



***Simone Taioli***

***European Centre for Theoretical Studies in Nuclear Physics  
and Related Areas (ECT\*), Trento - Italy***

**Relativistic theory and ab-initio simulations  
of electroweak decay spectra in medium-  
heavy atomic systems**

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# Outline

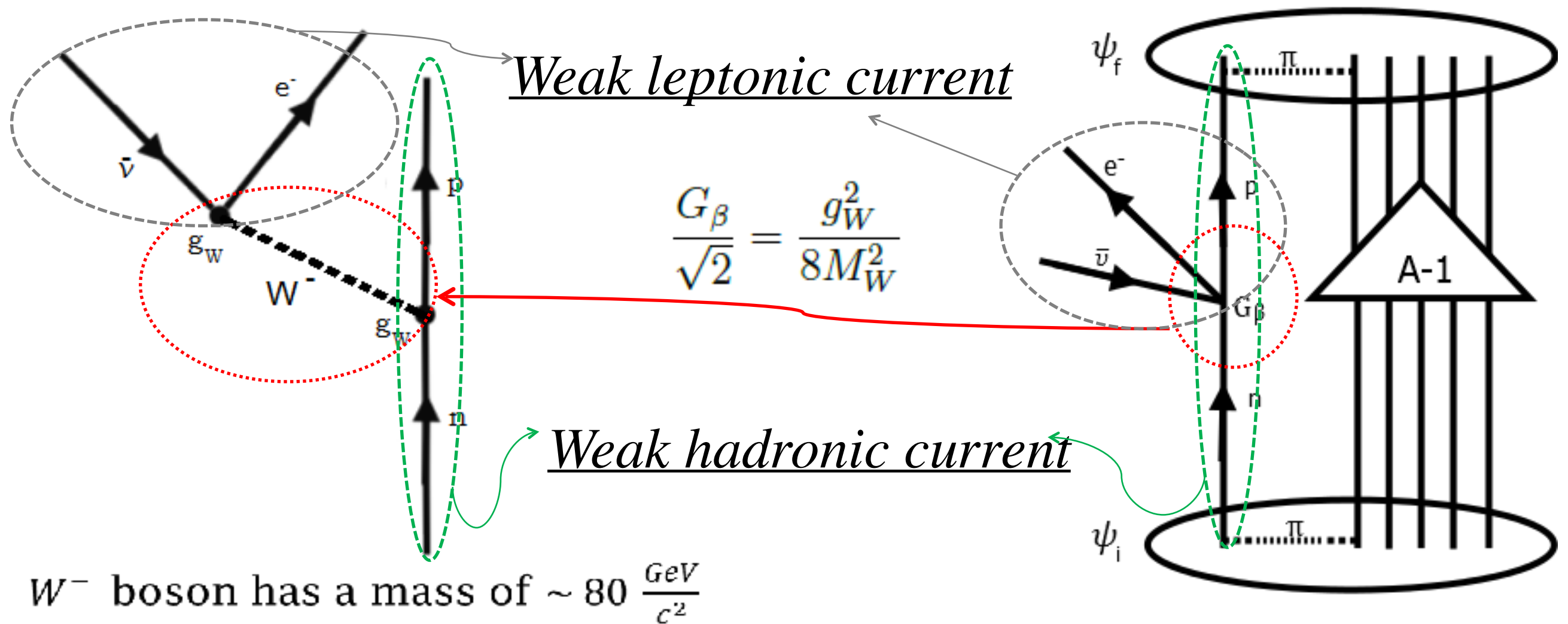


- Introduction to our relativistic approach to  $\beta$ -decay ( $\beta$ -decay and EC are not only purely nuclear but also atomic processes)
- What is correlation in c.m. and why is important to interpret  $\beta$ -decay lineshapes: a primer on many-body approaches, from semi-empirical to mean-field (HF and DHF)
- Application of our approach to a number of  $\beta$ -decay processes in heavy atoms ( $^{36}\text{Cl}$ ,  $^{63}\text{Ni}$ ,  $^{129}\text{I}$ ,  $^{210}\text{Bi}$ ,  $^{241}\text{Pu}$ ,)
- Application to  $\beta$ -decay of Tritium and to EC in  $^{163}\text{Ho}$  for the determination of neutrino mass
- Application to astrophysical scenario
- Perspectives, future developments and conclusions



# $\beta$ -decay from a c.m. perspective: tool basket

- Standard Model of particle physics: weak interaction is caused by emission or absorption of very massive bosons



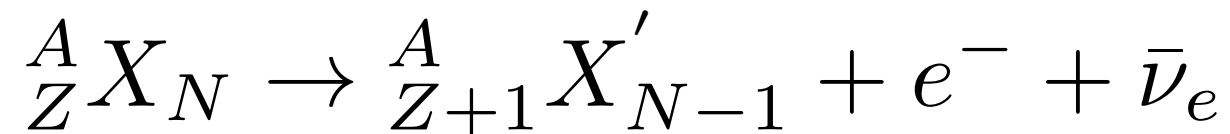
- Uncertainty principle:  $W^-$  can only live  $\Delta t \leq \frac{\hbar}{M_W \cdot c^2}$  (range of strong interaction)
- During this time it can travel at most  $c\Delta t \leq \frac{\hbar}{M_W \cdot c} \sim 10^{-3} \text{ fm} \ll 1 \text{ fm}$

Short range=Fermi contact interaction

Validity of the independent particle model to treat the  $e^-$ -capture and emission

# $\beta$ -decay from a c.m. perspective: tool basket

A typical nuclear  $\beta$ -decay process reads:



Q-value: total energy released by the reaction ( $m_\nu = 0$ )

$$Q_{\beta-} = m_N({}^A X) - m_N({}^A X') \quad m({}^A X) = m_N({}^A X) + Zm_e - \sum_{i=1}^Z (B_i)$$

Extra-nuclear factor

$$Q_{\beta-} = \{[m({}^A X) - Z \cdot m_e] - [m({}^A X') - (Z+1) \cdot m_e] - m_e\} \cdot c^2 + \left\{ \sum_{i=1}^Z B_i - \sum_{i=1}^{Z+1} B_i \right\}$$

In the traditional theory of  $\beta$ -decay processes, spectra are typically calculated as product of three factors:

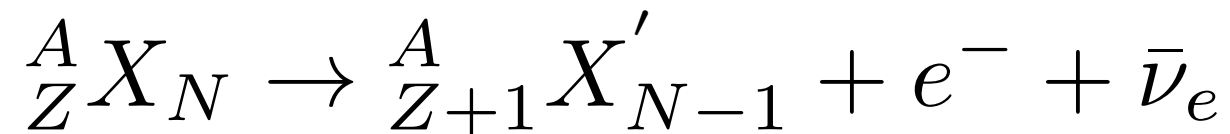
$$\frac{dN}{dW} \propto pWq^2 F(Z, W) C(W)$$

- a phase-space factor to deal with the momentum sharing between the  $\beta$ -electron ( $p$ ) and neutrino ( $q$ );
- a Fermi function  $F(Z, W)$  to take into account the static corrections due to the Coulomb field of the nucleus;
- a shape factor  $C(W)$  to include the coupling between nuclear and lepton dynamics.



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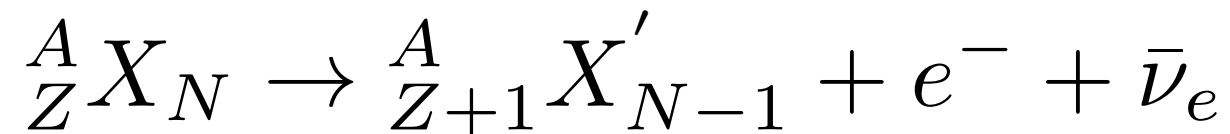
In the traditional theory of  $\beta$ -decay processes, spectra are typically calculated as product of three factors:

$$\frac{dN}{dW} \propto pWq^2 F(Z, W) C(W) \quad F(Z, W) = \frac{2\pi\nu}{1 - \exp^{-2\pi\nu}} \quad \nu = \pm Ze^2 / \hbar v$$

- a phase-space factor to deal with the momentum sharing between the  $\beta$ -electron (p) and neutrino (q);
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In the traditional theory of  $\beta$ -decay processes, spectra are typically calculated as product of three factors:

$$\frac{dN}{dW} \propto pWq^2 F(Z, W) C(W) \quad C(W) = (2L' - 1)! \sum_{k=1}^{L'} \lambda_k \frac{p^{2(k-1)} q^{2(L'-k)}}{(2k-1)! [2(L'-k) + 1]!}$$

- a phase-space factor to deal with the momentum sharing between the  $\beta$ -electron ( $p$ ) and neutrino ( $q$ );
- a Fermi function  $F(Z, W)$  to take into account the static corrections due to the Coulomb field of the nucleus;
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# $\beta$ -decay from a c.m. perspective

$$\frac{dN}{dW} \propto pW q^2 F(Z, W) C(W)$$

It works well to predict the lineshapes of allowed and forbidden unique transitions, at variance, nuclear structure effects cannot be neglected when dealing with forbidden non-unique transitions, and there is no such a simple relation for  $C(W)$

One can treat first forbidden non-unique transitions as allowed if

$$2\xi = \frac{\alpha Z}{R_{nuc}} \gg E_{max}$$

where  $E_{max}$  is the maximum escaping energy of the  $\beta$ -electron and  $\alpha$  is the fine structure constant

Still a rigours treatment of these transitions from the electronic structure point of view is missing!!!

Our approach to beta-decay helps to solve these issues, related to many-body effects, in the leptonic current term

# Standard Model $\beta$ -decay theory

$\beta$ -decay rate is calculated by using Fermi's Golden Rule:

$$P_{i \rightarrow f} = 2\pi \int |\langle f | \hat{H}_\beta | i \rangle|^2 \rho(W_f) \delta(W_f - W_i) dW_f$$

Probability  $P$  per unit time that a system undergoes a transition from an initial state,  $i$ , to a number of final states,  $f$ , under the influence of a perturbation described by the Hamiltonian  $H_\beta$

## Weak Interaction Hamiltonian

$$\mathcal{H}_\beta = \frac{G_\beta}{\sqrt{2}} (\bar{\psi}_{f,p}(\mathbf{r}) \gamma^\mu (1 - x \gamma^5) \hat{\psi}_{i,n}(\mathbf{r})) \cdot (\bar{\psi}_{f,e}(\mathbf{r}) \gamma_\mu (1 - \gamma^5) \hat{\psi}_{i,\nu}(\mathbf{r})) + h.c.$$

Creates a proton

Destroys a neutron

Creates an electron

Destroys a neutrino  
(creates an antineutrino)

$\gamma^\mu \rightarrow$  Dirac gamma matrices

$$G_\beta = G \cdot c_V \sim 1.13 \cdot 10^{-5} \text{ GeV}^{-2} ; x = \frac{c_A}{c_V} \sim 1.27 ;$$

➡ **All the wavefunctions will be written as Dirac spinors**



# $\beta$ -decay theory

Initial nuclear  
Fock-space state:

$$|\xi_n, j_n, \mu_n\rangle_A \equiv \hat{a}_n^\dagger |0\rangle_A$$

Final nuclear  
Fock-space:

$$|\xi_p, j_p, \mu_p\rangle_A \equiv \hat{a}_p^\dagger |0\rangle_A$$

Initial lepton  
Fock-space:

$$|0; 0\rangle_L$$

Final lepton  
Fock-space:

$$|(n_B, \kappa_B, \mu_B + W_C^f, \kappa_C^f, \mu_C^f); W_\nu, \kappa_\nu, \mu_\nu\rangle_L \equiv (\hat{a}_{C,e}^+ + \hat{a}_{C,e}^+) b_\nu^\dagger |0; 0\rangle_L$$

$j_{p,n,e}$  nuclear spin  
 $\mu_{p,n,e}$  projection along the quantization axis  
 $\xi_{p,n,e}$  quantum number characterizing the nuclear state

initial state:

$$|i\rangle = |\xi_n, j_n, \mu_n\rangle_A \otimes |0; 0\rangle_L$$

final state:

$$|f\rangle \equiv |\xi_p, j_p, \mu_p\rangle_A \otimes |W_C^f, \kappa_C^f, \mu_C^f; W_\nu, \kappa_\nu, \mu_\nu\rangle_L$$

## Field operators entering the Weak Interaction Hamiltonian

$$\hat{\psi}_n(\mathbf{r}) = \sum_{\xi_n, j_n, \mu_n} \langle \mathbf{r} | \xi_n, j_n, \mu_n \rangle \hat{a}_n +$$

antineutron creation term

$$\hat{\psi}_e^+(\mathbf{r}) = \sum_{n'_B, \kappa'_B, \mu'_B} \langle n'_B, \kappa'_B, \mu'_B | \mathbf{r} \rangle \hat{a}_{B,e}^+ + \int dW'_C \sum_{\kappa'_C, \mu'_C} \langle W'_C, \kappa'_C, \mu'_C | \mathbf{r} \rangle \hat{a}_{C,e}^+$$

+ positron destruction term

Inclusion of the antisymmetrization

$$\hat{\psi}_\nu(\mathbf{r}) = \int dW_\nu \sum_{\kappa_\nu, \mu_\nu} \left( \langle \mathbf{r} | W_\nu, \kappa_\nu, \mu_\nu \rangle \hat{a}_\nu - \langle \mathbf{r} | W_\nu, \kappa_\nu, \mu_\nu \rangle - \hat{b}_\nu^\dagger \right)$$

$$\hat{\psi}_p^+(\mathbf{r}) = \sum_{\xi_p, j_p, \mu_p} \langle \xi_p, j_p, \mu_p | \mathbf{r} \rangle \hat{a}_p^+ +$$

antiproton destruction term

In the standard approximation, one considers the particles entering the decay as non-interacting single particles

# $\beta$ -decay theory: total decay rate

$$\lambda_t = \frac{\pi G_\beta^2}{(2j_n + 1)(2J_B + 1)} \sum_{\gamma} \sum_{\mu_n, \mu_p} \sum_{\mu'_B, \mu'_C, \mu_B} \sum_{\mu_\nu} \int |I|^2 \rho(W_f) \delta(Q - T'_C - W_\nu) dW_\nu dW'_C$$

$\langle f | \mathcal{H}_\beta | i \rangle$

with electron energy  $W_C^f = \sqrt{p^2 c^2 + c^4} = c^2 + T_C^f$  and antineutrino energy  $W_\nu = c \cdot p_\nu$   
 $\mu$  and  $\gamma'$  runs over magnetic and principal quantum number and where

$$I = \int \int \langle \xi_p, j_p, \mu_p | \hat{\psi}_p^+(\mathbf{r}_h) \gamma^0 \gamma^\mu (1 - x \gamma^5) \hat{\psi}_n(\mathbf{r}_h) | \xi_n, j_n, \mu_n \rangle \cdot$$

$$\langle \bigwedge_{B,C} n'_B, \kappa'_B, \mu'_B, W'_C, \kappa'_C, \mu'_C; W_\nu, \kappa_\nu, \mu_\nu | \hat{\psi}_e^+(\mathbf{r}_l) \gamma^0 \gamma_\mu (1 - \gamma^5) \hat{\psi}_\nu(\mathbf{r}_l) | \bigwedge_B n_B, \kappa_B, \mu_B; 0 \rangle \delta(\mathbf{r}_h - \mathbf{r}_l) d\mathbf{r}_h d\mathbf{r}_l$$

expresses the point-like nature of the decay

$$\delta(\mathbf{r}_h - \mathbf{r}_l) = \sum_{L', q} \delta(r_h - r_l) \cdot r_l^{-2} \cdot Y_{L', q}(\theta_h, \phi_h) Y_{L', -q}(\theta_l, \phi_l) \cdot (-)^q$$

*Spherical armonics*

This notation is useful because it allows to split the matrix element into nuclear and lepton parts



# $\beta$ -decay theory: total decay rate

$$\lambda_t = \frac{\pi G_\beta^2}{(2j_n + 1)(2J_B + 1)} \sum_{\gamma} \sum_{\mu_n, \mu_p} \sum_{\mu'_B, \mu'_C, \mu_B} \sum_{\mu_\nu} \int |I|^2 \rho(W_f) \delta(Q - T'_C - W_\nu) dW_\nu dW'_C$$

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$$\delta(\mathbf{r}_h - \mathbf{r}_l) = \sum_{L', q} \delta(r_h - r_l) \cdot r_l^{-2} \cdot Y_{L', q}(\theta_h, \phi_h) Y_{L', -q}(\theta_l, \phi_l) \cdot (-)^q$$

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$$\langle W'_C, \kappa'_C, \mu'_C; W_\nu, \kappa_\nu, \mu_\nu | \hat{\psi}_e^+(\mathbf{r}_l) \gamma^0 \gamma_\mu (1 - \gamma^5) \hat{\psi}_\nu(\mathbf{r}_l) | 0; 0 \rangle \delta(\mathbf{r}_h - \mathbf{r}_l) d\mathbf{r}_h d\mathbf{r}_l =$$

expresses the point-like nature of the decay

$$\delta(\mathbf{r}_h - \mathbf{r}_l) = \sum_{L', q} \delta(r_h - r_l) \cdot r_l^{-2} \cdot Y_{L', q}(\theta_h, \phi_h) Y_{L', -q}(\theta_l, \phi_l) \cdot (-)^q$$

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# $\beta$ -decay theory

To find the eigensolutions of the SM Hamiltonian for the  $\beta$ -decay we make a first major “approximation”: we assume that one can factorize this operator as the tensorial product of two interacting currents:

- ✧ hadronic (nuclear);
- ✧ leptonic (electron + neutrino)

Explicitly:

$$\langle f | \mathcal{H}_\beta | i \rangle = \frac{G_\beta}{\sqrt{2}} J_{i \rightarrow f}^{H, \mu}(\mathbf{r}) J_{i \rightarrow f, \mu}^L(\mathbf{r})$$

where:

$e^-$  and  $\nu$  can be considered uncoupled

$$J_{i \rightarrow f, \mu}^L(\mathbf{r}) = \psi_{f, e}^+(\mathbf{r}) \gamma_0 \gamma_\mu (1 - \gamma^5) \psi_{i, \nu}(\mathbf{r})$$

n and p w.f. can be factorized provided that the nucleus is “hydrogenic”, that is composed by a closed shell with only one single nucleon in one open shell embedded in the mean field generated by the closed shell

$$J_{i \rightarrow f}^{H, \mu}(\mathbf{r}) = \psi_{f, p}^+(\mathbf{r}) \gamma_0 \gamma^\mu (1 - x \gamma^5) \psi_{i, n}(\mathbf{r})$$



# $\beta$ -decay theory in central symmetry

## *Nuclear matrix element on a real space grid*

$$J^{H,\mu}(r_h) = \int d\Omega_h Y_{L',q}(\theta_h, \phi_h) \langle \xi_p, j_p, \mu_p | \hat{\psi}_p^+(\mathbf{r}_h) \gamma^0 \gamma^\mu (1 - x\gamma^5) \hat{\psi}_n(\mathbf{r}_h) | \xi_n, j_n, \mu_n \rangle \cdot r_h^2 =$$

$$= \int d\Omega_h Y_{L',q}(\theta_h, \phi_h) \langle 0 | \hat{a}_p \hat{\psi}_p^+(\mathbf{r}_h) \gamma^0 \gamma^\mu (1 - x\gamma^5) \hat{\psi}_n(\mathbf{r}_h) \hat{a}_n^+ | 0 \rangle \cdot r_h^2$$

inserting the expressions for the field operators

$$J^{H,\mu}(r_h) = \sum_{\xi'_p, j'_p, \mu'_p} \sum_{\xi'_n, j'_n, \mu'_n} \langle 0 | \hat{a}_p \hat{a}_{p'}^+ \hat{a}_{n'} \hat{a}_n^+ | 0 \rangle$$

$$\cdot \int d\Omega_h Y_{L',q}(\theta_h, \phi_h) \langle \xi'_p, j'_p, \mu'_p | \mathbf{r}_h \rangle \gamma^0 \gamma^\mu (1 - x\gamma^5) \langle \mathbf{r}_h | \xi'_n, j'_n, \mu'_n \rangle \cdot r_h^2$$

and applying anti-commutation rules for creation/destruction Fock-space operators

$$\{\hat{a}_p, \hat{a}_n^+\} = \{\hat{a}_p, \hat{a}_n\} = 0$$


$$\{\hat{a}_p, \hat{a}_{p'}^+\} = \delta_{\xi_p, \xi_{p'}} \delta_{j_p, j_{p'}} \delta_{\mu_p, \mu_{p'}}$$

$$\{\hat{a}_n, \hat{a}_{n'}^+\} = \delta_{\xi_n, \xi_{n'}} \delta_{j_n, j_{n'}} \delta_{\mu_n, \mu_{n'}}$$

Selection rules

one gets





$$J^{H,\mu}(r_h) = \int d\Omega_h Y_{L',q}(\theta_h, \phi_h) \langle \xi_p, j_p, \mu_p | \mathbf{r}_h \rangle \gamma^0 \gamma^\mu (1 - x\gamma^5) \langle \mathbf{r}_h | \xi_n, j_n, \mu_n \rangle \cdot r_h^2$$

# $\beta$ -decay theory: total decay rate

## *Lepton matrix element on a real space grid*

$$\begin{aligned} J_\mu^L(r_h) &= \int dr_l \int d\Omega_l Y_{L',-q}(\theta_l, \phi_l) \langle \bigwedge_{B,C} n'_B, \kappa'_B, \mu'_B, W'_C, \kappa'_C, \mu'_C; W_\nu, \kappa_\nu, \mu_\nu | \\ &\quad \hat{\psi}_e^+(\mathbf{r}_l) \gamma^0 \gamma_\mu (1 - \gamma^5) \hat{\psi}_\nu(\mathbf{r}_l) | \bigwedge_B n_B, \kappa_B, \mu_B; 0 \rangle \delta(r_h - r_l) = \\ &= \int dr_l \int d\Omega_l Y_{L',-q}(\theta_l, \phi_l) \langle 0; 0 | \hat{a}'_{1,e} \dots \hat{a}'_{N,e} \hat{a}'_{C,e} \hat{b}_\nu \hat{\psi}_e^+(\mathbf{r}_l) \gamma^0 \gamma_\mu (1 - \gamma^5) \hat{\psi}_\nu(\mathbf{r}_l) \hat{a}_{1,e}^+ \dots \hat{a}_{N,e}^+ | 0; 0 \rangle \delta(r_h - r_l) \end{aligned}$$

## inserting the expressions for the field operators

$$\begin{aligned} J_\mu^L(r_h) &= \sum_{n'_B, \kappa'_B, \mu'_B} \int dW'_\nu \sum_{\kappa'_\nu, \mu'_\nu} \langle 0; 0 | \hat{a}'_{1,e} \dots \hat{a}'_{N,e} \hat{a}'_{C,e} \hat{b}_\nu \hat{a}_{B',e}^+ \hat{b}_{\nu'}^+ \hat{a}_{1,e}^+ \dots \hat{a}_{N,e}^+ | 0; 0 \rangle \\ &\quad \int dr_l \int d\Omega_l Y_{L',-q}(\theta_l, \phi_l) \langle n'_B, \kappa'_B, \mu'_B | \mathbf{r}_l \rangle \gamma^0 \gamma_\mu (1 - \gamma^5) \langle \mathbf{r}_l | W'_\nu, \kappa'_\nu, \mu'_\nu \rangle \delta(r_h - r_l) + \\ &\quad + \int dW'_C \sum_{\kappa'_C, \mu'_C} \int dW'_\nu \sum_{\kappa'_\nu, \mu'_\nu} \langle 0; 0 | \hat{a}'_{1,e} \dots \hat{a}'_{N,e} \hat{a}'_{C,e} \hat{b}_\nu \hat{a}_{C',e}^+ \hat{b}_{\nu'}^+ \hat{a}_{1,e}^+ \dots \hat{a}_{N,e}^+ | 0; 0 \rangle \\ &\quad \int dr_l \int d\Omega_l Y_{L',-q}(\theta_l, \phi_l) \langle W'_C, \kappa'_C, \mu'_C | \mathbf{r}_l \rangle \gamma^0 \gamma_\mu (1 - \gamma^5) \langle \mathbf{r}_l | W'_\nu, \kappa'_\nu, \mu'_\nu \rangle \delta(r_h - r_l) \end{aligned}$$

# $\beta$ -decay theory: total decay rate

## *Lepton matrix element on a real space grid*

and applying anti-commutation rules for creation/destruction Fock-space operators

$$\{\hat{a}'_{B,e}, \hat{a}'_{B',e}{}^+\} = \delta_{n_B, n'_{B'}} \delta_{\kappa_B, \kappa'_{B'}} \delta_{\mu_B, \mu'_{B'}}$$

$$\{\hat{a}'_{C,e}, \hat{a}'_{C',e}{}^+\} = \delta(W_C - W'_{C'}) \delta_{\kappa_C, \kappa'_{C'}} \delta_{\mu_C, \mu'_{C'}}$$

$$\{\hat{b}_\nu, \hat{b}_{\nu'}{}^+\} = \delta(W_\nu - W'_{\nu'}) \delta_{\kappa_\nu, \kappa'_{\nu'}} \delta_{\mu_\nu, \mu'_{\nu'}}$$

$$\{\hat{a}_{B/C,e}, \hat{b}_\nu\} = \{\hat{a}_{B/C,e}^+, \hat{b}_\nu\} = \{\hat{a}_{C,e}, \hat{a}_{B,e}^+\} = 0$$

one gets

$$J_\mu^L(r_h) = \sum_{j=1}^N \prod_{B \neq j} (-)^j \langle 0; 0 | \hat{a}'_{B,e} \hat{a}'_{C,e} \hat{a}_{1,e}^+ \dots \hat{a}_{N,e}^+ | 0; 0 \rangle$$

Inclusion of post-collisional effects: Fano's and Exchange interactions

$$\int dr_l \int d\Omega_l Y_{L', -q}(\theta_l, \phi_l) \langle n'_B, \kappa'_B, \mu'_B | \mathbf{r}_l \rangle \gamma^0 \gamma_\mu (1 - \gamma^5) \langle \mathbf{r}_l | W_\nu, \kappa_\nu, \mu_\nu \rangle \delta(r_h - r_l) +$$

$$+ \langle 0; 0 | \hat{a}'_{1,e} \dots \hat{a}'_{N,e} \hat{a}_{1,e}^+ \dots \hat{a}_{N,e}^+ | 0; 0 \rangle$$

Standard beta-decay

$$\int dr_l \int d\Omega_l Y_{L', -q}(\theta_l, \phi_l) \langle W'_C, \kappa'_C, \mu'_C | \mathbf{r}_l \rangle \gamma^0 \gamma_\mu (1 - \gamma^5) \langle \mathbf{r}_l | W_\nu, \kappa_\nu, \mu_\nu \rangle \delta(r_h - r_l)$$

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$$\{\hat{a}'_{C,e}, \hat{a}'_{C',e}{}^+\} = \delta(W_C - W'_{C'}) \delta_{\kappa_C, \kappa'_{C'}} \delta_{\mu_C, \mu'_{C'}}$$

$$\{\hat{b}_\nu, \hat{b}_{\nu'}{}^+\} = \delta(W_\nu - W'_{\nu'}) \delta_{\kappa_\nu, \kappa'_{\nu'}} \delta_{\mu_\nu, \mu'_{\nu'}}$$

$$\{\hat{a}_{B/C,e}, \hat{b}_\nu\} = \{\hat{a}_{B/C,e}^+, \hat{b}_\nu\} = \{\hat{a}_{C,e}, \hat{a}_{B,e}^+\} = 0$$

one gets

$$J_\mu^L(r_h) = \sum_{j=1}^N \prod_{B \neq j} (-)^j \langle 0; 0 | \hat{a}'_{B,e} \hat{a}'_{C,e} \hat{a}_{1,e}^+ \dots \hat{a}_{N,e}^+ | 0; 0 \rangle$$

$\rightarrow Q_{L',q,B;\mu}(r_h)$

$$\int dr_l \int d\Omega_l Y_{L',-q}(\theta_l, \phi_l) \langle n'_B, \kappa'_B, \mu'_B | \mathbf{r}_l \rangle \gamma^0 \gamma_\mu (1 - \gamma^5) \langle \mathbf{r}_l | W_\nu, \kappa_\nu, \mu_\nu \rangle \delta(r_h - r_l) +$$

$$+ \langle 0; 0 | \hat{a}'_{1,e} \dots \hat{a}'_{N,e} \hat{a}_{1,e}^+ \dots \hat{a}_{N,e}^+ | 0; 0 \rangle$$

$\rightarrow Q_{L',q,C;\mu}(r_h)$

$$\int dr_l \int d\Omega_l Y_{L',-q}(\theta_l, \phi_l) \langle W'_C, \kappa'_C, \mu'_C | \mathbf{r}_l \rangle \gamma^0 \gamma_\mu (1 - \gamma^5) \langle \mathbf{r}_l | W_\nu, \kappa_\nu, \mu_\nu \rangle \delta(r_h - r_l)$$



$$J_{\mu}^L(r_h) = \begin{vmatrix} \langle \psi'_1 | \phi_1 \rangle & \langle \psi'_1 | \phi_2 \rangle & \cdots & \langle \psi'_1 | \phi_N \rangle & Q_{L',q,1;\mu}(r_h) \\ \langle \psi'_2 | \phi_1 \rangle & \langle \psi'_2 | \phi_2 \rangle & \cdots & \langle \psi'_2 | \phi_N \rangle & Q_{L',q,2;\mu}(r_h) \\ \vdots & & \ddots & & \vdots \\ \langle \psi'_N | \phi_1 \rangle & \langle \psi'_N | \phi_2 \rangle & \cdots & \langle \psi'_N | \phi_N \rangle & Q_{L',q,N;\mu}(r_h) \\ \langle \psi'_C | \phi_1 \rangle & \langle \psi'_C | \phi_2 \rangle & \cdots & \langle \psi'_C | \phi_N \rangle & Q_{L',q,C;\mu}(r_h) \end{vmatrix}$$

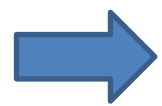
by combining the **leptonic** and the **hadronic** currents

$$J^{H,\mu}(r_h) = \int d\Omega_h Y_{L',q}(\theta_h, \phi_h) \langle \xi_p, j_p, \mu_p | \mathbf{r}_h \rangle \gamma^0 \gamma^{\mu} (1 - x \gamma^5) \langle \mathbf{r}_h | \xi_n, j_n, \mu_n \rangle \cdot r_h^2$$

Differential decay rate (electron energy spectrum)

$$\frac{d\lambda}{dW_e^t} = \frac{\pi G_{\beta}^2}{(2j_n + 1)(2J_B + 1)} \sum_{\gamma'} \sum_{\mu_n, \mu_p} \sum_{\mu'_B, \mu_B} \sum_{\kappa'_C, \mu'_C} \sum_{\kappa_{\nu}, \mu_{\nu}}$$

$$\left| \sum_{L',q} (-)^q \begin{vmatrix} \langle \psi'_1 | \phi_1 \rangle & \langle \psi'_1 | \phi_2 \rangle & \cdots & \langle \psi'_1 | \phi_N \rangle & M_{L',q,1}(W_{\nu} = Q - W_e^t) \\ \langle \psi'_2 | \phi_1 \rangle & \langle \psi'_2 | \phi_2 \rangle & \cdots & \langle \psi'_2 | \phi_N \rangle & M_{L',q,2}(W_{\nu} = Q - W_e^t) \\ \vdots & & \ddots & & \vdots \\ \langle \psi'_N | \phi_1 \rangle & \langle \psi'_N | \phi_2 \rangle & \cdots & \langle \psi'_N | \phi_N \rangle & M_{L',q,N}(W_{\nu} = Q - W_e^t) \\ \langle \psi'_C | \phi_1 \rangle & \langle \psi'_C | \phi_2 \rangle & \cdots & \langle \psi'_C | \phi_N \rangle & M_{L',q,C}(W_{\nu} = Q - W_e^t) \end{vmatrix} \right|^2$$



It gives the number of electrons per unit energy and per unit time

## Differential decay rate (electron energy spectrum)

$$\frac{d\lambda}{dW_e^t} = \frac{\pi G_\beta^2}{(2j_n + 1)(2J_B + 1)} \sum_{\gamma'} \sum_{\mu_n, \mu_p} \sum_{\mu'_B, \mu_B} \sum_{\kappa'_C, \mu'_C} \sum_{\kappa_\nu, \mu_\nu}$$

$$\left| \sum_{L',q} (-)^q \begin{vmatrix} \langle \psi'_1 | \phi_1 \rangle & \langle \psi'_1 | \phi_2 \rangle & \cdots & \langle \psi'_1 | \phi_N \rangle & M_{L',q,1}(W_\nu = Q - W_e^t) \\ \langle \psi'_2 | \phi_1 \rangle & \langle \psi'_2 | \phi_2 \rangle & \cdots & \langle \psi'_2 | \phi_N \rangle & M_{L',q,2}(W_\nu = Q - W_e^t) \\ \vdots & & \ddots & & \vdots \\ \langle \psi'_N | \phi_1 \rangle & \langle \psi'_N | \phi_2 \rangle & \cdots & \langle \psi'_N | \phi_N \rangle & M_{L',q,N}(W_\nu = Q - W_e^t) \\ \langle \psi'_C | \phi_1 \rangle & \langle \psi'_C | \phi_2 \rangle & \cdots & \langle \psi'_C | \phi_N \rangle & M_{L',q,C}(W_\nu = Q - W_e^t) \end{vmatrix} \right|^2$$

The final orbital was  $\psi'_i$  depend on  $\gamma'$  that identifies the possible final (shake-up, shake-off, excited) states

$$M_{L',q,B} = \int \left[ \int d\Omega_h Y_{L',q}(\theta_h, \phi_h) \langle \xi_p, j_p, \mu_p | \mathbf{r}_h \rangle \gamma^0 \gamma^\mu (1 - x\gamma^5) \langle \mathbf{r}_h | \xi_n, j_n, \mu_n \rangle \cdot r_h^2 \cdot \int dr_l \int d\Omega_l Y_{L',-q}(\theta_l, \phi_l) \langle n'_B, \kappa'_B, \mu'_B | \mathbf{r}_l \rangle \gamma^0 \gamma_\mu (1 - \gamma^5) \langle \mathbf{r}_l | W_\nu, \kappa_\nu, \mu_\nu \rangle \delta(r_h - r_l) \right] dr_h;$$

$$M_{L',q,C} = \int \left[ \int d\Omega_h Y_{L',q}(\theta_h, \phi_h) \langle \xi_p, j_p, \mu_p | \mathbf{r}_h \rangle \gamma^0 \gamma^\mu (1 - x\gamma^5) \langle \mathbf{r}_h | \xi_n, j_n, \mu_n \rangle \cdot r_h^2 \cdot \int dr_l \int d\Omega_l Y_{L',-q}(\theta_l, \phi_l) \langle W'_C, \kappa'_C, \mu'_C | \mathbf{r}_l \rangle \gamma^0 \gamma_\mu (1 - \gamma^5) \langle \mathbf{r}_l | W_\nu, \kappa_\nu, \mu_\nu \rangle \delta(r_h - r_l) \right] dr_h;$$

Using  $L' = 0$ ,  $\langle \psi'_i | \phi_j \rangle = \delta_{ij}$ ,  $e^-$  wfs at nuclear radius, and  $\gamma_0$  one recovers standard beta-decay

# Calculation of the leptonic and hadronic wfs: DHF

The time independent Dirac Hamiltonian of a many particles system  
In the case of two different types of interactions, e.g. represented by scalar ( $g_s$ ) and vector ( $g_v$ ) potentials, the Dirac equation reads

$$\left\{ \sum_i (c\alpha_i \cdot \mathbf{p}_i + \beta_i mc^2 + V_i) + \sum_{i < j} [\beta_i \beta_j g_{S,ij} + (1 - \alpha_i \cdot \alpha_j) g_{V,ij}] \right\} \psi(\mathbf{r}_1, \dots, \mathbf{r}_N) = E \psi(\mathbf{r}_1, \dots, \mathbf{r}_N)$$

which in second quantization can be written as follows:

$$H = \sum_{s_1 s_2} \int d\mathbf{r} \hat{\psi}_{s_1}^+(\mathbf{r}) [-ic\alpha_{s_1 s_2} \cdot \nabla + \beta_{s_1 s_2} mc^2 + \delta_{s_1 s_2} V(\mathbf{r})] \hat{\psi}_{s_2}(\mathbf{r}) + \\ + \frac{1}{2} \sum_{s_1 s_2 s'_1 s'_2} \int d\mathbf{r} d\mathbf{r}' \hat{\psi}_{s_1}^+(\mathbf{r}) \hat{\psi}_{s'_1}^+(\mathbf{r}') [\beta_{s_1 s_2} \beta_{s'_1 s'_2} g_S(\mathbf{r}, \mathbf{r}') + (\delta_{s_1 s_2} \delta_{s'_1 s'_2} - \alpha_{s_1 s_2} \cdot \alpha'_{s'_1 s'_2}) g_V(\mathbf{r}, \mathbf{r}')] \hat{\psi}_{s'_2}(\mathbf{r}') \hat{\psi}_{s_2}(\mathbf{r})$$

where  $s_1, s_2, s'_1, s'_2$  index the bispinor two-components

To compute the electronic and hadronic current we use the HF approximation

$$\langle \hat{\psi}_{s_1}^+(\mathbf{r}) \hat{\psi}_{s'_1}^+(\mathbf{r}') \hat{\psi}_{s'_2}(\mathbf{r}') \hat{\psi}_{s_2}(\mathbf{r}) \rangle = \langle \hat{\psi}_{s_1}^+(\mathbf{r}) \hat{\psi}_{s_2}(\mathbf{r}) \rangle \langle \hat{\psi}_{s'_1}^+(\mathbf{r}') \hat{\psi}_{s'_2}(\mathbf{r}') \rangle - \langle \hat{\psi}_{s_1}^+(\mathbf{r}) \hat{\psi}_{s'_2}(\mathbf{r}') \rangle \langle \hat{\psi}_{s'_1}^+(\mathbf{r}') \hat{\psi}_{s_2}(\mathbf{r}) \rangle$$

# Calculation of the leptonic and hadronic wfs: DHF

$$\begin{pmatrix} mc^2 + W_V + W_S + \mathbf{A}_P \cdot \boldsymbol{\sigma} - E & -c\boldsymbol{\sigma} \cdot i\nabla - \boldsymbol{\sigma} \cdot \mathbf{A} + W_{PS} \\ -c\boldsymbol{\sigma} \cdot i\nabla - \boldsymbol{\sigma} \cdot \mathbf{A} + W_{PS} & -mc^2 + W_V + \mathbf{A}_P \cdot \boldsymbol{\sigma} - W_S - E \end{pmatrix} \begin{pmatrix} \psi_L \\ \psi_S \end{pmatrix} = 0$$

**where**

$W_S$  — scalar potential

$W_V$  — vectorial potential

$W_{PS}$  — pseudoscalar potential

$\mathbf{A}_P$  — pseudo-vectorial potential

**For leptons:**

$W_S = \text{Coulomb interaction}$

$W_V = 0$

$A_P = 0$

**For hadrons:**

$W_V + W_S$  — Wood-Saxon potential

$W_V - W_S$  — spin-orbit potential

$\mathbf{A}_P$  — magnetic field = **0**



# Calculation of the leptonic wfs

Dirac equation in a spherical potential

$$H\psi(\mathbf{r}) = (c\boldsymbol{\alpha} \cdot \mathbf{p} + \beta mc^2 + V(r))\psi(\mathbf{r}) = W\psi(\mathbf{r})$$

solutions are of the form:  $\psi(\mathbf{r}) = \psi_{\kappa,\mu}(\mathbf{r}) = \begin{pmatrix} f_{\kappa}(r) \chi_{\kappa,\mu}(\Omega) \\ ig_{\kappa}(r) \chi_{-\kappa,\mu}(\Omega) \end{pmatrix}$

where  $\chi_{\kappa,\mu}(\Omega) = \sum_{m_s=-\frac{1}{2}}^{\frac{1}{2}} \langle l\mu - m_s; \frac{1}{2}m_s | j\mu \rangle Y_{l,\mu-m_s}(\Omega) \phi_{m_s}$  are the spherical harmonics tensor

and calling  $f_{\kappa} = \frac{u_{\kappa}}{r}; g_{\kappa} = \frac{v_{\kappa}}{r}$   
 $u_{\kappa}$  and  $v_{\kappa}$  are solutions of  $\rightarrow \begin{cases} \frac{\partial u_{\kappa}}{\partial r} = -\frac{\kappa}{r}u_{\kappa} + \frac{1}{c}(W - V(r) + mc^2)v_{\kappa}(r) = 0 \\ \frac{\partial v_{\kappa}}{\partial r} = \frac{\kappa}{r}v_{\kappa} - \frac{1}{c}(W - V(r) - mc^2)u_{\kappa}(r) = 0 \end{cases}$

where  $V(r) = -\frac{Z_f}{r} + \int \frac{\rho(r')}{r_{>}} d^3r' - V_{ex}(r)$

and we assume  $V_{ex} = \frac{3}{2}\alpha_X \left[ \frac{3}{\pi}\rho(r) \right]^{1/3}$  which is local (TF or LDA)

To numerically solve the DHF equations we use the collocation methods, which a Runge-Kutta type integration method

# Calculation of the hadronic wfs: DHF

By changing the interaction potential, the calculation of the hadronic wavefunctions within the nuclear matrix elements can be performed

Nuclear wfs simulations out of scope (WS model potential)

$$V_C(r) = -V_C \left[ 1 + \exp \left( \frac{r - R}{a} \right) \right]^{-1} \quad V_C = V_0 \left( 1 \overset{\text{Protons}}{\oplus} \underset{\text{Neutrons}}{\ominus} \chi \frac{N - Z}{A} \right)$$
$$\tilde{V}_{SO}(r) = \tilde{V}_{SO} \left[ 1 + \exp \left( \frac{r - R_{SO}}{a_{SO}} \right) \right]^{-1} \quad \tilde{V}_{SO} = \lambda V_C$$

$$R = R_0 A^{1/3} \text{ and } R_{SO} = R_{0,SO} A^{1/3} = \text{nuclear radius}$$

$a$  and  $a_{SO}$  = diffuseness

$V_0, \chi, \lambda, a = a_{SO}, R_0, R_{0,SO}$  are parameters to be optimised according to experiments or ab-initio nuclear structure simulations

$$V_0 = 52.06 \text{ MeV}, \chi = 0.639, R_0 = 1.260 \text{ fm}, R_{0,SO} = 1.160 \text{ fm}, \lambda = 24.1, a = a_{SO} = 0.662 \text{ fm}$$

# The beta-decay spectrum of $^{63}_{28}\text{Ni}$ , $^{129}_{51}\text{I}$ , $^{241}_{95}\text{Pu}$

## Allowed Gamow-Teller

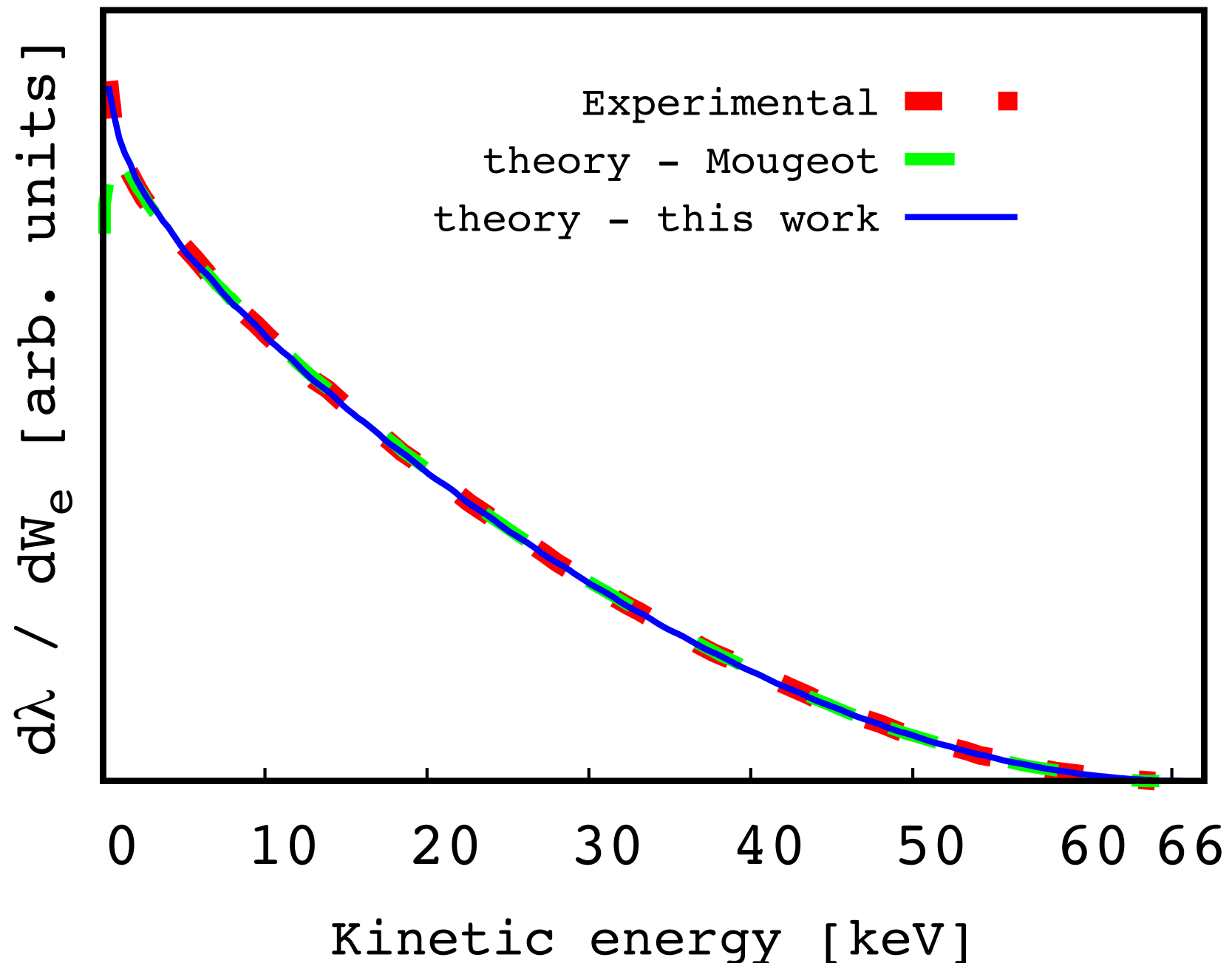
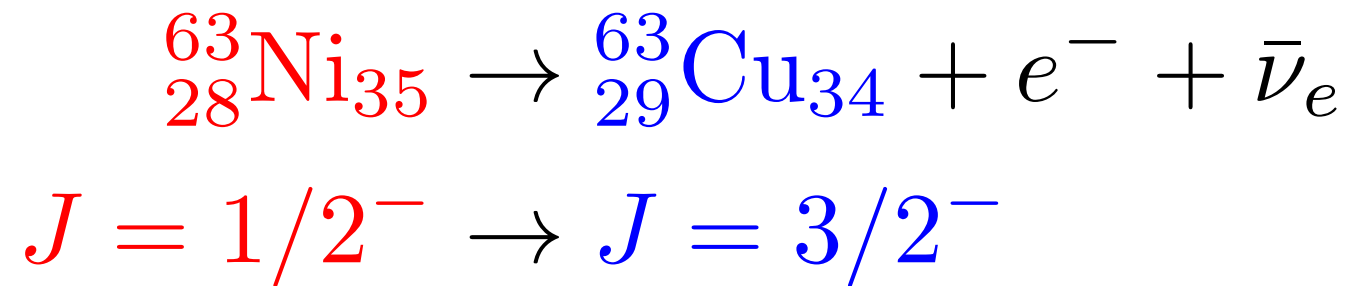
$^{63}_{28}\text{Ni}_{35}$  = even-odd

$^{63}_{29}\text{Cu}_{34}$  = odd-even

100% via  $\beta^-$  half-life = 101.2 y

Q-value = 66.945 keV  
(ground state to ground state)

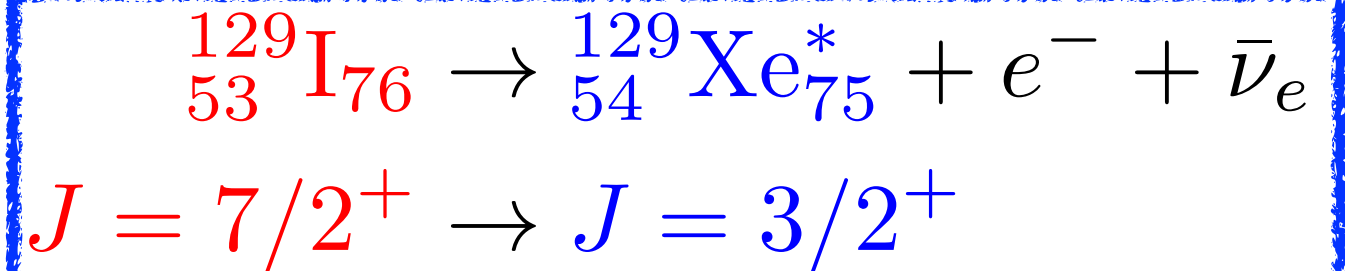
Mean-field DHF +  
screening + exchange  
works just fine as other  
approaches!!!!



# The beta-decay spectrum of $^{63}\text{Ni}$ , $^{129}\text{I}$ , $^{241}\text{Pu}$

$$^{129}\text{I} \rightarrow 17374.6321 > 5486.6741$$

$$^{241}\text{Pu} \rightarrow 30566.4823 >> 763.6509$$



Second forbidden

$$^{129}_{53}\text{I}_{76} = \text{odd-even}$$

$$^{129}_{54}\text{Xe}_{75} = \text{even-odd}$$

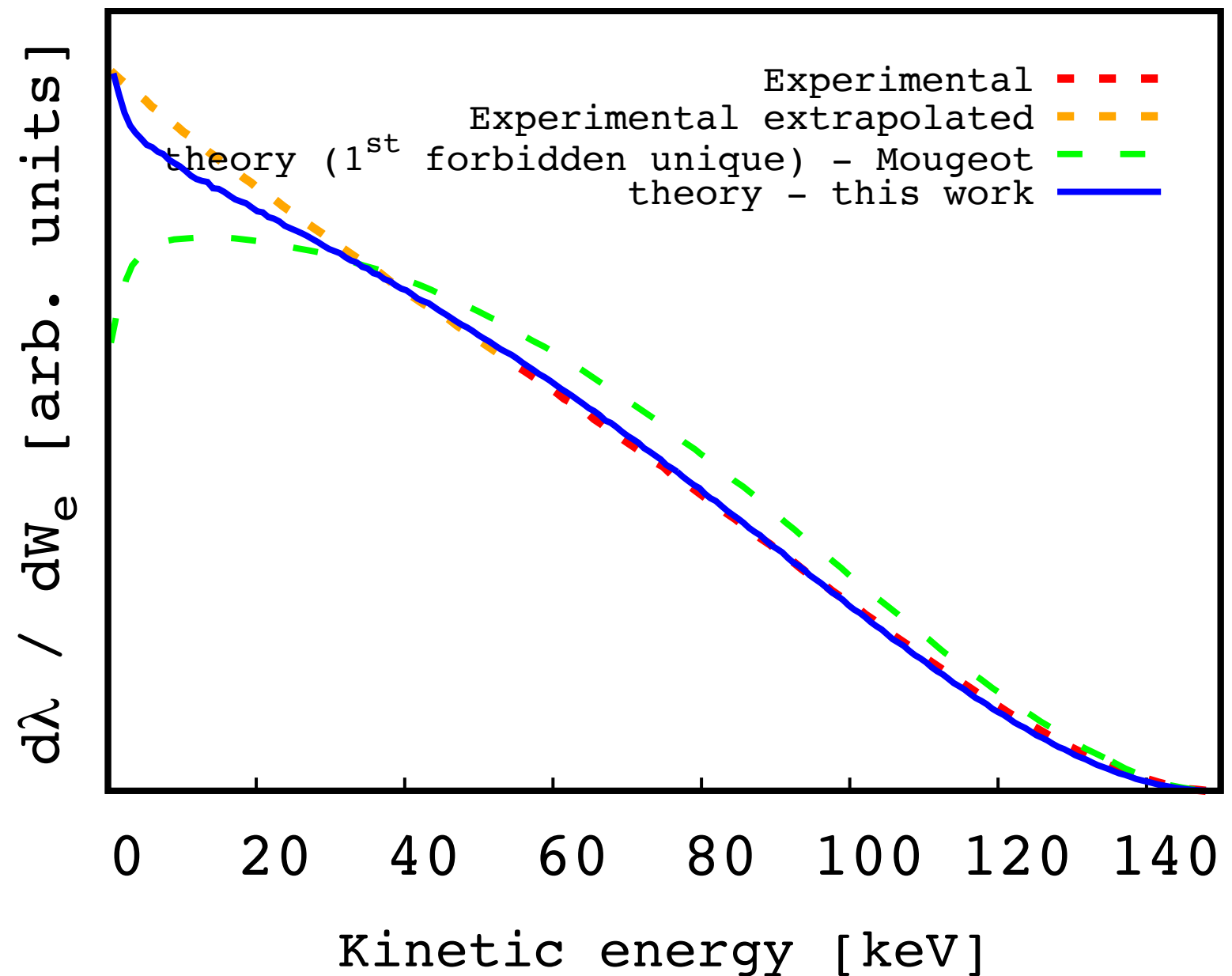
$$\beta^- \text{ half-life} = 1.57 \times 10^7 \text{ y (10.05)}$$

$$\text{Q-value} = 149.4 \text{ keV}$$

(ground state to excited state)

$$\gamma = 39.578 \text{ keV}$$

Mean-field DHF +  
screening + exchange  
works just better than  
other approaches!!!!

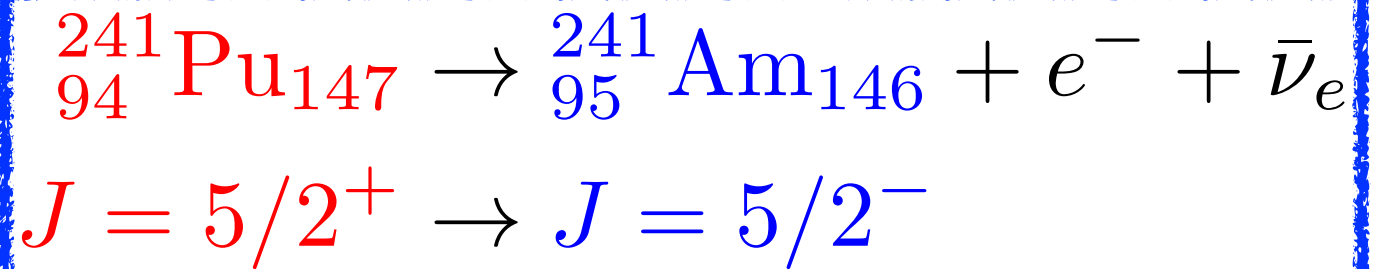




# The beta-decay spectrum of $^{63}\text{Ni}$ , $^{129}\text{I}$ , $^{241}\text{Pu}$

$$^{129}\text{I} \rightarrow 17374.6321 > 5486.6741$$

$$^{241}\text{Pu} \rightarrow 30566.4823 >> 763.6509$$



## First forbidden

$$^{241}_{94}\text{Pu}_{147} = \text{even-odd}$$

$$^{241}_{95}\text{Am}_{146} = \text{odd-even}$$

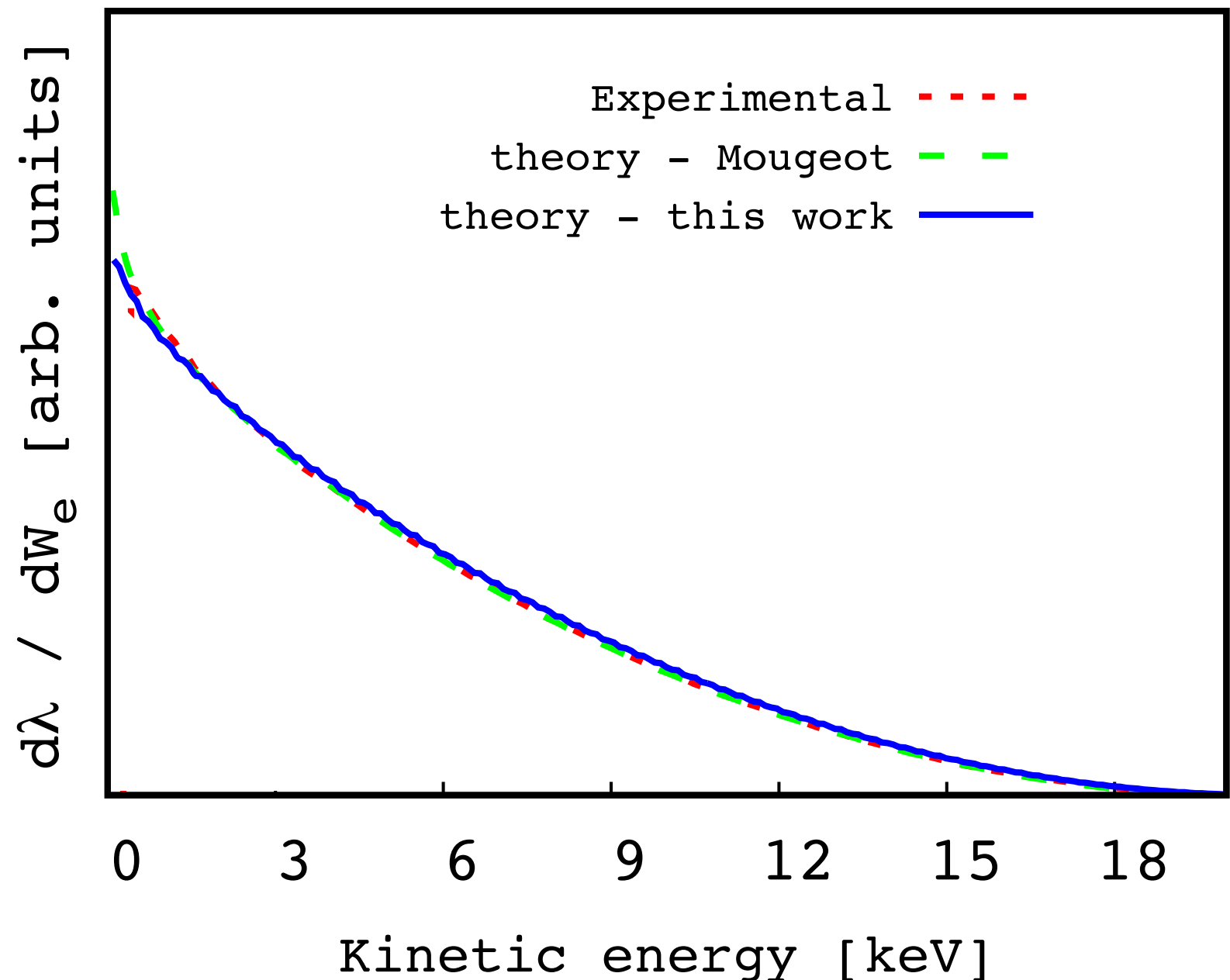
$$\beta^{-} \text{ half-life} = 14.329 \text{ y (6.85)}$$

$$\text{Q-value} = 20.78 \text{ keV}$$

(ground state to excited state)

$$\gamma = 39.578 \text{ keV}$$

Mean-field DHF +  
screening + exchange  
works just fine as other  
approaches!!!!



# The beta-decay spectrum of $^{36}_{17}\text{Cl}_{19}$

Second forbidden non-unique

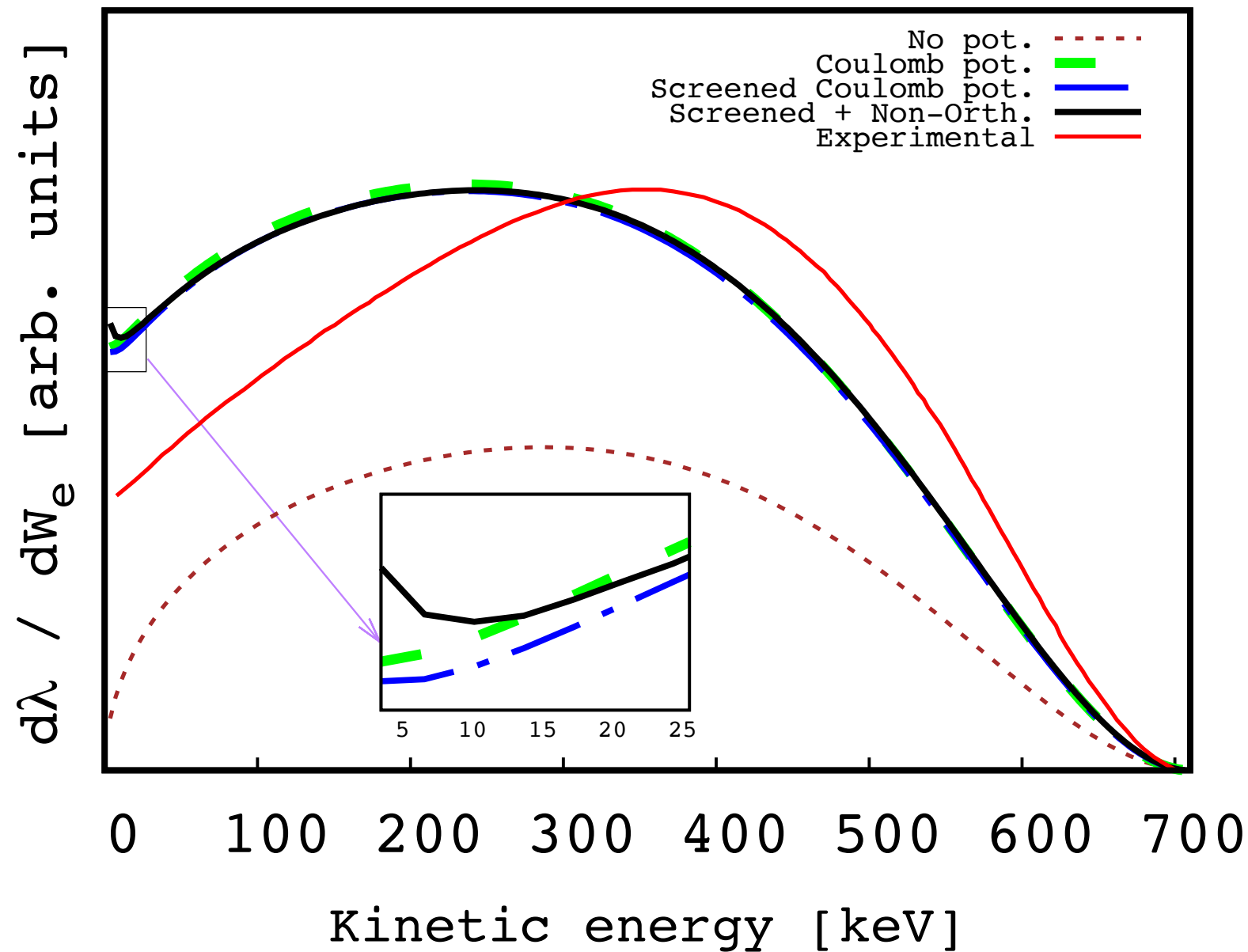
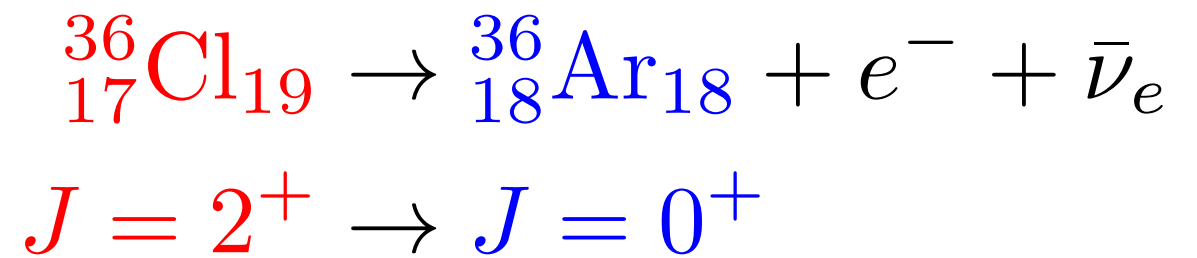
$^{36}_{17}\text{Cl}_{19}$  = odd-odd

$^{36}_{18}\text{Ar}_{18}$  = even-even

$\beta^-$  half-life =  $3.01 \times 10^5$  y

Q-value = 709.547 keV  
(ground state to excited state)

Mean-field DHF + screening  
(self-consistent DHF)  
+ exchange (discrete-continuum interaction) does not work fine as standard approaches !!!!



Shake-up and shake-off modifies the decay by only 5%

# The beta-decay spectrum of $^{210}_{83}\text{Bi}_{127}$

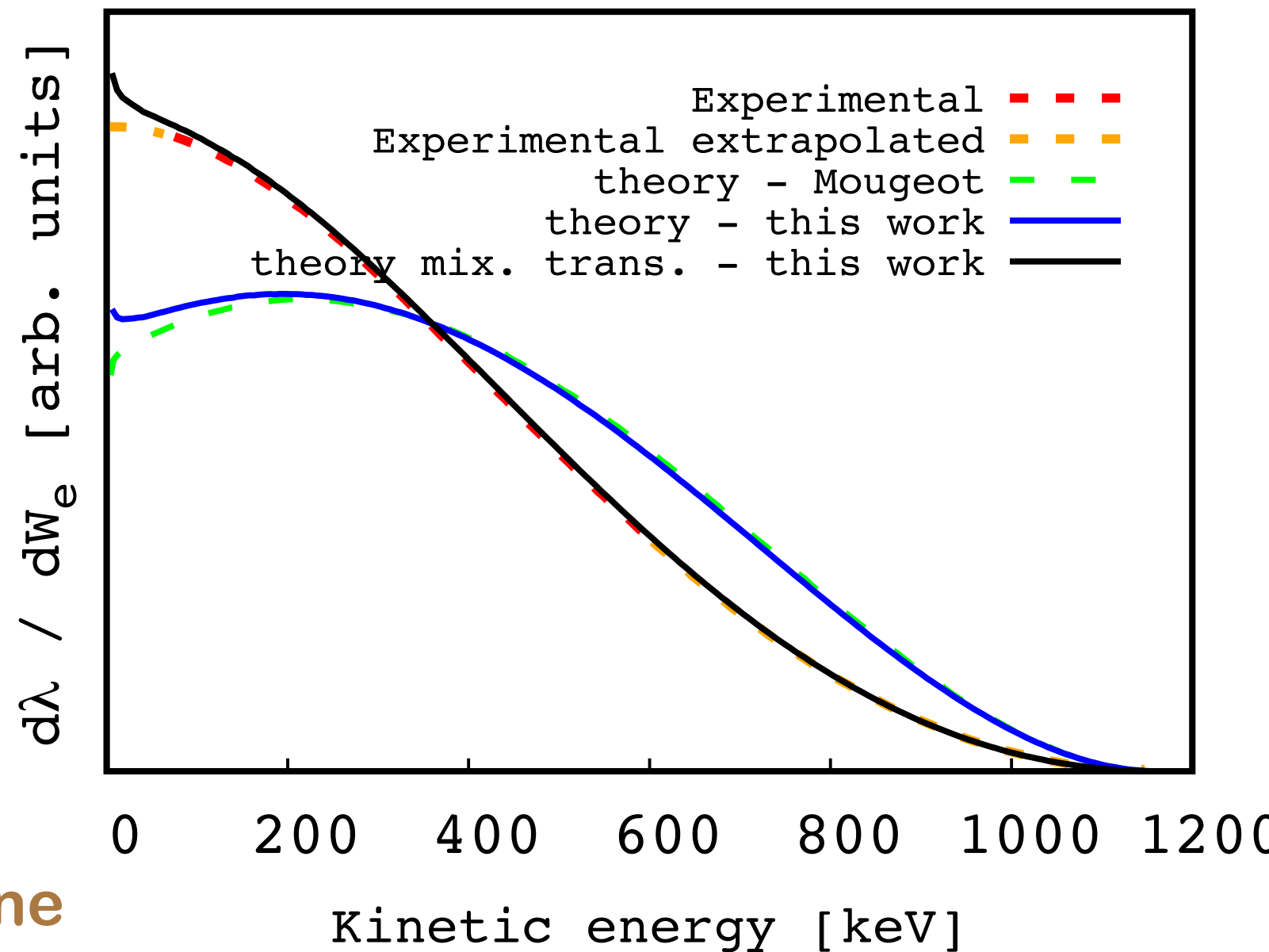
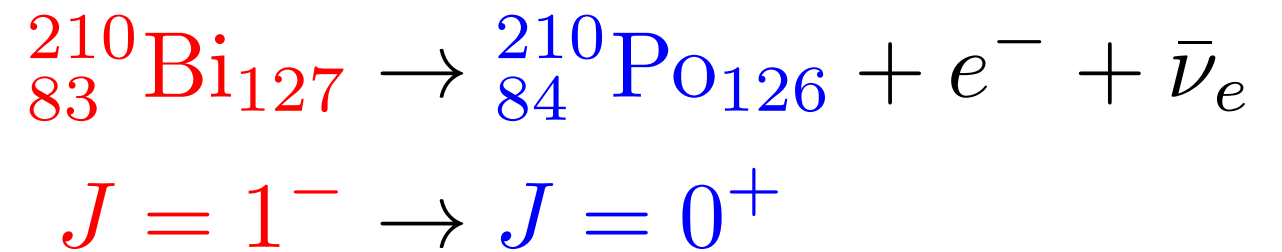
First forbidden non  
-unique

$^{210}_{83}\text{Bi}_{127}$  = odd-odd

$^{210}_{84}\text{Po}_{126}$  = even-even

$\beta^-$  half-life = 5.012 d

Q-value = 1162.2 keV  
(ground state to excited state)



Mean-field DHF + screening  
+ exchange does not work fine  
as standard approaches !!!!

Shake-up and shake-off modifies  
the decay by only 5%

# Final-state nuclear many-body affects on beta-decay spectra of odd-odd nuclei?

Spectroscopic term	n	l	J	Number of states 2J+1	Number of nucleons in a shell	Magic Numbers
1s <sub>1/2</sub>	1	0	1/2	2	2	2
1p <sub>3/2</sub>	1	1	3/2	4	6	8
1p <sub>1/2</sub>	1	1	1/2	2		
1d <sub>5/2</sub>	1	2	5/2	6	12	20
2s <sub>1/2</sub>	2	0	1/2	2		
1d <sub>3/2</sub>	1	2	3/2	4		
1f <sub>7/2</sub>	1	3	7/2	8	8	28
2p <sub>3/2</sub>	2	1	3/2	4	22	50
1f <sub>5/2</sub>	1	3	5/2	6		
2p <sub>1/2</sub>	2	1	1/2	2		
1g <sub>7/2</sub>	1	4	7/2	10		
1g <sub>7/2</sub>	1	4	7/2	8	32	82
2d <sub>5/2</sub>	2	2	5/2	6		
2d <sub>3/2</sub>	2	2	3/2	4		
3s <sub>1/2</sub>	3	0	1/2	2		
1h <sub>11/2</sub>	1	5	11/2	12		
1h <sub>9/2</sub>	1	5	9/2	10	44	126
2f <sub>7/2</sub>	2	3	7/2	8		
2f <sub>5/2</sub>	2	3	5/2	6		
3p <sub>3/2</sub>	3	1	3/2	4		
3p <sub>1/2</sub>	3	1	1/2	2		
1i <sub>13/2</sub>	1	6	13/2	14		
2g <sub>9/2</sub>	2	4	9/2	10	58	184
3d <sub>5/2</sub>	3	2	5/2	6		
1i <sub>11/2</sub>	1	6	11/2	12		
2g <sub>7/2</sub>	2	4	7/2	8		
4s <sub>1/2</sub>	4	0	1/2	2		
2d <sub>3/2</sub>	2	2	3/2	4		
1j <sub>15/2</sub>	1	7	15/2	16		

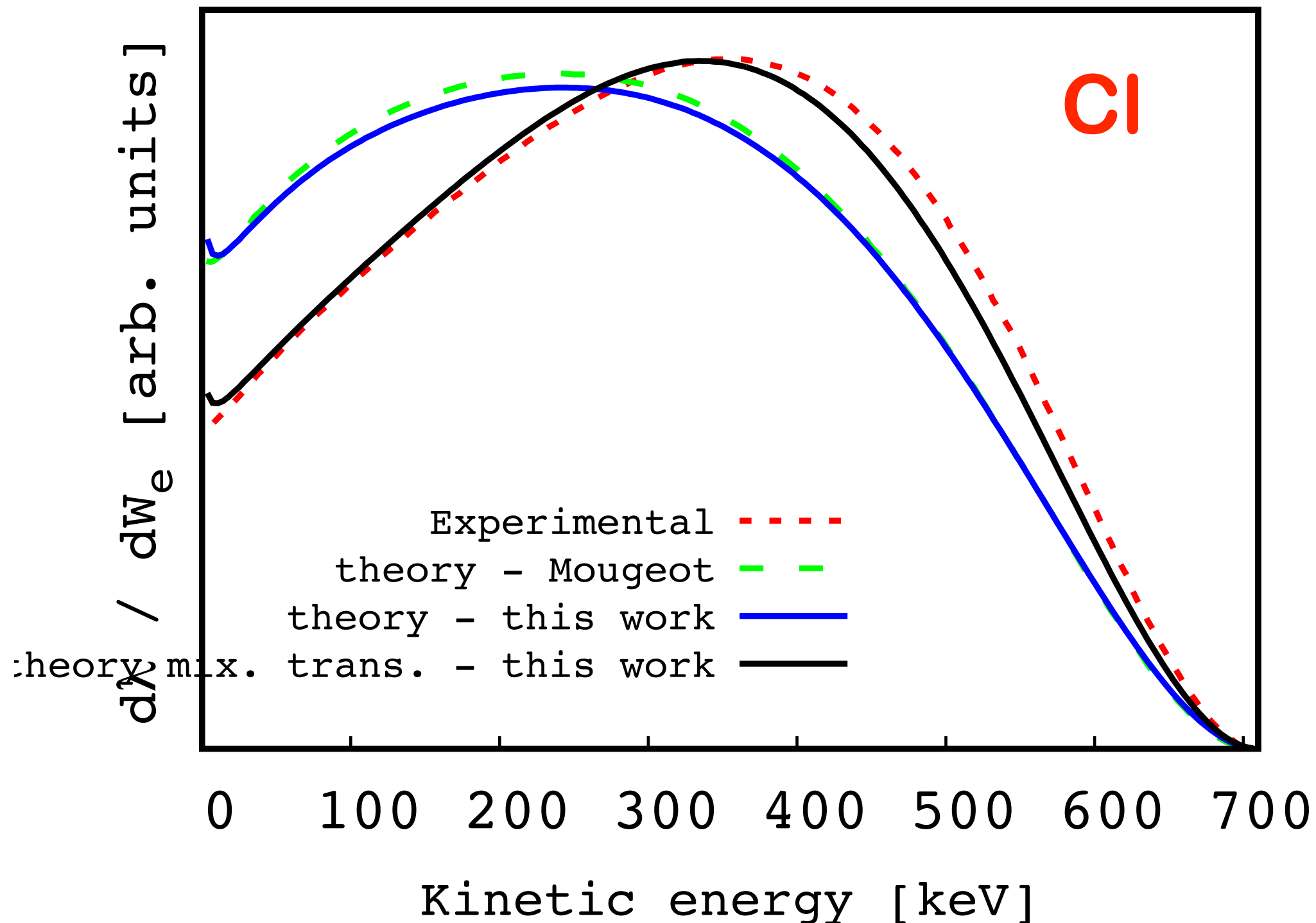
The experimentally determined final state of the  $^{36}_{18}\text{Ar}_{18}$  daughter nucleus is 0+. Within the nuclear shell model two protons and two neutrons all occupy the 1d<sub>3/2</sub> single-particle state. By coupling the 1d<sub>3/2</sub> proton to a 1d<sub>3/2</sub> “core” to construct a 0+ final symmetry state, and by calculating the hadronic matrix element for this transition only, we obtain the lineshape reported as a blue curve in the previous figure. We could not yet find a good agreement between simulations and experimental data.

Adding “nuclear many-body effects” by mixing transitions to the 1d<sub>3/2</sub> orbital, possibly the highest populated orbital according to the nuclear shell model, with the 2s<sub>1/2</sub> level, which is energetically close, we find good agreement with experiments



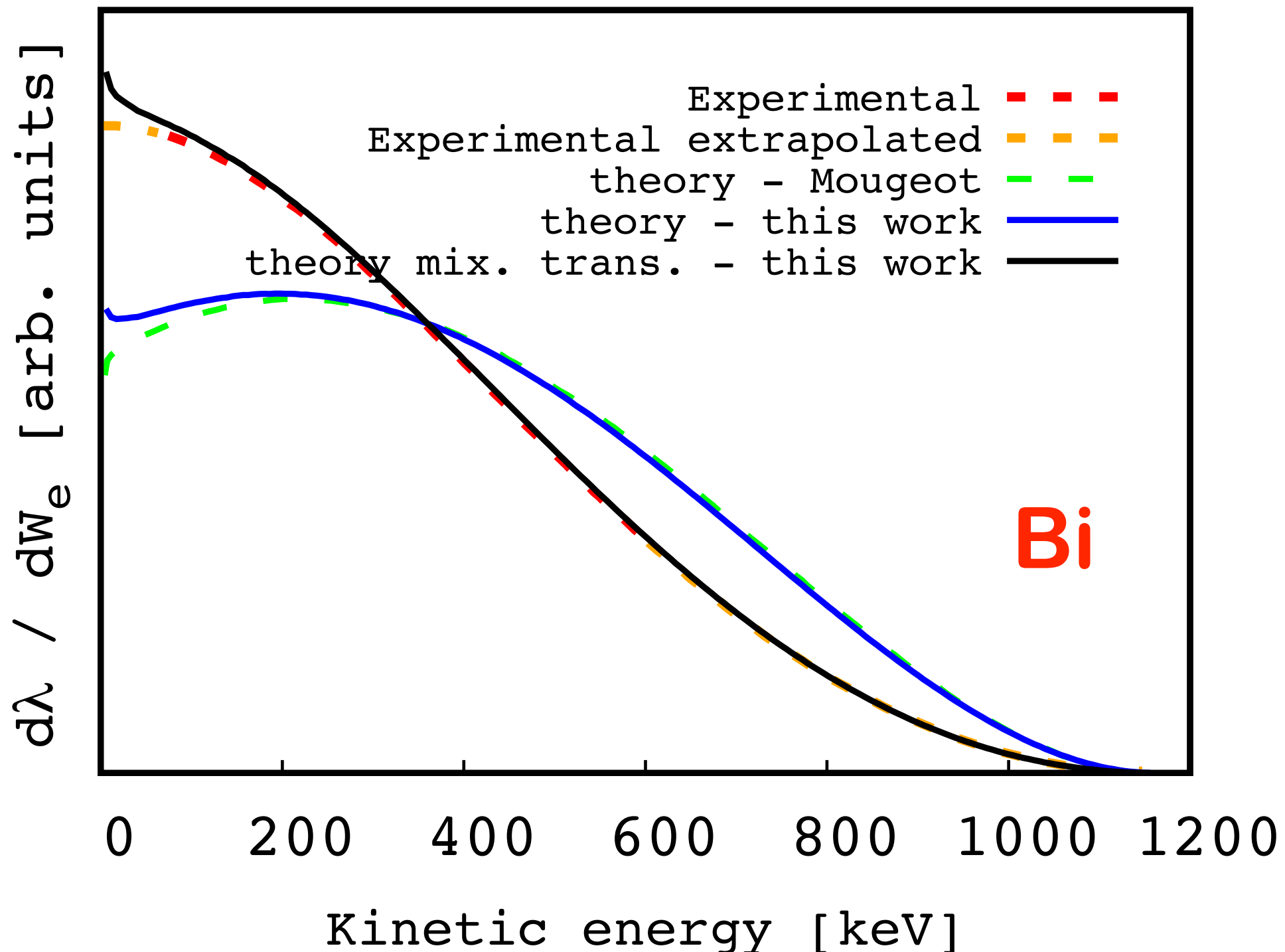
# Final-state nuclear many-body affects on beta-decay spectra of odd-odd nuclei?

$$1 \times J_{1d_{3/2} \rightarrow 1d_{3/2}}^{H,\mu} - 2.55 \times J_{1d_{3/2} \rightarrow 2s_{1/2}}^{H,\mu}$$

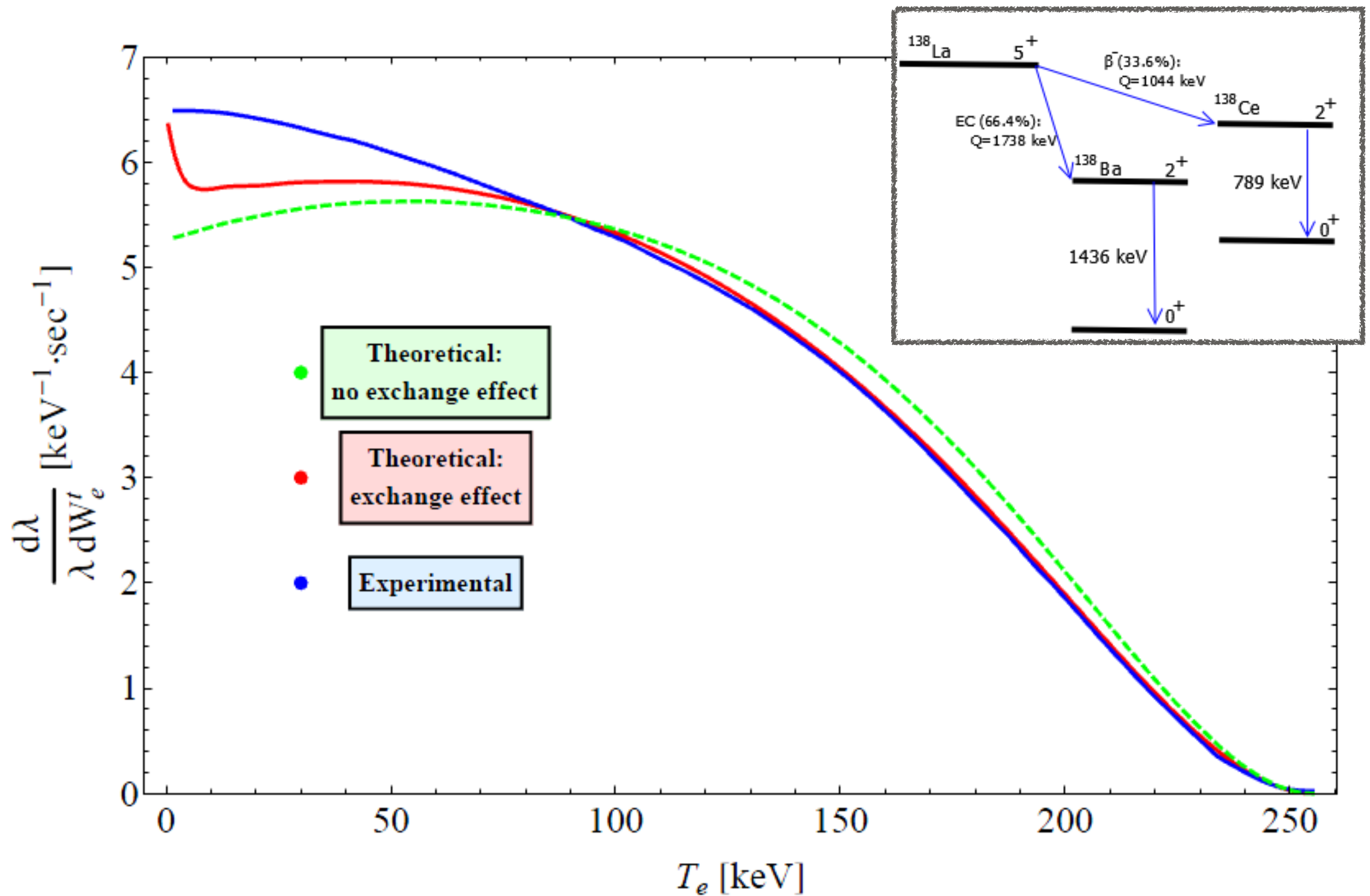


# Nuclear many-body affects on beta-decay spectra of odd-odd nuclei?

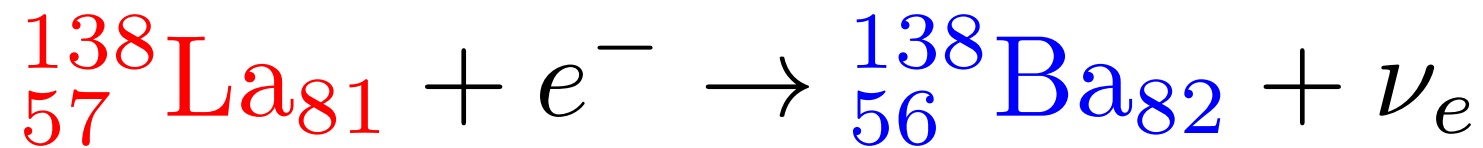
$$1 \times J_{2g_{9/2} \rightarrow 1h_{9/2}}^{H,\mu} - 0.92 \times J_{2g_{9/2} \rightarrow 1h_{11/2}}^{H,\mu}$$



# $\beta$ -decay theory: higher order approximations - results



# Electron capture of Lanthanum



$$J = 5^{+} \rightarrow J = 2^{+}$$

Just take the hermitian conjugate in the previous expression of the field operators

**Second forbidden unique**

**half-life =  $1.03 \times 10^{11}$  y**

**Q-value = 1742 keV  
(ground state to excited state)**

**L/K=0.44 (0.391)**

**M/K=0.106 (0.102)**

**M/L=0.241 (0.261)**

Q. n.	Rel. Rad. no exch.	Rel. Gauss no exch.	Non Rel. Gauss.	Rel. Gauss. exch.	Rel. Rad. exch.	Probab. (a.u.)
1s <sub>1/2</sub>	-1402.21	-1402.29	-1373.12	-1436.06	-1447.290	1.00000
2s <sub>1/2</sub>	-1402.21	-1402.29	-1373.12	-1436.0	-235.334	0.254
2p <sub>1/2</sub>	-207.10	-207.20	-204.75	-219.37	-223.309	0.009
2p <sub>3/2</sub>	-192.49	-192.50	-204.75	-204.29	-207.605	0.178
3s <sub>1/2</sub>	-46.46	-46.48	-48.68	-52.33	-52.676	0.059
3p <sub>1/2</sub>	-40.98	-41.01	-43.30	-46.41	-47.397	0.0022
3p <sub>3/2</sub>	-38.19	-38.20	-43.30	-43.40	-44.272	0.0445
3d <sub>3/2</sub>	-28.68	-28.70	-33.39	-33.29	-34.835	0.0002
3d <sub>5/2</sub>	-27.49	-28.07	-33.39	-32.61	-34.148	0.0004
4s <sub>1/2</sub>	-9.40	-9.40	-11.18	-11.97	-12.137	0.0141
4p <sub>1/2</sub>	-7.43	-7.44	-9.09	-9.71	-10.116	0.0005
4p <sub>3/2</sub>	-6.84	-6.85	-9.09	-9.06	-9.434	0.0102
4d <sub>3/2</sub>	-3.70	-3.71	-5.38	-5.3	-6.034	0.000036
4d <sub>5/2</sub>	-3.30	-3.60	-5.38	-5.18	-5.909	0.000083
5s <sub>1/2</sub>	-1.74	-1.74	-2.51	-2.64	-2.897	0.0028
5p <sub>1/2</sub>	-1.24	-1.24	-1.84	-1.92	-2.285	0.00009
5p <sub>3/2</sub>	-1.16	-1.16	-1.84	-1.82	-2.162	0.0018

M

L

K

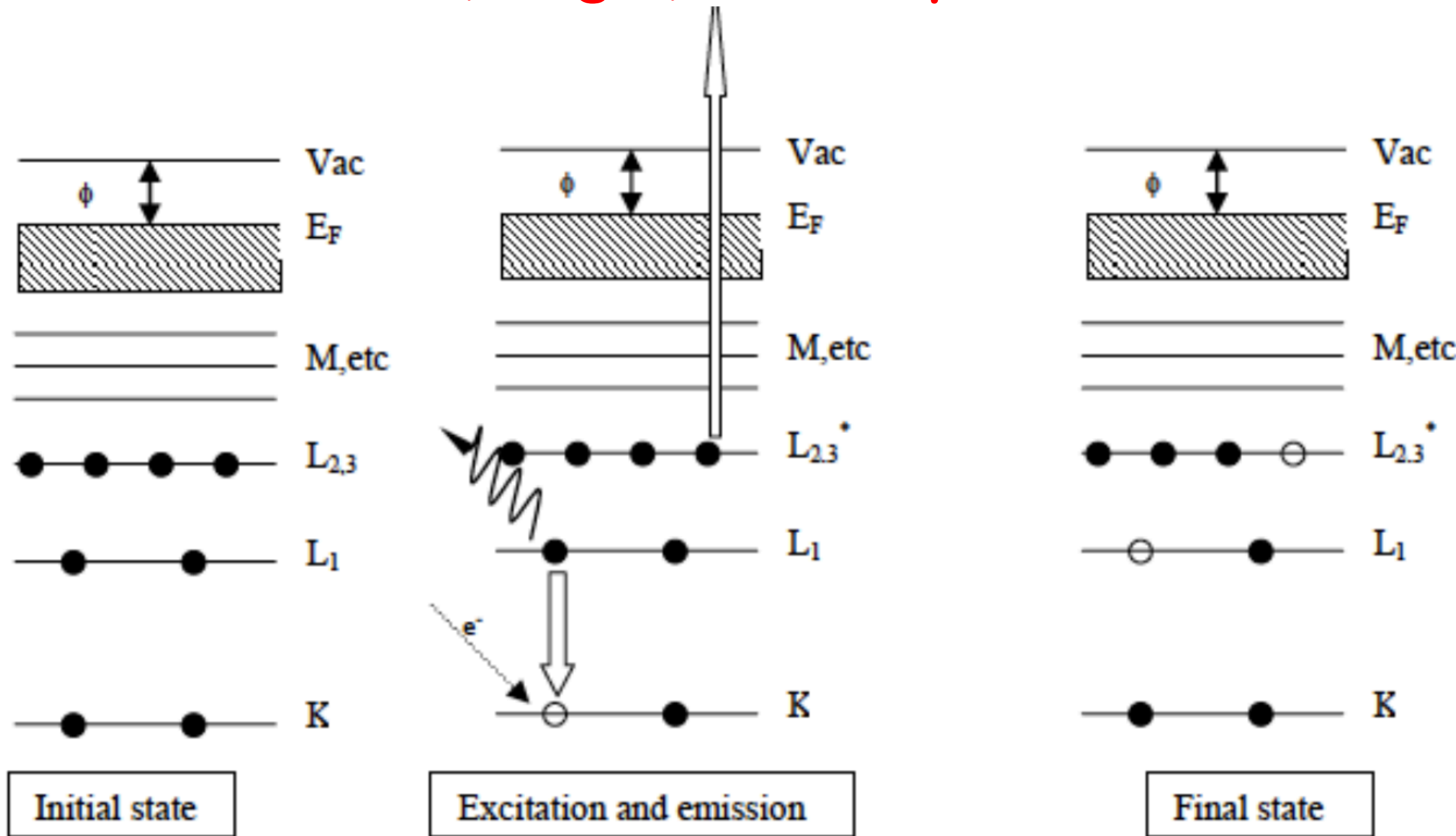
**Most likely capture occurs by capturing 1s<sub>1/2</sub> and 2s<sub>1/2</sub> electrons**



# Electron capture of Lanthanum

- To reproduce the experimental line shape one has to include all the decay processes following the e-capture
- In particular, one has to include K- and L- shell Auger decays that compete with  $\gamma$ -ray emission. The daughter system undergoes most likely (49.1%) Auger decay from the L-shell and less likely (4.16%) the Auger decay proceeds from the K-shell, even though the K-shell capture is more likely
- Indeed, one may guess that the system, before the Auger non-radiative process takes place, undergoes a radiative transition which afterwards favours the Auger decay from the L-shell with respect to the K-shell.

# Autoionization, Auger, shake-up and shake-off



# General considerations on the determination of $\nu$ mass

- EC and  $\beta$ -decay are sensitive to a neutrino mass  $\neq 0$  ( $\leq 0.1$  eV) in the region very close to the end-point
- The ratio between the region modified by a value of the neutrino mass  $\neq 0$  and the Q-value is higher for lower Q-values
- Instruments have a sensitivity inverse proportional to the Q-value. For the same sensitivity, the possible influence of the neutrino mass on the  $\beta$ -spectrum is more evident in low Q-value processes
- The most promising candidates are  $^{163}\text{Ho}$  and  $^3\text{H}$  because they have a small Q-value
- One- and two core-hole, shake-up, shake-off processes can dramatically affect the end-point of the reaction

# Beyond SM?

## Determination of the anti-neutrino mass by beta-decay

KATRIN = Karlsruhe Tritium Neutrino Experiment



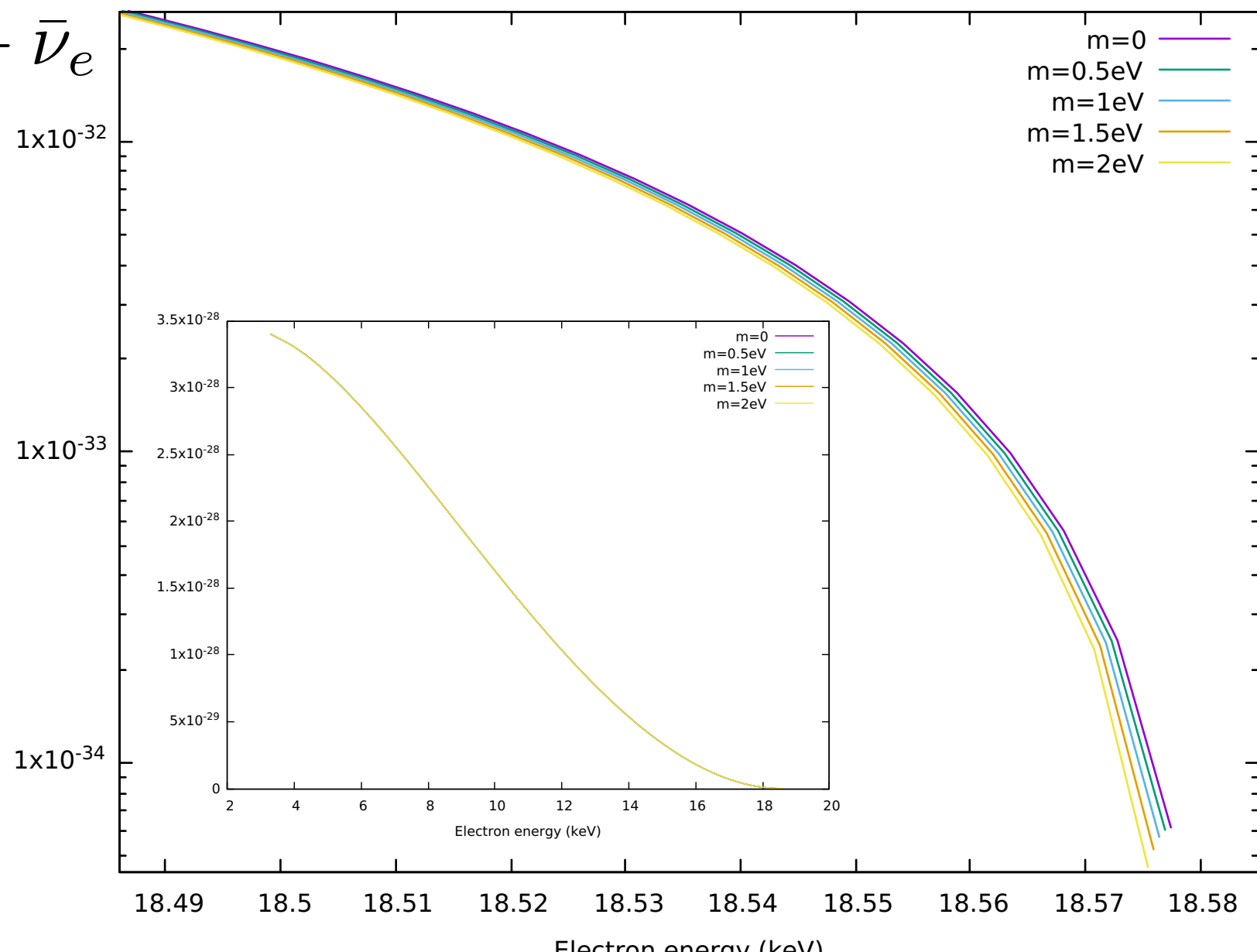
$$J = 1/2^+ \rightarrow J = 1/2^+$$

Allowed transition

half-life = 12.32 y

Q-value = 18.592 keV

Recoil energy is negligible

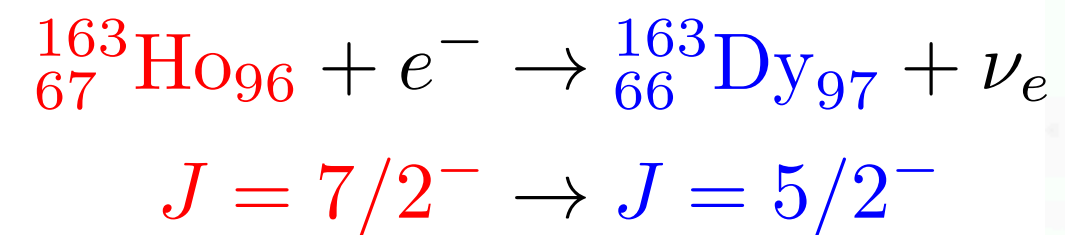




# Beyond SM?

## Determination of the neutrino mass by electron capture

EHo = Electron Capture by Ho - Heidelberg



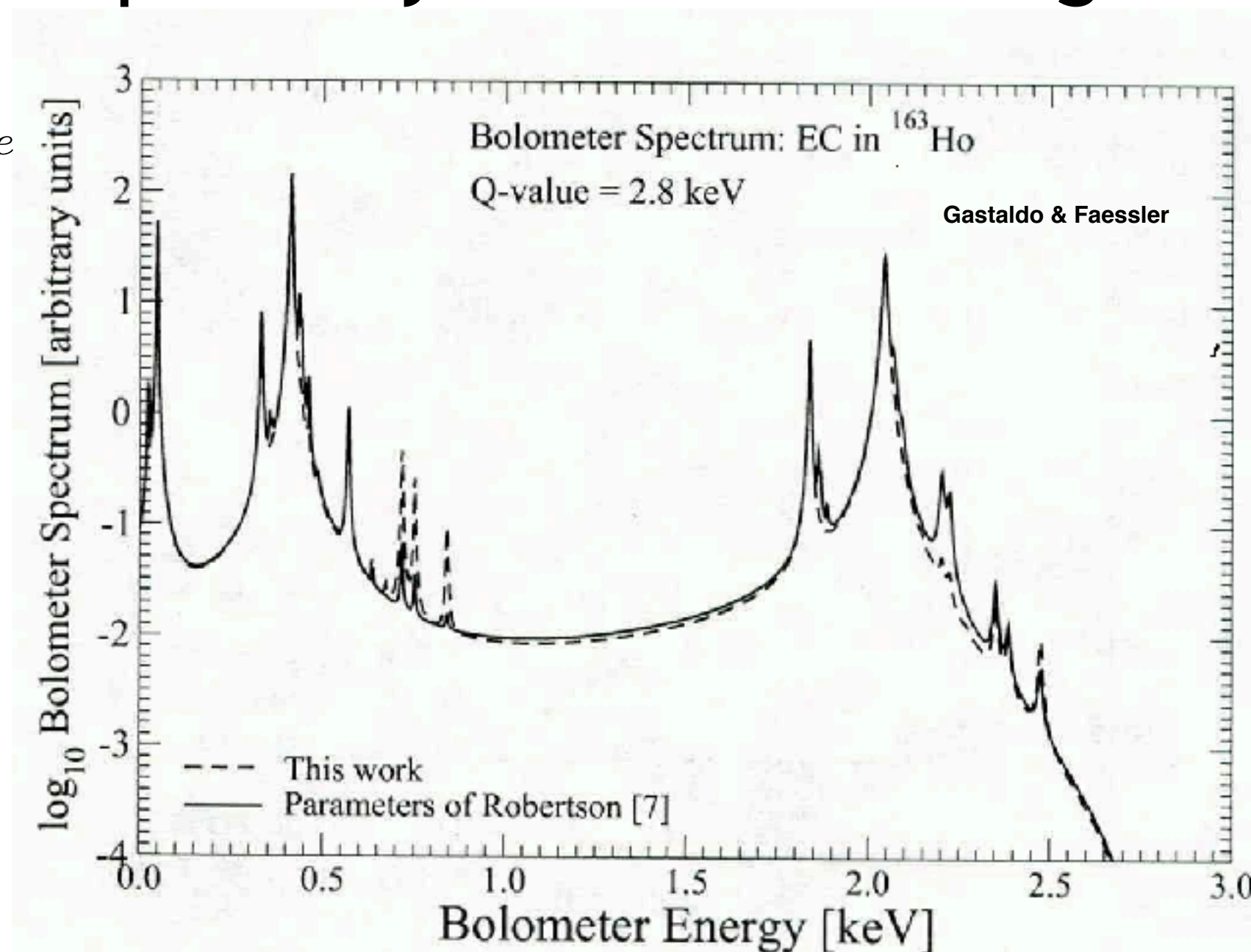
Allowed transition

half-life = 4570 y

Q-value = 2,83 keV

Ho Electronic configuration  
[Xe]4f<sup>11</sup> 6s<sup>2</sup>

Recoil energy is negligible



# Electronic capture rate:

$$\frac{dN}{dE_c} = A(Q_{EC} - E_c) \sqrt{1 - \frac{m_\nu^2}{(Q_{EC} - E_c)^2}} \sum_H C_H n_H B_H \phi_H(0)^2 \frac{\Gamma_H/2\pi}{(E_c - E_H)^2 + \Gamma_H^2/4}$$

- The calorimeter measure all the energy, so that the e<sup>-</sup>-rate of Ho coincides with the de-excitation spectrum of Dy
- The sum runs over all the orbitals from which the e<sup>-</sup> can be captured
- The line shape is a sum of Lorentzian functions centered in E<sub>H</sub>
- The spectrum depends on the energy E<sub>c</sub> = [0:2,833] keV and parametrically on the neutrino mass.
- We assume that all the other parameters are constant
- $\phi_H(0)$  is the DHF orbital wf from which the capture occurs, but in the final metastable Dy state

1) Electron at nucleus   $s_{1/2}$  and  $p_{1/2}$

2) Electron binding energy < Q-value  $\approx 2.8$  [keV]

$$E(1s_{1/2}, K, \text{Ho}) = 55.6 \text{ keV}$$

$$E(2s_{1/2}, L1, \text{Ho}) = 9.4 \text{ keV}$$

$$E(2p_{1/2}, L2, \text{Ho}) = 8.9 \text{ keV}$$

$$E(2p_{3/2}, L3, \text{Ho}) = 8.1 \text{ keV}$$

$$E(3s_{1/2}, M1, \text{Ho}) = 2.0 \text{ keV}$$

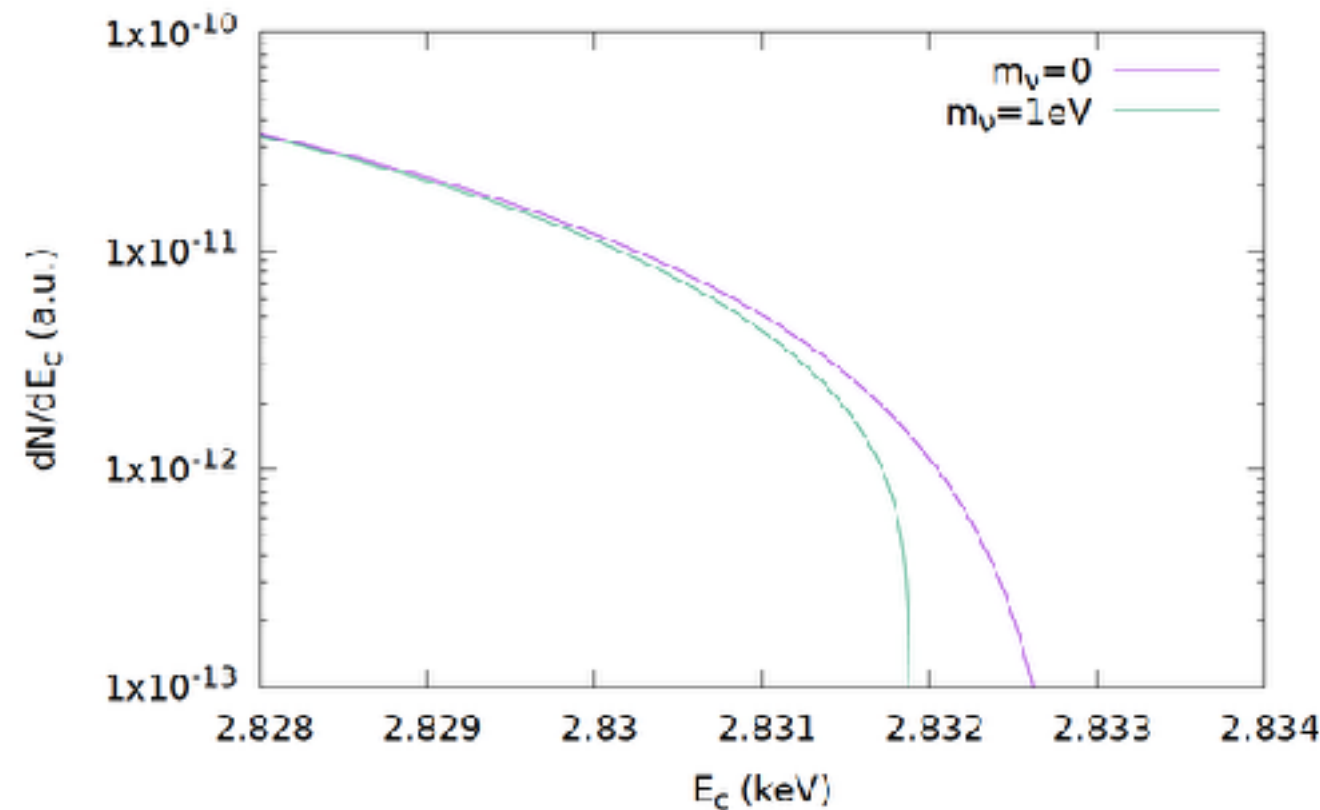
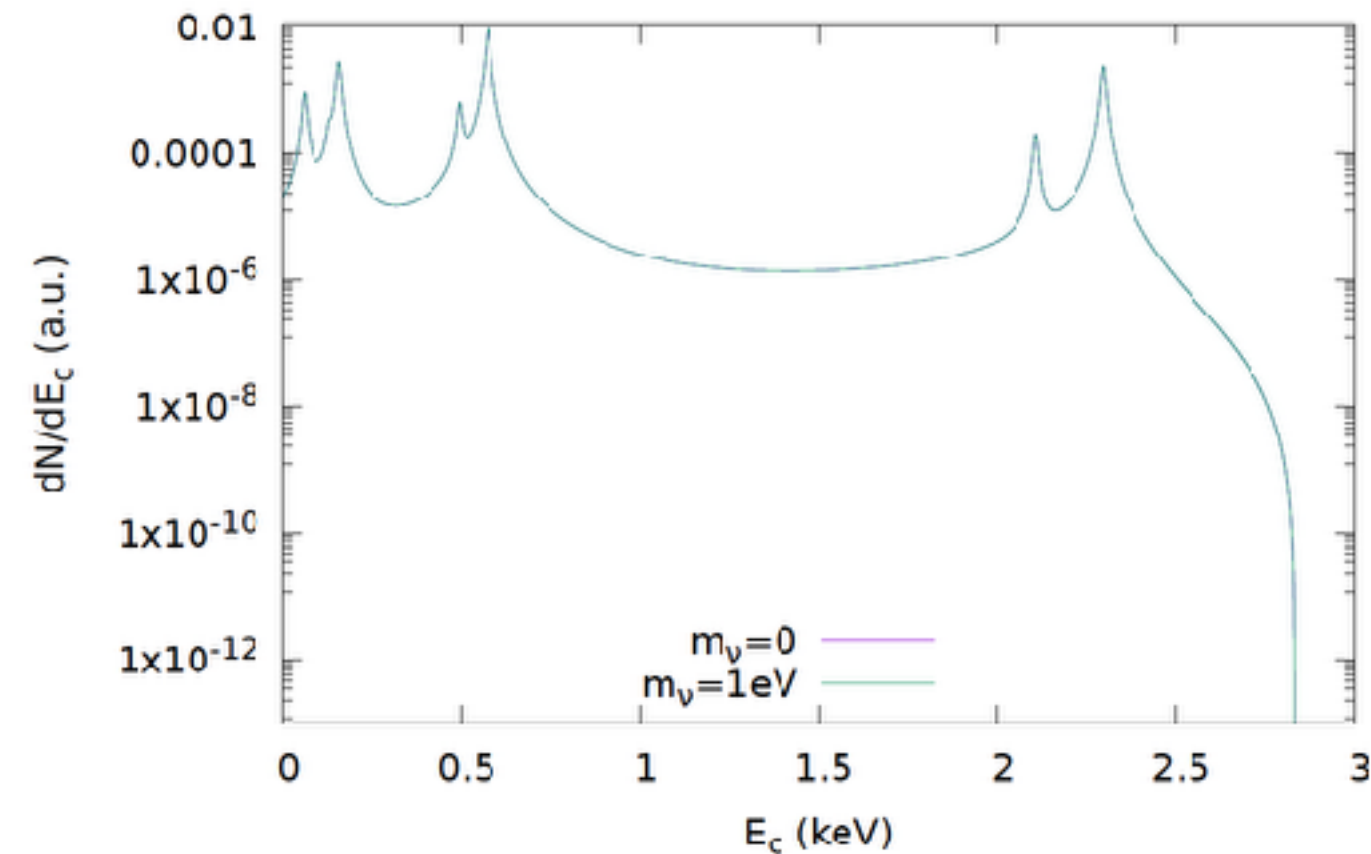
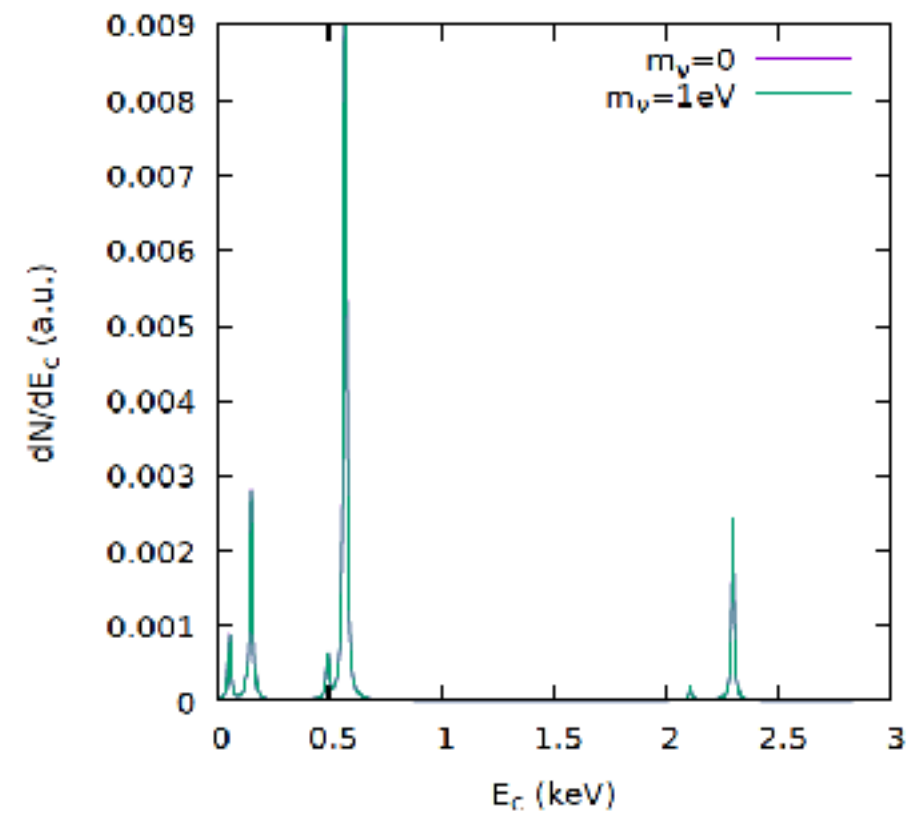
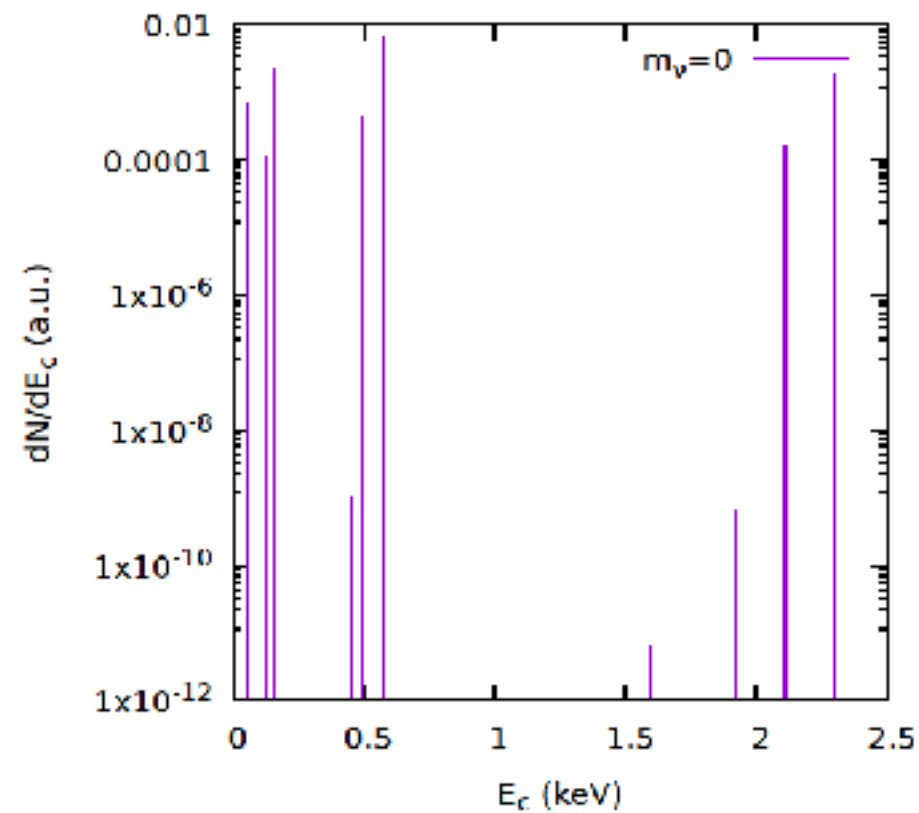
$$E(3p_{1/2}, M2, \text{Ho}) = 1.8 \text{ keV}$$

$$E(4s_{1/2}, N1, \text{Ho}) = 0.4 \text{ keV}$$

$$E(4p_{1/2}, N2, \text{Ho}) = 0.3 \text{ keV}$$

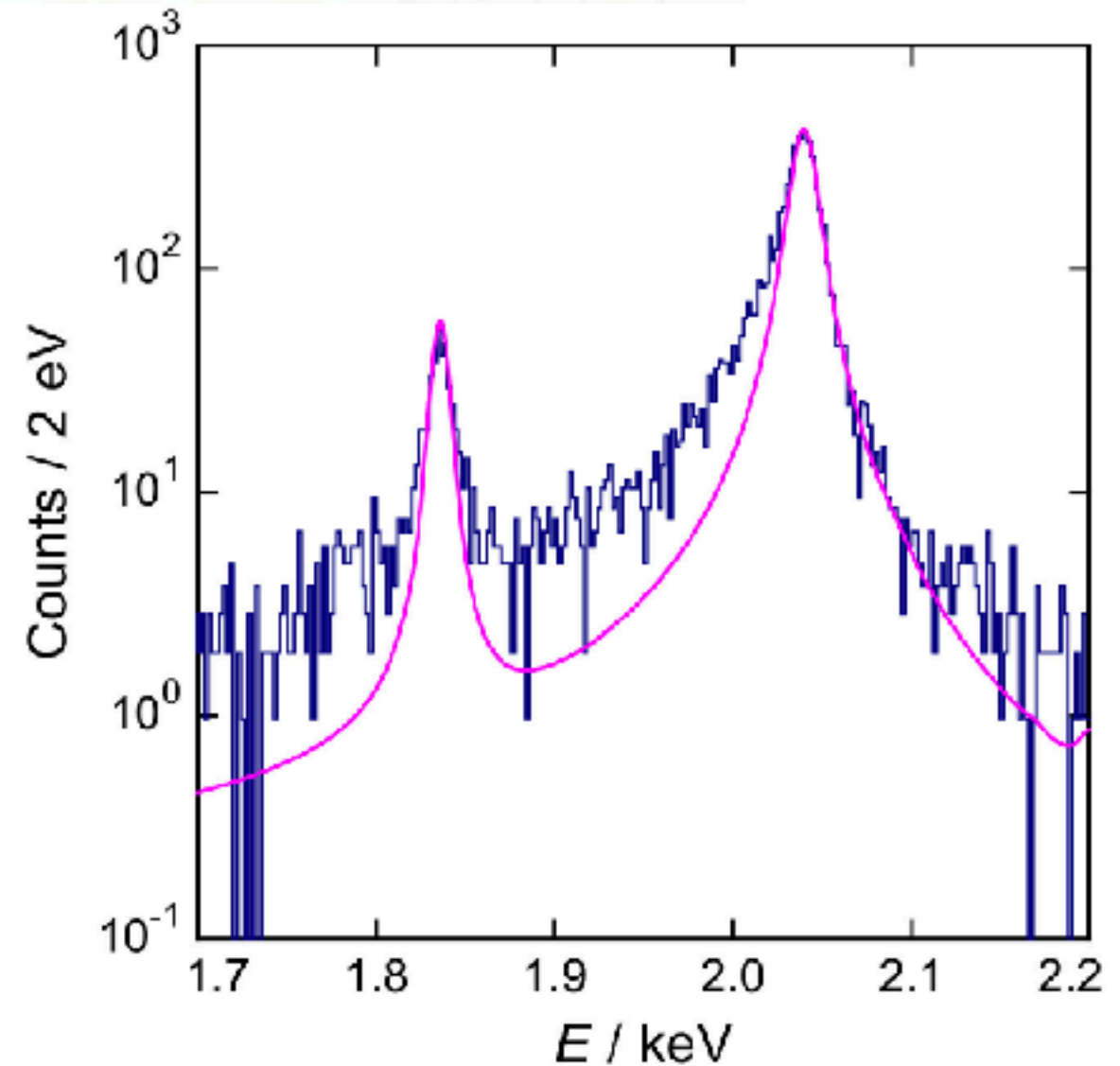
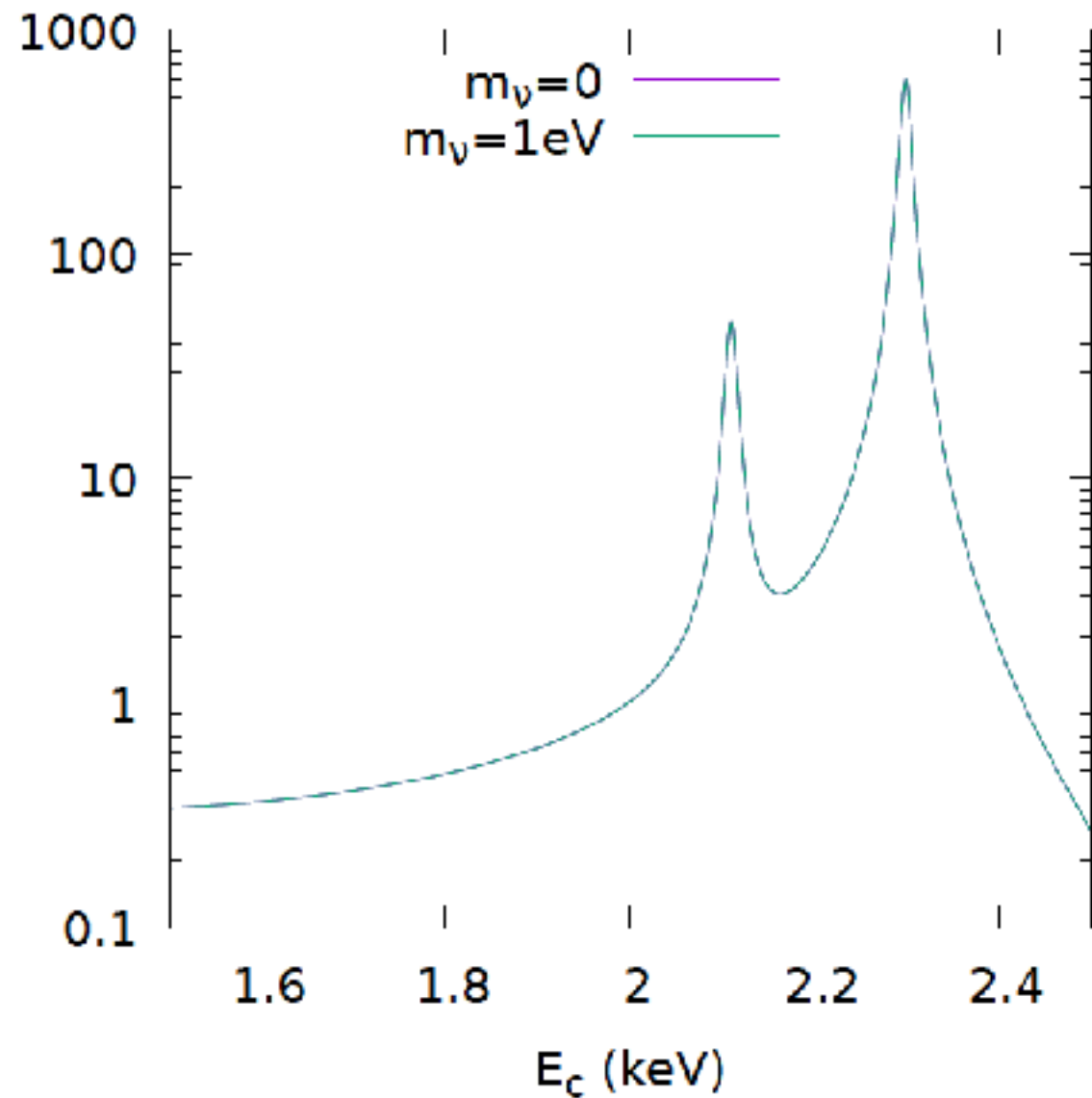
$$E(5s_{1/2}, O1, \text{Ho}) = 0.05 \text{ keV}$$

# Autoionization only spectral line shape (first-order transitions)





# Theory vs. Experiments (first-order transitions)



To determine the neutrino mass we need to assess the contribution to the spectrum in proximity of the end-point: Lorentzian peaks

$$W_f^{\text{rel}} \approx \frac{S_{f=j}(p)}{\Delta E_f^2}$$

$$S_{f=j}(p) = |\psi_j(R)|^2$$

$$\blacktriangleright W_{j=3s_{1/2}} = \frac{100\%}{(2,8 - 2,04)^2} = 17,4 \text{ keV}^{-2}$$

$$\blacktriangleright W_{j=4s_{1/2}} = \frac{24,4\%}{(2,8 - 0,41)^2} = 4,3 \text{ keV}^{-2}$$

$$\blacktriangleright W_{j=3s_{1/2}, p=4s_{1/2}} = \frac{100\%}{(2,8 - 2,47)^2} = 2,6 \text{ keV}^{-2}$$

# EC in Be

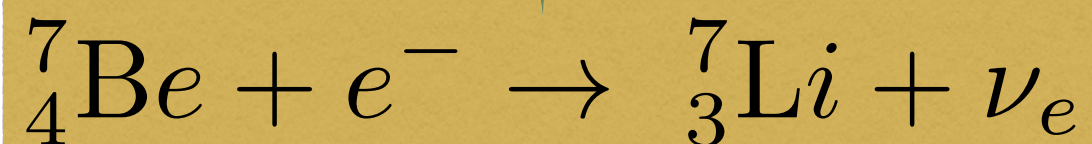
The knowledge of  $\beta$  decay and electronic capture rates are of paramount importance for our understanding of stellar nucleosynthesis and isotopic abundances in stellar and interstellar gases

**Motivation of this work:** provide the missing weak-interaction input data for Li nucleosynthesis calculations

Galactic Cosmic Rays do not produce much  ${}^7\text{Li}$

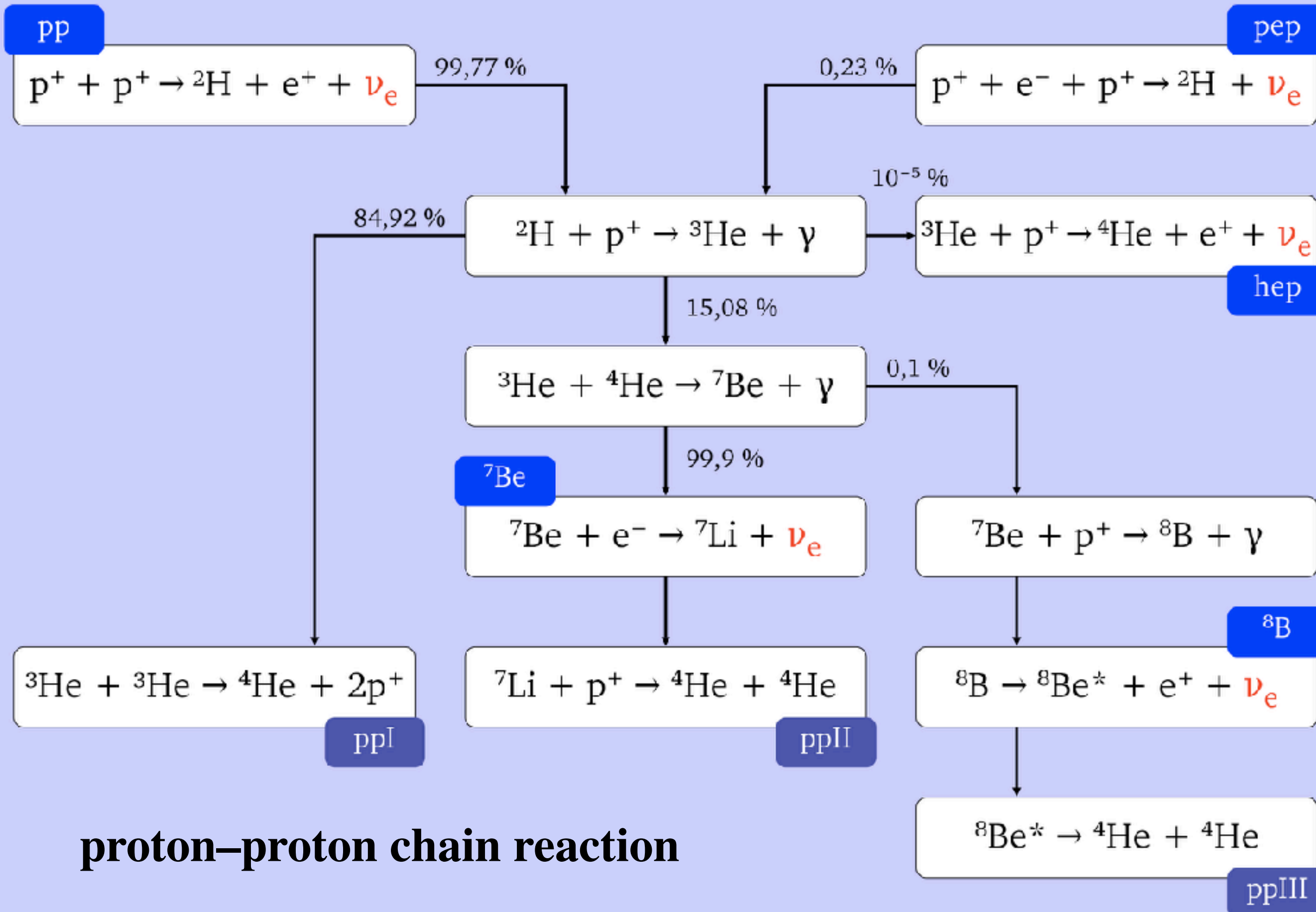
$W \propto \delta(\vec{r})$   
insensitive to extra-nuclear factors, such as chemical environment, **ionization degree**, **pressure** and **temperature**.

A small amount of  ${}^7\text{Li}$  is produced in stars, but is thought to be burned during MS as fast as produced when convective processes can carry it to temperatures of a few millions K, where it undergoes p-captures.



At ambient conditions  ${}^7\text{Be}$  decays in 53 days into the ground state of  ${}^7\text{Li}$  (3/2-) for 89.7% of cases, 10.3% it decays into the first excited state (1/2-)

# Nucleosynthesis and Solar Neutrinos



# How do we actually calculate e-capture rates?

The e-capture rate for  ${}^7\text{Be}$  is proportional to the electronic density at the nucleus!!!

Factors affecting this density, such as  $T$ ,  $\rho$ , the level of ionization and the presence of other charged particles, screening the interaction, can appreciably modify the decay rate

How to calculate  $\rho_e(0)$ ?

State-of-the-art techniques are based on the the Debye-Hückel (DH) models of screening, valid only for solar conditions and when electrons are not degenerate (but in RBG they could).

Does DH approximation really stand???

Our model system of stellar plasma is a Fermi gas in the presence of neutralising particles, such as proton, helium, etc...



# Condition of the stellar material at high T

## DEGENERACY CONDITIONS: CLASSICAL vs. QUANTUM

- The separation between identical particles is  $\ll \lambda_{DB}$
- The density is  $\gg N_q$  where  $N_q$  is the number of available quantum states

**Solar core:**  $T = 15.6 \times 10^6 \text{ K}$   $\longrightarrow$   ${}^7\text{Be}$  atoms are all ionized  
(12000 K = 1 eV)!!!

### De Broglie wavelength in the core of the Sun

$$l \ll \lambda_{DB} = h/p \simeq h/(3m_e kT)^{1/2} = 2.731 \times 10^{-11} \text{ m}$$

### Electronic density

$$\rho_e \gg n_{QNR} = (2\pi m_e kT/h^2)^{3/2} = 6.65 \times 10^{31} \text{ m}^{-3}$$

$T \propto 1/R$  and thus  $n_{QNR} \propto T^{(3/2)} \propto R^{-3/2}$ , which cannot keep the pace with  $\rho_e \propto R^{-3}$ . To have degeneracy  $T \ll h^2 \rho^{2/3} / (2\pi m k) = 9.12 \times 10^6 \text{ K}$

In the solar core the temperature is marginally too high for degeneracy of electrons, but decreasing R can set it in...

**Cold? Fermi gas can be degenerate even at millions of K.**

# Which Hamiltonian? Flavours of Electronic Correlation

beyond  
mean-field

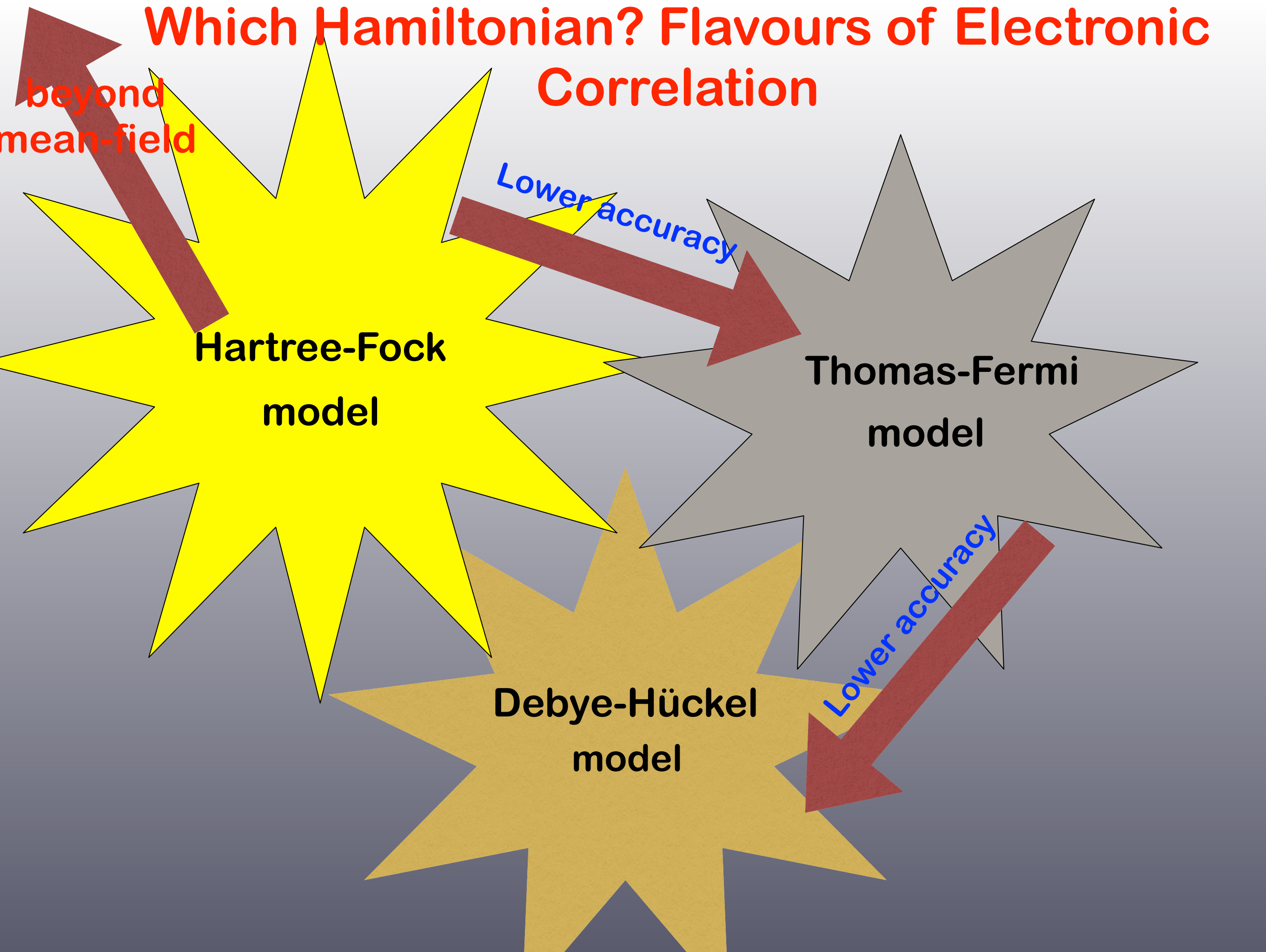
Hartree-Fock  
model

Lower accuracy

Thomas-Fermi  
model

Lower accuracy

Debye-Hückel  
model



Energy of the Isolated Beryllium Atom in Atomic Units and Spin-up Density at the Nucleus Obtained Through the HF and CI Calculations

# Some data...

	Energy	$\rho_{e\uparrow}(0)$
Hartree-Fock	-14.573	17.68521
Full-CI	-14.660	17.68060

Degenerate condition

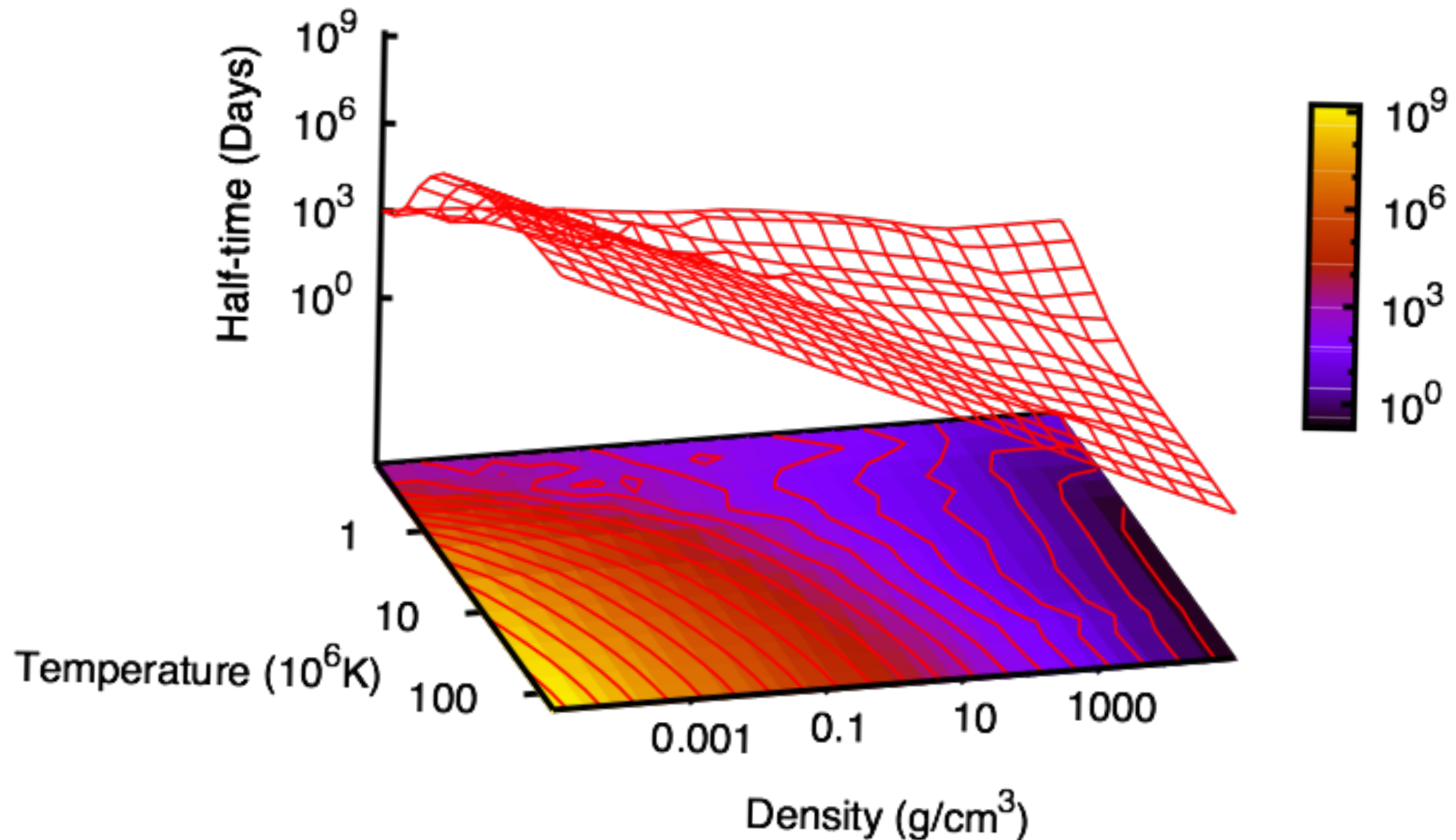
$\rho$ (g cm <sup>-3</sup> )	$T$ (10 <sup>6</sup> K)	$\lambda_{\text{Debye}}$ a.u.	$\lambda_{\text{De Broglie}}$ (e - p)	$\rho_{\text{HF}}(0)$ a.u.	$\rho_{\text{TF}}(0)$	$\rho_B(0)$	$\rho_{\text{DH}}(0)$
1000.	1.	0.038	1.409-0.0329	71.87 ÷ 71.97	68.99 ÷ 69.11	42.61 ÷ 42.74	47.46 ÷ 47.55
100.		0.119		33.52 ÷ 33.53	29.53 ÷ 29.55	4.027 ÷ 4.031	19.13 ÷ 19.14
10.		0.377		17.37 ÷ 17.37	13.83 ÷ 13.83	0.945 ÷ 0.945	13.33 ÷ 13.33
1.		1.193		7.839 ÷ 7.837	5.708 ÷ 5.707	0.184 ÷ 0.184	8.151 ÷ 8.149
0.1		3.771		1.940 ÷ 1.940	1.415 ÷ 1.415	0.044 ÷ 0.044	2.059 ÷ 2.058
0.01		11.93		0.278 ÷ 0.278	0.220 ÷ 0.220	0.0075 ÷ 0.0075	0.279 ÷ 0.279
0.001		37.71		0.0308 ÷ 0.0308	0.0264 ÷ 0.0264	0.0012 ÷ 0.0012	0.0303 ÷ 0.0303
1000.	10.	0.119	0.445-0.0103	122.43 ÷ 122.89	116.21 ÷ 116.68	51.77 ÷ 52.05	108.56 ÷ 109.01
100.		0.377		20.23 ÷ 20.27	19.53 ÷ 19.57	10.36 ÷ 10.39	19.54 ÷ 19.58
10.		1.193		2.578 ÷ 2.581	2.554 ÷ 2.558	2.515 ÷ 2.519	2.570 ÷ 2.573
1.		3.771		0.274 ÷ 0.275	0.274 ÷ 0.275	0.274 ÷ 0.274	0.274 ÷ 0.275
0.1		11.93		0.0281 ÷ 0.0282	0.0281 ÷ 0.0282	0.0281 ÷ 0.0282	0.0281 ÷ 0.0281
0.01		37.71		(2.84 ÷ 2.84) × 10 <sup>-3</sup>	(2.84 ÷ 2.84) × 10 <sup>-3</sup>	(2.84 ÷ 2.84) × 10 <sup>-3</sup>	(2.83 ÷ 2.83) × 10 <sup>-3</sup>
0.001		119.3		(2.84 ÷ 2.84) × 10 <sup>-4</sup>	(2.84 ÷ 2.84) × 10 <sup>-4</sup>	(2.84 ÷ 2.84) × 10 <sup>-4</sup>	(2.84 ÷ 2.84) × 10 <sup>-4</sup>
1000.	100.	0.377	0.141-0.0033	78.31 ÷ 80.39	78.24 ÷ 80.32	76.57 ÷ 78.64	78.22 ÷ 80.30
100.		1.193		9.051 ÷ 9.289	9.051 ÷ 9.288	9.031 ÷ 9.268	9.051 ÷ 9.288
10.		3.771		0.773 ÷ 0.787	0.773 ÷ 0.787	0.773 ÷ 0.787	0.773 ÷ 0.787
1.		11.93		0.0775 ÷ 0.0789	0.0775 ÷ 0.0789	0.0775 ÷ 0.0789	0.0775 ÷ 0.0789
0.1		37.71		(7.75 ÷ 7.90) × 10 <sup>-3</sup>	(7.75 ÷ 7.90) × 10 <sup>-3</sup>	(7.75 ÷ 7.90) × 10 <sup>-3</sup>	(7.75 ÷ 7.90) × 10 <sup>-3</sup>
0.01		119.3		(7.75 ÷ 7.90) × 10 <sup>-4</sup>	(7.75 ÷ 7.90) × 10 <sup>-4</sup>	(7.75 ÷ 7.90) × 10 <sup>-4</sup>	(7.75 ÷ 7.90) × 10 <sup>-4</sup>
0.001		377.1		(7.75 ÷ 7.90) × 10 <sup>-5</sup>	(7.75 ÷ 7.90) × 10 <sup>-5</sup>	(7.75 ÷ 7.90) × 10 <sup>-5</sup>	(7.75 ÷ 7.90) × 10 <sup>-5</sup>

Solar condition

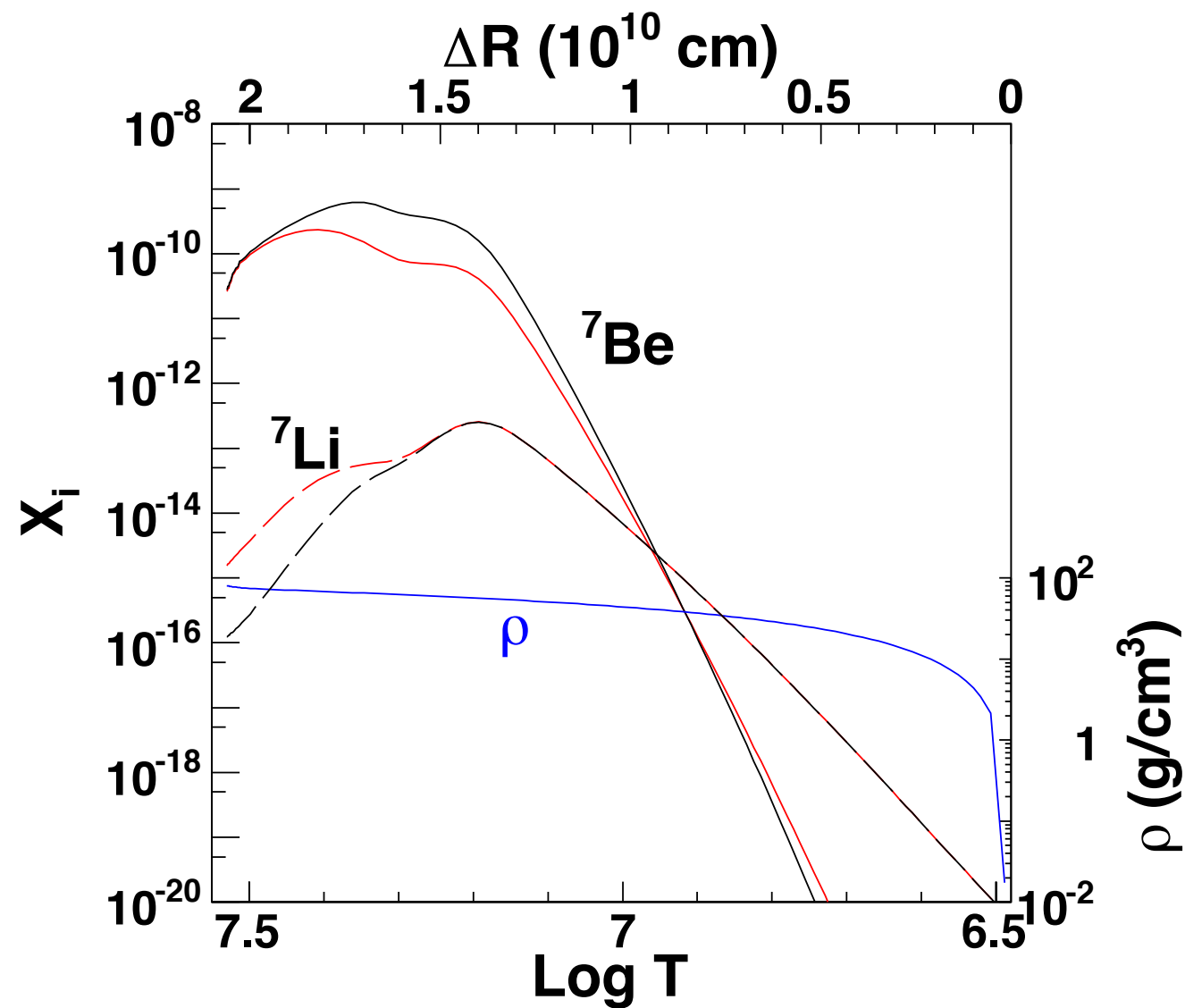


# A pictorial view of ${}^7\text{Be}$ half-life...

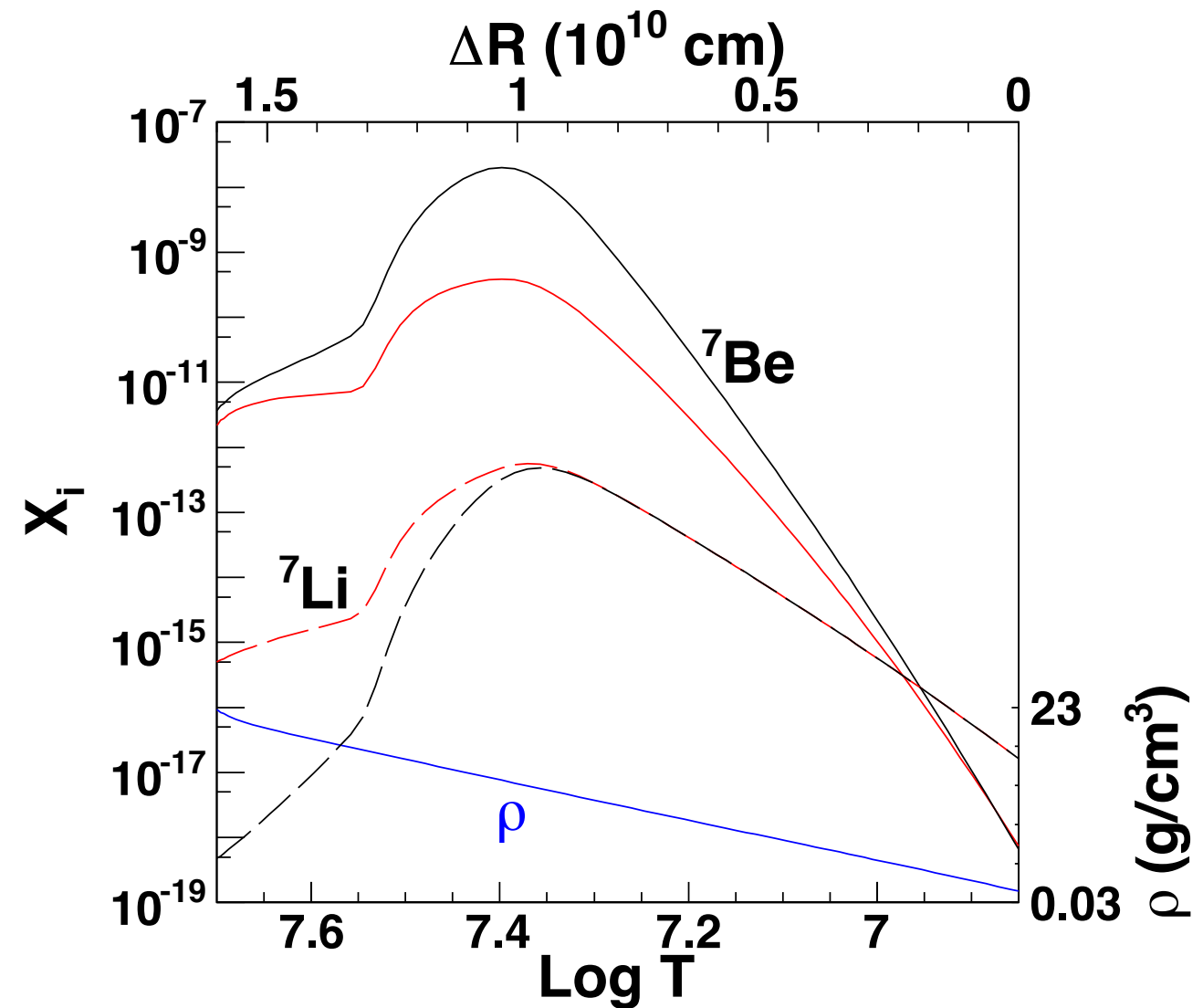
half-life (days) =  $941.86881/\rho(0)$



# Astrophysical consequences of the new rate



typical RGB conditions

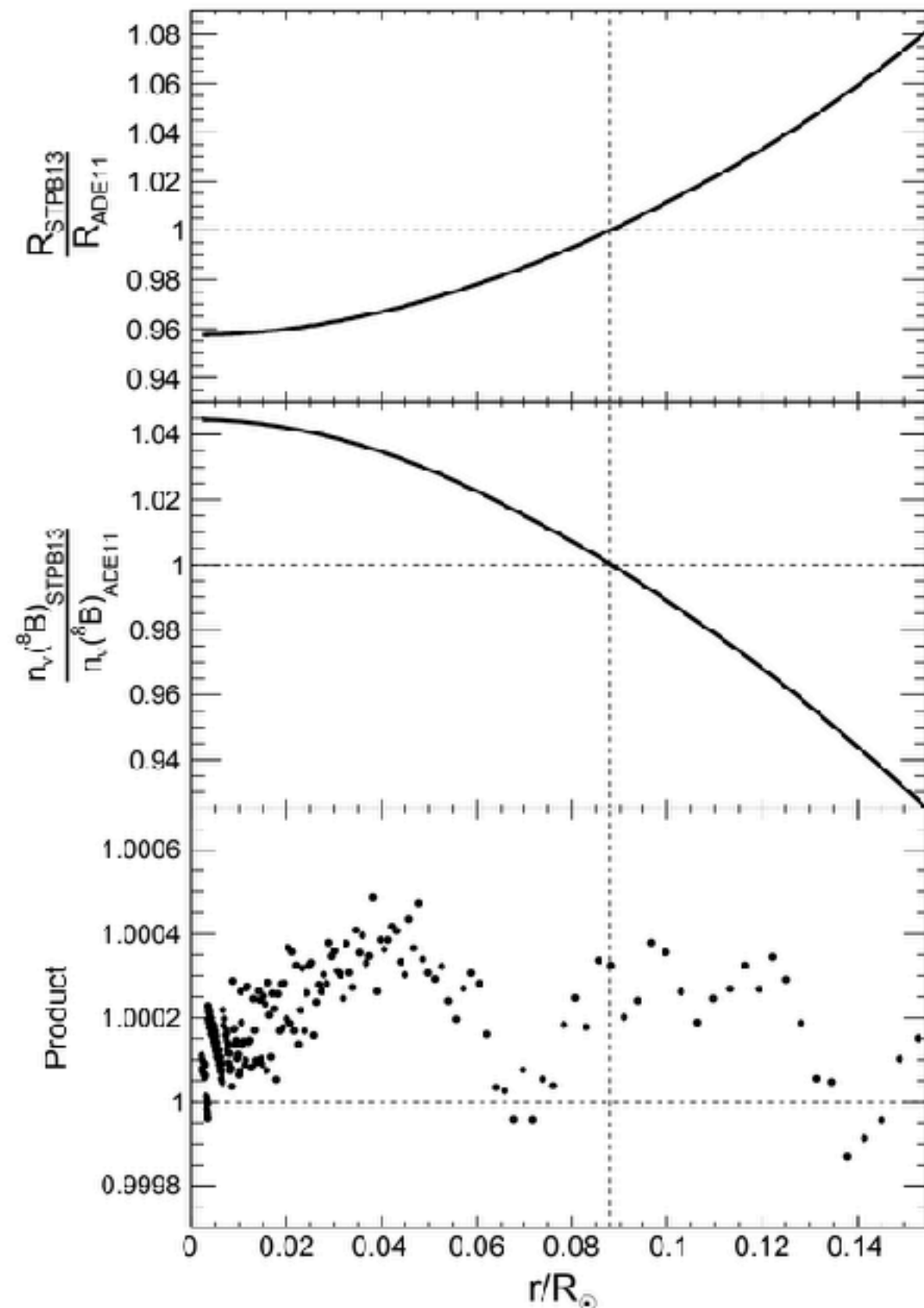


AGB stages

Equilibrium abundances of  ${}^7\text{Be}$  and  ${}^7\text{Li}$  in the layers above the H-burning shell using **our**  ${}^7\text{Be}$  life-time (**red line**) and the solar extrapolated rate (black line), in a  $2 M_{\odot}$  evolved star of solar metallicity. The matter density is also shown (blue line), and is referred to the scale on the right axis.



Being the relative rates very sensitive to the solar core temperature, one can infer from neutrino fluxes important information about the physics of the solar interior.



Top panel: ratio between the STPB13's  $e^-$ -capture and ADE11's rates in the production region of  $^8B$  neutrinos, both computed on the solar structure resulting from the ADE11 SSM, with PLJ14 composition.

Middle panel: ratio between the neutrinos fraction in STPB13 SSM and ADE11, both computed with a PLJ14 composition.

Bottom panel:

$$\frac{n_\nu(^8B)_{STPB13} \cdot R_{STPB13}}{(n_\nu(^8B)_{ADE11} \cdot R_{ADE11})}$$

**S. Palmerini**  
(UniPG)



I am deep in debt to ....

**S. Simonucci**  
(Unicam)



**M. Busso**  
(UniPG)

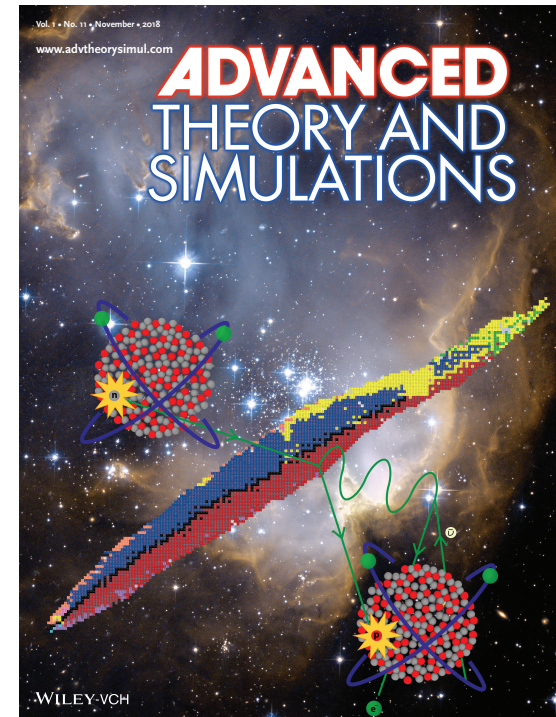


**T. Morresi**  
(ECT\* and UNITN)



# Conclusions

- A new method for calculating  $\beta$ - and e-capture decay spectra in medium to heavy nuclei, which extend the standard approach in several ways
- This method can be applied to any nuclear beta decay and include relativistic, many-body screening and post-collisional effects
- Our approach works better than state-of-the-art



## Outlook

- Inclusion of shake-up and shake-off effects into the calculation of the spectrum;
- Application of the DHF equations to calculate accurately Auger spectra in molecules containing high  $A$  atoms = extension to multi-centric systems (almost done);
- Extension of this approach to include rigorously the nuclear current over nuclear volume to see the influence on  $\beta$ -spectrum lineshape





*That's all Folks!*