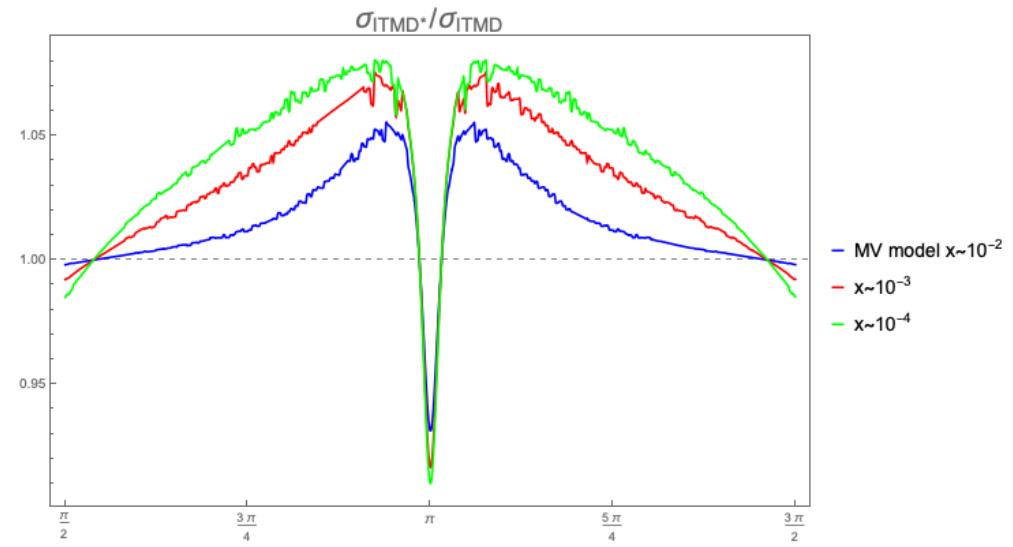
linearly-polarized gluons come with a  $\cos(2\phi)$  modulation  
 (at small  $k_t / \mathbf{P}$ ) where  $\phi$  is the angle between  $k_t$  and  $\mathbf{P}$

# Dijets in deep inelastic scattering

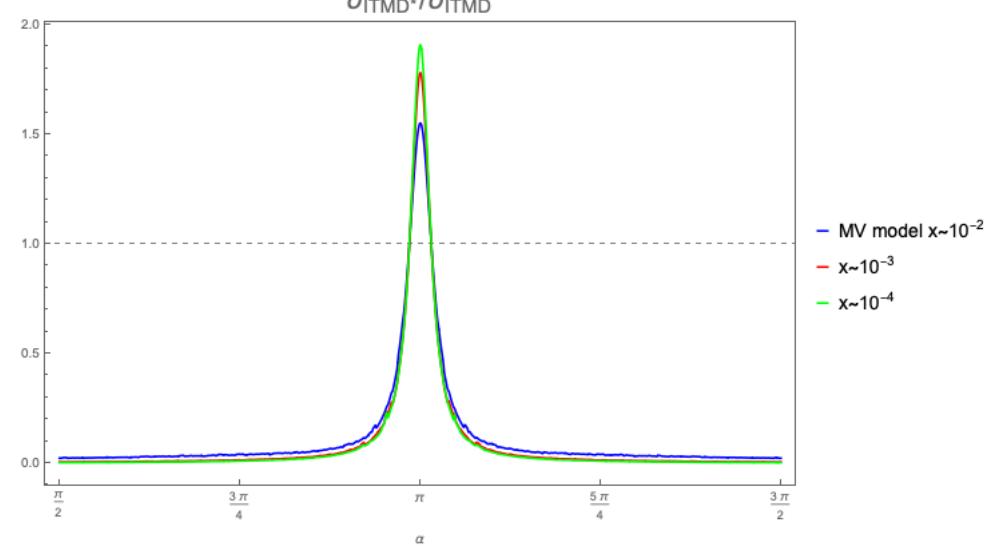
- looking at  $\Delta\phi$  distributions:

Altinoluk, CM, Taels (2021)

BFKL  
\_\_\_\_\_  
BFKL + saturation  
for transverse photon



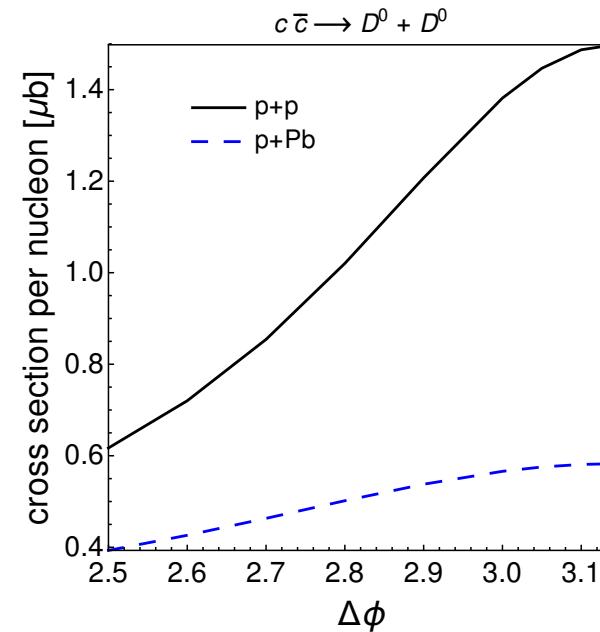
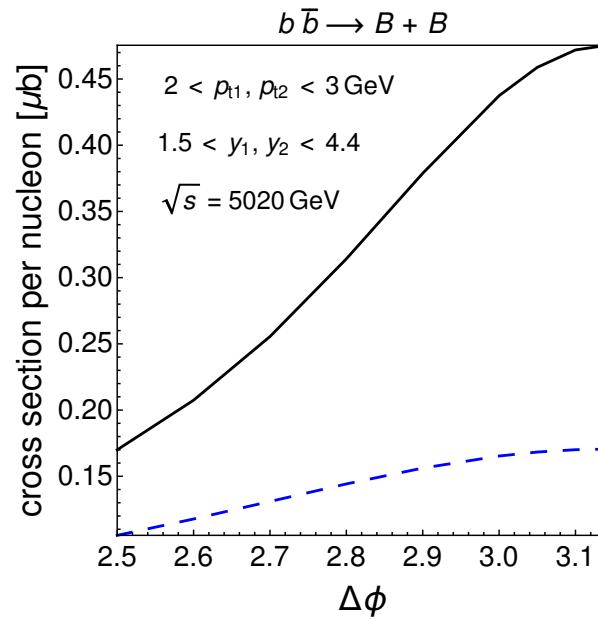
BFKL  
\_\_\_\_\_  
BFKL + saturation  
for longitudinal photon



# Forward $Q\bar{Q}$ pair in p+A collisions

- preliminary study performed for HL-LHC yellow report

CM, Giacalone (2018)



ITMD hard factors to be implemented

important away from  $\Delta\Phi = \pi$ , when  $k_t \sim \mathbf{P}$

soft-gluon resummation to be added as well

important near  $\Delta\Phi = \pi$ , when  $\log(\mathbf{P}/k_t)$  becomes large

# Conclusions I

- ITMD factorization emerges from CGC calculations after neglecting  $O(Q_S/P_t)$  terms (so-called genuine higher-twist corrections) where  $P_t$  is the hard scale
- it resums  $(Q_S/k_t)^n$  and  $(k_t/P_t)^n$  terms, where  $k_t$  is the semi-hard scale, and therefore encompasses other frameworks that account for either, but not both
- from the TMD perspective, the improvement is the matching to BFKL at high  $k_t$ , due to the additional resummation of the  $(k_t/P_t)^n$  terms (so-called kinematical higher-twist corrections)
- from the BFKL/HEF/kt-factorization perspective, the improvement is the matching to TMD factorization at low  $k_t$  due to the additional resummation of the  $(Q_S/k_t)^n$  terms (leading-twist saturation corrections)

# Conclusions II

- different processes involve different gluon TMDs, with different operator definitions
- each operator definition provides an unpolarized gluon TMD and a linearly-polarized one
- the various gluon TMDs coincide at large transverse momentum, in the linear regime
- however, they differ significantly from one another at low transverse momentum, in the non-linear saturation regime
- one could use small- $x$  gluons which are not fully linearly polarized to look for saturation effects