

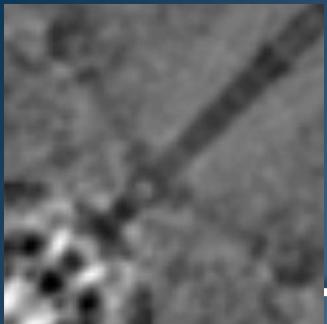
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# Observation of spontaneous thermal Hawking radiation and its temperature in an analogue black hole

Jeff Steinhauer  
Juan Ramón Muñoz de Nova  
Katrine Golubkov  
Victor I. Kolobov

Technion -- Israel  
Institute of Technology





# Theoretical background

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## Black hole thermodynamics (entropy, temperature)

Bekenstein, J. D. Black holes and entropy. *Phys. Rev. D* **7**, 2333 (1973).

## Hawking radiation

Hawking, S. W. Black hole explosions? *Nature* **248**, 30 (1974).

Hawking, S. W. Particle creation by black holes. *Commun. Math. Phys.* **43**, 199 (1975).

*Hawking combined general relativity with quantum field theory.*

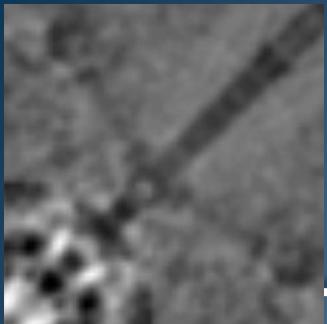
$$k_B T_H = \hbar g / 2\pi c$$

## Information paradox

Hawking, S. W. Breakdown of predictability in gravitational collapse. *Phys. Rev. D* **14**, 2460 (1976).

Wald, R. M. On particle creation by black holes. *Commun. Math. Phys.* **45**, 9 (1975).





# Theoretical background

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## Graybody factors

Page, D. N. Particle emission rates from a black hole: Massless particles from an uncharged, nonrotating hole. *Phys. Rev. D* **13**, 198 (1976).

Visser, M. Thermality of the Hawking Flux. *J. High Energ. Phys.* **9** (2015).

## Hawking radiation from very small black holes

Page, D. N. Particle emission rates from a black hole: Massless particles from an uncharged, nonrotating hole. *Phys. Rev. D* **13**, 198 (1976).

Dimopoulos, S. & Landsberg, G. Black holes at the large hadron collider. *Phys. Rev. Lett.* **87**, 161602 (2001).

Giddings, S. B. & Thomas, S. High energy colliders as black hole factories: The end of short distance physics. *Phys. Rev. D* **65**, 056010 (2002).

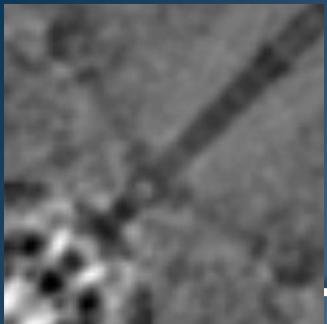
## Hawking radiation in an analogue black hole

Unruh, W. G. Experimental black-hole evaporation? *Phys. Rev. Lett.* **46**, 1351 (1981).

*"Black-hole evaporation is one of the most surprising discoveries of the past ten years."*

$$k_B T_H = \hbar g / 2\pi c$$





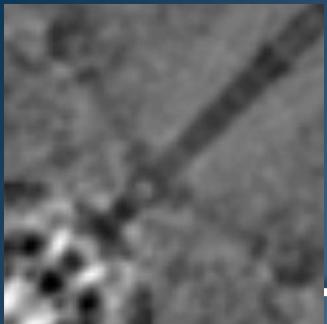
# Theoretical background

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## Bose-Einstein condensates

- Garay, L. J., Anglin, J. R., Cirac, J. I. & Zoller, P., Sonic analog of gravitational black holes in Bose-Einstein condensates. *Phys. Rev. Lett.* **85**, 4643 (2000).
- Barceló, C., Liberati, S. & Visser, M. Analogue gravity from Bose-Einstein condensates. *Class. Quant. Grav.* **18**, 1137 (2001).
- Recati, A., Pavloff, N. & Carusotto, I. Bogoliubov theory of acoustic Hawking radiation in Bose-Einstein condensates. *Phys. Rev. A* **80**, 043603 (2009).
- Zapata, I., Albert, M., Parentani, R. & Sols, F. Resonant Hawking radiation in Bose-Einstein Condensates. *New J. Phys.* **13**, 063048 (2011).
- Balbinot, R., Fabbri, A., Fagnocchi, S., Recati, A. & Carusotto, I. Nonlocal density correlations as a signature of Hawking radiation from acoustic black holes. *Phys. Rev. A* **78**, 021603(R) (2008).
- Macher, J. & Parentani, R. Black-hole radiation in Bose-Einstein condensates. *Phys. Rev. A* **80**, 043601 (2009).
- Carusotto, I., Fagnocchi, S., Recati, A., Balbinot, R. & Fabbri, A. Numerical observation of Hawking radiation from acoustic black holes in atomic Bose-Einstein condensates. *New J. Phys.* **10**, 103001 (2008).
- Larré, P.-É., Recati, A., Carusotto, I. & Pavloff, N. Quantum fluctuations around black hole horizons in Bose-Einstein condensates. *Phys. Rev. A* **85**, 013621 (2012).





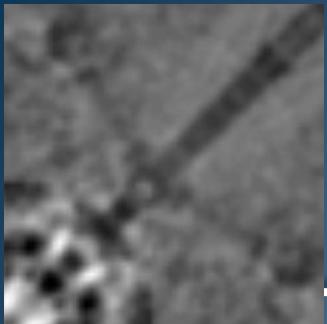
# Theoretical background

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## Bose-Einstein condensates (continued)

- Busch, X. & Parentani, R. Quantum entanglement in analogue Hawking radiation: When is the final state nonseparable? *Phys. Rev. D* **89**, 105024 (2014).
- Finazzi, S. & Carusotto, I. Entangled phonons in atomic Bose-Einstein condensates. *Phys. Rev. A* **90**, 033607 (2014).
- Steinhauer, J. Measuring the entanglement of analogue Hawking radiation by the density-density correlation function. *Phys. Rev. D* **92**, 024043 (2015).
- de Nova, J. R. M., Sols, F. & Zapata, I. Violation of Cauchy-Schwarz inequalities by spontaneous Hawking radiation in resonant boson structures. *Phys. Rev. A* **89**, 043808 (2014).
- Doukas, J. Adesso, G. & Fuentes, I. Ruling out stray thermal radiation in analogue black holes. arXiv 1404.4324.
- Boiron, D., Fabbri, A., Larré, P.-É., Pavloff, N., Westbrook, C. I. & Ziń, P. Quantum signature of analog Hawking radiation in momentum space. *Phys. Rev. Lett.* **115**, 025301 (2015).
- de Nova, J. R. M., Sols, F. & Zapata, I. Entanglement and violation of classical inequalities in the Hawking radiation of flowing atom condensates. *New J. Phys.* **17**, 105003 (2015).





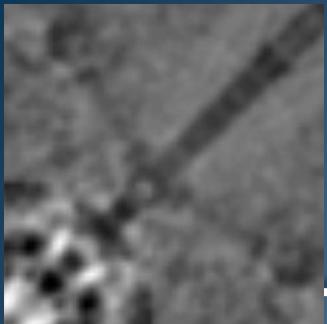
# Theoretical background

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## **Bose-Einstein condensates (continued)**

- Michel, F., Coupechoux, J.-F. & Parentani, R. Phonon spectrum and correlations in a transonic flow of an atomic Bose gas. *Phys. Rev. D* **94**, 084027 (2016).
- Coutant A. & Weinfurtner, S. Low-frequency analogue Hawking radiation: The Bogoliubov-de Gennes model. *Phys. Rev. D* **97**, 025006 (2018).
- Fabbri, A. & Pavloff, N. Momentum correlations as signature of sonic Hawking radiation in Bose-Einstein condensates. *SciPost Phys.* **4**, 019 (2018).
- Robertson, S., Michel, F. & Parentani, R. Assessing degrees of entanglement of phonon states in atomic Bose gases through the measurement of commuting observables. *Phys. Rev. D* **96**, 045012 (2017).





# Theoretical background

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## Superfluid $^3\text{He}$

Jacobson T. A. & Volovik, G. E. Event horizons and ergoregions  
in  $^3\text{He}$ . *Phys. Rev. D* **58**, 064021 (1998).

## Electromagnetic waveguide

Schützhold, R. & Unruh, W. G. Hawking radiation in an electromagnetic waveguide?  
*Phys. Rev. Lett.* **95**, 031301 (2005).

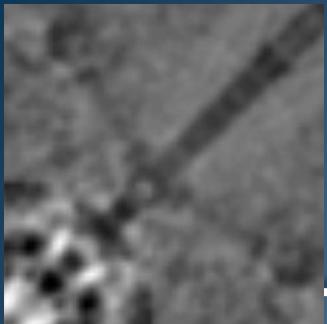
## Ultracold Fermions

Giovanazzi, S. Hawking radiation in sonic black holes. *Phys. Rev. Lett.* **94**, 061302 (2005).  
Giovanazzi, S. Entanglement entropy and mutual information production rates in  
acoustic black holes. *Phys. Rev. Lett.* **106**, 011302 (2011).

## Ring of trapped ions

Horstmann, B., Reznik, B., Fagnocchi, S. & Cirac, J. I. Hawking radiation from an  
acoustic black hole on an ion ring. *Phys. Rev. Lett.* **104**, 250403 (2010).





# Theoretical background

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## Light in a nonlinear liquid

Elazar, M. Fleurov, V. & Bar-Ad, S. All-optical event horizon in an optical analog of a Laval nozzle. *Phys. Rev. A* **86**, 063821 (2012).

## Exciton-polariton condensates

Solnyshkov, D. D., Flayac, H. & Malpuech, G. Black holes and wormholes in spinor polariton condensates. *Phys. Rev. B* **84**, 233405 (2011).

## Magnons in a magnetic wire

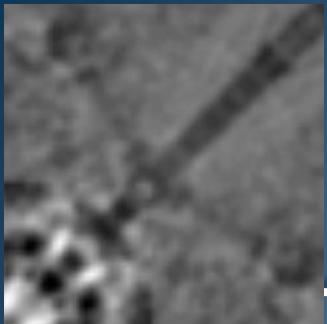
Roldan-Molina, A., Nunez, A. S. & Duine R. A. Magnonic Black Holes. *Phys. Rev. Lett.* **118**, 061301 (2017).

Jannes, G, Maïssa, P., Philbin, T. G. & Rousseaux, G. Hawking radiation and the boomerang behavior of massive modes near a horizon. *Phys. Rev. D* **83**, 104028 (2011).

## Weyl semimetals

Volovik, G. E. Black Hole and Hawking Radiation by Type-II Weyl Fermions. *JETP Letters* **104**, 645 (2016).





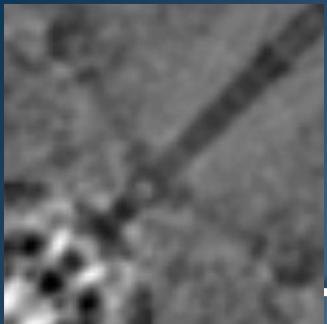
# Experimental background

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## Bose-Einstein condensates

- Lahav, O., Itah, A., Blumkin, A., Gordon, C., Rinott, S., Zayats, A. & Steinhauer, J.  
Realization of a sonic black hole analog in a Bose-Einstein condensate. *Phys. Rev. Lett.* **105**, 240401 (2010).
- Shammass, I., Rinott, S., Berkovitz, A., Schley, R. & Steinhauer, J. Phonon dispersion relation of an atomic Bose-Einstein condensate. *Phys. Rev. Lett.* **109**, 195301 (2012).
- Schley, R., Berkovitz, A., Rinott, S., Shammass, I., Blumkin, A. & Steinhauer, J. Planck Distribution of Phonons in a Bose-Einstein Condensate. *Phys. Rev. Lett.* **111**, 055301 (2013).
- Steinhauer, J. Observation of self-amplifying Hawking radiation in an analog black hole laser. *Nature Phys.* **10**, 864 (2014).
- Steinhauer, J. Observation of quantum Hawking radiation and its entanglement in an analogue black hole. *Nature Phys.* **12**, 959 (2016).
- de Nova, J. R. M., Golubkov, K., Kolobov, V. I. & Steinhauer, J. Observation of thermal Hawking radiation and its temperature in an analogue black hole. *Nature* **569**, 688 (2019).





# Experimental background

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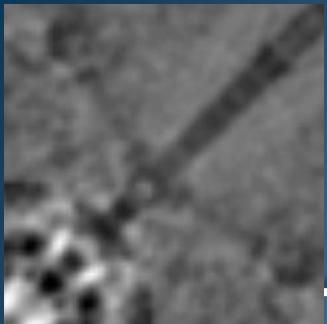
## Surface waves in water

Rousseaux, G., Mathis, C., Maïssa, P., Philbin, T. G. & Leonhardt, U. Observation of negative-frequency waves in a water tank: a classical analogue to the Hawking effect? *New J. Phys.* **10**, 053015 (2008).

Weinfurtner, S., Tedford, E. W., Penrice, M. C. J., Unruh, W. G. & Lawrence, G. A. Measurement of stimulated Hawking emission in an analogue system. *Phys. Rev. Lett.* **106**, 021302 (2011).

Euvé, L.-P., Michel, F., Parentani, R., Philbin, T. G. & Rousseaux, G. Observation of noise correlated by the Hawking effect in a water tank. *PRL* **117**, 121301 (2016).





# Experimental background

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## Non-linear optical fibers

Philbin, T. G., Kuklewicz, C., Robertson, S., Hill, S., König, F. & Leonhardt, U. Fiber-optical analog of the event horizon. *Science* **319**, 1367-1370 (2008).

Belgiorno, F., Cacciatori, S. L., Clerici, M., Gorini, V., Ortenzi, G., Rizzi, L., Rubino, E., Sala, V. G. & Faccio, D. Hawking Radiation from Ultrashort Laser Pulse Filaments. *Phys. Rev. Lett.* **105**, 203901 (2010).

Unruh, W. & Schützhold, R. Hawking radiation from “phase horizons” in laser filaments? *Phys. Rev. D* **86**, 064006 (2012).

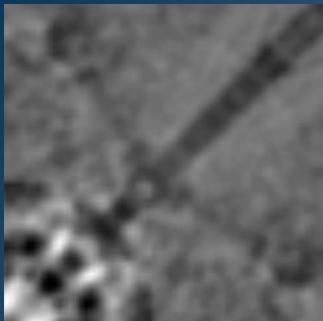
Liberati, S., Prain, A. & Visser, M. Quantum vacuum radiation in optical glass. *Phys. Rev. D* **85**, 084014 (2012).

Drori, J., Rosenberg, Y., Bermudez, D., Silberberg, Y. & Leonhardt, U. Observation of stimulated Hawking radiation in an optical analogue. *Phys. Rev. Lett.* **122**, 010404 (2019).

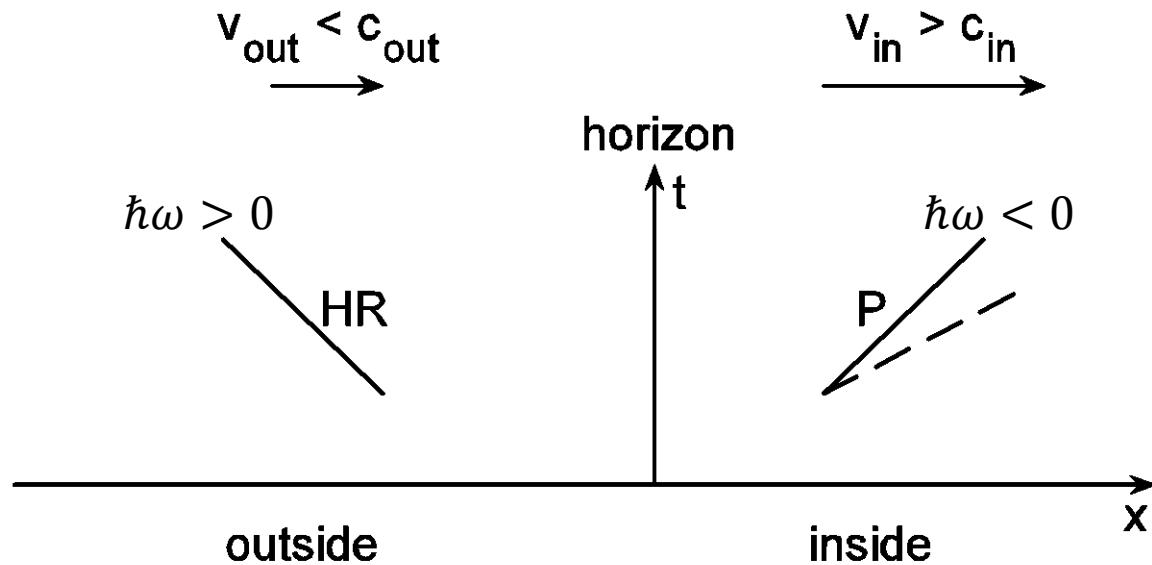
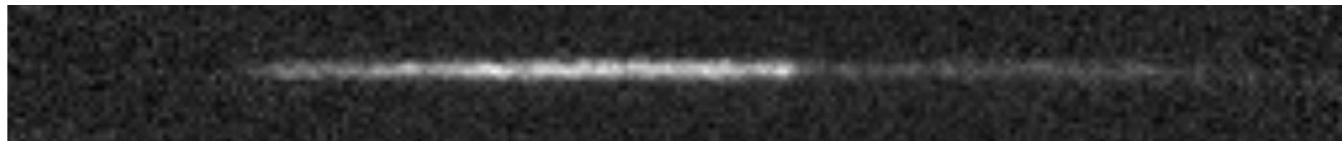
## Exciton-polariton condensate

Nguyen, H. S., Gerace, D., Carusotto, I., Sanvitto, D., Galopin, E., Lemaître, A., Sagnes, I., Bloch, J. & Amo, A. Acoustic Black Hole in a Stationary Hydrodynamic Flow of Microcavity Polaritons. *Phys. Rev. Lett.* **114**, 036402 (2015).





# Analogue black hole



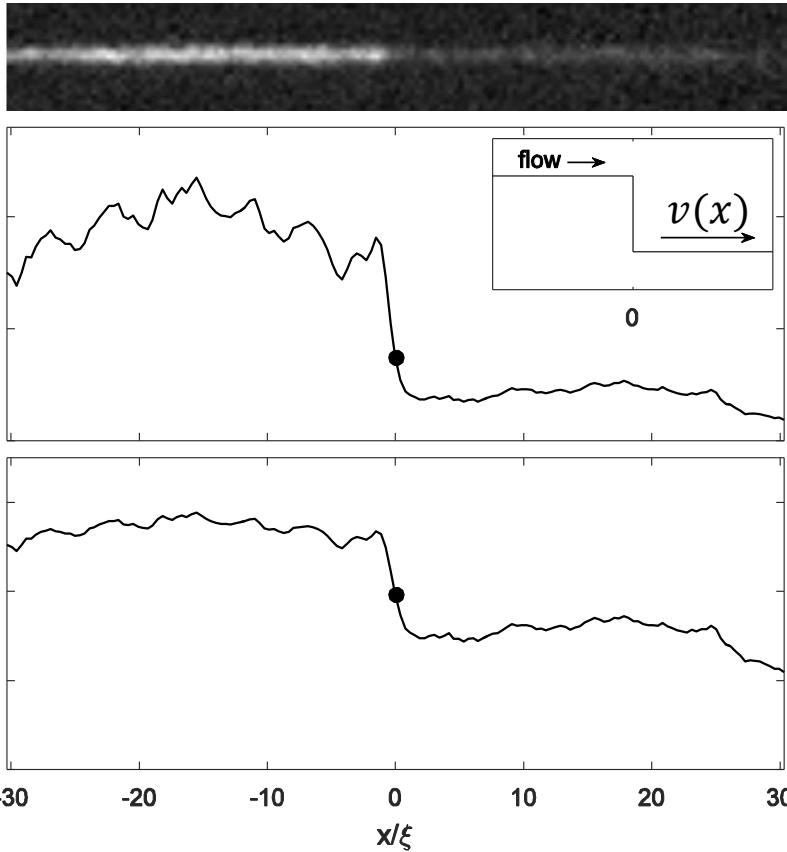
$$E_{\text{pair}} = 0$$

*Hawking radiation*

de Nova, J. R. M., Golubkov, K., Kolobov, V. I. & Steinhauer, J. Observation of thermal Hawking radiation and its temperature in an analogue black hole. *Nature* 569, 688 (2019).



# Predicted Hawking temperature



$$k_B T_H = \hbar g / 2\pi c$$

$$g = c(dv/dx - dc/dx) \Big|_{x=0}$$

Visser, M. *Class. Quantum Grav.* **15**, 1767-1791 (1998).

*based on linear dispersion*

$$J = nv = \text{constant}$$

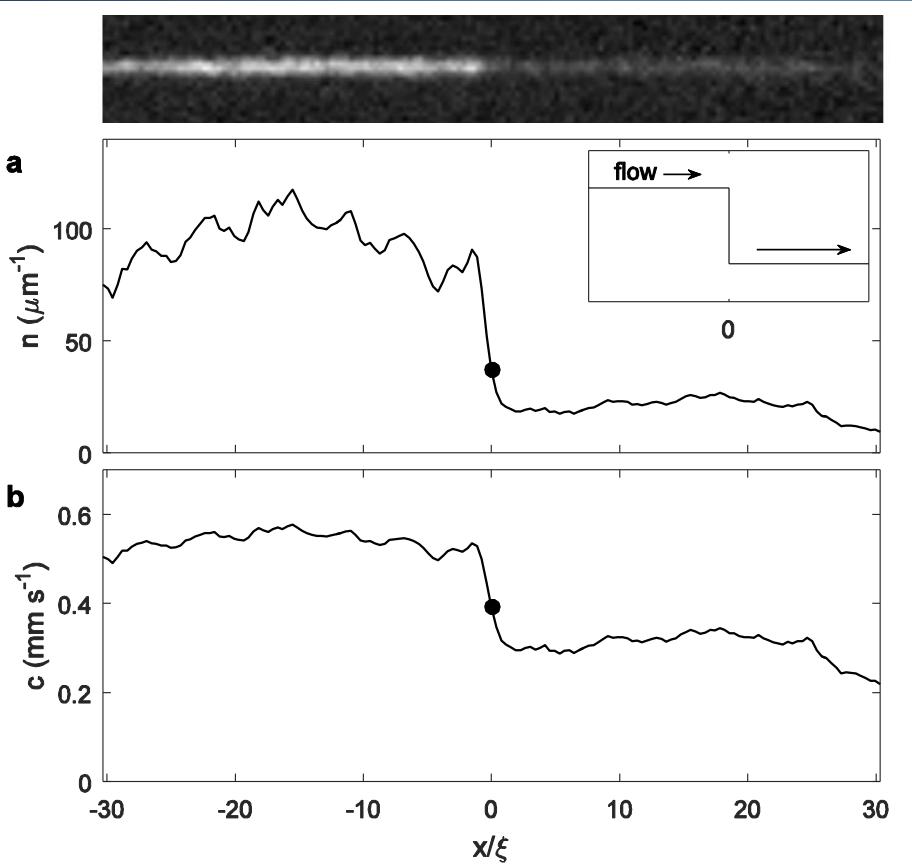
$$k_B T_H = -\frac{\hbar}{2\pi} \left( \frac{c}{n} \frac{dn}{dx} + \frac{dc}{dx} \right) \Big|_{x=0}$$

de Nova, J. R. M., Golubkov, K., Kolobov, V. I. & Steinhauer, J.  
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# Predicted Hawking temperature



$$g = c(dv/dx - dc/dx)\Big|_{x=0}$$

$$c(x) = \sqrt{\frac{2\hbar a\omega_r(x)n(x)}{m}} \sqrt{\frac{1 + 3n(x)a/2}{(1 + 2n(x)a)^{3/2}}} - \frac{\hbar\omega_{r_0}}{2U_0}$$

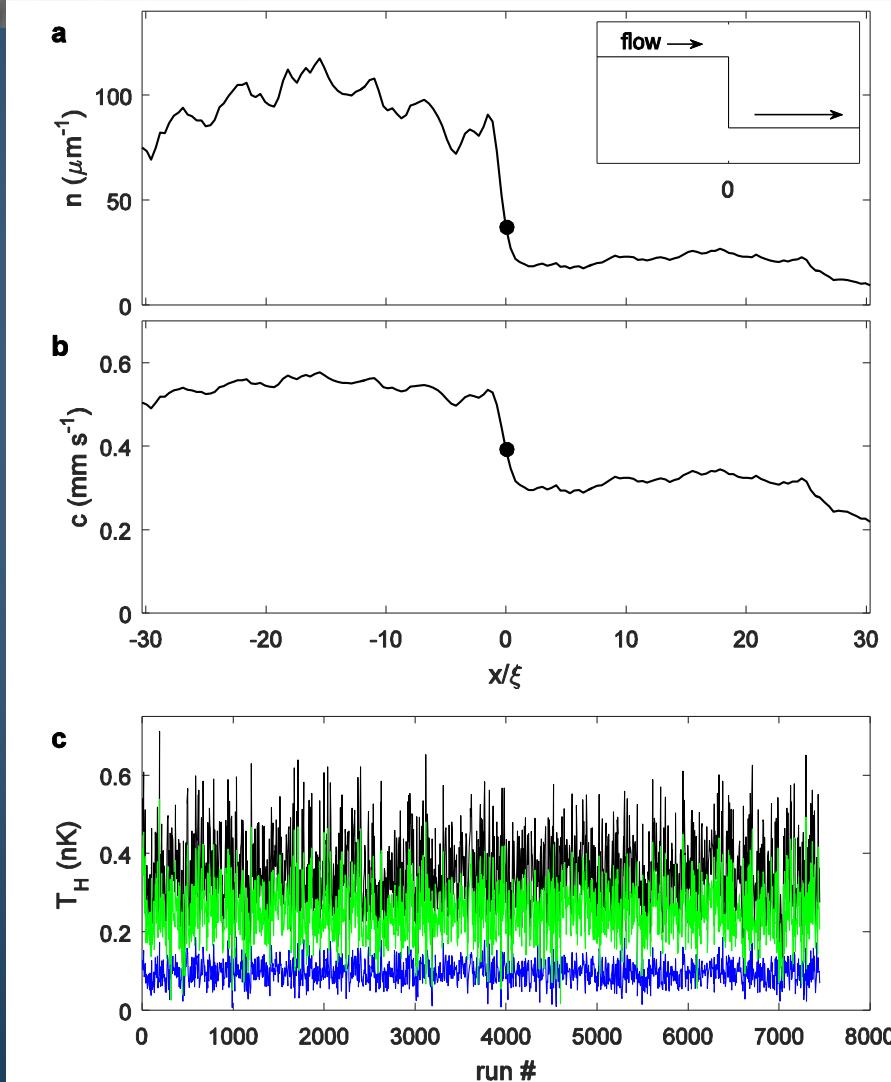
Salasnich, L., Parola, A. & Reatto, L.  
Dimensional reduction in Bose-Einstein-condensed alkali-metal vapors. *Phys. Rev. A* **69**, 045601 (2004).

de Nova, J. R. M., Golubkov, K., Kolobov, V. I. & Steinhauer, J.  
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# Predicted Hawking temperature



$$k_B T_H = \hbar g / 2\pi c$$

$$g = c(dv/dx - dc/dx) \Big|_{x=0}$$

*based on linear dispersion*

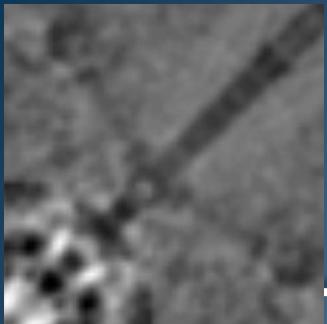
$$J = nv = \text{constant}$$

$$k_B T_H = -\frac{\hbar}{2\pi} \left( \frac{c}{n} \frac{dn}{dx} + \frac{dc}{dx} \right) \Big|_{x=0}$$

$$k_B T_H = 0.351(4) \text{ nK}$$

$$k_B T_H = 0.125(1) mc_{\text{out}}^2$$





# Theoretical predictions

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$$k_B T_H = 0.125(1) mc_{\text{out}}^2$$

Larré, P.-É., Recati, A., Carusotto, I. & Pavloff, N. Quantum fluctuations around black hole horizons in Bose-Einstein condensates. *Phys. Rev. A* **85**, 013621 (2012).

$k_B T_H \lesssim 0.14 mc_{\text{out}}^2$  for agreement with Hawking's prediction

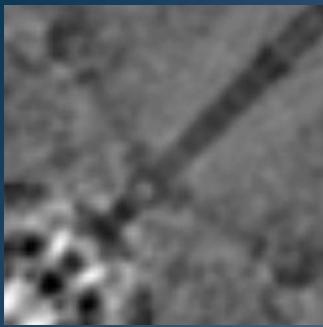
Michel, F., Coupechoux, J.-F. & Parentani, R. Phonon spectrum and correlations in a transonic flow of an atomic Bose gas. *Phys. Rev. D* **94**, 084027 (2016).

- The spectrum should be accurately Planckian.
- $T_H$  should agree with the relativistic prediction within 10%.

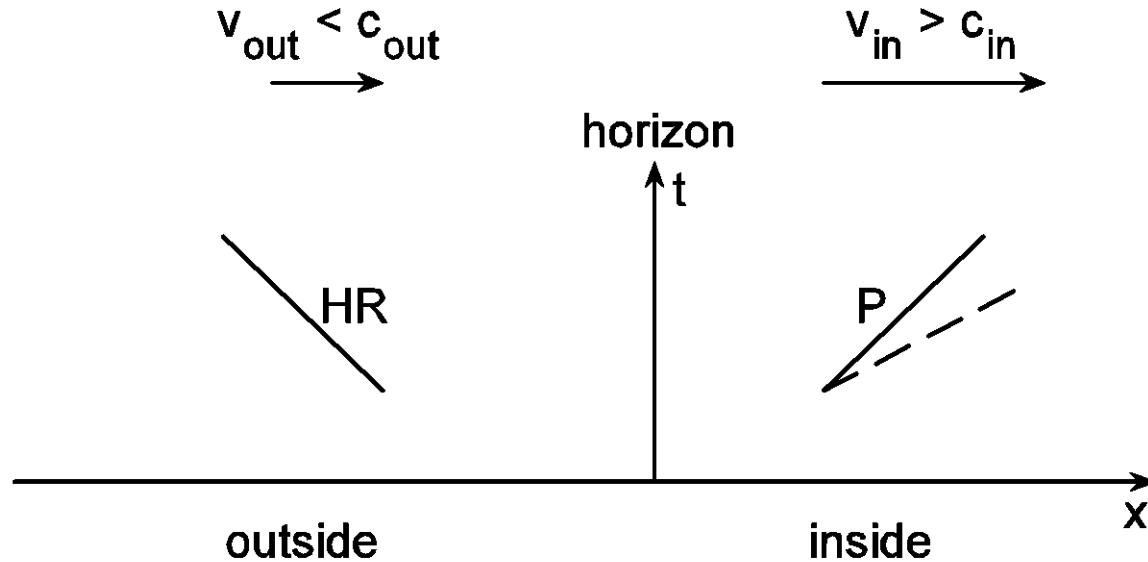
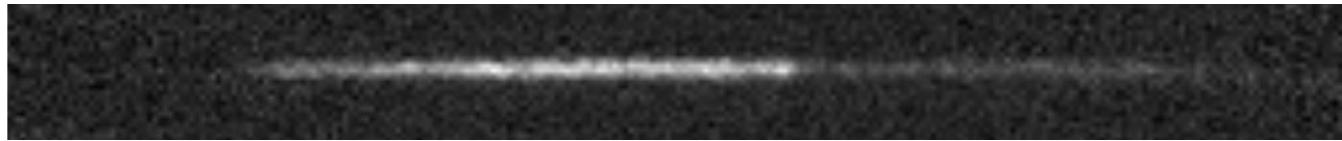
Coutant A. & Weinfurtner, S. Low-frequency analogue Hawking radiation: The Bogoliubov-de Gennes model. *Phys. Rev. D* **97**, 025006 (2018).

- $T_H$  is expected to be quite close to Hawking's prediction.





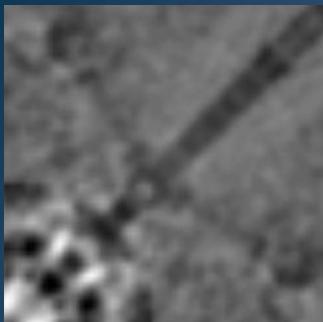
# Analogue black hole



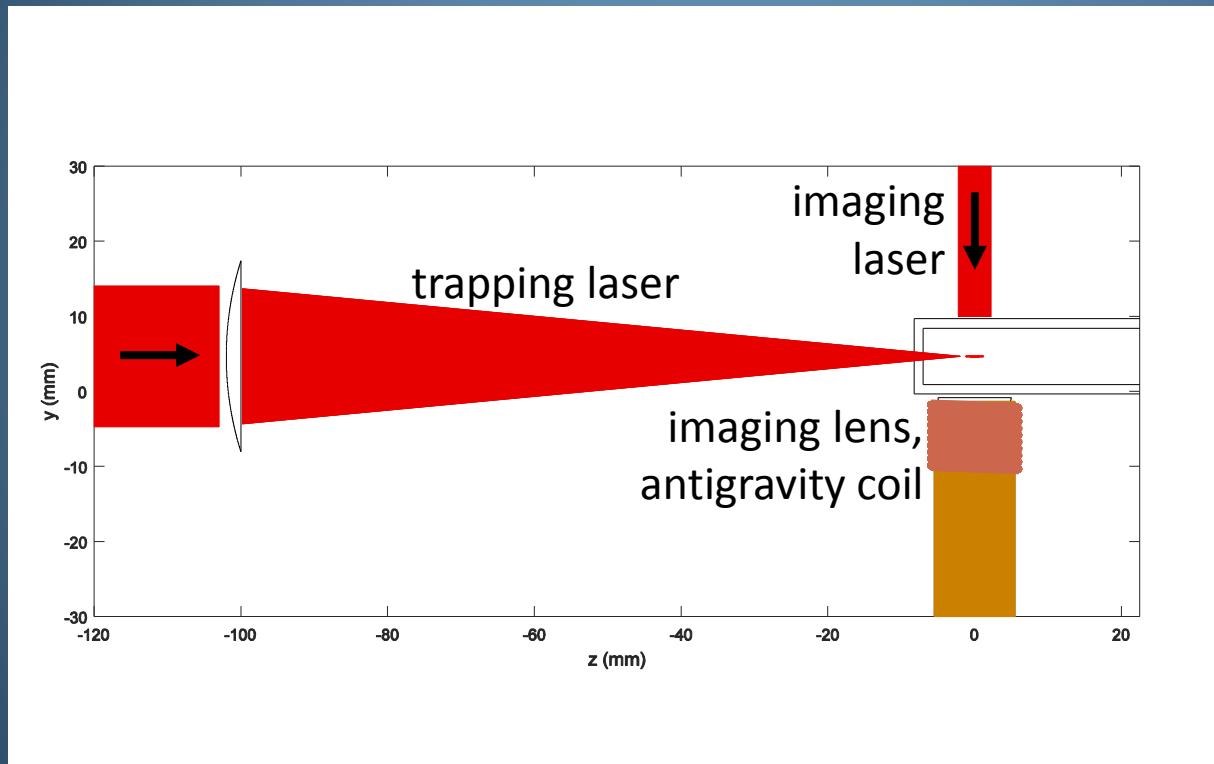
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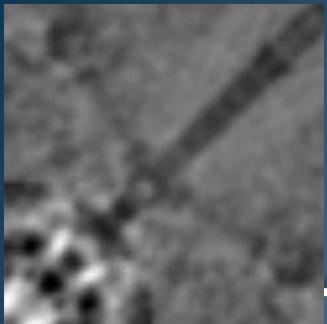
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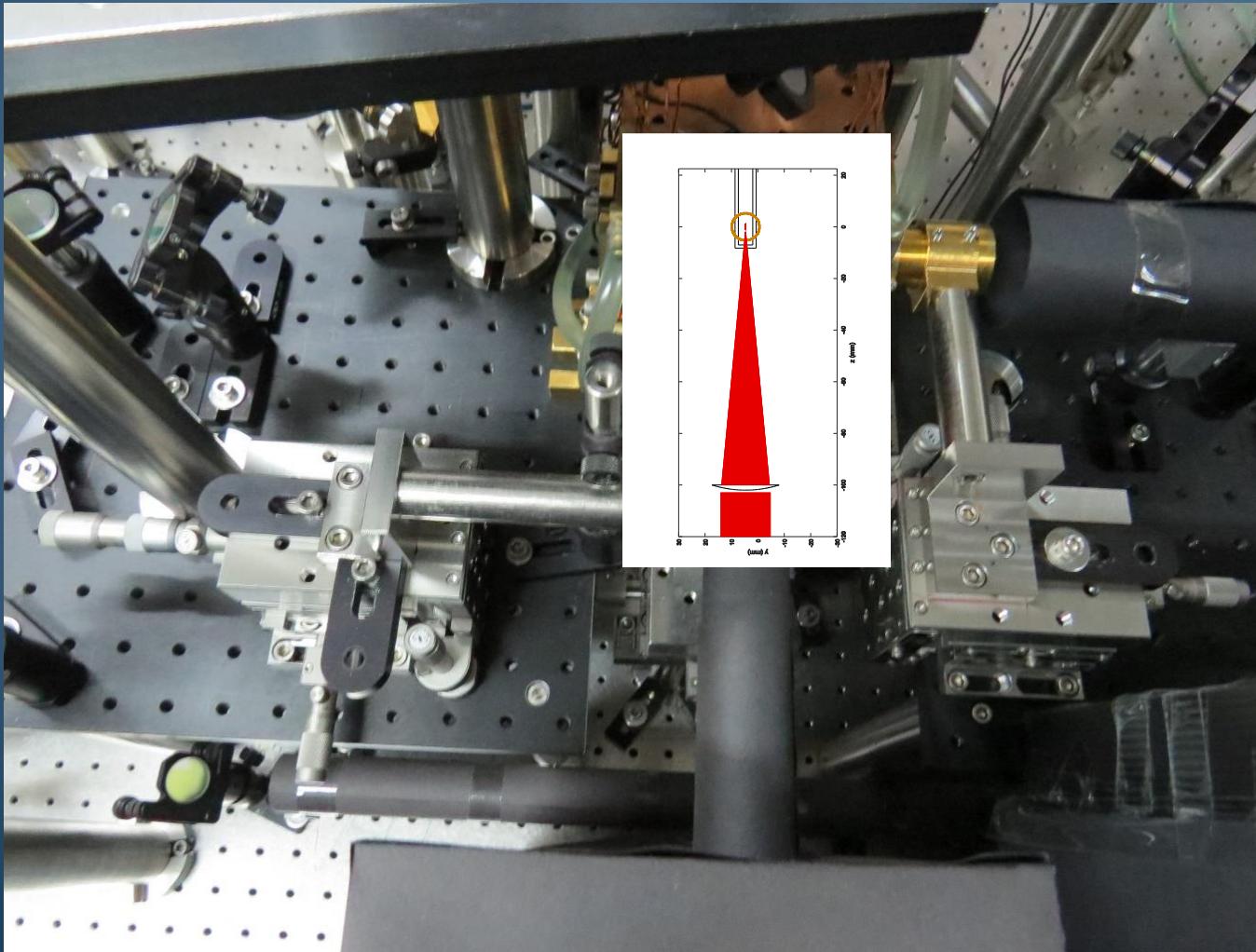
# Experimental system



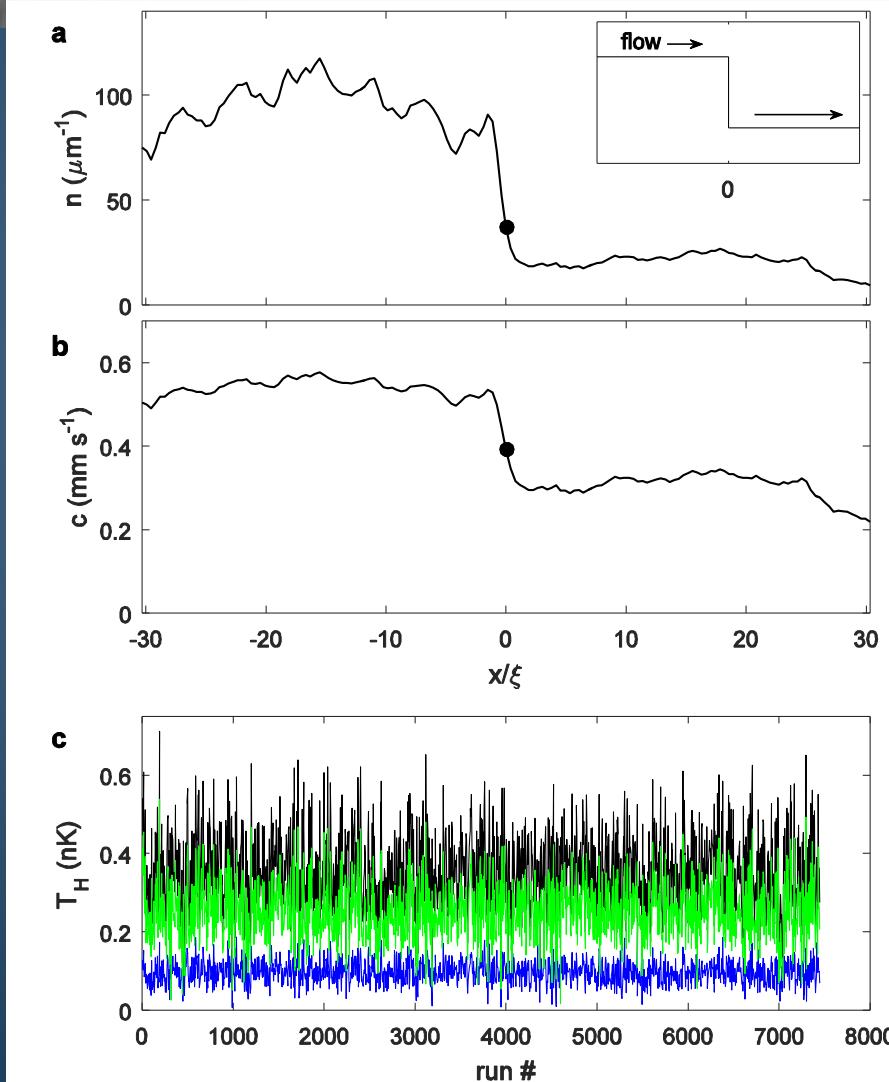


# Apparatus (top view)

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# Predicted Hawking temperature



$$k_B T_H = \hbar g / 2\pi c$$

$$g = c(dv/dx - dc/dx) \Big|_{x=0}$$

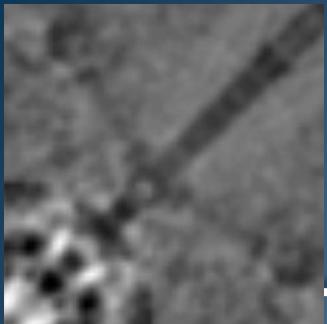
$$J = nv = \text{constant}$$

$$k_B T_H = - \frac{\hbar}{2\pi} \left( \frac{c}{n} \frac{dn}{dx} + \frac{dc}{dx} \right) \Big|_{x=0}$$

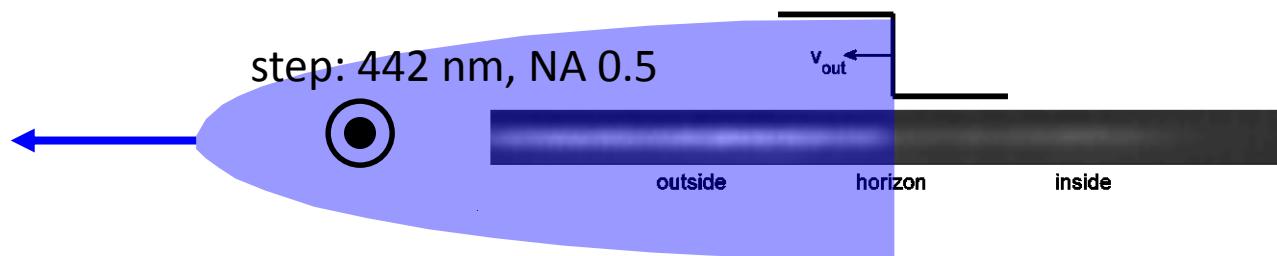
$$k_B T_H = 0.351(4) \text{ nK}$$

$$k_B T_H = 0.125(1) mc_{\text{out}}^2$$



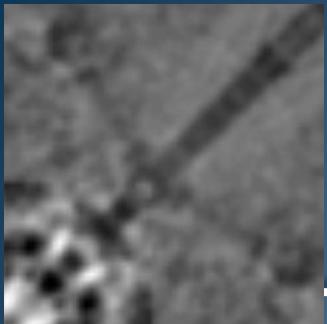


# Experimental Technique

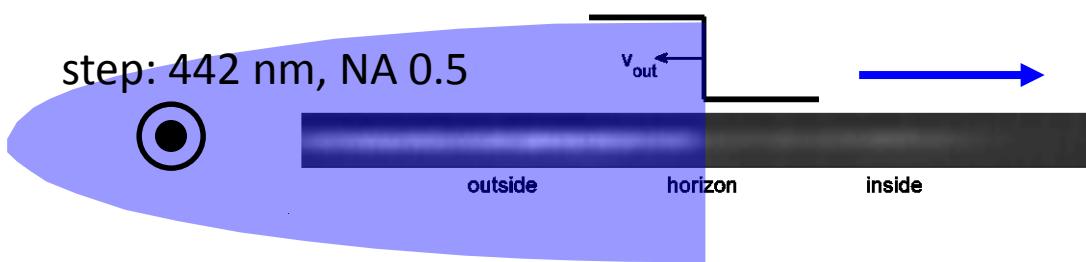


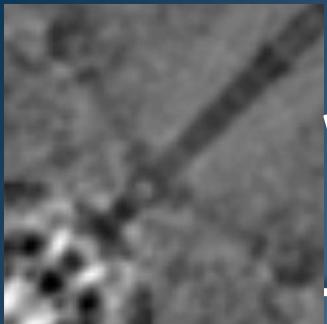
Lahav, O., Itah, A., Blumkin, A., Gordon, C., Rinott, S., Zayats, A. & Steinhauer, J. *Phys. Rev. Lett.* **105**, 240401 (2010).



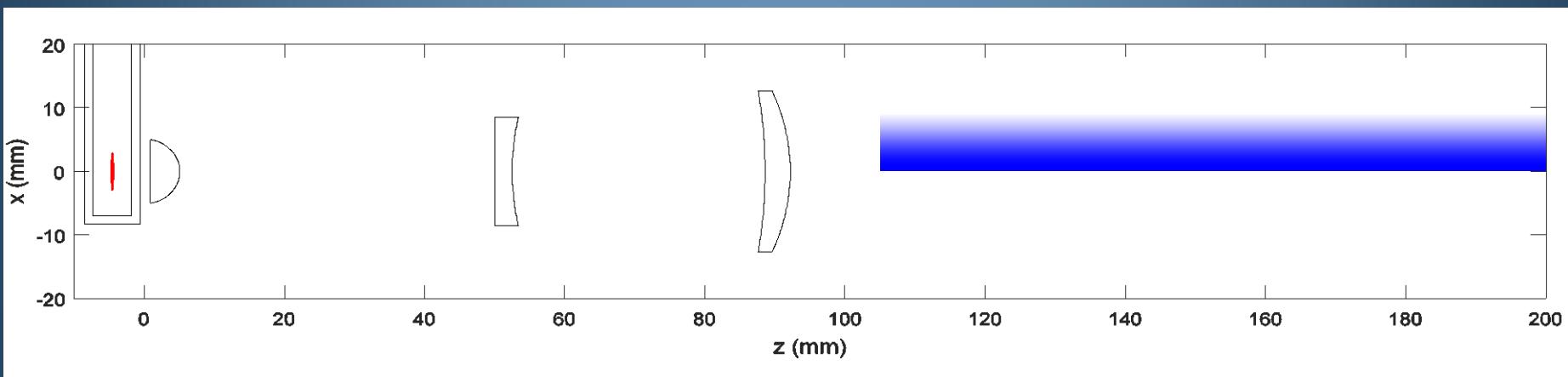


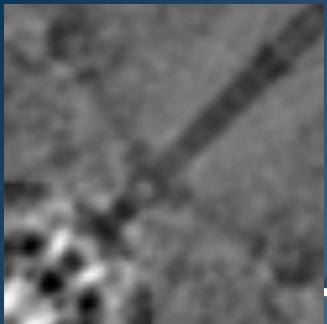
# Experimental Technique





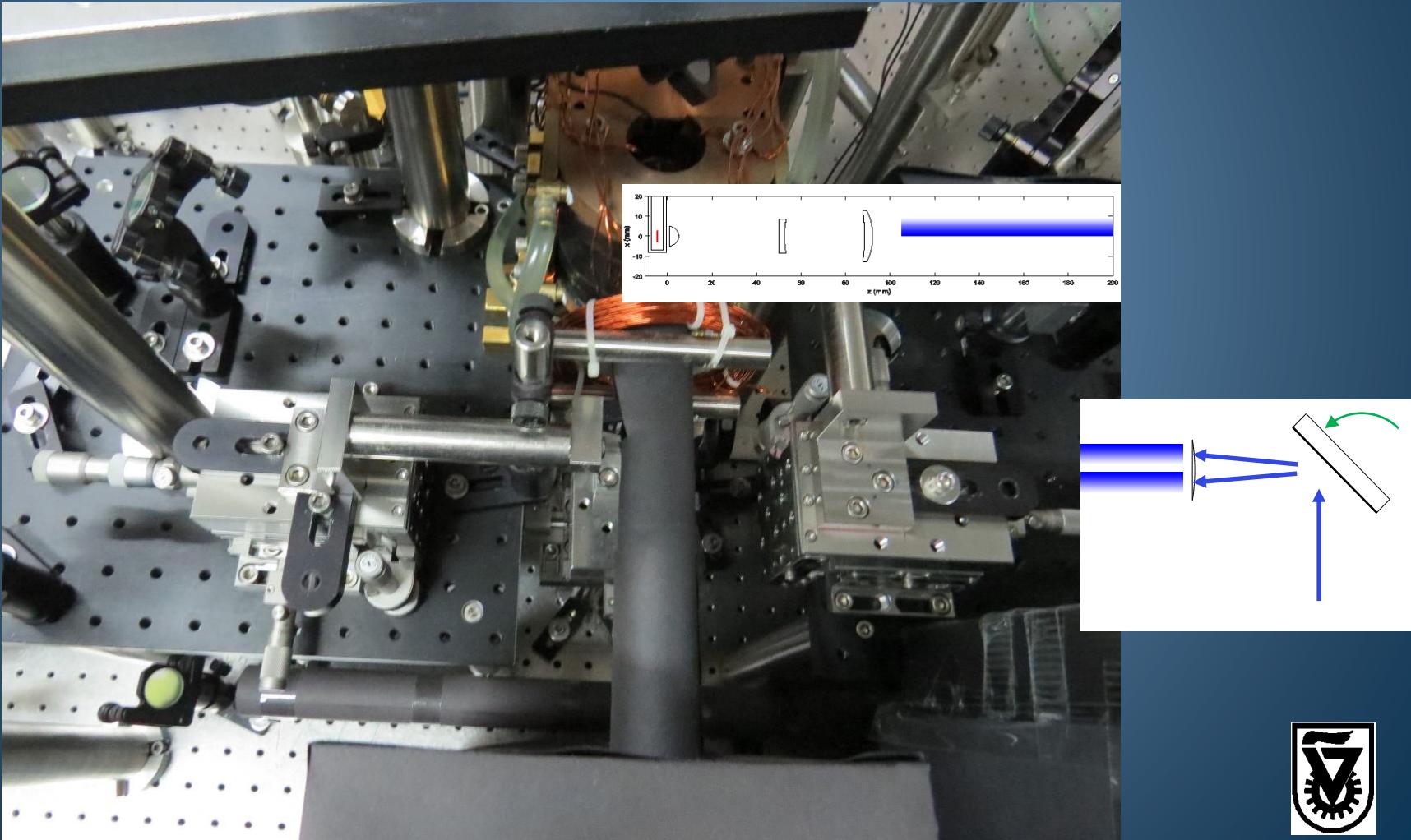
# Waterfall objective lenses

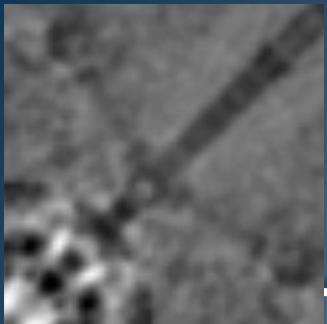




# Apparatus

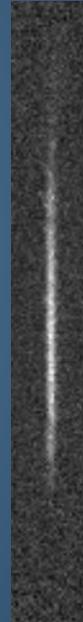
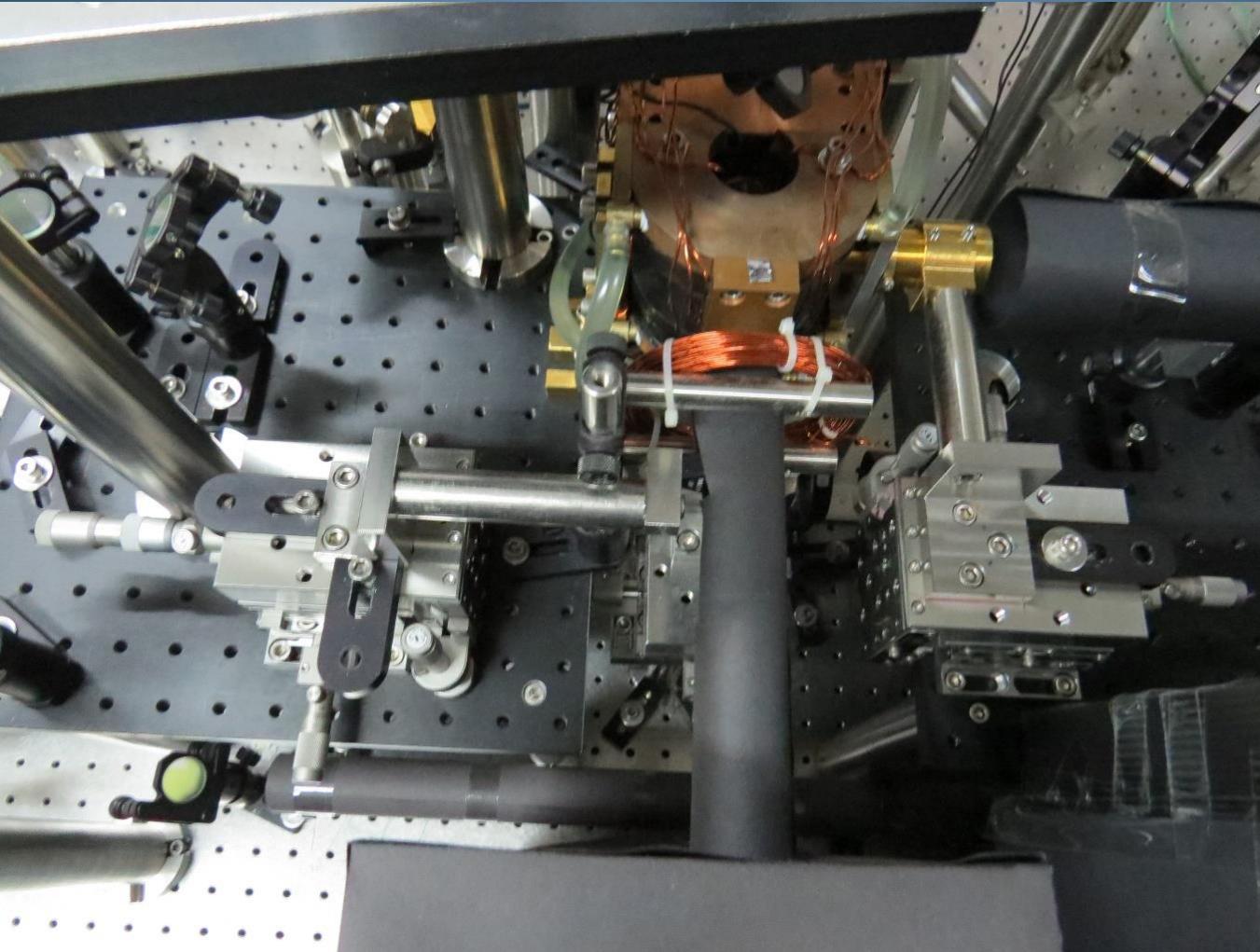
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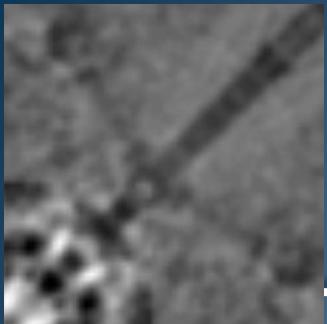




# Reference images

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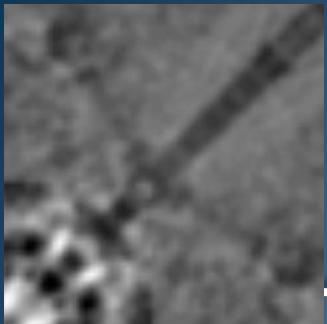
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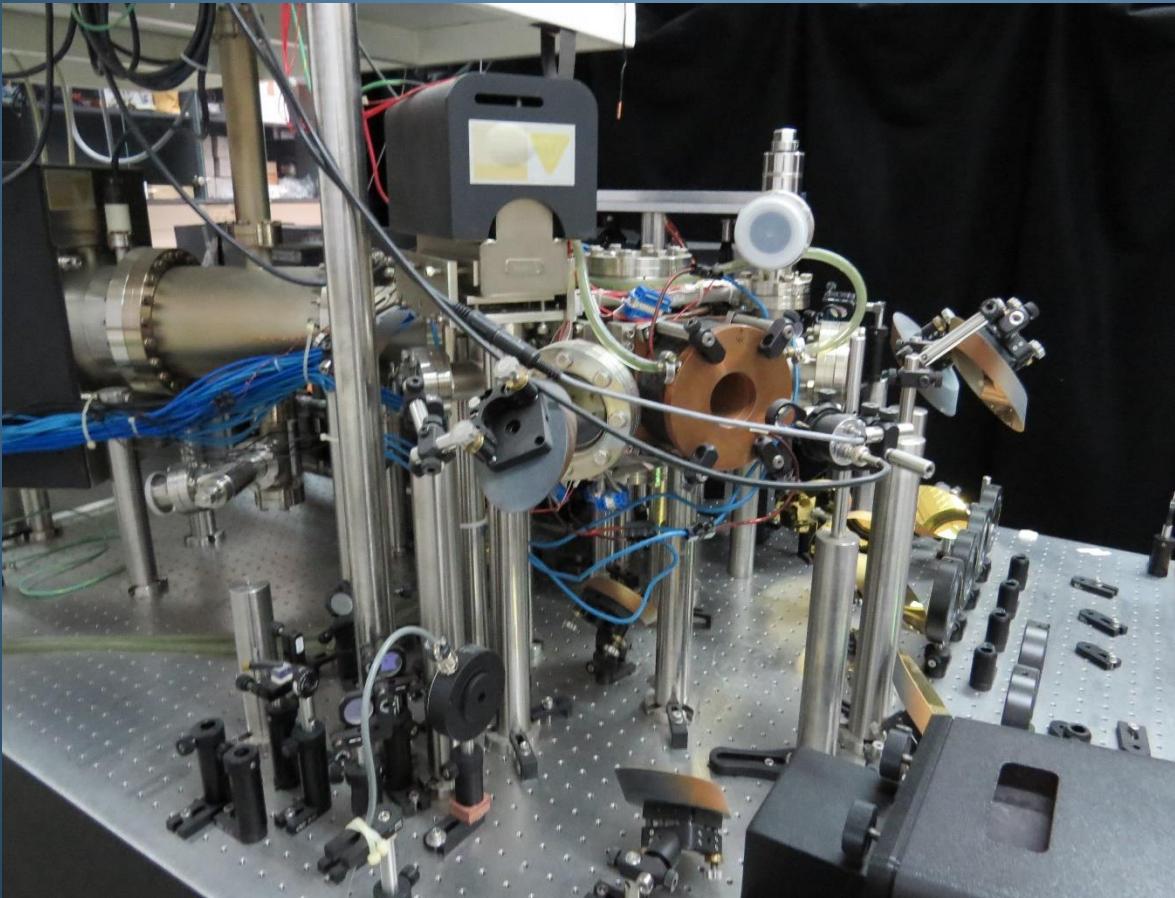
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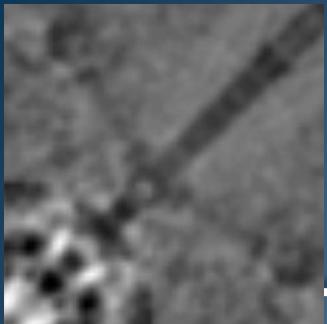
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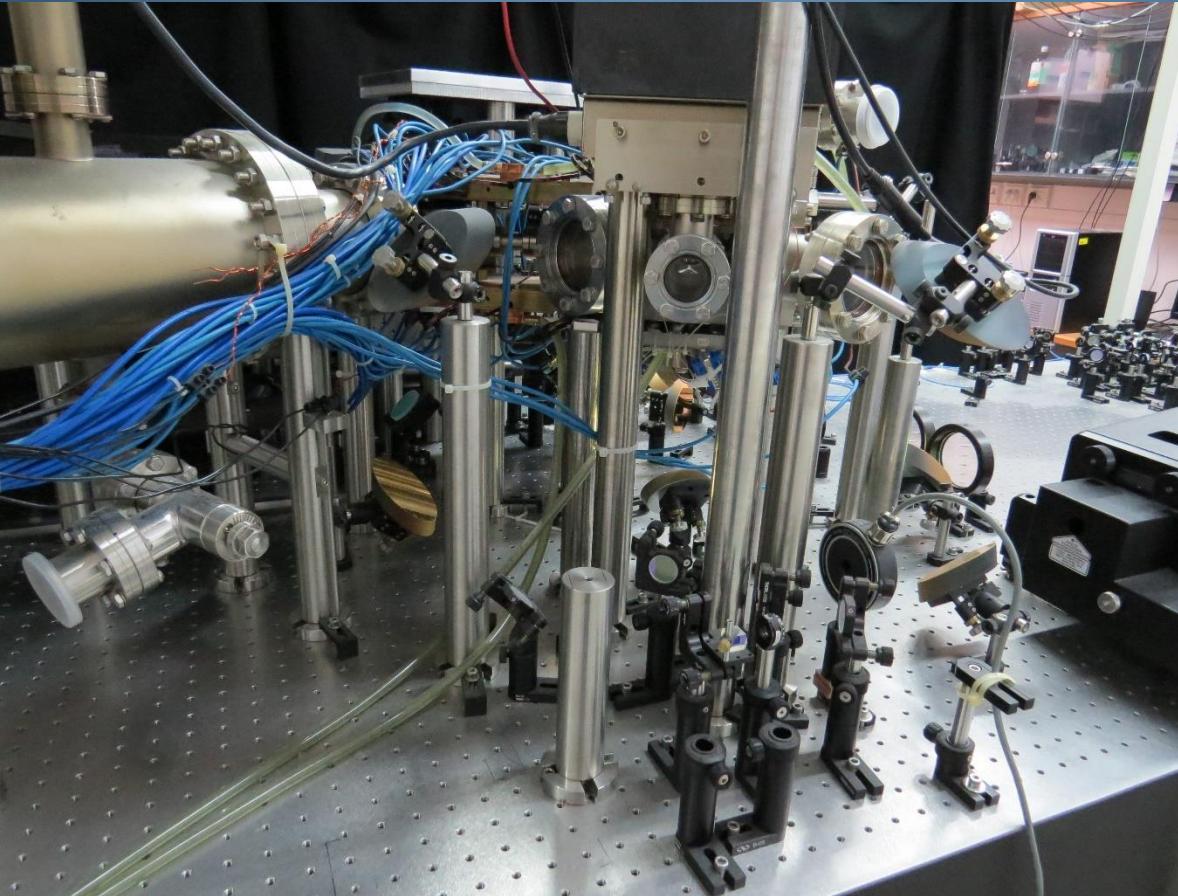
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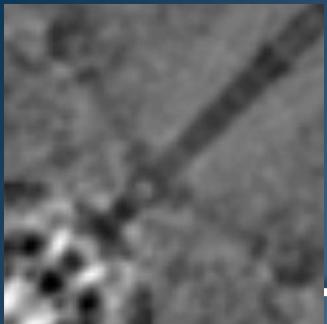
# Apparatus

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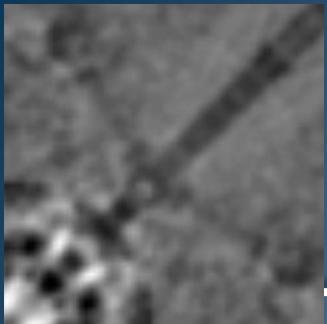
# Apparatus

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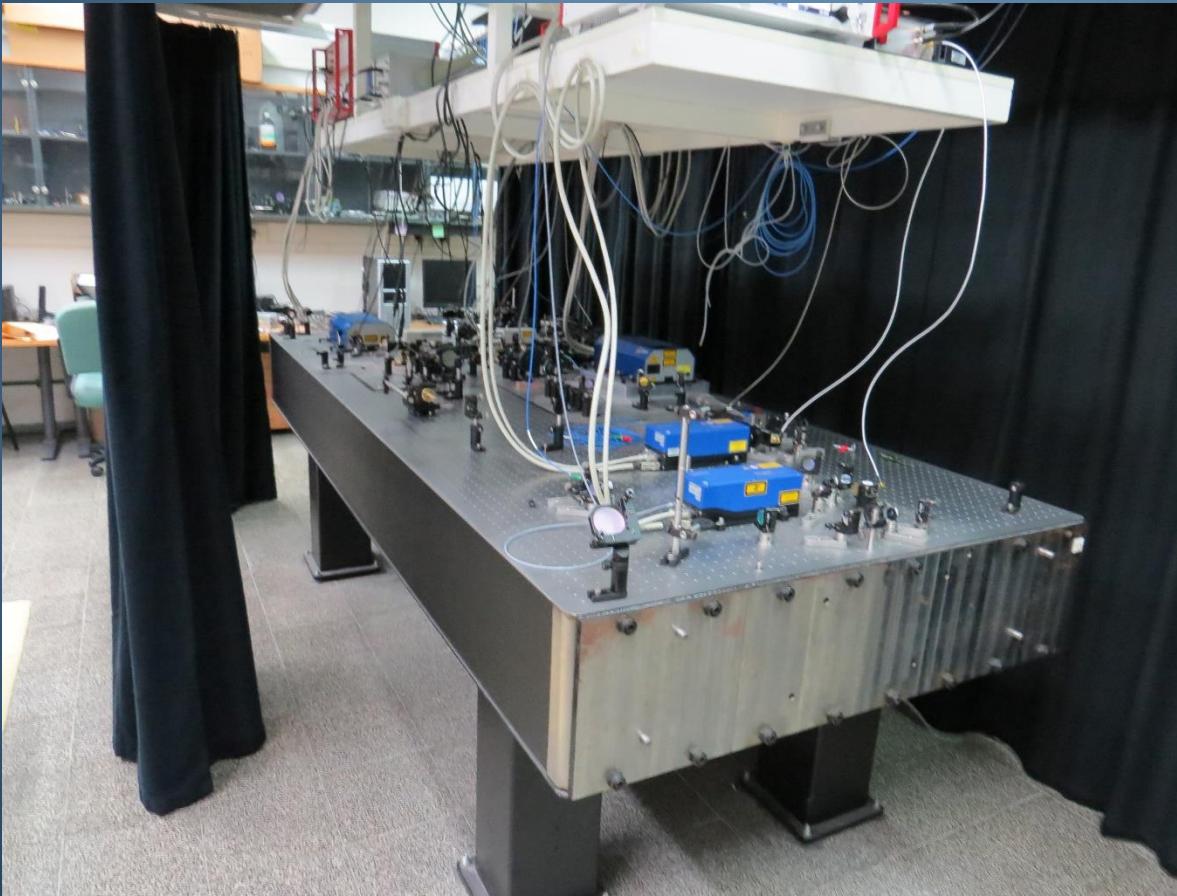
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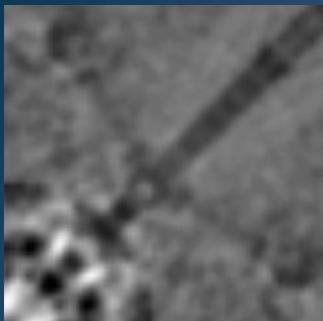
# Apparatus

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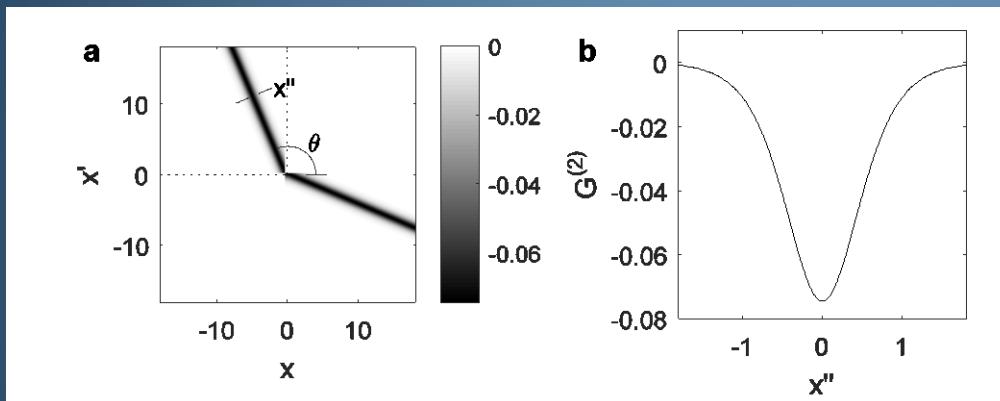
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# Correlation function

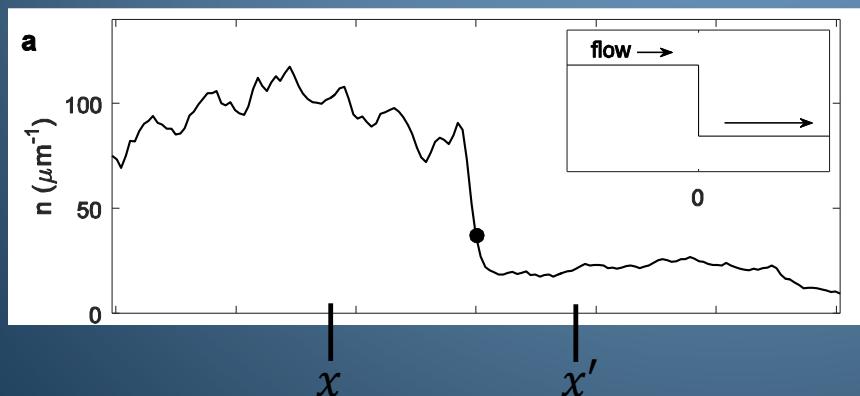
Balbinot, R., Fabbri, A., Fagnocchi, S., Recati, A. & Carusotto, I. *Phys. Rev. A* **78**, 021603(R) (2008).



*This is how we observe Hawking radiation*

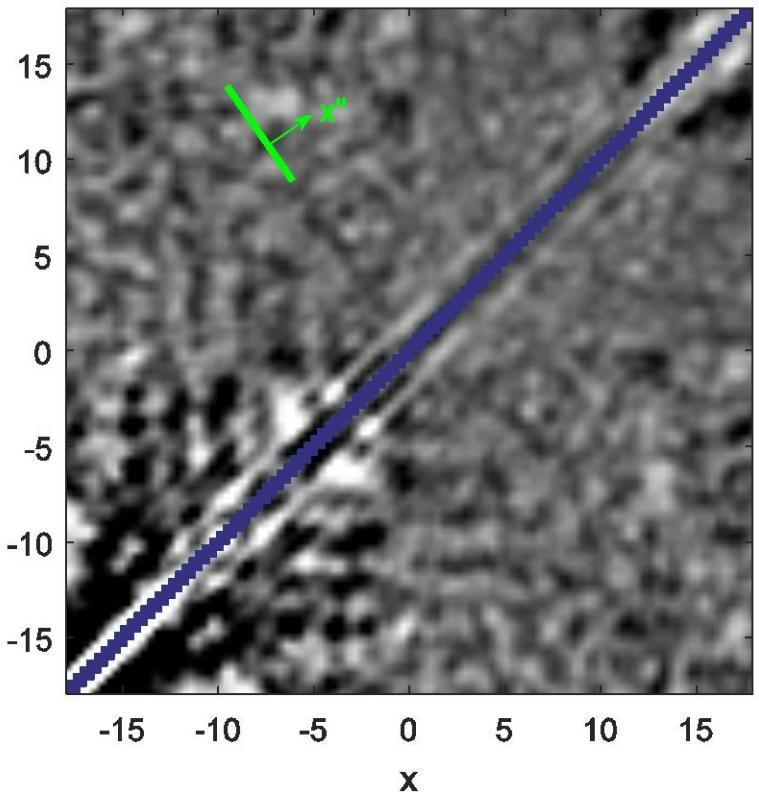
Giovanazzi, S. *Phys. Rev. Lett.* **106**, 011302 (2011).

$$G^{(2)}(x, x') = \sqrt{n_{\text{out}} n_{\text{in}} \xi_{\text{out}} \xi_{\text{in}}} \langle \delta n(x) \delta n(x') \rangle / n_{\text{out}} n_{\text{in}}$$

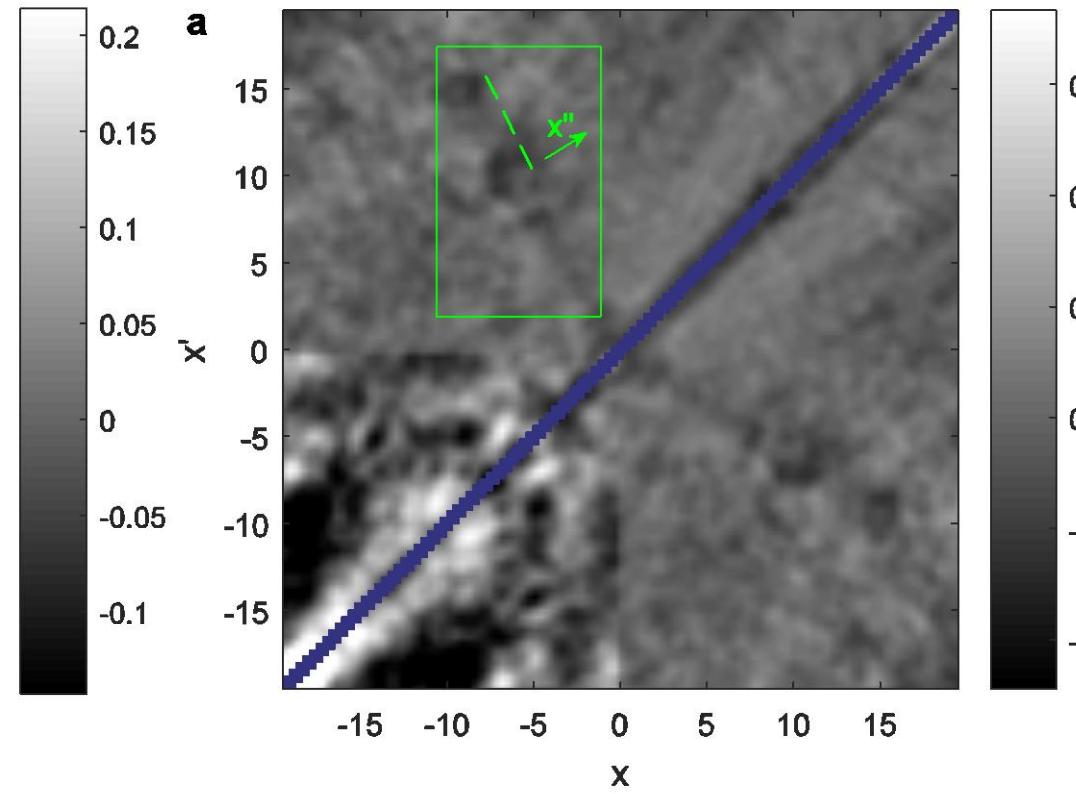


# Observation of Hawking radiation

*Old*



*New*



Jeff Steinhauer, Nature Physics 12, 959 (2016).

de Nova, J. R. M., Golubkov, K., Kolobov, V. I. & Steinhauer, J. *Nature* 569, 688 (2019).

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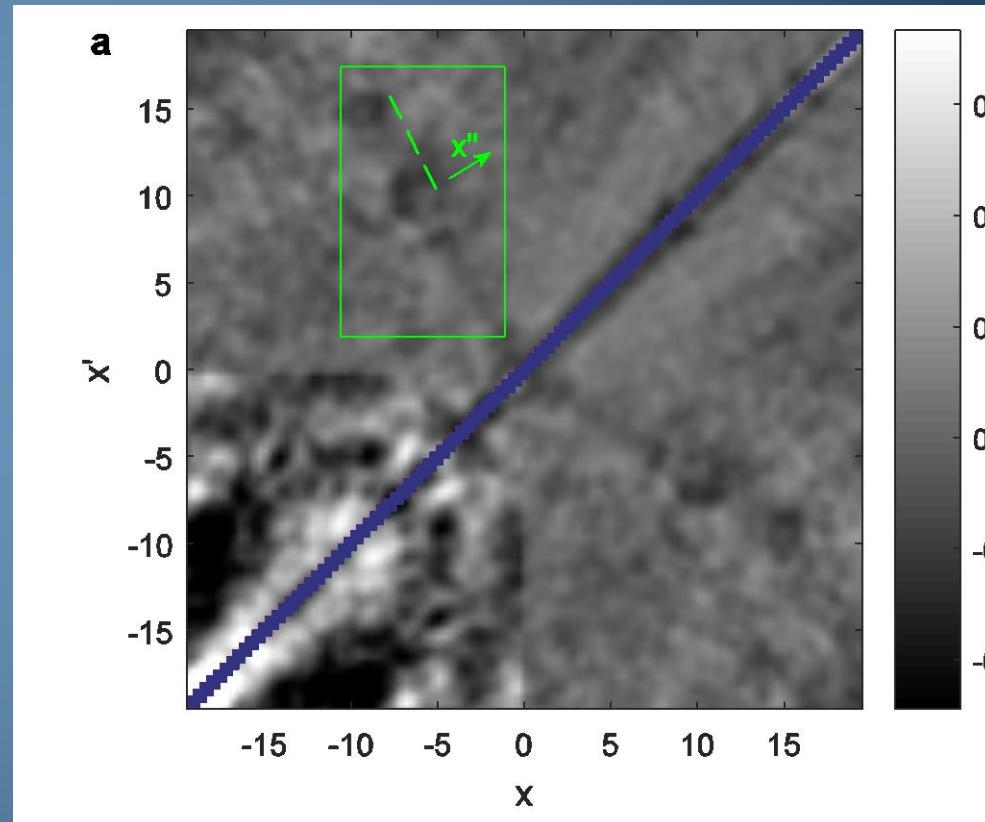


# Observation of Hawking radiation

*Correlations between  
Hawking and partner  
particles*

*Ensemble of 7400  
images*

New



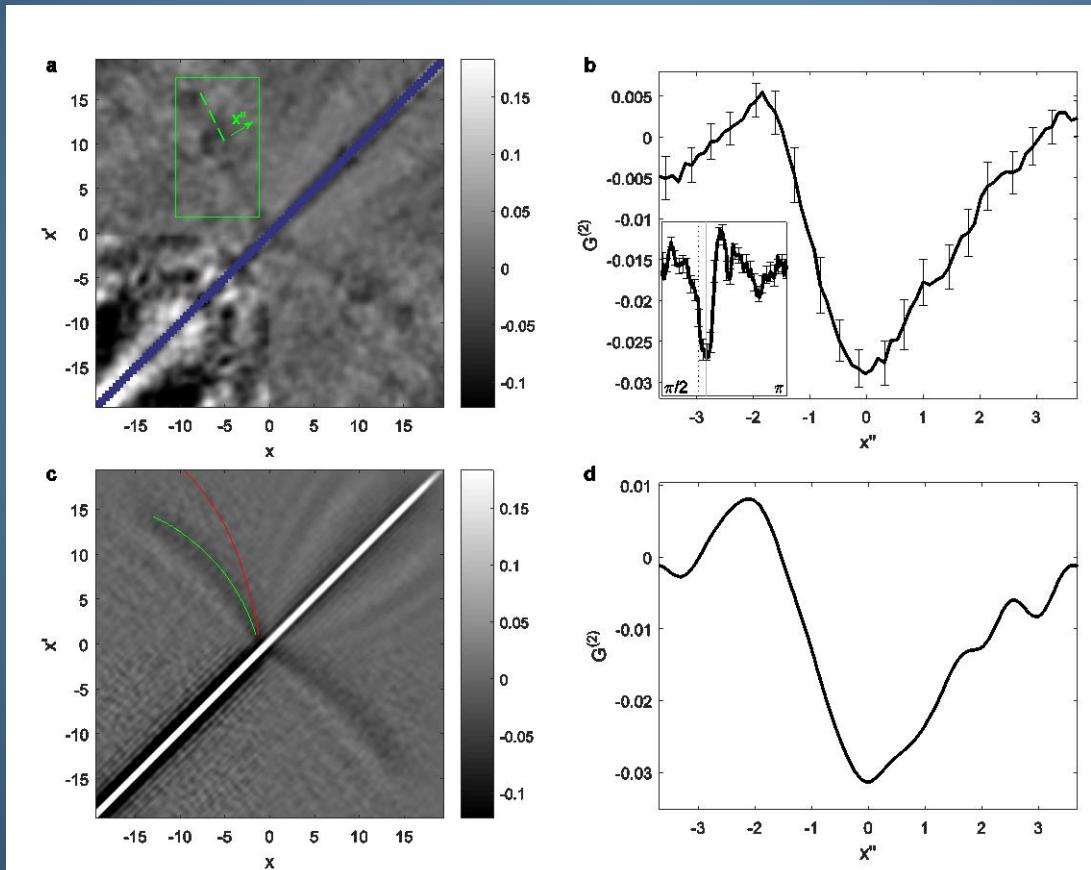
Jeff Steinhauer, Nature Physics 12, 959 (2016).

de Nova, J. R. M., Golubkov, K., Kolobov, V. I. & Steinhauer, J. *Nature* 569, 688 (2019).

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# Profile



de Nova, J. R. M., Golubkov, K., Kolobov, V. I. & Steinhauer, J. *Nature* 569, 688 (2019).

Recati, A., Pavloff, N.  
& Carusotto, I. *Phys.  
Rev. A* **80**, 043603  
(2009).

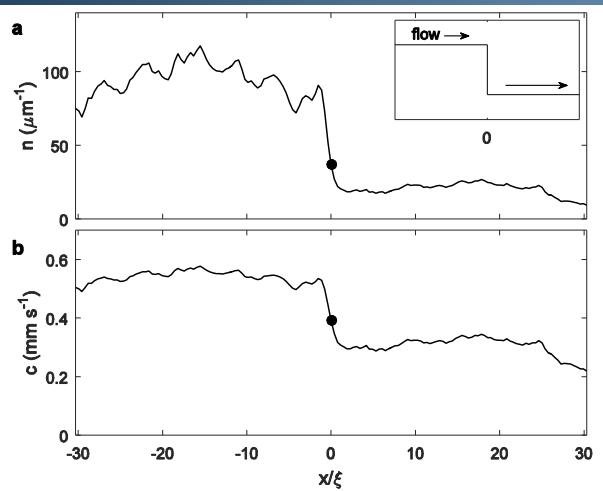
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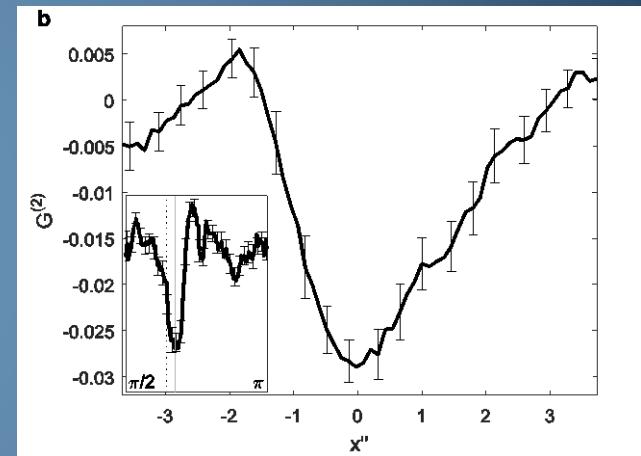
# Prediction versus measurement



*Surface gravity*



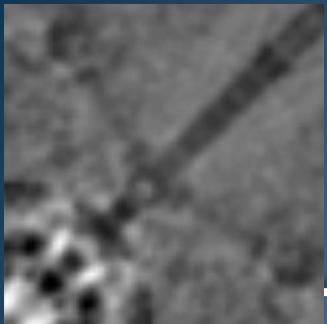
*Observed Hawking radiation*



*compare*

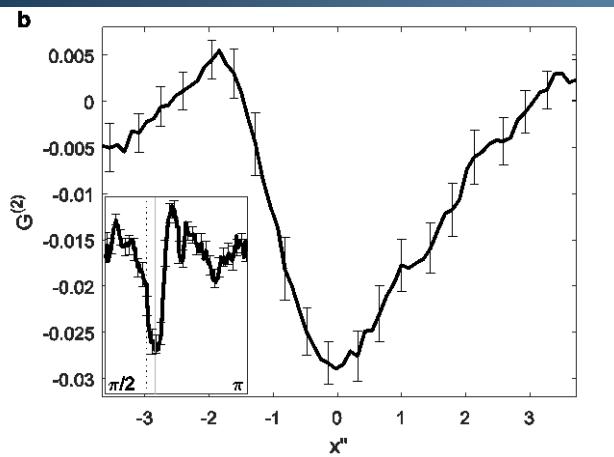
$$k_B T_H = 0.125(1) mc_{\text{out}}^2$$





# Fourier transform

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$$S_0 \langle \hat{b}_H \hat{b}_P \rangle = \sqrt{-\tan\theta - \cot\theta} \int dx'' e^{ikx''} G^{(2)}(x, x')$$

$$S_0 = (U_{k_H} + V_{k_H})(U_{k_P} + V_{k_P})$$

Assumption: Modes of different frequencies are uncorrelated.

Robertson, S., Michel, F. & Parentani,  
*R. Phys. Rev. D* **96**, 045012 (2017).

Steinhauer, J. *Phys. Rev. D* **92**, 024043 (2015).

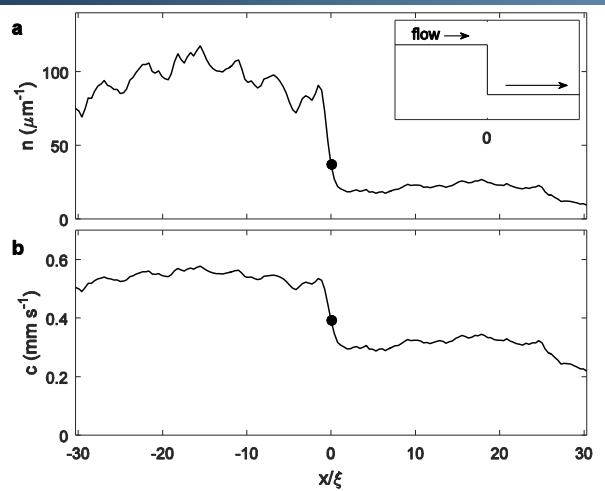
Steinhauer, J. *Nature Phys.* **12**, 959 (2016).



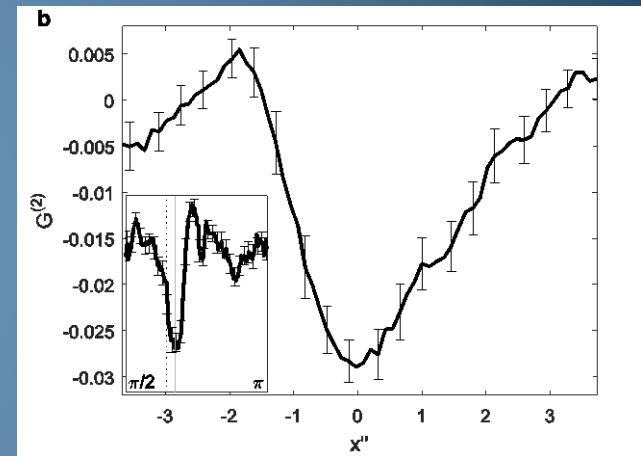
# Prediction versus measurement



*Surface gravity*



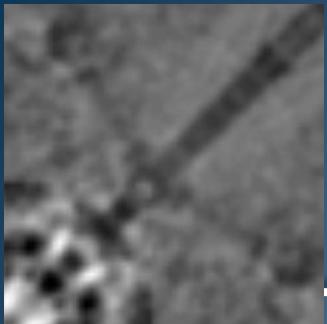
*Observed Hawking radiation*



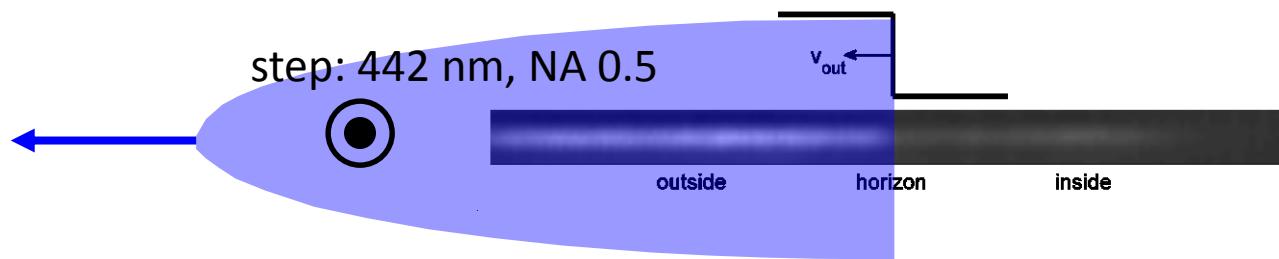
*compare*

$$k_B T_H = 0.125(1) mc_{\text{out}}^2$$



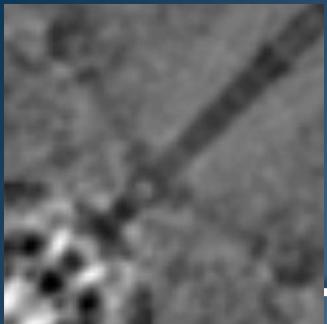


# Experimental Technique



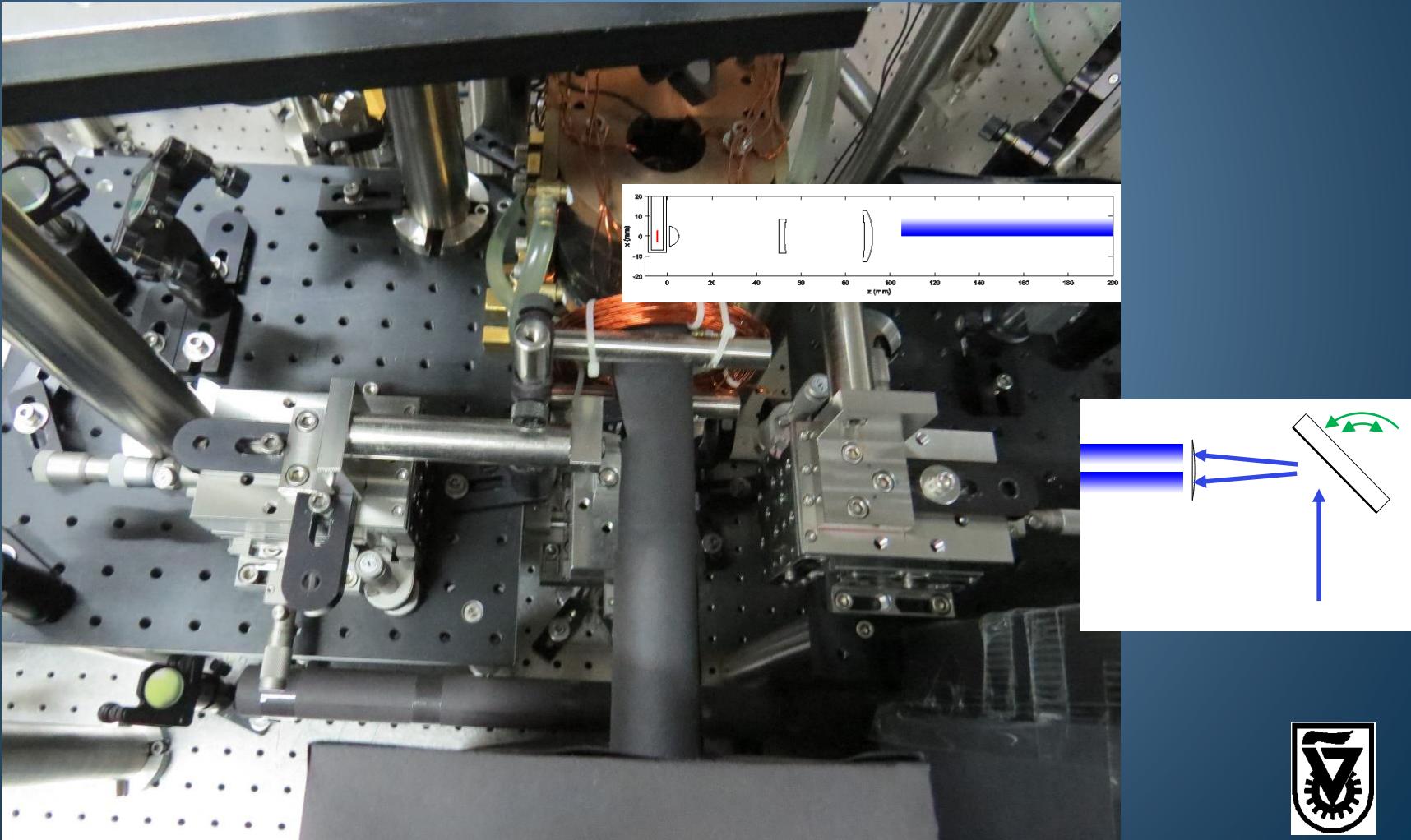
Lahav, O., Itah, A., Blumkin, A., Gordon, C., Rinott, S., Zayats, A. & Steinhauer, J. *Phys. Rev. Lett.* **105**, 240401 (2010).

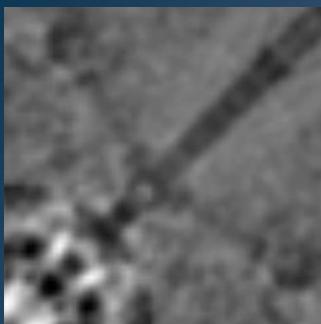




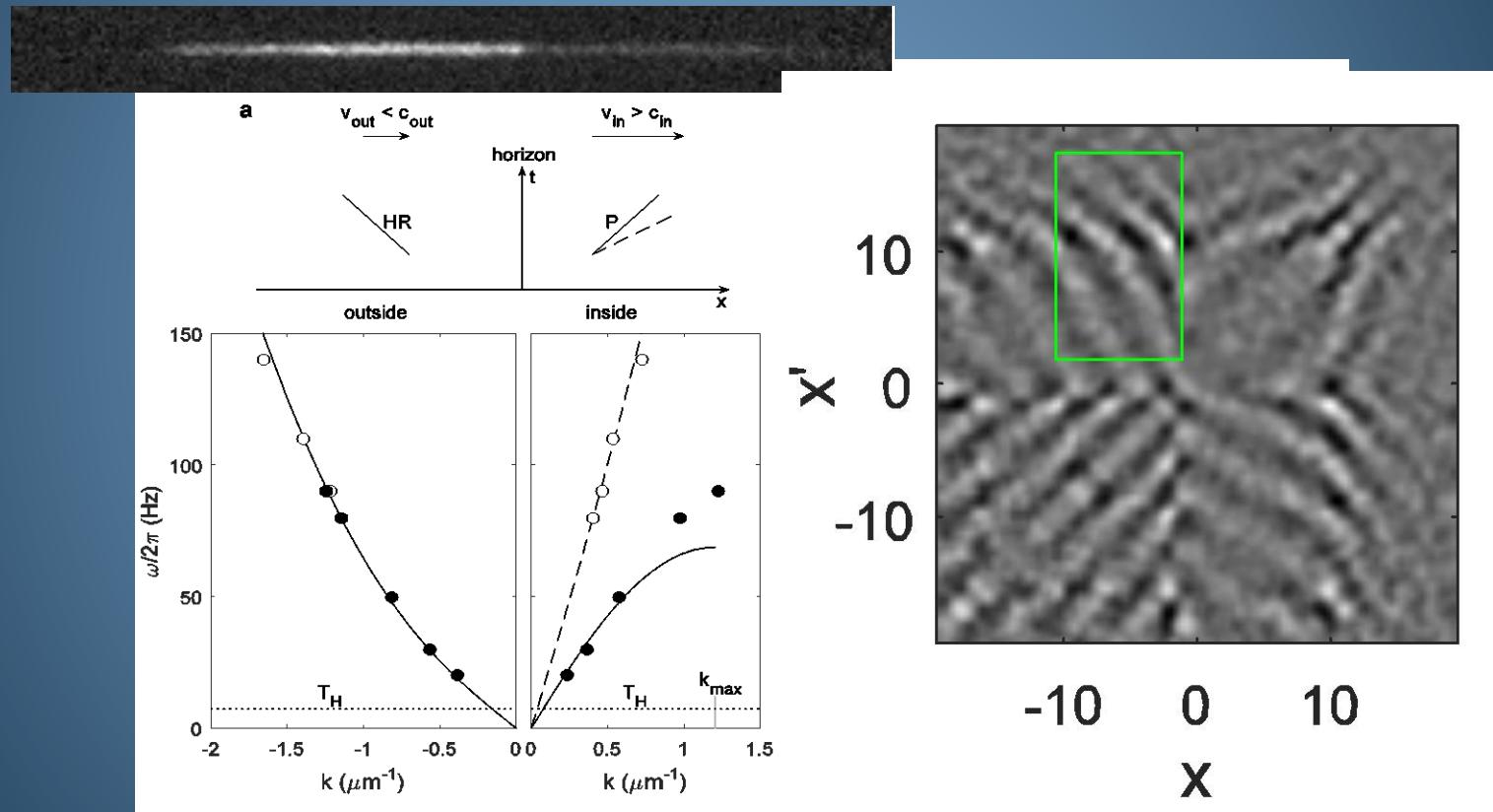
# Apparatus

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# Analogue black hole

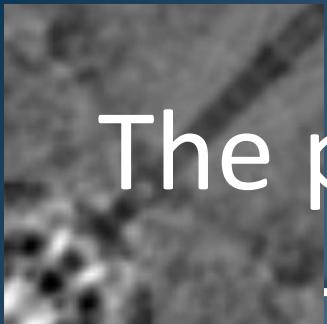


*Now we can convert energies to wavenumbers.*

de Nova, J. R. M., Golubkov, K., Kolobov, V. I. & Steinhauer, J. *Nature* 569, 688 (2019).

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# The prediction of Hawking's theory

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$$\hat{b}_H = \alpha \hat{b}_+ + \beta \hat{b}_-^\dagger \quad \hat{b}_P = \alpha \hat{b}_- + \beta \hat{b}_+^\dagger \quad (\text{linear dispersion})$$

$$|\beta|^2 = 1/(e^{\hbar\omega(k)/k_B T_H} - 1) \quad |\alpha|^2 = |\beta|^2 + 1$$

$$\langle \hat{b}_H \hat{b}_P \rangle = \alpha \beta (1 + \langle \hat{b}_+^\dagger \hat{b}_+ \rangle + \langle \hat{b}_-^\dagger \hat{b}_- \rangle)$$

$$\langle \hat{b}_H \hat{b}_P \rangle = \alpha \beta \quad (\text{spontaneous Hawking radiation})$$

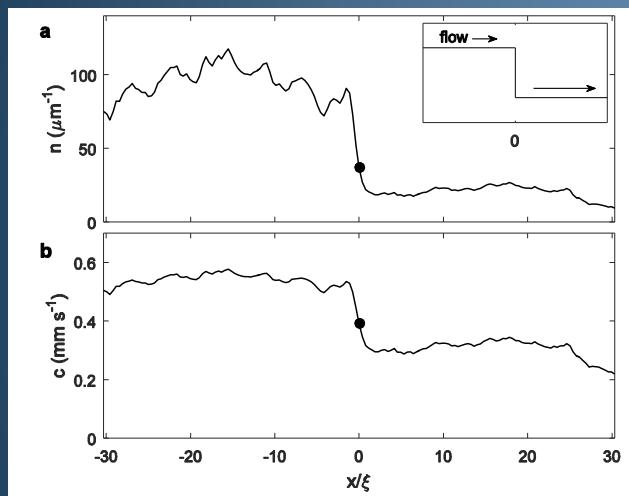
$$S_0^2 |\langle \hat{b}_H \hat{b}_P \rangle|^2 = S_0^2 (|\beta|^2 + 1) |\beta|^2$$

Steinhauer, J. *Nature Phys.* **12**, 959 (2016).

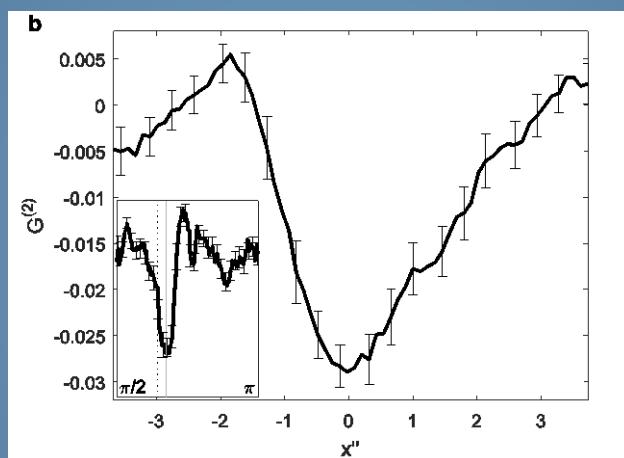


# Prediction versus measurement

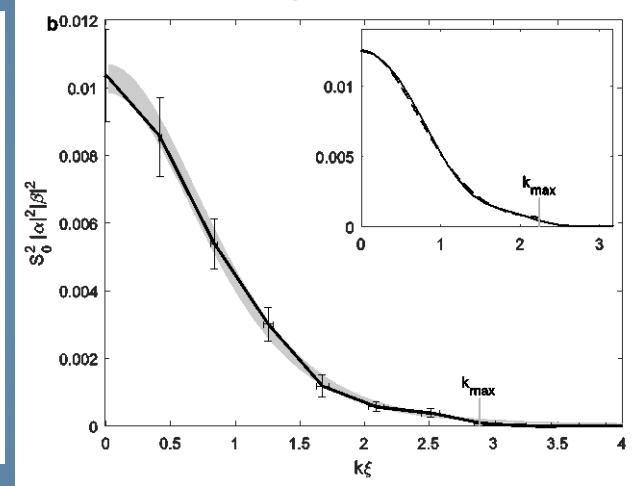
*Surface gravity*



*Observed Hawking radiation*



*Comparison*



$$k_B T_H = 0.125(1) mc_{\text{out}}^2$$

$$|\beta|^2 = 1/(e^{\hbar\omega(k)/k_B T_H} - 1)$$

$$S_0^2 |\langle \hat{b}_H \hat{b}_P \rangle|^2 = S_0^2 (|\beta|^2 + 1) |\beta|^2$$

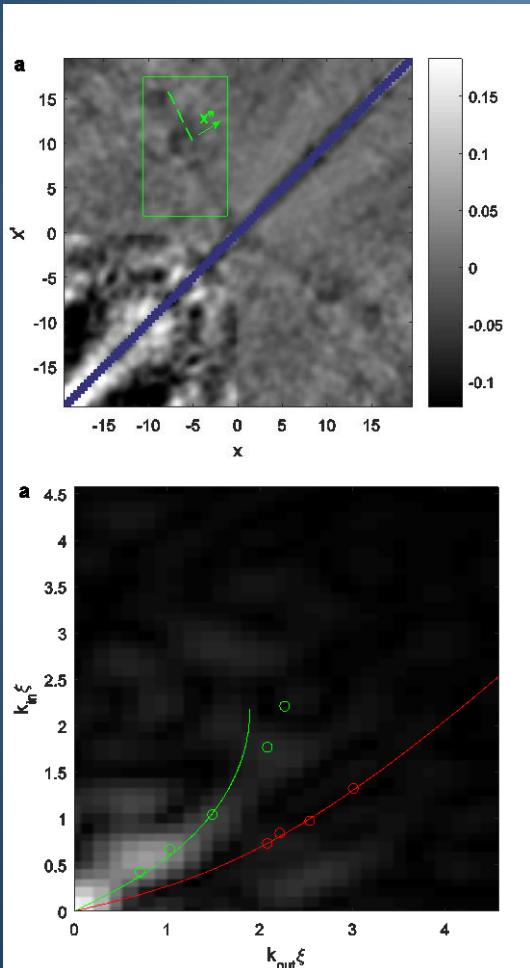
$$\begin{aligned} S_0 \langle \hat{b}_H \hat{b}_P \rangle \\ = \sqrt{-\tan\theta - \cot\theta} \int dx'' e^{ikx''} G^{(2)}(x, x'') \end{aligned}$$

$$k_B T_H = 0.124(6) mc_{\text{out}}^2$$

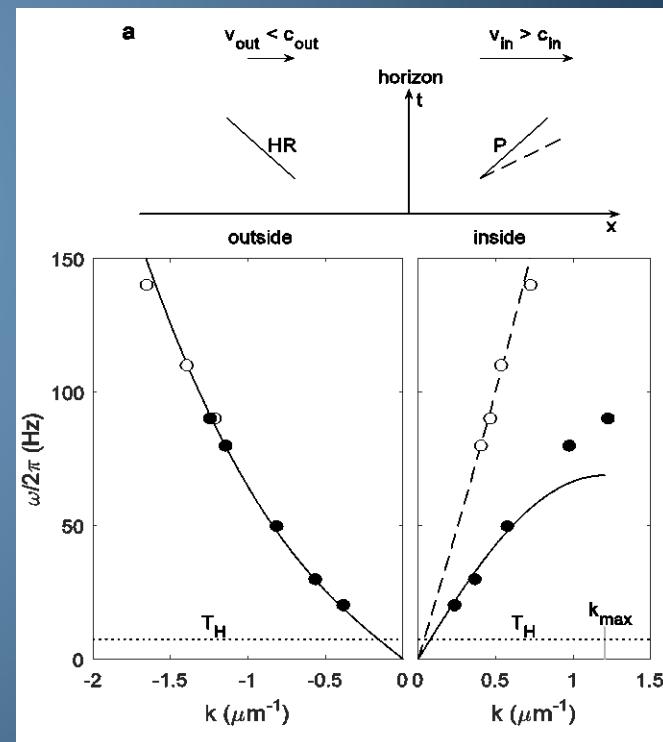
- *Thermal spectrum*
- *Agreement within 6%*
- *Spontaneous*
- *Quantum*



# Correlation spectrum



$$S_0 \langle \hat{b}_H \hat{b}_P \rangle = \sqrt{\frac{\xi_{\text{out}} \xi_{\text{in}}}{L_{\text{out}} L_{\text{in}}}} \int dx dx' e^{ik_H x} e^{ik_P x'} G^{(2)}(x, x')$$

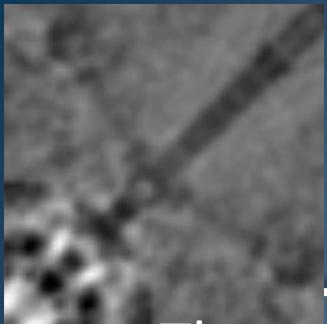


*Negative energy  
partners only*

de Nova, J. R. M., Golubkov, K.,  
Kolobov, V. I. & Steinhauer, J.  
*Nature* 569, 688 (2019).

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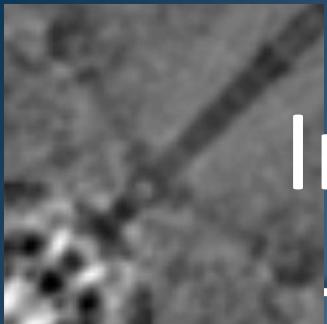


# Conclusions

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- The correlation spectrum of the Hawking radiation is seen to be thermal.
- The Hawking temperature of the radiation is in agreement with the prediction from the analogue surface gravity.
- The experiment is in the regime of linear dispersion in analogy with a real black hole.
- Only negative-energy partners are seen.
- The results are in agreement with the predictions found in the literature for our system.
- Apparently, dispersion and graybody factors have little effect on the Hawking radiation.
- The results are in agreement with a numerical simulation.





# Implications for real gravity

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- Hawking's calculation is confirmed quantitatively. This includes:
  - The thermality of the spectrum
  - The Hawking temperature
- The correlations between the Hawking and partner modes are of the predicted magnitude, with no reduction due to the underlying quantum structure.
- The thermality of Hawking radiation is the basis for the information paradox.
- The temperature links Hawking radiation with black hole entropy.

