

# Beta decay with the valence space IMSRG

What works, what doesn't, and what kind of precision can we expect?

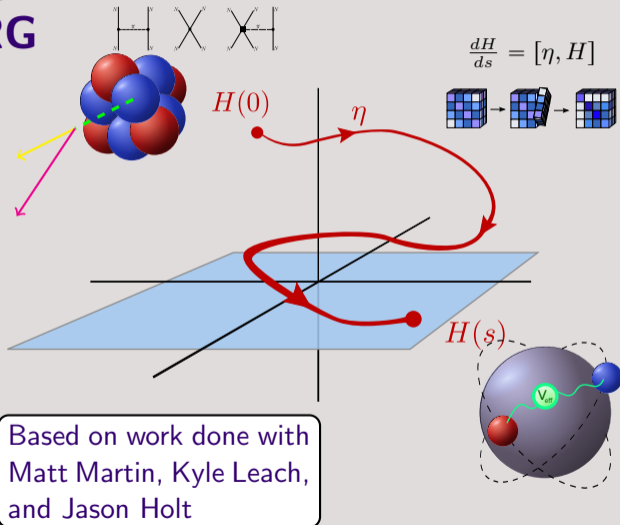
Ragnar Stroberg

University of Washington

"Precise beta decay calculations for searches for new physics

ECT\*, Trento

April 11, 2019





## The method

- Valence-space in-medium similarity renormalization group (VS-IMSRG)



## Applications to $\beta$ decay

- Superallowed Fermi decay
- Isobaric Mass Multiplet Equation
- Isospin breaking correction  $\delta_C$

## Why is ab-initio shell model attractive for treating super-allowed $0^+ \rightarrow 0^+$ ?

- Relevant nuclei are mostly open-shell & medium mass
- We have lots of success/experience with phenomenological shell model
- Ab initio shell model interactions come with well-defined spatial wave functions
- More direct connection to the EFT degrees of freedom
- (Hopefully) more rigorous error estimation

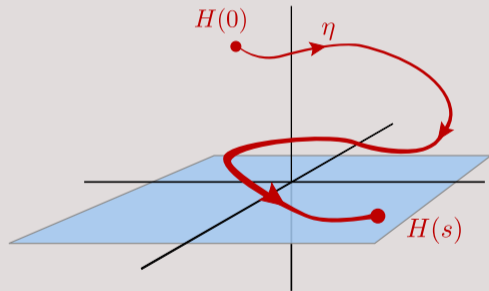
$$H = E_0 + \sum_{ij} f_{ij} \{a_i^\dagger a_j\} + \frac{1}{4} \sum_{ijkl} \Gamma_{ijkl} \{a_i^\dagger a_j^\dagger a_l a_k\} + \frac{1}{36} \sum_{\substack{ijk \\ lmn}} W_{ijklmn} \{a_i^\dagger a_j^\dagger a_k^\dagger a_n a_m a_l\}$$

**Flowing Hamiltonian:**  $H(s) = U(s) H U^\dagger(s)$

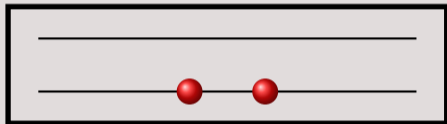
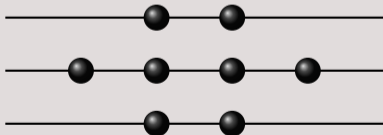
**Generator:**  $\eta(s) = \frac{dU}{ds} U^\dagger \equiv \frac{H^{\text{od}}(s)}{\Delta}$

**Flow equation:**  $\frac{dH}{ds} = [\eta(s), H(s)]$

**Fixed point:**  $H^{\text{od}}(s) = 0 \rightarrow \frac{dH}{ds} = 0$



**IMSRG(2):** Truncate operators at 2-body level

$q$  $v$  $c$ 

Define  $H^{\text{od}}$  as all terms connecting valence configurations to non-valence configurations.

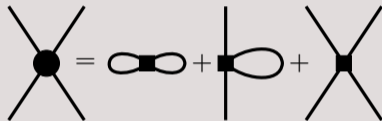
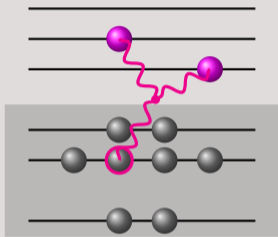
$$\begin{aligned}
 H^{\text{od}} \equiv & \langle v|H|c \rangle + \langle q|H|c \rangle + \langle q|H|v \rangle \\
 & + \langle vv|H|cc \rangle + \langle qv|H|cc \rangle + \langle qq|H|cc \rangle \\
 & + \langle vv|H|vc \rangle + \langle qv|H|vc \rangle + \langle qq|H|vc \rangle \\
 & + \langle qv|H|vv \rangle + \langle qq|H|vv \rangle
 \end{aligned}$$

When  $H^{\text{od}}(s) \rightarrow 0$ ,

$$\langle \text{core}|H(s)|\text{core} \rangle + \langle v|H(s)|v \rangle + \langle vv|H(s)|vv \rangle$$

is a shell-model effective interaction.

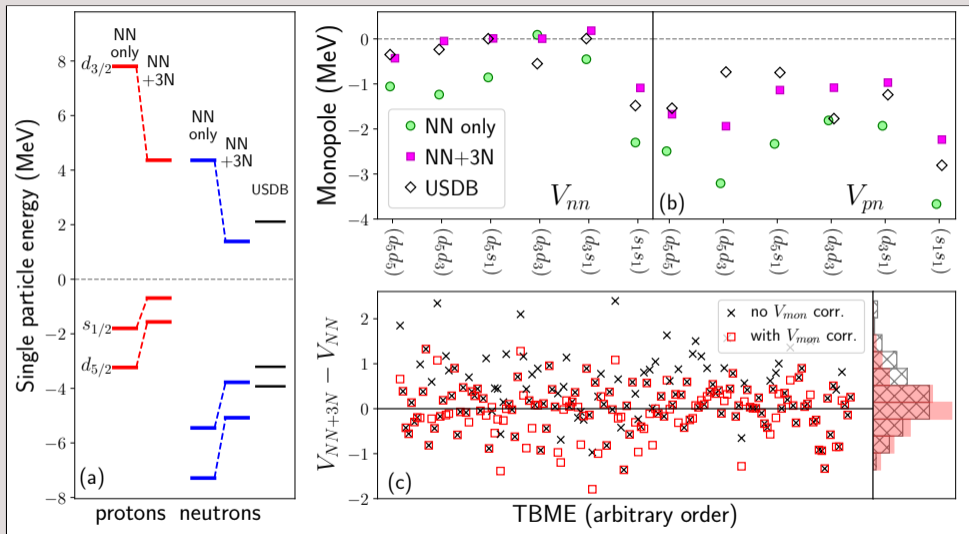
Minimize impact of neglected 3+ body terms by *normal ordering* all operators w.r.t finite reference  $|\Phi\rangle$ .



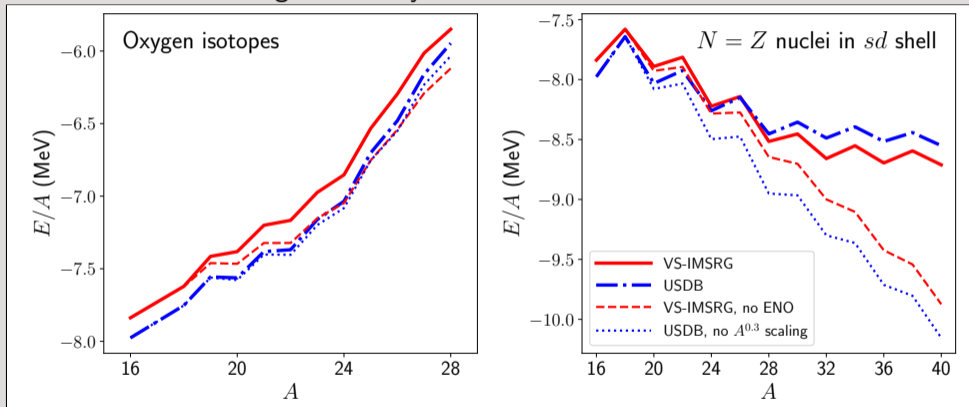
$$\langle \Phi | \{a^\dagger a^\dagger \dots a a\} | \Phi \rangle = 0$$

$$|\Psi\rangle \approx |\Phi\rangle \quad \Rightarrow \quad \langle \Psi | \{a^\dagger a^\dagger a^\dagger a a a\} | \Psi \rangle \approx 0$$

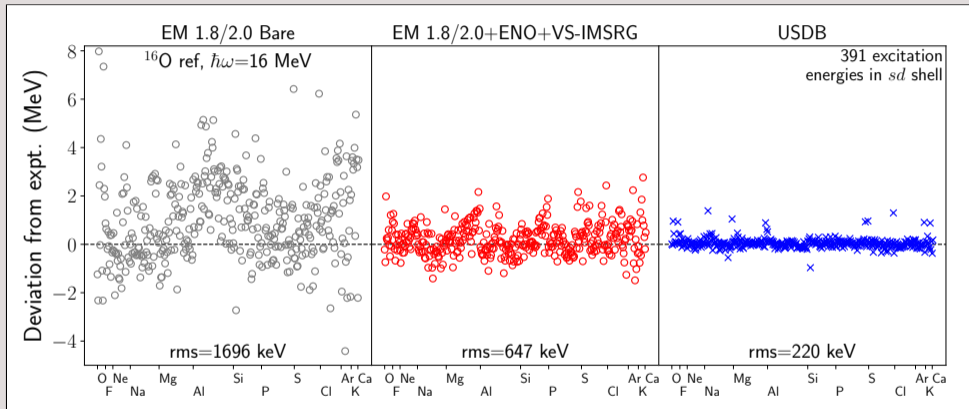
For open-shell systems, use equal filling approx (ensemble normal ordering) for reference.  
N.B. This rewriting is exact. Any choice yields exact answer when  $A$ -body terms are kept.



Phenomenological 2-body matrix elements are scaled as  $A^{1./3}$







$$H(s) = U(s)HU^\dagger(s) \quad \Rightarrow \quad \mathcal{O}(s) = U(s)\mathcal{O}U^\dagger(s)$$

$$\frac{dH}{ds} = [\eta(s), H(s)] \quad \Rightarrow \quad \frac{d\mathcal{O}}{ds} = [\eta(s), \mathcal{O}(s)]$$

$$H(s) = U(s)HU^\dagger(s) \quad \Rightarrow \quad \mathcal{O}(s) = U(s)\mathcal{O}U^\dagger(s)$$

$$\frac{dH}{ds} = [\eta(s), H(s)] \quad \Rightarrow \quad \frac{d\mathcal{O}}{ds} = [\eta(s), \mathcal{O}(s)]$$

Magnus formulation:

$$\begin{aligned} U(s) \equiv e^{\Omega(s)} \quad \Rightarrow \quad \mathcal{O}(s) &= e^{\Omega(s)}\mathcal{O}e^{-\Omega(s)} \\ &= \mathcal{O} + [\Omega(s), \mathcal{O}] + \frac{1}{2}[\Omega(s), [\Omega(s), \mathcal{O}]] + \dots \end{aligned}$$

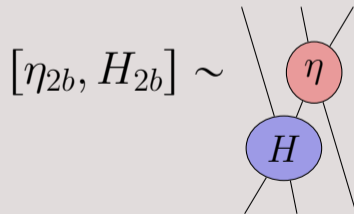
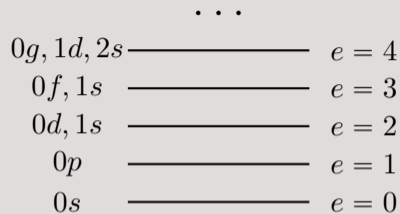
**Flow equation for  $\Omega(s)$ :** 
$$\frac{d\Omega}{ds} = \eta(s) + \frac{1}{2}[\eta(s), \Omega(s)] + \dots$$

## Two approximations:

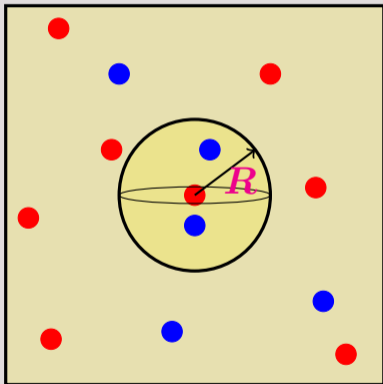
1. Truncation of single particle

basis:  $2n + \ell \leq e_{max} \sim 14$

2. Truncation of 3+ -body operators



Cluster hierarchy  $H_{2b} > H_{3b} > H_{4b} \dots$  justified if  $\rho R^3 \ll 1$



$$\rho \sim 0.16 \text{ fm}^{-3}$$

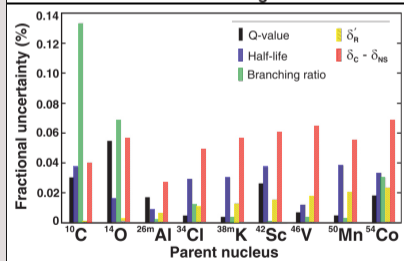
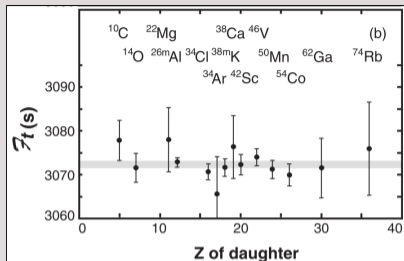
$$\Rightarrow R \ll 1.1 \text{ fm}$$

$$\langle H_{3b} \rangle \sim \int d^3 r_1 d^3 r_2 d^3 r_3 |\psi_1|^2 |\psi_2|^2 \underbrace{|\psi_3|^2}_{\rho} \underbrace{V(r_{12}, r_{13})}_{\sim V_0 \theta(R-r)}$$

$$\sim \left( \frac{4\pi}{3} R^3 \rho \right)^2 V_0 \int d^3 r_1 |\psi_1|^2$$

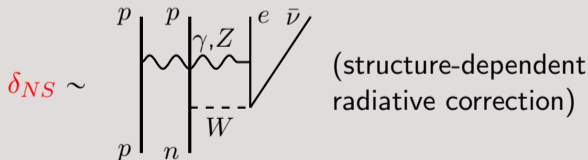
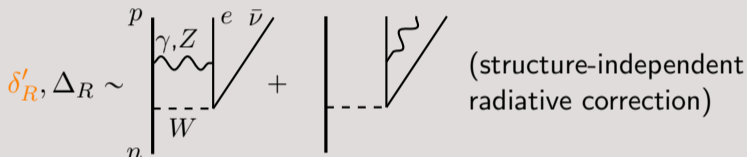
## Rules of thumb:

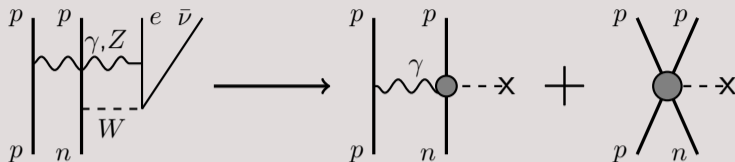
- So long as the IMSRG is integrating out **short-distance** interactions, the cluster hierarchy is maintained.
- Elimination of **long-distance** physics (collective rotational/vibrational modes) will induce unsuppressed many-body forces.
- Mean-field type effects will typically be captured, as will correlations in the valence space. (More on this later).



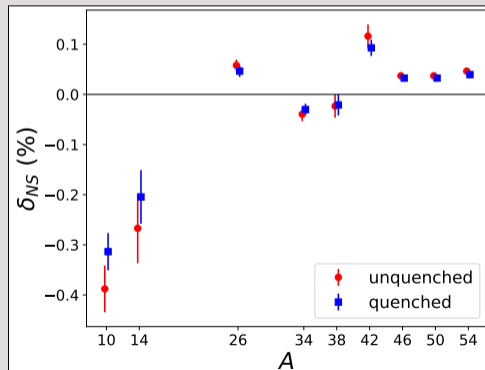
$$Ft = ft(1 + \delta'_R)(1 + \delta_{NS} - \delta_C)$$

$$|M_F|^2 = |M_F^0|^2(1 - \delta_C) \quad (\text{isospin breaking})$$





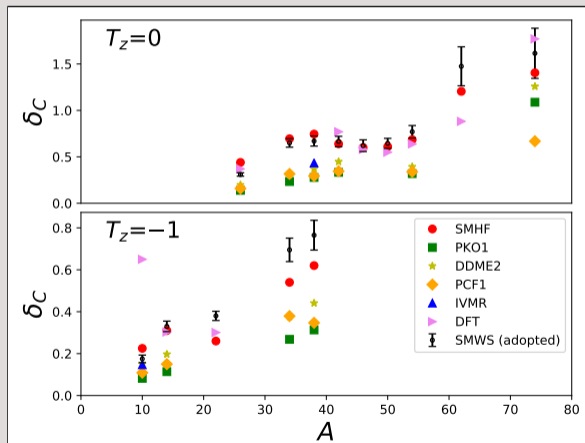
- Weak axial current contributes to  $\Delta J = 0$  decay.
- Issues with  $g_A$  quenching?





Towner and Hardy split it up:

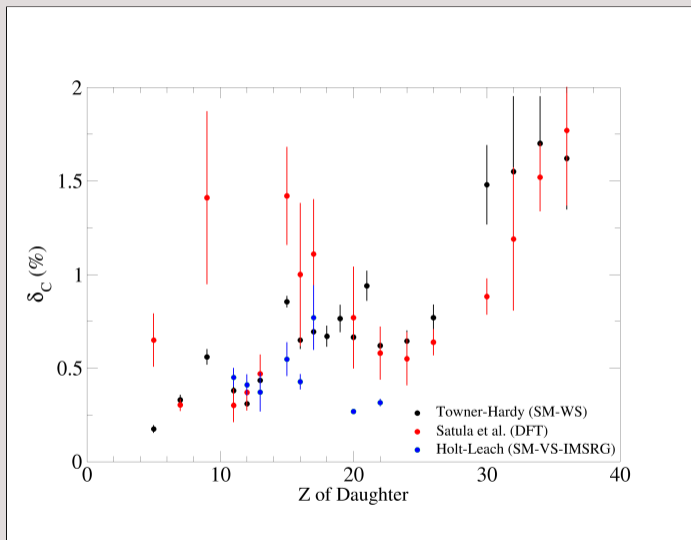
$$\delta_C = \underbrace{\delta_{C1}}_{\text{configuration mixing}} + \underbrace{\delta_{C2}}_{\text{wave function mismatch}}$$

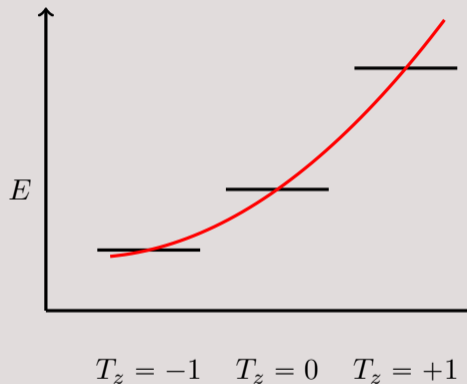


VS-IMSRG:

$$\delta_C = \left\{ H_{pp}(s) \neq H_{nn}(s) \neq H_{pn}(s) \right\} + \left\{ \tau(s) = U(s)\tau U^\dagger(s) \right\} + \left\{ \langle \phi_p^{\text{HF}} | \tau | \phi_n^{\text{HF}} \rangle \neq 1 \right\}$$

(The separation is not totally clean.)





$$E(T_z) = a + bT_z + cT_z^2$$

Connection to the  $\delta_C$  correction:

$$|\Psi_{\pm}\rangle = \frac{1}{\sqrt{2}}\tau_{\pm}|\Psi_0\rangle + |\delta\Psi_{\pm}\rangle$$

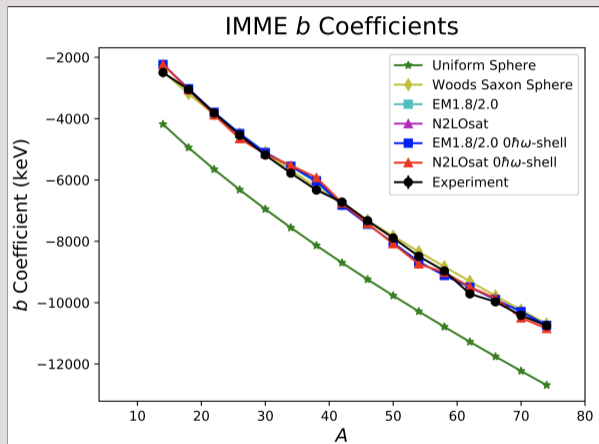
$$\delta_C \sim \langle \delta\Psi_{\pm} | \tau_{\pm} | \Psi_0 \rangle$$

$$b \sim \langle \Psi_0 | \tau_- H \tau_+ - \tau_+ H \tau_- | \Psi_0 \rangle + \langle \delta\Psi_+ | \tau_+ H | \Psi_0 \rangle$$

$$c \sim \langle \Psi_0 | \tau_- H \tau_+ + \tau_+ H \tau_- | \Psi_0 \rangle + \langle \delta\Psi_+ | \tau_+ H | \delta\Psi_0 \rangle$$

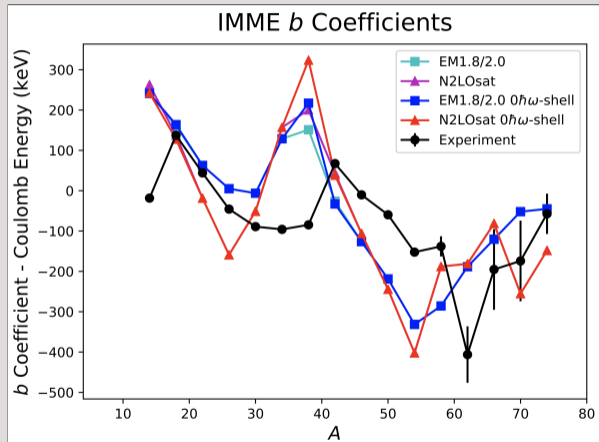
$$E(T_z) = a + bT_z + cT_z^2$$

$J^\pi=0^+$ ,  $T=1$  multiplets  
with  $14 \leq A \leq 74$



$$E(T_z) = a + bT_z + cT_z^2$$

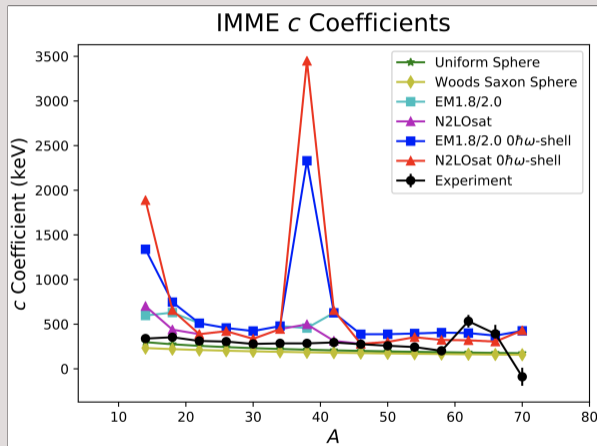
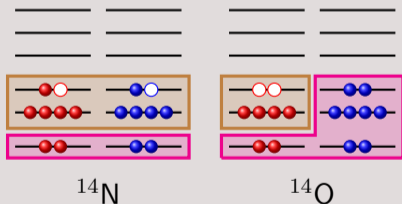
$J^\pi=0^+$ ,  $T=1$  multiplets  
with  $14 \leq A \leq 74$



$$E(T_z) = a + bT_z + cT_z^2$$

$J^\pi = 0^+$ ,  $T=1$  multiplets  
with  $14 \leq A \leq 74$

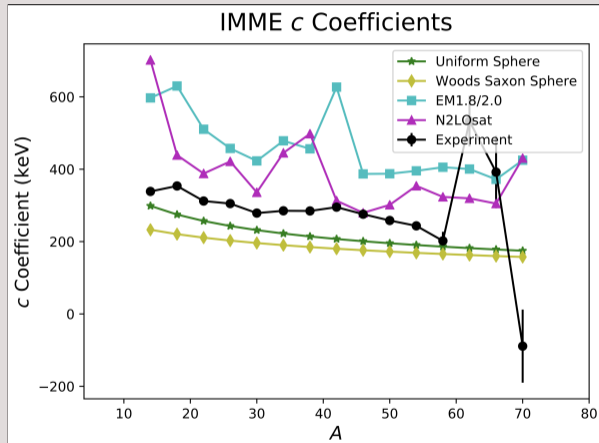
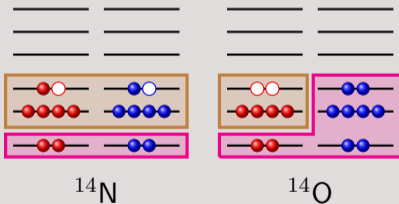
valence space definition:

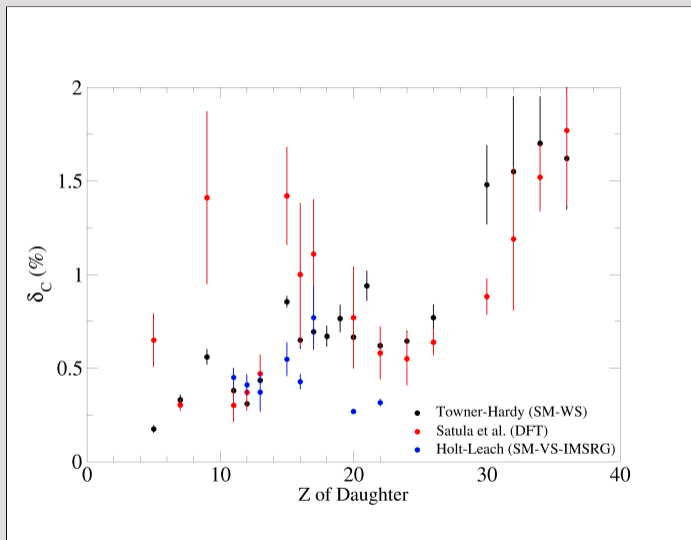


$$E(T_z) = a + bT_z + cT_z^2$$

$J^\pi = 0^+$ ,  $T=1$  multiplets  
with  $14 \leq A \leq 74$

valence space definition:

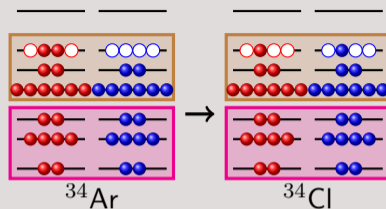






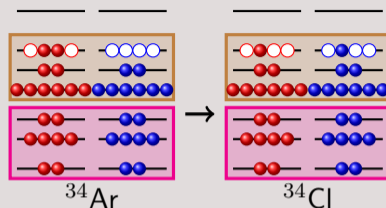
Some possible issues:

- Is it converged in the basis truncation?
- Ambiguity in choice of normal-ordering ref.  $|\Phi\rangle$ :  
Should we take the initial or final nucleus?  
Something else? Does it matter??

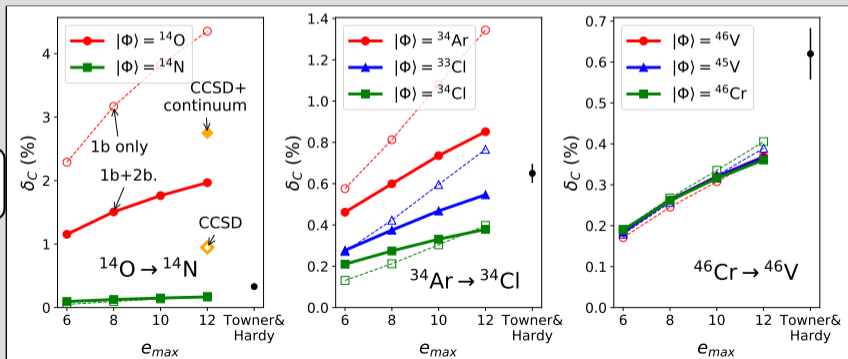


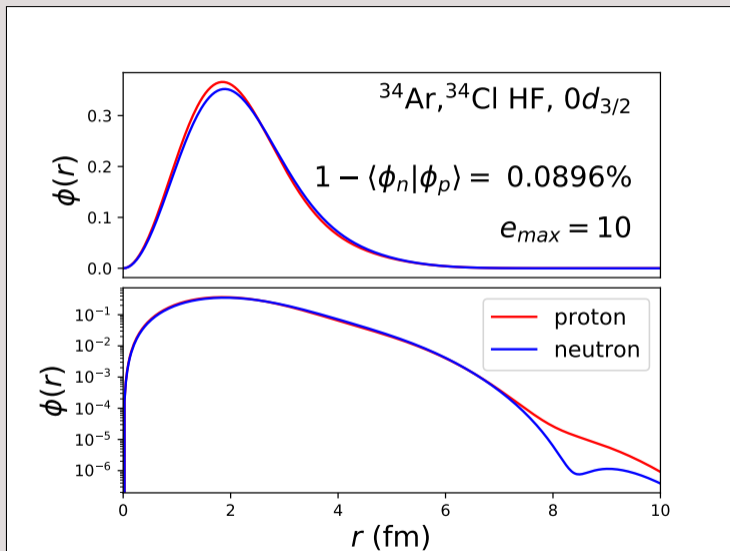
Some possible issues:

- Is it converged in the basis truncation?
- Ambiguity in choice of normal-ordering ref.  $|\Phi\rangle$ :  
Should we take the initial or final nucleus?  
Something else? Does it matter??



CC results  
from Gaute  
Hagen

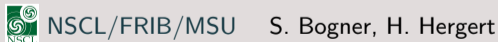




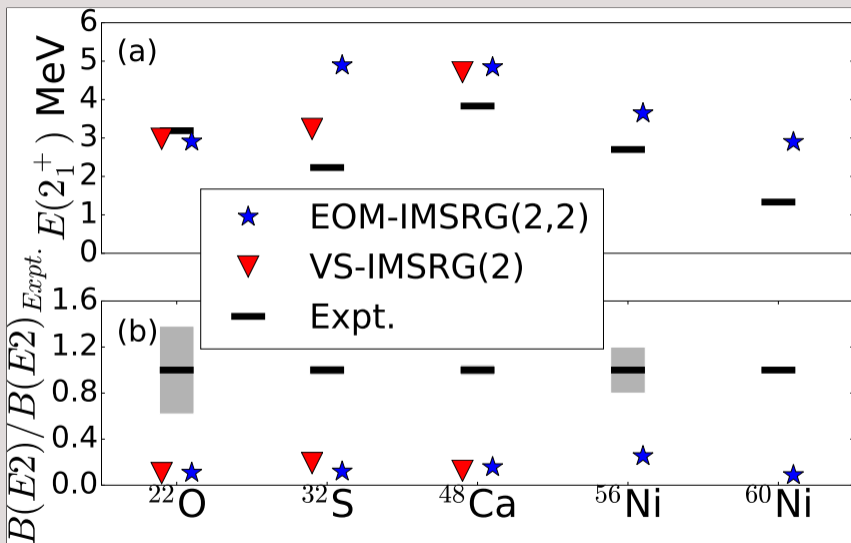
## Summary

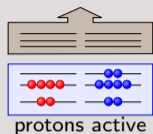
- IMSRG allows a non-perturbative derivation of shell model parameters
- Historical phenomenological adjustments can be understood as correcting for missing 3N physics
- Structure correction  $\delta_C$  can be calculated
- Dependence of  $\delta_C$  on interaction, basis size, reference needs careful study
- Also in the (nearish) future:  $\delta_{NS}$

### Collaborators:

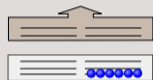


# Additional figures

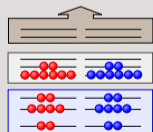




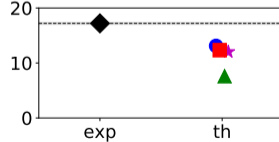
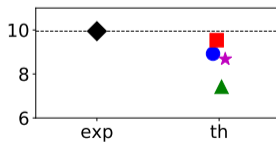
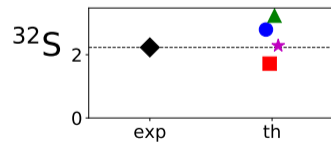
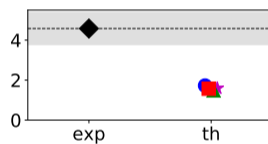
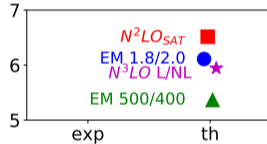
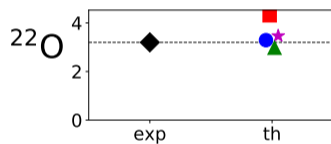
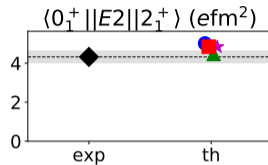
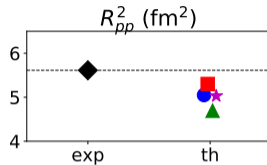
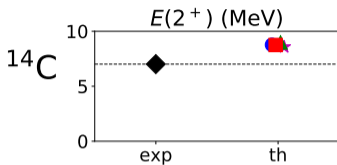
protons active



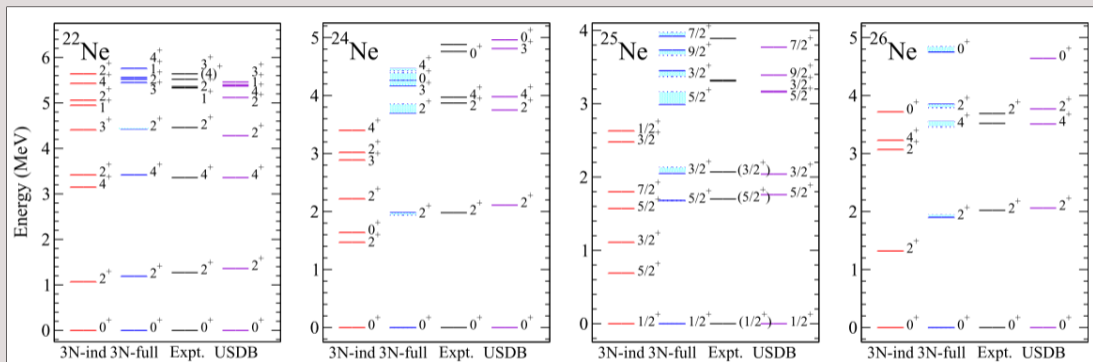
neutrons active



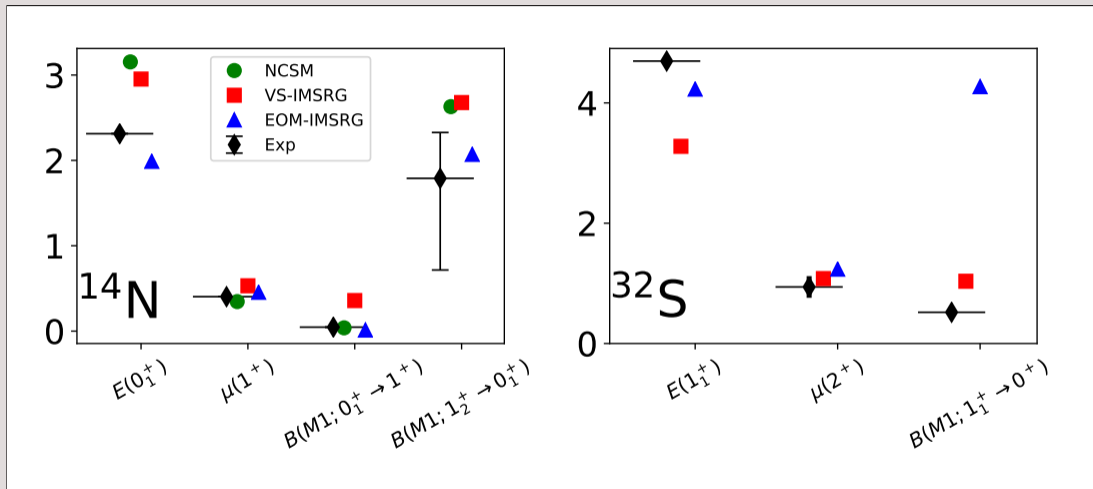
both active



Entem and Machleidt 2003; Navrátil 2007; Gazit, Quaglioni, and Navrátil 2009; Ekström et al. 2015; Simonis et al. 2017







- Bogner, S. K. et al. (2014). "Nonperturbative shell-model interactions from the in-medium similarity renormalization group". In: *Phys. Rev. Lett.* 113.14, p. 142501. ISSN: 0031-9007. DOI: 10.1103/PhysRevLett.113.142501. URL: <http://link.aps.org/doi/10.1103/PhysRevLett.113.142501>.
- Ekström, A. et al. (2015). "Accurate nuclear radii and binding energies from a chiral interaction". In: *Phys. Rev. C* 91.5, p. 051301. ISSN: 0556-2813. DOI: 10.1103/PhysRevC.91.051301. URL: <http://link.aps.org/doi/10.1103/PhysRevC.91.051301>.
- Entem, D. R. and R. Machleidt (2003). "Accurate charge-dependent nucleon-nucleon potential at fourth order of chiral perturbation theory". In: *Phys. Rev. C* 68.4, p. 041001. ISSN: 0556-2813. DOI: 10.1103/PhysRevC.68.041001. URL: <http://link.aps.org/doi/10.1103/PhysRevC.68.041001>.
- Gazit, Doron, Sofia Quaglioni, and Petr Navrátil (2009). "Three-Nucleon Low-Energy Constants from the Consistency of Interactions and Currents in Chiral Effective Field Theory". In: *Phys. Rev. Lett.* 103.10, p. 102502. ISSN: 0031-9007. DOI: 10.1103/PhysRevLett.103.102502. URL: <http://link.aps.org/doi/10.1103/PhysRevLett.103.102502>.
- Glazek, Stanisław D. and Kenneth G. Wilson (1993). "Renormalization of Hamiltonians". In: *Phys. Rev. D* 48.12, pp. 5863–5872. ISSN: 0556-2821. DOI: 10.1103/PhysRevD.48.5863. URL: <http://link.aps.org/doi/10.1103/PhysRevD.48.5863>.
- Hardy, J. C. and I. S. Towner (2015). "Superallowed  $0^+ \rightarrow 0^+$  nuclear  $\beta$  decays: 2014 critical survey, with precise results for  $V_{ud}$  and CKM unitarity". In: *Phys. Rev. C* 91.2, p. 025501. ISSN: 0556-2813. DOI: 10.1103/PhysRevC.91.025501. URL: <http://link.aps.org/doi/10.1103/PhysRevC.91.025501>.
- Hergert, H. et al. (2016). "The In-Medium Similarity Renormalization Group: A Novel Ab Initio Method for Nuclei". In: *Phys. Rep.* 621, pp. 165–222. ISSN: 03701573. DOI: 10.1016/j.physrep.2015.12.007. URL: <http://arxiv.org/abs/1512.06956>.
- Hergert, Heiko et al. (2018). "Nuclear Structure from the In-Medium Similarity Renormalization Group". In: arXiv: 1805.09221. URL: <http://arxiv.org/abs/1805.09221>.

- Morris, T. D., N. M. Parzuchowski, and S. K. Bogner (2015). "Magnus expansion and in-medium similarity renormalization group". In: *Phys. Rev. C* 92.3, p. 034331. ISSN: 0556-2813. DOI: 10.1103/PhysRevC.92.034331. URL: <http://journals.aps.org.ezproxy.library.ubc.ca/prc/abstract/10.1103/PhysRevC.92.034331>.
- Navrátil, P. (2007). "Local three-nucleon interaction from chiral effective field theory". In: *Few-Body Syst.* 41.3-4, pp. 117–140. ISSN: 0177-7963. DOI: 10.1007/s00601-007-0193-3. URL: <http://link.springer.com/10.1007/s00601-007-0193-3>.
- Parzuchowski, N. M., T. D. Morris, and S. K. Bogner (2017). "Ab initio excited states from the in-medium similarity renormalization group". In: *Phys. Rev. C* 95.4, p. 044304. ISSN: 2469-9985. DOI: 10.1103/PhysRevC.95.044304. URL: <http://link.aps.org/doi/10.1103/PhysRevC.95.044304>.
- Parzuchowski, N. M. et al. (2017). "Ab initio electromagnetic observables with the in-medium similarity renormalization group". In: *Phys. Rev. C* 96, p. 034324. ISSN: 2469-9985. DOI: 10.1103/PhysRevC.96.034324. arXiv: 1705.05511. URL: <http://arxiv.org/abs/1705.05511>.
- Simonis, J. et al. (2017). "Saturation with chiral interactions and consequences for finite nuclei". In: *Phys. Rev. C* 96.1, p. 014303. ISSN: 2469-9985. DOI: 10.1103/PhysRevC.96.014303. arXiv: 1704.02915. URL: <http://arxiv.org/abs/1704.02915><http://link.aps.org/doi/10.1103/PhysRevC.96.014303>.
- Stroberg, S. R. et al. (2016). "Ground and excited states of doubly open-shell nuclei from ab initio valence-space Hamiltonians". In: *Phys. Rev. C* 93, 051301(R). DOI: 10.1103/PhysRevC.93.051301. URL: <http://journals.aps.org/prc/abstract/10.1103/PhysRevC.93.051301>.
- Towner, I. S. (1994). "Quenching of spin operators in the calculation of radiative corrections for nuclear beta decay". In: *Phys. Lett. B* 333.1-2, pp. 13–16. ISSN: 03702693. DOI: 10.1016/0370-2693(94)91000-6.
- Towner, I S and J C Hardy (2010). "The evaluation of  $V_{ud}$  and its impact on the unitarity of the CabibboKobayashiMaskawa quark-mixing matrix". en. In: *Reports Prog. Phys.* 73.4, p. 046301. ISSN: 0034-4885. DOI: 10.1088/0034-4885/73/4/046301. URL: <http://iopscience.iop.org/article/10.1088/0034-4885/73/4/046301>.
- Tsukiyama, K., S. K. Bogner, and A. Schwenk (2011). "In-Medium Similarity Renormalization Group For Nuclei". In: *Phys. Rev. Lett.* 106.22, p. 222502. ISSN: 0031-9007. DOI: 10.1103/PhysRevLett.106.222502. URL: <http://link.aps.org/doi/10.1103/PhysRevLett.106.222502>.

Wegner, Franz (1994). "Flow-equations for Hamiltonians". In: *Ann. der Physik* 3, pp. 77–91.