

Relativistic effects in ab initio approaches

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How to extend the reliability of n.r. *ab initio* results for e.w. cross sections to high energy/momentum

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Outline:

- ★ General considerations on the lepton-nucleus hadron tensor and the inclusive response functions $R^{\mu\nu}(\mathbf{q},\omega)$

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- ★ The limits of the non relativistic approach: the frame dependence

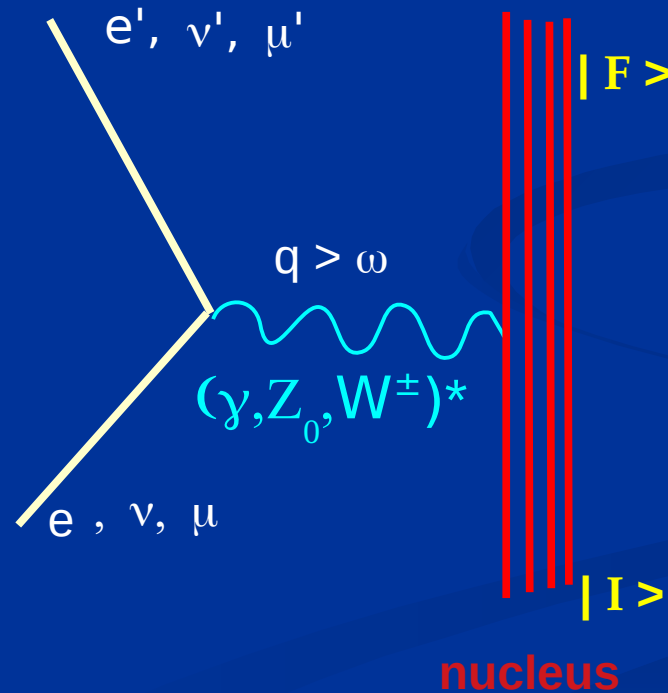
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- ★ From frame dependence to frame independence
- ★ Test on the (e,e') scattering

Physics of e.w. Interactions (with nuclei)



at **1st order P.T.** the crucial quantity in the cross section is the **Hadron Tensor**

$$W^{\mu\nu} = \langle I | J^\mu | F \rangle \langle F | J^\nu | I \rangle \times \delta^4$$

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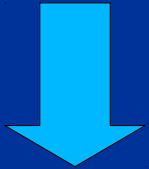
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- $|I\rangle$ is a bound state (g.s. $|0\rangle$)
- $|F\rangle$ can be a bound or a continuum (scattering) state
- δ^4 expresses the energy-momentum conservation

If $|I\rangle$ is the g.s. $|0\rangle$ and $|F\rangle$ is “inclusive”

$$W^{\mu\nu} = \langle I | J^\mu | F \rangle \langle F | J^\nu | I \rangle \times \delta^4$$



$$R^{\mu\nu}(\vec{q}, \omega) = \sum_n \langle 0 | J^\mu(\vec{q}) | n \rangle \langle n | J^\nu(\vec{q}) | 0 \rangle^2 \times \delta(\omega - E_n + E_0)$$

Notice!

- ★ The 3-momentum transfer \vec{q} originates from the 3-momentum delta-function δ^3 which now involves the **c.m. of the nucleus**

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- ★ Therefore the non relativistic problem

$$H |n\rangle = E_n |n\rangle$$

has to be solved, referred to the “internal” **(i.e. translation/galileian invariant)** dynamics

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The consistent n.r. “ab initio” approach in nuclear physics

- Take as input an Hamiltonian with protons and neutrons as d.o.f. interacting with *realistic* V_{NN} (i.e. reproducing NN cross sections with $\chi/\text{datum} \sim 1$)

The consistent n.r. “ab initio” approach in nuclear physics

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- Take the necessary $J^{[1]}, J^{[2]}, \dots$ (*at least consistent with V*)

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- Take as input an Hamiltonian with protons and neutrons as d.o.f. interacting with *realistic* potential V
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- Calculate

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taking into account the **full many-body dynamics**,
 respecting **translation/Galileian invariance**,
controlling the numerical accuracy

The big problems:

- How to solve the Hamiltonian for $|F\rangle$, namely the **many-body scattering state**, when the nucleus breaks into pieces, (*known as “final state interaction” FSI!*)

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[Notice: due to “good” asymptotic boundary conditions the ground state $|0\rangle$ can be calculated with controlled accuracy, at least up to medium heavy systems]

The big problems:

1. How to solve the Hamiltonian for $|F\rangle$, namely the **many-body scattering state**, when the nucleus breaks into pieces, (*known as “final state interaction” FSI!*)
2. Up to which energy/momentum can one push the *ab initio* **non relativistic** treatment of the **dynamics** in $R^{\mu\nu}(q, \omega)$??

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1. How to solve the Hamiltonian for $|F\rangle$, namely the **many-body scattering state**, when the nucleus breaks into pieces, (*known as “final state interaction” FSI!*)

The solution:

The integral transform approach

The big problem:

2. Up to which energy/momentum can one push the *ab initio* non relativistic treatment of the dynamics in $R^{\mu\nu}(q, \omega)$??

The solution:

Analyze the frame dependence, choose the “*right frame*” and the “*proper rel. input kinematics*”

The integral transform approach

↓
KERNEL

$$\Phi(\tau) = \int d\omega K(\omega, \tau) R(\omega)$$

One is able to calculate $\Phi(\tau)$ but wants $R(\omega)$,
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Warning:

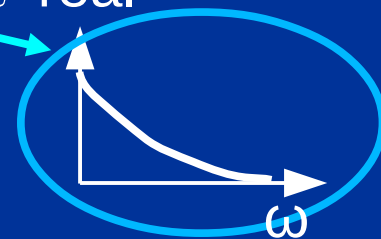
The “inversion” of $\Phi(\tau)$ may be a delicate issue.
It can generate instabilities

a “good” Kernel has to satisfy two requirements

- 1) one must be able to calculate the integral transform
- 2) one must be able to invert the transform controlling the instabilities

Two examples in the literature:

1: **Exponential Kernel:** $K(\omega, \tau) = e^{-\omega \tau}$ τ real



$$\Phi(\tau) = \langle \Theta^\dagger(\tau) \Theta(0) \rangle \quad \tau = it \quad \rightarrow \quad \int e^{-\tau \omega} R(\omega) d\omega$$

In Condensed Matter Physics:

Θ = Density Operator

$R(\omega)$ = Dynamical Structure Function

$\Phi(\tau)$ is obtained with Monte Carlo Methods

In Nuclear Physics:

Θ = Charge or current density operator

$R(\omega)$ "Response" Function

(to external perturbative probe)

$\Phi(\tau)$ is obtained with Monte Carlo Methods

In QCD

Θ = quark fields

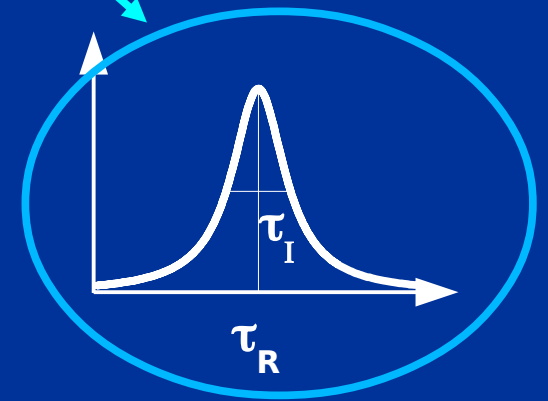
$R(\omega)$ = Hadronic Spectral Function

$\Phi(\tau)$ is obtained by OPE - QCD sum rules or Lattice

2:

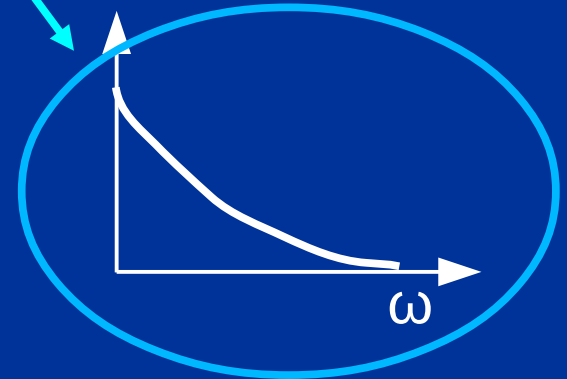
Lorentzian Kernel: $K(\omega, \tau) = [(\omega - \tau) (\omega - \tau)^*]^{-1}$

- complex = $\tau_R + i \tau_I$
- easy to invert
- $\Phi(\tau)$ calculated via **matrix diagonalization on bound** basis functions



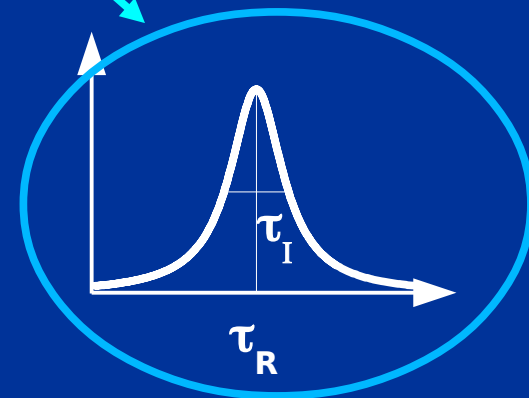
1: **Exponential Kernel:** $K(\omega, \tau) = e^{-\omega \tau}$ τ real

- $\Phi(\tau)$ calculated via **GFMC**
- requires a big effort to be inverted



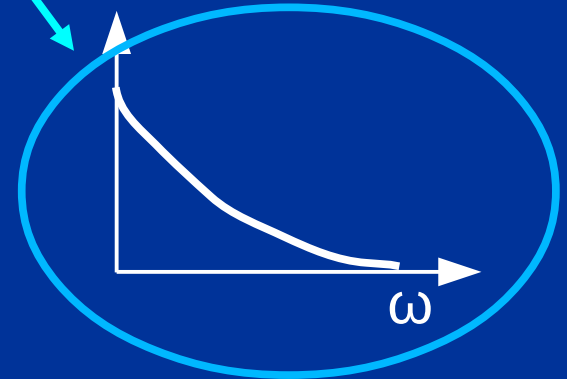
2: **Lorentzian Kernel:** $K(\omega, \tau) = [(\omega - \tau)(\omega - \tau)^*]^{-1}$

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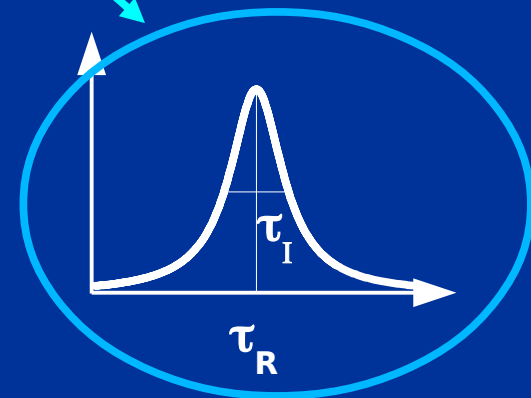
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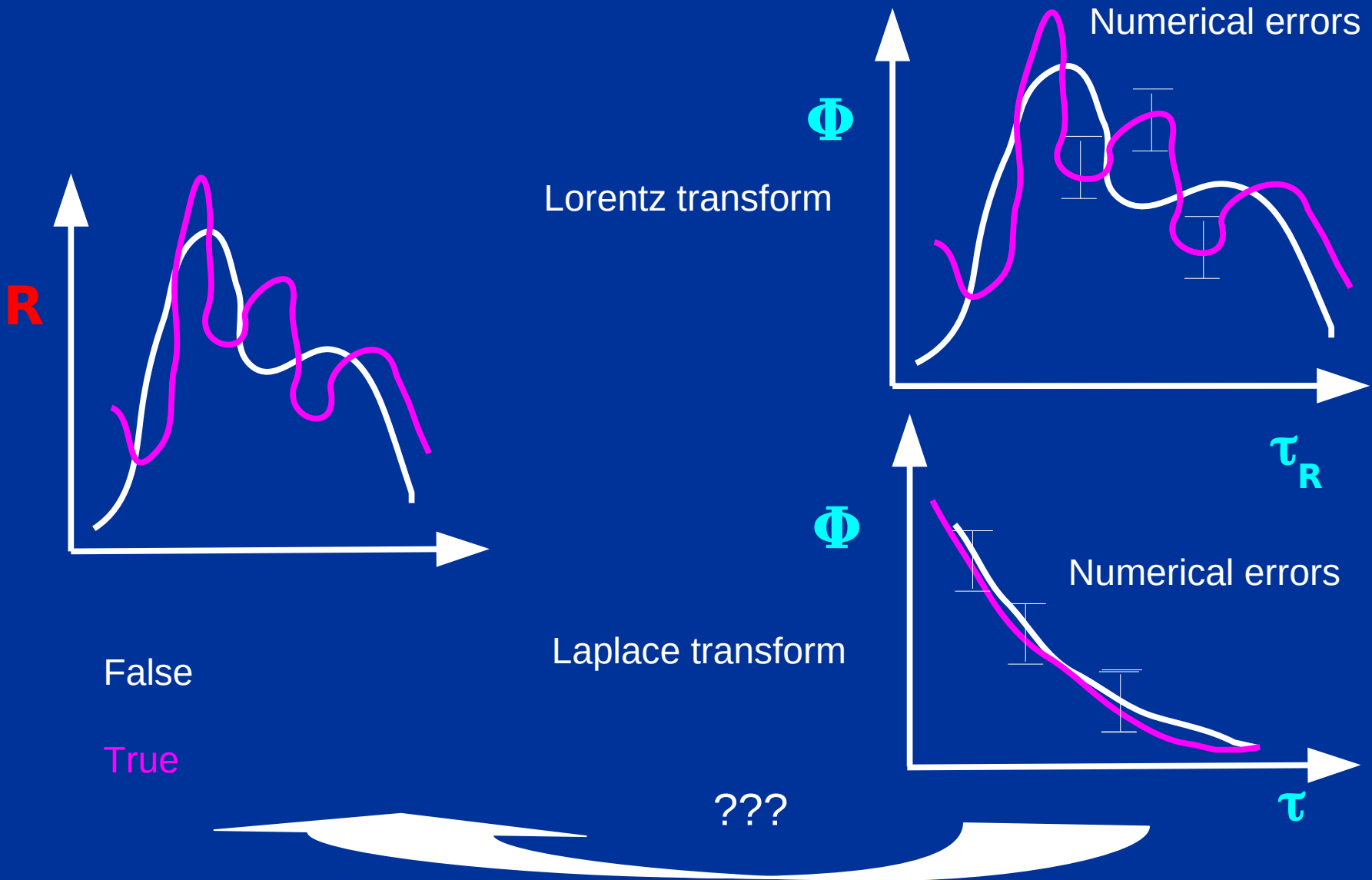


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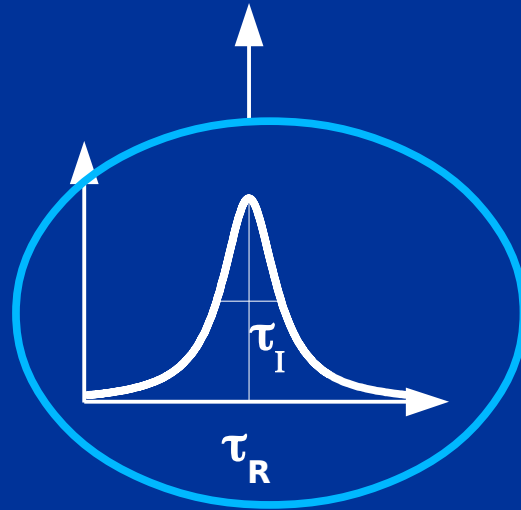


the inversion problem:



How to calculate Φ with Lorentzian kernel?

$$\Phi^{\mu\nu}(q, \tau) = \int d\omega K(\omega, \tau) R^{\mu\nu}(q, \omega)$$



$$\Phi(\omega_0, \Gamma) = \Gamma/\pi \int [(\omega - \omega_0)^2 + \Gamma^2]^{-1} R(\omega) d\omega < \infty$$

$$\begin{aligned}
\Phi(\omega_0, \Gamma) &= \Gamma/\pi \int [(\omega - \omega_0)^2 + \Gamma^2]^{-1} R(\omega) d\omega < \infty \\
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&= -\Gamma/\pi \operatorname{Im} [\langle 0 | \Theta^\dagger (H - E_0 - \omega_0 + i\Gamma)^{-1} \Theta | 0 \rangle]
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Green O. $[\Pi(\omega)]$ with poles on the complex plane !!

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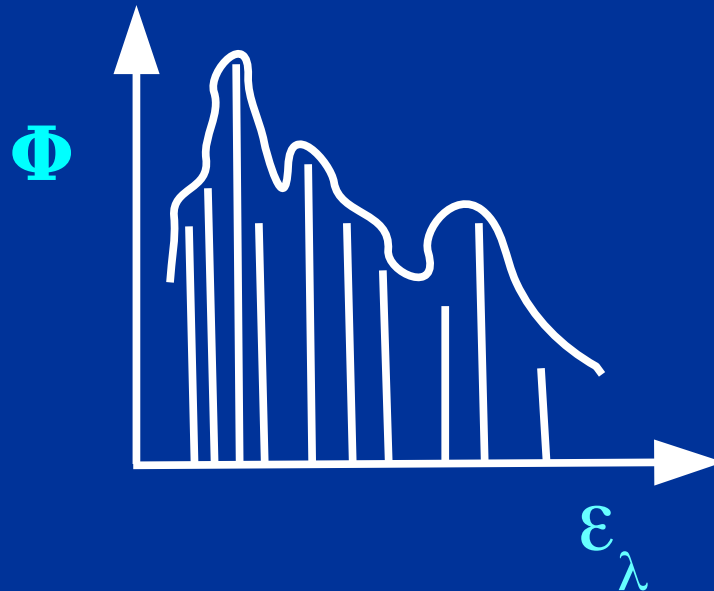
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After insertion of complete basis $|n\rangle\langle n|$

... and after diagonalization:

$$\Phi(\tau) = \sum_{\lambda} L(\varepsilon_{\lambda} - E_0, \tau) |\langle \lambda | \Theta | 0 \rangle|^2$$

Namely bars
“smeared” with
the Lorentzian!




How important are **relativistic effects**
as **q** increases?

The analysis of frame dependence

**One criteria to judge the importance of
relativistic effects is the
frame dependence of the results**

The electron scattering (e,e') response functions in various frames

$\rho(q)$



$$R_L^{fr}(q^{fr}, \omega^{fr}) = \sum_n \langle 0 | J^0(q)_{fr} | n \rangle \langle n | J^0(q)_{fr} | 0 \rangle^2 \times \delta(\omega_{fr} - E_n^{fr} + E_0^{fr})$$

$$R_T^{fr}(q^{fr}, \omega^{fr}) = \sum_n \langle 0 | J_{\perp}(q)_{fr} | n \rangle \langle n | J_{\perp}(q)_{fr} | 0 \rangle^2 \times \delta(\omega_{fr} - E_n^{fr} + E_0^{fr})$$

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LAB: initially nucleons have momenta $\mathbf{p}_i \approx 0$

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ANB: initially nucleons have momenta $\mathbf{p}_i \approx -\mathbf{q}/2$

(in *q.e.* the final momentum of the “active nucleon” $\mathbf{p}_f \approx \mathbf{q}/2$)

They are connected to the response functions
in the **LAB frame**
(where they are measured !)

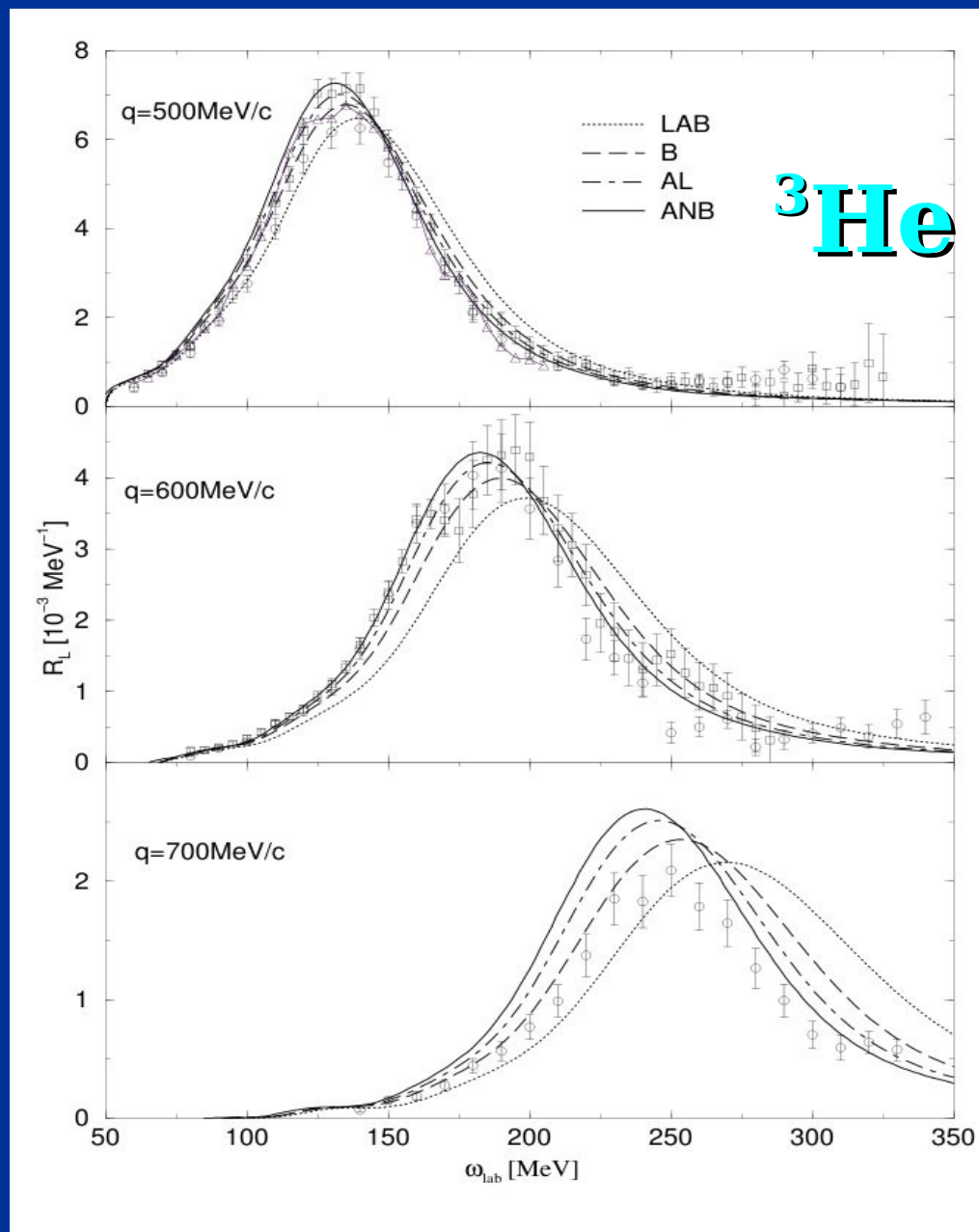
$$R_L^{\text{LAB}}(q, \omega) = \frac{q^2}{q_{fr}^2} \frac{E_i^{fr}}{M_T} R_L^{fr}(q_{fr}, \omega_{fr})$$

$$R_T^{\text{LAB}}(q, \omega) = \frac{E_i^{fr}}{M_T} R_T^{fr}(q_{fr}, \omega_{fr})$$

Longitudinal response of ^3He

$$R_L(q, \omega)$$

Large
frame dependence!!!



V.Efros, W.Leidemann, G.O., E.L.Tomusiak PRC 72 (2005) 011002

Is there an easy way to cure it?

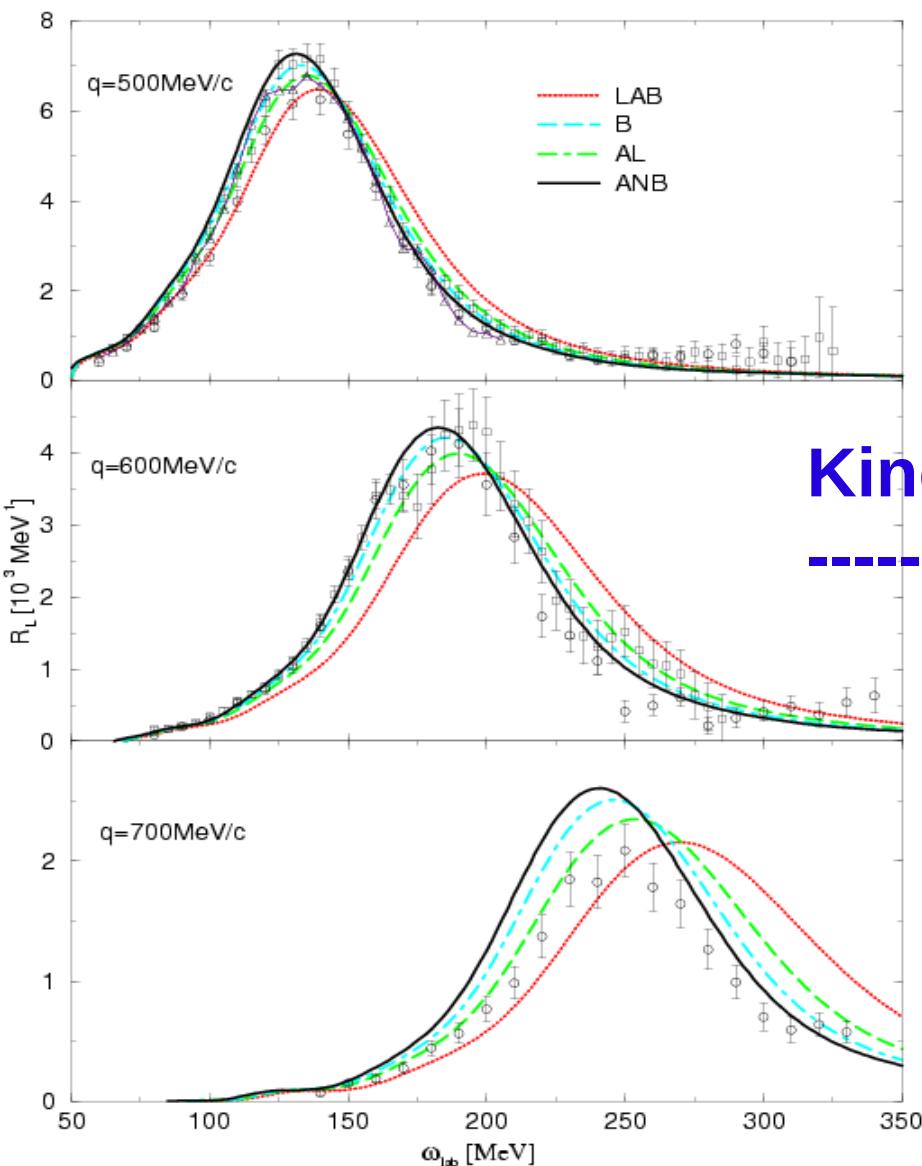
Is there an easy way to cure it?

*use in each frame the **kinematical inputs**
corresponding to the
quasi elastic 2-body assumption i.e.
 $1 + (A-1)$ -system*

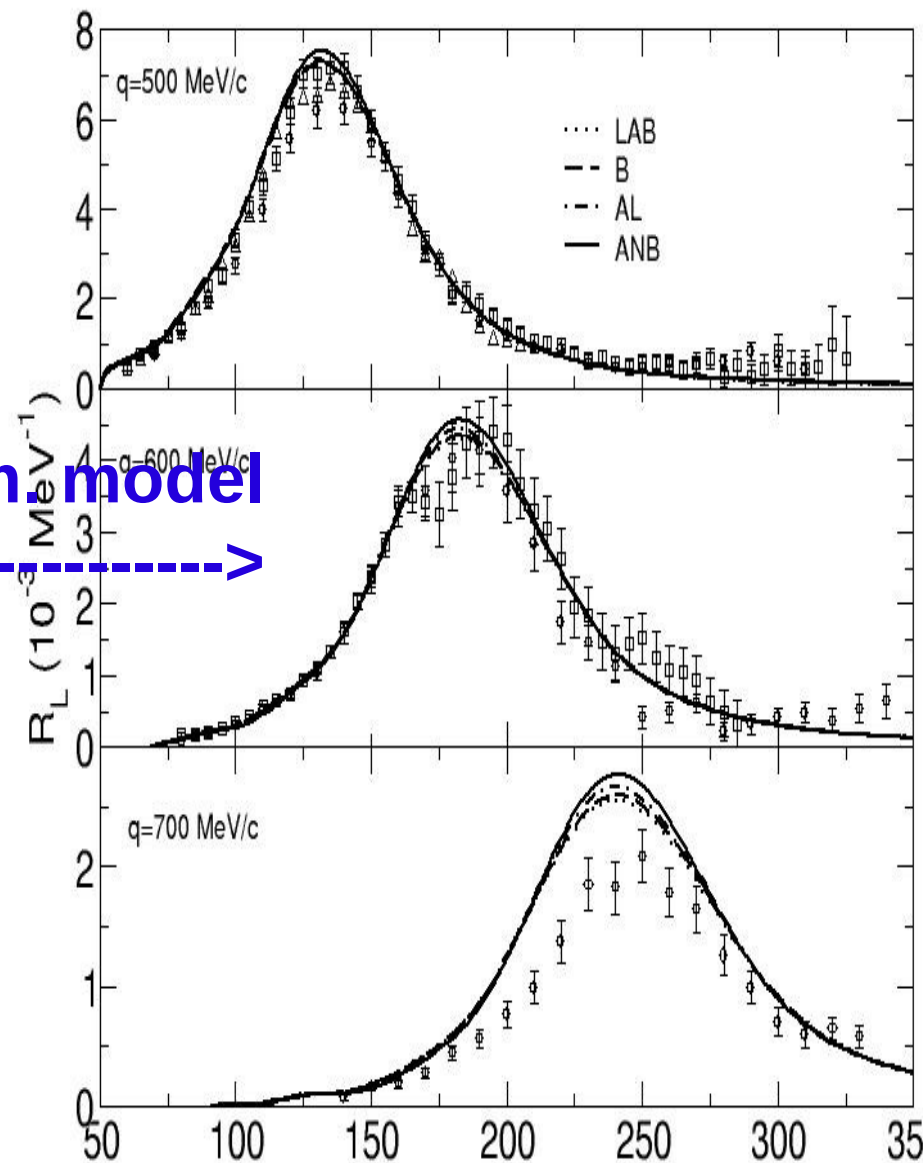
The **relative momentum** p_{rel} of the 2 bodies ($1 + (A-1)$)
can be calculated in each frame in a
relativistically correct way.

The **energy** of the final state (the input of a **non relativistic** dynamical calculation) is then taken in its
non relativistic form $p_{\text{rel}}^2 / 2 \mu$

Longitudinal response of ^3He $R_L(q, \omega)$



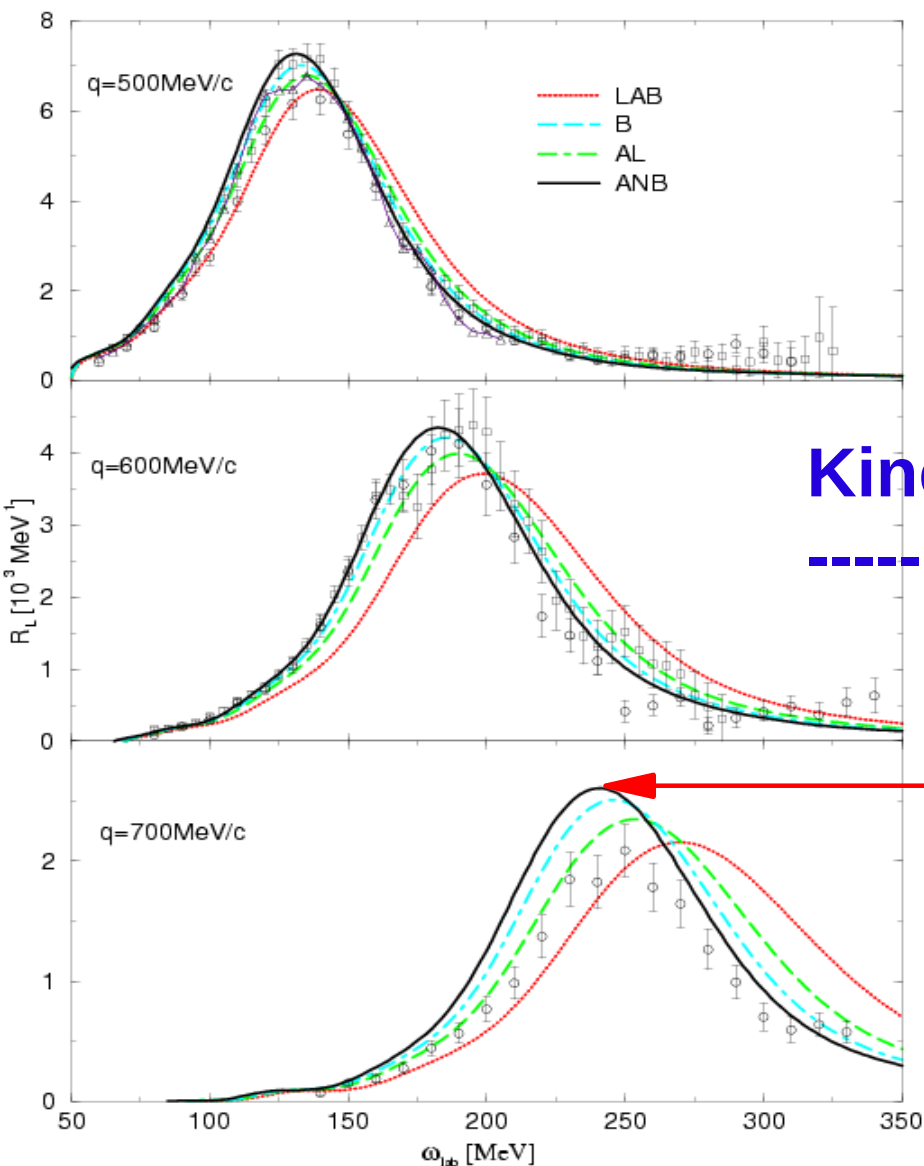
Kinematic model



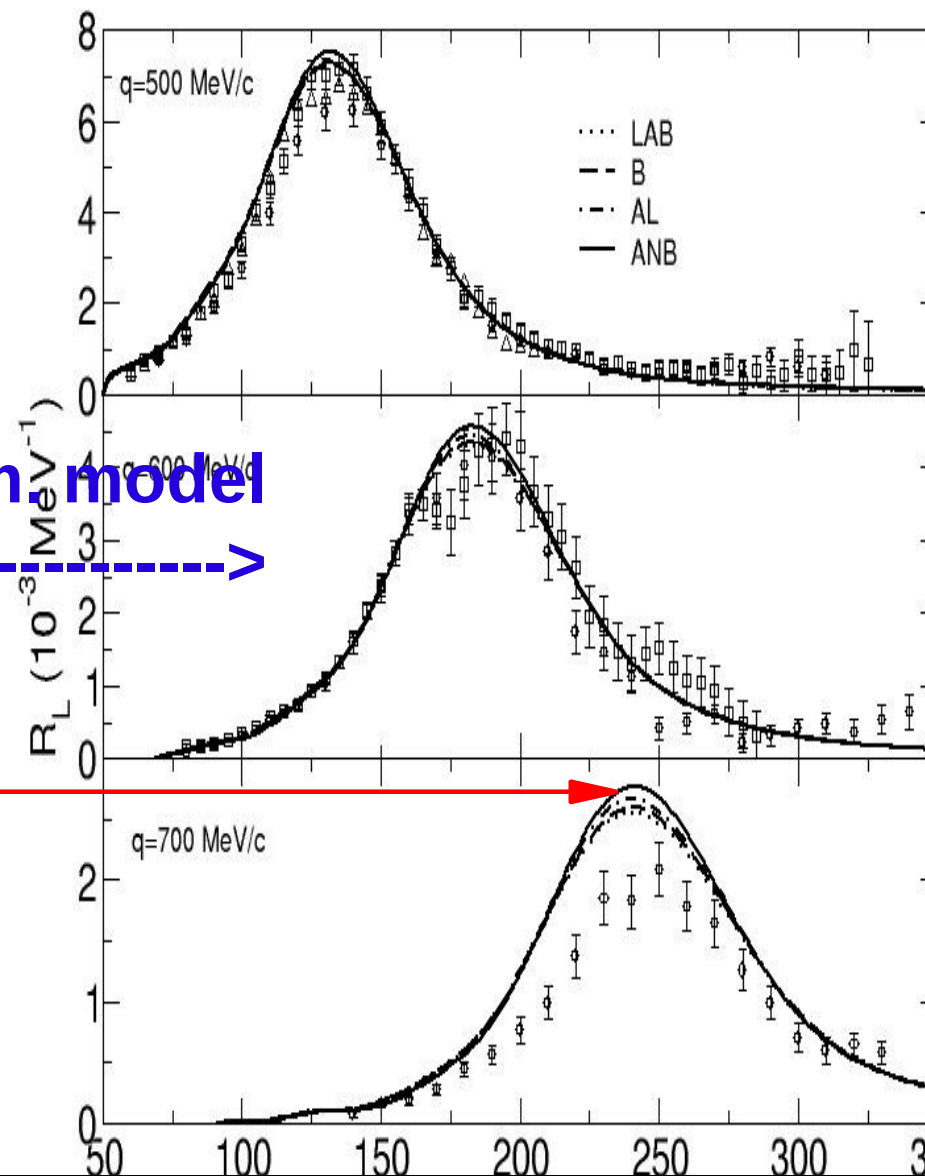
remark:

Of the 4 frames the **ANB** result is the **less affected** by the **relativistically correct** kinematical model.

Longitudinal response of ^3He $R_L(q, \omega)$



Kinematic model



Of the 4 frames the **ANB** result is the **less affected** by the **relativistically correct** kinematical model.

*The reason is that in the ANB frame the momentum of the active particle is the smallest (about **$q/2!$**).*

*Therefore **the error** on its kinetic energy **is the smallest**:*

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$$T \approx \frac{p^2}{2m} - \frac{p^4}{8m^3} + \dots \qquad \frac{\Delta T}{T} \approx \frac{p^2}{4m^2}$$

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$$\text{LAB : } \frac{\Delta T}{T} \approx \frac{q^2}{4m^2} \qquad \text{ANB : } \frac{\Delta T}{T} \approx \frac{q^2}{16m^2} \quad !!!$$

Moreover: the **peak position** in the **ANB** frame is always **relativistically correct**, in fact in general:

$$\omega_{\text{peak}} \cong T(p_f) - T(p_i)$$

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rel. different from n.r. !!!

ANB : $\omega_{\text{peak}} \cong T(q/2) - T(q/2) = 0$

**rel. equal to n.r.
always correct !!!**

Before going to neutrino cross sections test on (e,e') data

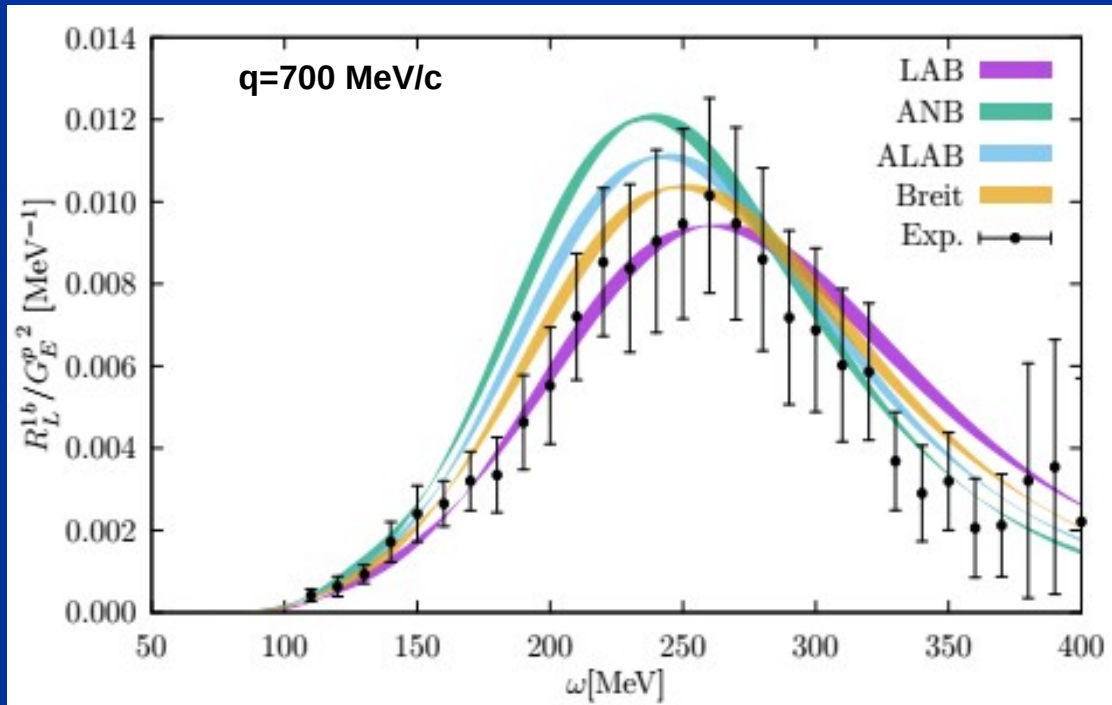
The test on the $^4\text{He}(e,e')$ cross section

N.Rocco, W.Leidemann, **A. Lovato**, G.O. Phys. Rev. C 97, 055501 (2018)

$R_L(q, \omega)$ of ^4He

Integral transform calculation with

$K(\omega, \tau) = e^{-\omega \tau}$ τ real, GFMC, **full FSI**



Large frame
dependence
also in ^4He !

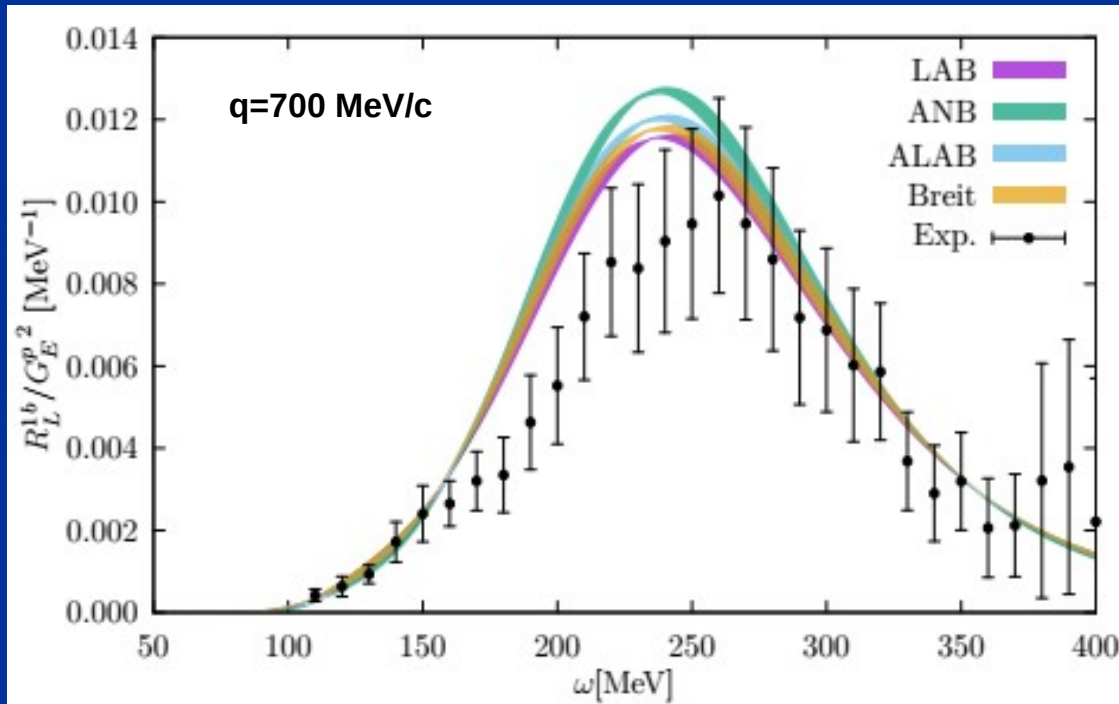
N.Rocco, W.Leidemann, **A. Lovato**, G.O.
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Assuming q.e. kinematics *[2-body break-up 1-(A-1)]*
one can treat the relativistic kinematical inputs
correctly!!

$R_L(q, \omega)$ of ^4He

Integral transform calculation with

$K(\omega, \tau) = e^{-\omega \tau}$ τ real, GFMC **full FSI**



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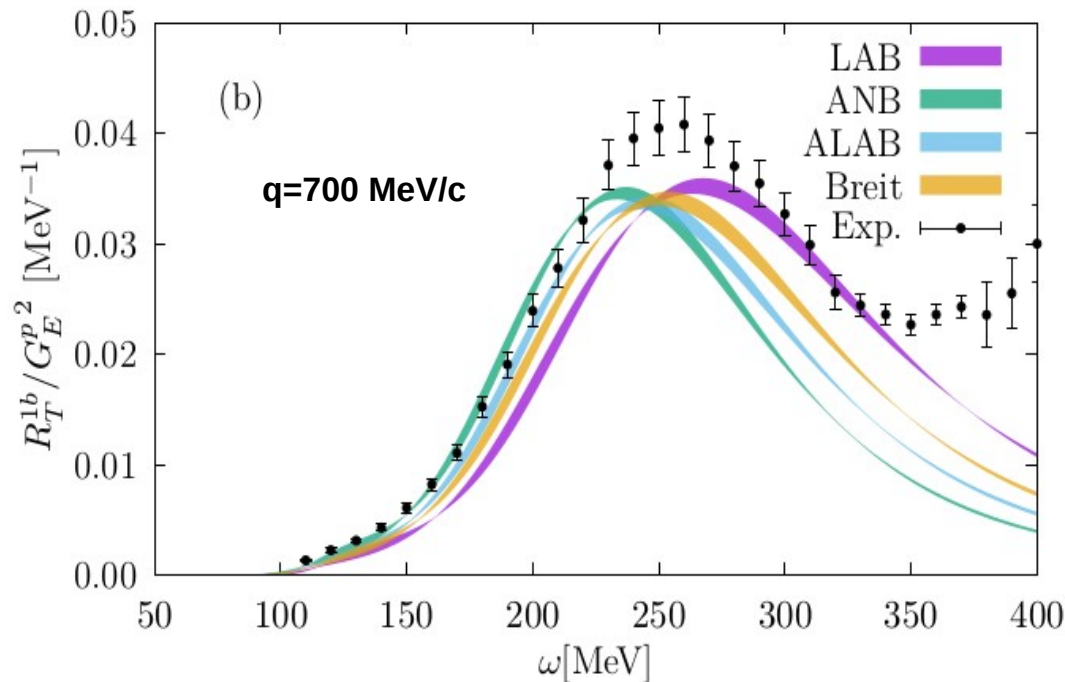
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$R_T(q, \omega)$ of ^4He

Integral transform calculation with

$K(\omega, \tau) = e^{-\omega \tau}$ τ real, GFMC, **full FSI**



Large frame
dependence
also in ^4He !

N.Rocco, W.Leidemann, A. Lovato, G.O.
Phys. Rev. C 97, 055501 (2018)

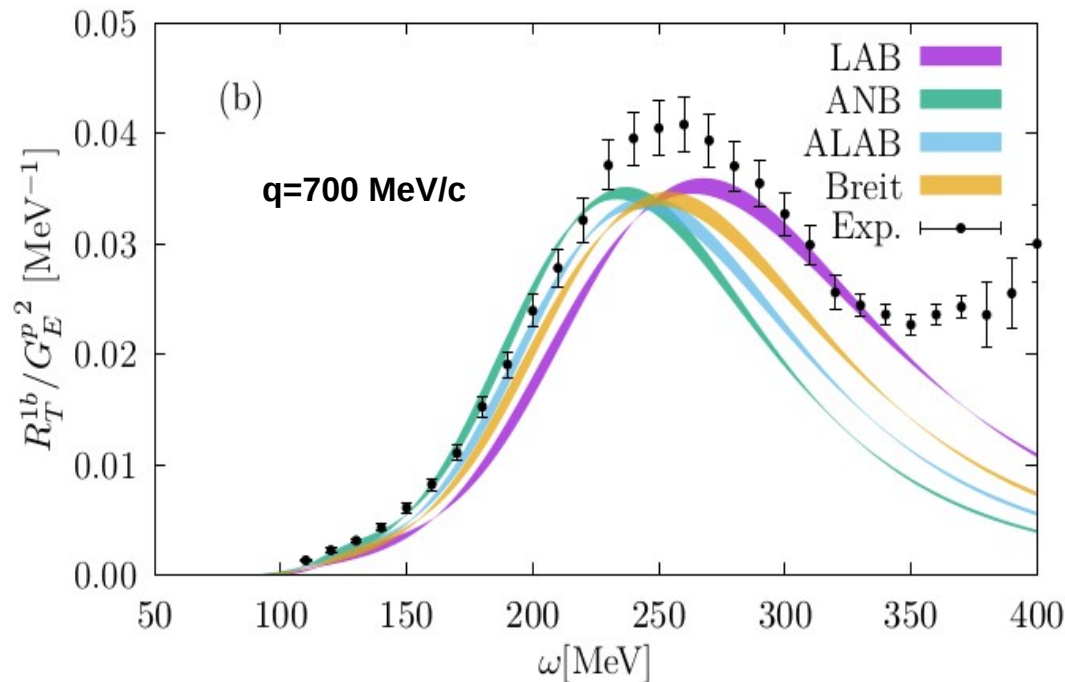
$R_T(q, \omega)$ of ^4He

1-body + 2-body currents

No pion production

Integral transform calculation with

$K(\omega, \tau) = e^{-\omega \tau}$ τ real, GFMC, **full FSI**



Large frame
dependence
also in ^4He !

N.Rocco, W.Leidemann, A. Lovato, G.O.
Phys. Rev. C 97, 055501 (2018)

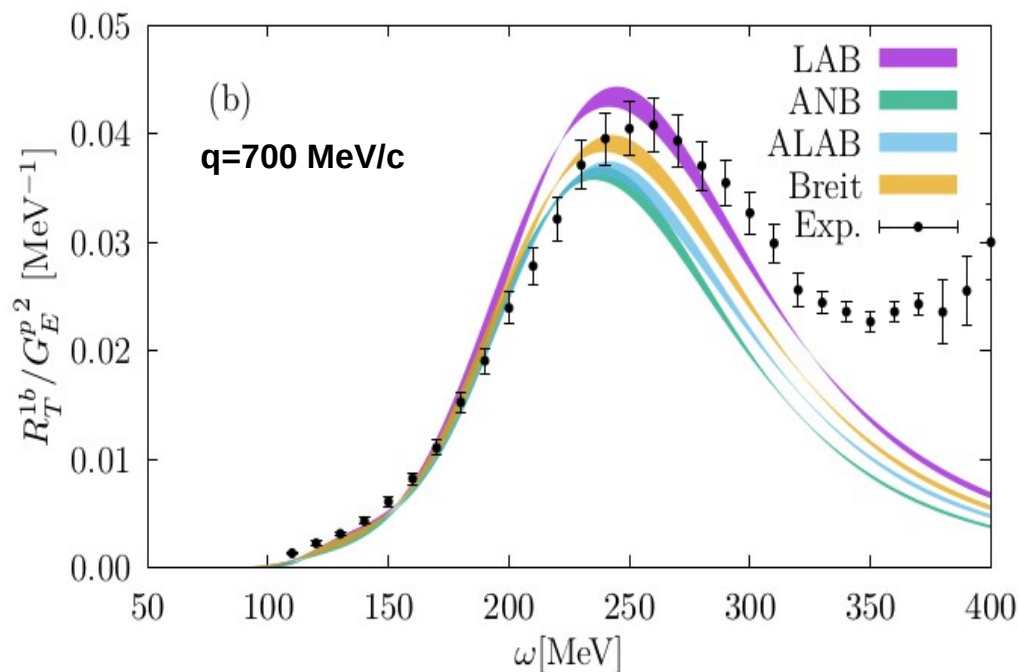
Assuming q.e. kinematics *[2-body break-up 1-(A-1)]*
one can treat the relativistic kinematical inputs
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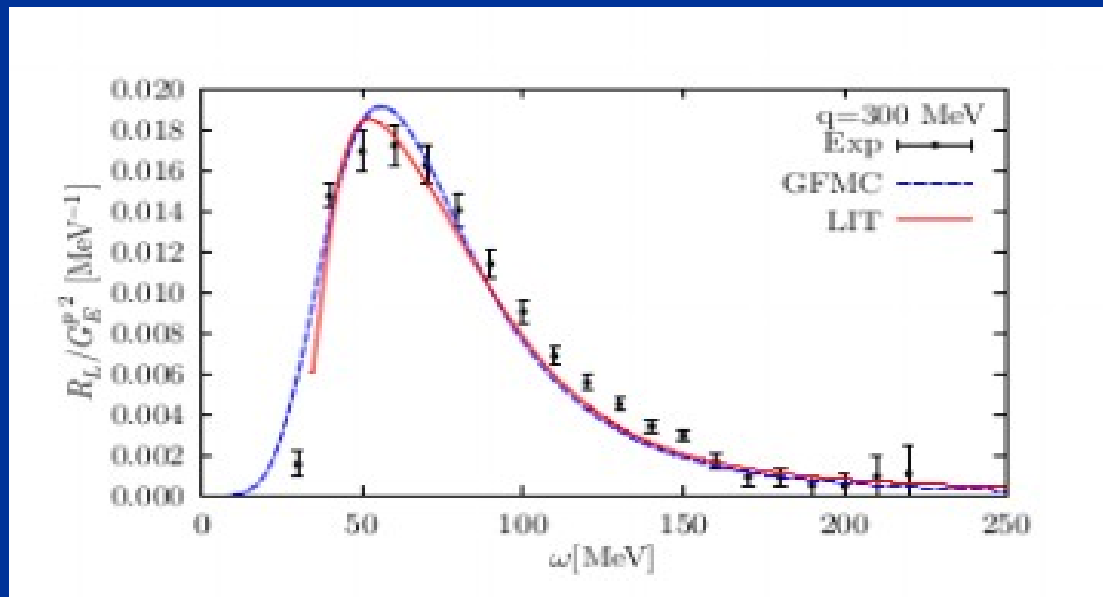
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N.Rocco, W.Leidemann, A. Lovato, G.O.
Phys. Rev. C 97, 055501 (2018)

estimation of accuracy



Blue line: Exponential Kernel

Red line: Lorentzian Kernel:

LIT: S. Bacca, N. Barnea, W. Leidemann, and G. Orlandini, Phys. Rev. C 80, 064001 (2009).

results on total cross section

Responses are calculated at fixed q
as a function of energy transfer

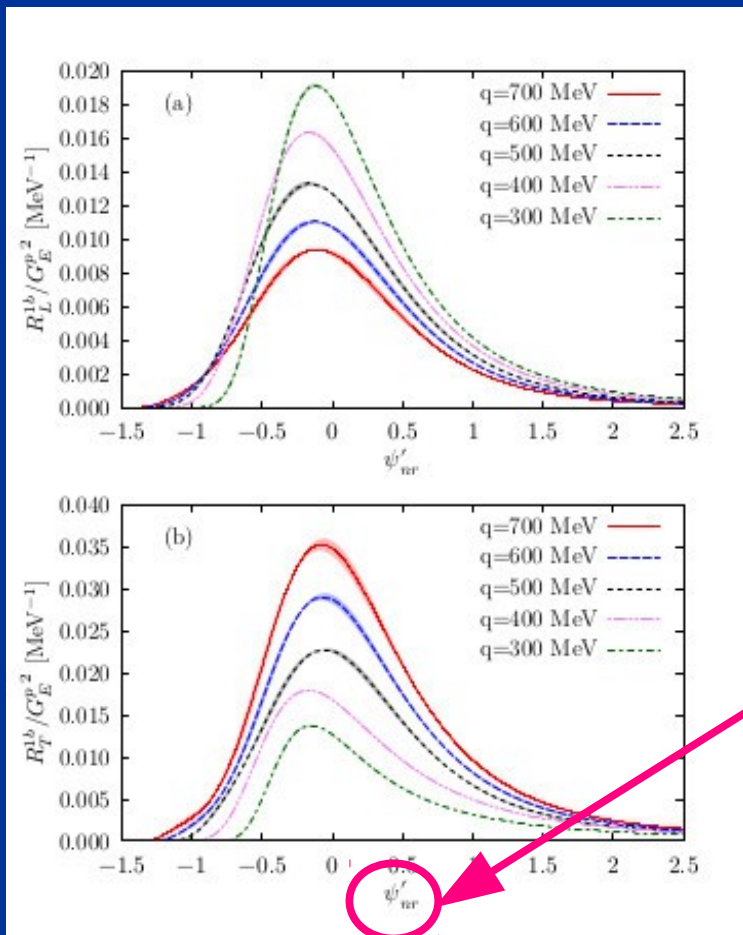
Cross sections are obtained for fixed
initial energy and angle and
correspond to many values of q !

Computationally very demanding

→ (impossible??)

Regular behaviour if re-plot results as function of scaling variable!

N. Rocco, L. Alvarez-Ruso, A. Lovato, and J. Nieves,
Phys. Rev. C96, 015504 (2017)



$$\psi' = k_f / q [(\omega - E_s) - q^2 / (2m)]$$

Scaling variable

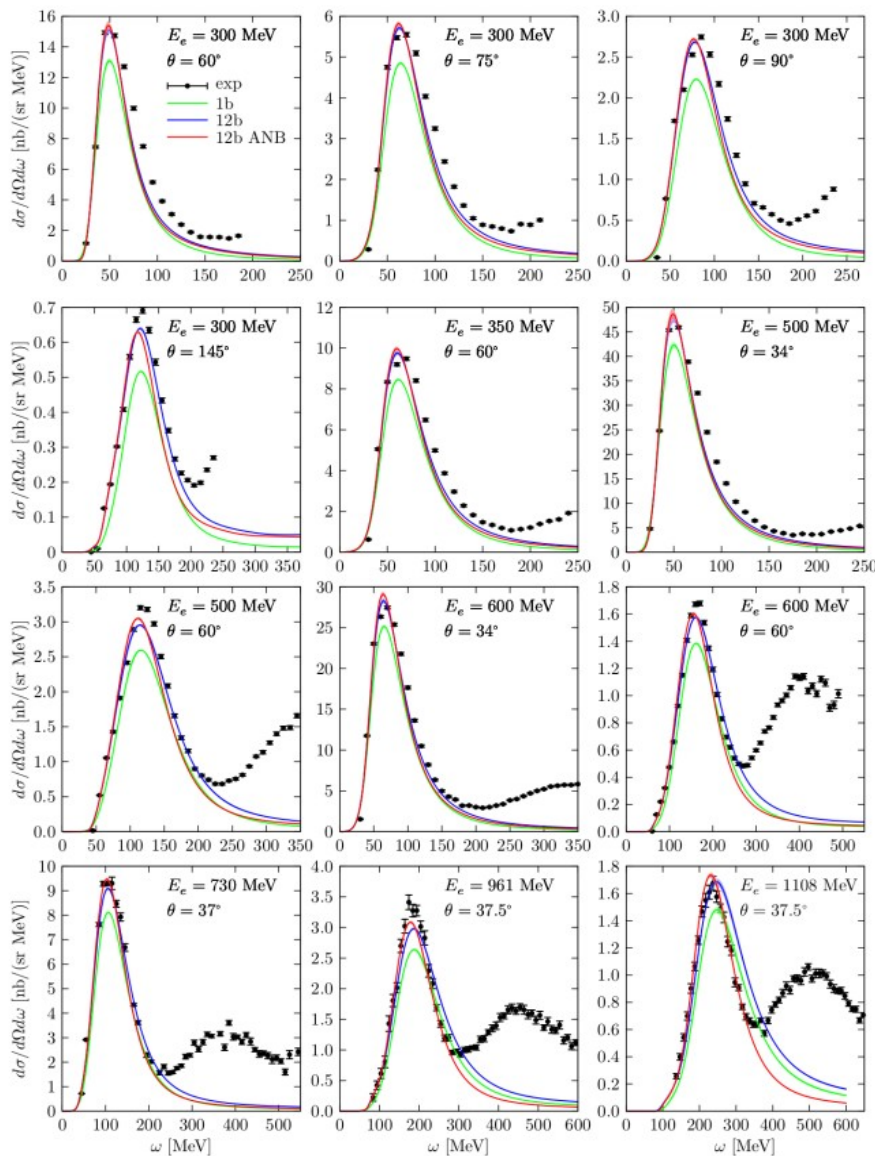


FIG. 7. Double-differential electron- ^4He cross sections for different values of incident electron energy and scattering angle. The green and blue lines correspond to GFMC calculation where only one- body and one- plus two-body contributions in the electromagnetic currents are accounted for. The red line indicates one plus two-body current results obtained in the ANB frame, employing the two-body fragment model to account for relativistic kinematics. The experimental data are taken from Ref. [?].

N.Rocco, W.Leidemann, A. Lovato, G.O. Phys. Rev. C 97, 055501 (2018)

- Many contributions at different q !
- Very high computational effort demanded
- Smart interpolation via scaling variable performed

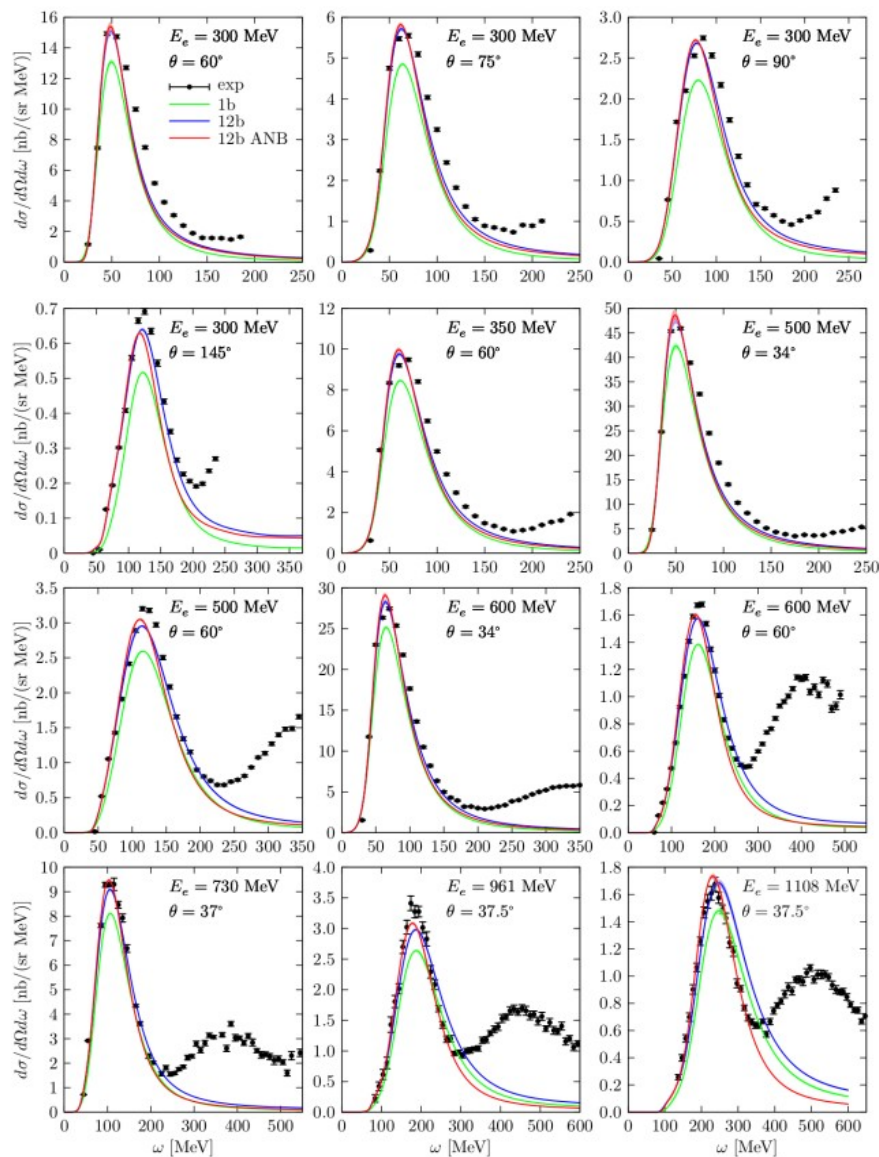


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N.Rocco, W.Leidemann, A. Lovato, G.O. Phys. Rev. C 97, 055501 (2018)

Test on electron
scattering data:
Very good!

**One can extend to high q the applicability
of an *ab initio* n.r. calculation
by choosing the right frame**

Summarizing:

- Ab initio **non relativistic** calculations of the (e,e') nuclear cross section can be performed considering the **full realistic potential dynamics** both in the initial and in the **final** state

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- Ab initio **non relativistic** calculations of the (e,e') nuclear cross section can be performed considering the **full realistic potential dynamics** both in the initial and in the **final** state
- **One and two-body currents** (with relativistic corrections up to $(q/m)^2$ are included
- **Frame dependence** is much reduced, using quasi elastic relativistic kinematics
- In q.e. regime relativistic effects are minimized in the **ANB frame**

Conclusion and outlook

- The **test** of the described approach on **(e,e')** measured cross section turns out to be **very good**
- Then one can use the same approach for **neutrino** scattering
- Heavier targets than ^4He can also be treated
- ^{12}C next

Results obtained with

- Noemi Rocco (Argonne Nat. Lab.)
- Alessandro Lovato (INFN Trento)
- Winfried Leidemann (Univ. Trento)
- Victor Efros (Kurchatov Centre Moscow)
- Ed Tomusiak (Univ. Victoria Canada)