# Lecture 21: Spherical Wall Method

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From Quarks and Gluons to Nuclear Forces and Structure

#### Chiral EFT for low-energy nucleons

Weinberg, PLB 251 (1990) 288; NPB 363 (1991) 3

Construct the effective potential order by order





Ordonez et al. '94; Friar & Coon '94; Kaiser et al. '97; Epelbaum et al. '98, '03, ...; Kaiser '99-'01; Higa et al. '03; ...

Leading order on the lattice



Next-to-leading order on the lattice



### Pion mass difference



 $m_{\pi_0} \neq m_{\pi_{\pm}}$ 

### Coulomb potential



# Spherical Wall Method

Imagine a massless string connecting two particles. There is no effect on the center-of-mass motion. However, the two particles cannot separate beyond the length of the string. We have imposed a hard spherical wall boundary condition on the relative motion.



This can now be used to extract scattering phase shifts for the two interacting particles.

Borasoy, Epelbaum, Krebs, D.L., Meißner, EPJA 34 (2007) 185 Lu, Lähde, D.L., Meißner, PLB 760 (2016) 309 Bovermann, Epelbaum, Krebs, D.L. arXiv:1905.02492 The spherical wall method has been used in continuous space calculations.

Carlson, Pandharipande, Wiringa, NPA 424 (1984) 47

But the method is particularly useful for finite-volume lattice calculations.



In particular, it removes the breaking of rotation symmetry caused by the periodic boundaries.

The radial Schrödinger equation gives

$$\left\{-\frac{1}{2\mu}\frac{d}{dr}\left(r^{2}\frac{d}{dr}\right) + \frac{\ell(\ell+1)}{2\mu r^{2}} + V(r)\right\}R(r) = ER(r)$$
$$u(r) = rR(r)$$

$$-\frac{1}{2\mu}\frac{d^{2}u}{dr^{2}} + \left[\frac{\ell(\ell+1)}{2\mu r^{2}} + V(r)\right]u(r) = Eu(r)$$

Beyond the range of the interaction, the wave function has the form

$$R(r) \propto \cos \delta_{\ell} j_{\ell}(kr) - \sin \delta_{\ell} y_{\ell}(kr)$$

The wave function vanishes at the wall boundary. Therefore the phase shift is

$$\delta_{\ell} = \tan^{-1} \left[ \frac{j_{\ell}(kR_{\text{wall}})}{y_{\ell}(kR_{\text{wall}})} \right]$$



r

In order to reduce systematic errors, it is useful to also calculate the same quantities for standing waves in the free theory with the same number of radial nodes.

$$\delta_{\ell}^{\text{free}} = \tan^{-1} \left[ \frac{j_{\ell}(k^{\text{free}} R_{\text{wall}})}{y_{\ell}(k^{\text{free}} R_{\text{wall}})} \right]$$

By subtracting the two, we can get a better estimate of the phase shifts

$$\delta_{\ell}^{\rm improved} = \delta_{\ell} - \delta_{\ell}^{\rm free}$$

### Cubic symmetry group





blue = +1red = -1



blue = +1red = -1



blue = +1red = -1



red = -2



blue = +1red = -1

# Free energy levels

 $\begin{aligned} R_{\text{wall}} &= 10a \\ a &= 1.97 \text{ fm} \end{aligned}$ 



#### Example: Gaussian potential in continuum

$$V(r) = Ce^{-\frac{r^2}{2R_0^2}}$$

$$C = -2 \text{ MeV}, \ R_0 = 2 \times 10^{-2} \text{ MeV}^{-1}$$
  
 $\mu = m/2, \ m = 938.92 \text{ MeV}$ 

clear all

% The physical parameters in units of MeV raised to the appropriate power

```
lattsp = 0.001;
Rwall = 1.000;
ell = 0:
Gausswidth = 0.02;
Gaussdepth = 2;
mass = 0.5*938.92*lattsp;
nwall = floor(Rwall/lattsp);
numeigs = floor(nwall/4);
r = [0:nwall]:
centrifugal = (ell*(ell+1))./(2*mass*max(r.^2,0.5));
V = -Gaussdepth*lattsp*exp(-0.5*r.^2/(Gausswidth/lattsp)^2);
Hfree = sparse(r+1,r+1,1.0/mass);
Hfree = Hfree + sparse(mod(r+1,nwall+1)+1,r+1,-0.5/mass);
Hfree = Hfree + sparse(mod(r-1,nwall+1)+1,r+1,-0.5/mass);
Hfree = Hfree + sparse(r+1,r+1,centrifugal);
% Must force psi(0) to be zero
```

```
Hfree(1,1) = Hfree(1,1) + 10^9;
Hfree(nwall+1,nwall+1) = Hfree(nwall+1,nwall+1) + 10^9;
```

```
H = Hfree + sparse(r+1,r+1,V);
 Efree = eigs(Hfree,numeigs,'SA');
 E = eigs(H,numeigs,'SA');
 bound = 0;
\Box for nn = 1:numeigs
      if (E(nn) > 0)
          pfree(nn) = sqrt(2*mass*Efree(nn));
          p(nn) = sqrt(2*mass*E(nn));
          phase(nn) = \dots
              mod(atan(besselj(ell+0.5,p(nn)*nwall) ...
              /bessely(ell+0.5,p(nn)*nwall)) ...
              - atan(besselj(ell+0.5,pfree(nn)*nwall) ...
              /bessely(ell+0.5,pfree(nn)*nwall)),pi);
     else
          bound = bound + 1;
      end
 end
 scatt_states = [bound+1:numeigs];
 scatter(p(scatt_states)'/lattsp,phase(scatt_states)*180/pi')
 xlabel('momentum (MeV)')
 ylabel('phase shift (deg)')
```







In the original spherical wall paper,

Borasoy, Epelbaum, Krebs, D.L., Meißner, EPJA 34 (2007) 185

we solved for eigenstates of the full three-dimensional lattice Hamiltonian. This was numerically expensive.

Later we realized that we could construct an approximate but very high-quality radial equation by grouping together lattice coordinates with nearly the same magnitude and prescribing the angular dependence according to spherical harmonic projections

$$\psi(\vec{r}) = R(r_{\text{bin}})Y_{\ell,\ell_z}(\hat{r}), \quad ||\vec{r}| - r_{\text{bin}}| < \Delta_{\text{bin}}$$

#### Example: Gaussian potential on the lattice

$$V(r) = Ce^{-\frac{r^2}{2R_0^2}}$$

$$C = -2 \text{ MeV}, \ R_0 = 2 \times 10^{-2} \text{ MeV}^{-1}$$
  
 $\mu = m/2, \ m = 938.92 \text{ MeV}$ 

```
clear all
L = 80;
lattsp = 0.005;
mass = 0.5*938.92*lattsp;
ell = 2;
ellz = 0;
Gausswidth = 0.02;
Gaussdepth = 2;
Rwall = 0.18;
nwall = floor(Rwall/lattsp);
if (nwall >= L/2)
    "L is too small for Rwall"
    stop
end
numeigs = nwall/2;
r = [0:L^3-1];
nx = mod(r,L);
ny = mod((r-nx)/L,L);
nz = mod((r-ny*L-nx)/L^2,L);
x = (nx < L/2).*nx + (nx > L/2).*(nx-L);
y = (ny < L/2).*ny + (ny > L/2).*(ny-L);
z = (nz < L/2) \cdot (nz + (nz > L/2) \cdot (nz-L);
```

```
r^2 = min(nx.^2,(L-nx).^2) + min(ny.^2,(L-ny).^2) + min(nz.^2,(L-nz).^2);
 rabs = sqrt(r2);
 rabs(1) = 1.E-10;
 nstep = 0;
 dstep = 0.1;
for step = 0:dstep:nwall
     points = find(rabs >= step - 0.5*dstep & rabs < step + 0.5*dstep);</pre>
     numpoints = size(points,2);
     if (size(points,2) > 0)
         vpoints = zeros(L^3,1);
         % normalization will be fixed later
          if (ell == 0)
             vpoints(points) = 1;
         elseif (ell == 1 & ellz == 1)
              vpoints(points) = -(x(points)+i*y(points))./rabs(points);
         elseif (ell == 1 \& ellz == 0)
              vpoints(points) = z(points)./rabs(points);
          elseif (ell == 1 \& ellz == -1)
              vpoints(points) = (x(points)-i*y(points))./rabs(points);
          elseif (ell == 2 \& ellz == 2)
              vpoints(points) = (x(points)+i*y(points)).^2./rabs(points).^2;
          elseif (ell == 2 \& ellz == 1)
              vpoints(points) = ...
                  -(x(points)+i*y(points)).*z(points)./rabs(points).^2;
         elseif (ell == 2 \& ellz == 0)
              vpoints(points) = ...
                  (2*z(points).^2-x(points).^2-y(points).^2)./rabs(points).^2;
```

```
elseif (ell == 2 & ellz == -1)
            vpoints(points) = ...
                (x(points)-i*y(points)).*z(points)./rabs(points).^2;
        elseif (ell == 2 & ellz == -2)
            vpoints(points) = (x(points)-i*y(points)).^2./rabs(points).^2;
        else
            "ell. ellz not available"
            stop
        end
        norm = sqrt(vpoints'*vpoints);
        if (norm > 0)
            nstep = nstep + 1;
            projectors(:,nstep) = vpoints/norm;
            dist(nstep) = step;
        end
    end
end
V = -Gaussdepth*lattsp*exp(-0.5*r2/(Gausswidth/lattsp)^2);
Vwall = 10^6*(rabs > nwall)';
*****
w0 = 49.D0/36.D0;
w1 = 3.D0/2.D0;
w^2 = 3.D0/20.D0;
w3 = 1.D0/90.D0;
```

% getting the coordinates for nearest neighbors in the x,y,z % directions (xp is +1 in the x-direction, xm is -1 in the % x-direction, and so on)

r_xp = nz*L^2	+ ny*L	+ mod(nx+1,L);
r_xpp = nz*L^2	+ ny*L	+ mod(nx+2,L);
r_xppp = nz*L^2	+ ny*L	+ mod(nx+3,L);
r_xm = nz*L^2	+ ny*L	+ mod(nx-1,L);
r_xmm = nz*L^2	+ ny*L	+ mod(nx-2,L);
r_xmmm = nz*L^2	+ ny*L	+ mod(nx-3,L);

r_yp = nz*L^2	+ mod(ny+1,L)*L + nx;
r_ypp = nz*L^2	+ mod(ny+2,L)*L + nx;
r_yppp = nz*L^2	+ mod(ny+3,L)*L + nx;
r_ym = nz*L^2	+ mod(ny-1,L)*L + nx;
r_ymm = nz*L^2	+ mod(ny-2,L)*L + nx;
r_ymmm = nz*L^2	+ mod(ny-3,L)*L + nx;

r_zp = mod(nz+1,L)*L^2 + ny*L	+ nx;
r_zpp = mod(nz+2,L)*L^2 + ny*L	+ nx;
r_zppp = mod(nz+3,L)*L^2 + ny*L	+ nx;
r_zm = mod(nz-1,L)*L^2 + ny*L	+ nx;
r_zmm = mod(nz-2,L)*L^2 + ny*L	+ nx;
$r_zmmm = mod(nz-3,L)*L^2 + ny*L$	+ nx;

```
Hfree = sparse([1:L^3],[1:L^3],3.0/mass*w0);
```

```
Hfree = Hfree + sparse([1:L^3],r xp+1,-0.5/mass*w1);
Hfree = Hfree - sparse([1:L^3],r_xpp+1,-0.5/mass*w2);
Hfree = Hfree + sparse([1:L^3],r_xppp+1,-0.5/mass*w3);
Hfree = Hfree + sparse([1:L^3],r xm+1,-0.5/mass*w1);
Hfree = Hfree - sparse([1:L^3],r xmm+1,-0.5/mass*w2);
Hfree = Hfree + sparse([1:L^3],r xmmm+1,-0.5/mass*w3);
Hfree = Hfree + sparse([1:L^3], r yp+1,-0.5/mass*w1);
Hfree = Hfree - sparse([1:L^3], r_ypp+1, -0.5/mass*w2);
Hfree = Hfree + sparse([1:L^3],r yppp+1,-0.5/mass*w3);
Hfree = Hfree + sparse([1:L^3],r ym+1,-0.5/mass*w1);
Hfree = Hfree - sparse([1:L^3],r_ymm+1,-0.5/mass*w2);
Hfree = Hfree + sparse([1:L^3],r ymmm+1,-0.5/mass*w3);
Hfree = Hfree + sparse([1:L^3],r_zp+1,-0.5/mass*w1);
Hfree = Hfree - sparse([1:L^3],r zpp+1,-0.5/mass*w2);
Hfree = Hfree + sparse([1:L^3],r_zppp+1,-0.5/mass*w3);
Hfree = Hfree + sparse([1:L^3], r_{zm+1}, -0.5/mass*w1);
Hfree = Hfree - sparse([1:L^3],r_zmm+1,-0.5/mass*w2);
```

```
Hfree = Hfree + sparse([1:L^3],r_zmmm+1,-0.5/mass*w3);
```

```
Hfree = Hfree + sparse([1:L^3],[1:L^3],Vwall);
H = Hfree + sparse([1:L^3],[1:L^3],V);
```

```
Hfreerad = projectors'*(Hfree*projectors);
Hrad = projectors'*(H*projectors);
Hfreerad = (Hfreerad + Hfreerad')/2;
Hrad = (Hrad + Hrad')/2;
[vfreerad,dfreerad] = eigs(Hfreerad,numeigs,'sr');
Efreerad = diag(dfreerad);
Efreerad = sort(Efreerad);
[vrad,drad] = eigs(Hrad,numeigs,'sr');
Erad = diag(drad);
Erad = sort(Erad);
format long
[Erad Efreerad]
```

```
bound = 0;
\Box for nn = 1:numeigs
      if (Erad(nn) > 0)
          pfreerad(nn) = sqrt(2*mass*Efreerad(nn));
          prad(nn) = sqrt(2*mass*Erad(nn));
          phase(nn) = \dots
              mod(atan(besselj(ell+0.5,prad(nn)*nwall) ...
              /bessely(ell+0.5,prad(nn)*nwall)) ...
              - atan(besselj(ell+0.5,pfreerad(nn)*nwall) ...
              /bessely(ell+0.5,pfreerad(nn)*nwall)),pi);
     else
          bound = bound + 1:
      end
 end
 scatt states = [bound+1:numeigs];
 scatter(prad(scatt_states)'/lattsp,phase(scatt_states)*180/pi')
 xlabel('momentum (MeV)')
 ylabel('phase shift (deg)')
 % To check with full Hamiltonian results:
 % numeigsfull = 60;
 % energiesfree = eigs(Hfree,numeigsfull,'sa');
 % energies = eigs(H,numeigsfull,'sa');
```



















#### Homework for July 29

Write your own spherical wall code to calculate the phase shifts for the Gaussian potential in continuous space:

C

$$V(r) = Ce^{-\frac{r^2}{2R_0^2}}$$
  
= -2 MeV,  $R_0 = 2 \times 10^{-2} \text{ MeV}^{-1}$   
 $\mu = m/2, \ m = 938.92 \text{ MeV}$ 

$$-\frac{1}{2\mu}\frac{d^2u}{dr^2} + \left[\frac{\ell(\ell+1)}{2\mu r^2} + V(r)\right]u(r) = Eu(r)$$

#### Homework for July 30

Write your own spherical wall code to calculate the phase shifts for the Gaussian potential on the lattice:

$$V(r) = Ce^{-\frac{r^2}{2R_0^2}}$$
$$C = -2 \text{ MeV}, \ R_0 = 2 \times 10^{-2} \text{ MeV}^{-1}$$
$$\mu = m/2, \ m = 938.92 \text{ MeV}$$
$$\frac{1}{2\mu} \frac{d^2u}{dr^2} + \left[\frac{\ell(\ell+1)}{2\mu r^2} + V(r)\right] u(r) = Eu(r)$$