

From Quarks and Gluons to Nuclear Forces and Structure

Lecture 4: Fermions & Computers

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Fermions and Computers—why can't they get along?

- Fermions obey anti-commutation relations:

$$\{a_\lambda, a_\mu^\dagger\} = \delta_{\lambda,\mu} .$$

- Bosons, on the other hand, *commute*:

$$[a_\lambda, a_\mu^\dagger] = \delta_{\lambda,\mu} .$$

- Fortunately, regular c-numbers also commute!
 - So we can represent bosonic fields with c-numbers.
- But we can calculate Slater determinants, or explicitly anti-symmetrize fermionic wavefunctions, etc . . . But this hits the “Curse of Dimensionality”
- Calculations in a thermal bath: Grand-Canonical ensemble: Particle number not conserved (in principle infinite)

Let's first talk about bosonic states

Bosonic case:

$$[a_\lambda, a_\mu^\dagger] = \delta_{\lambda,\mu}, \quad [a_\lambda, a_\mu] = [a_\lambda^\dagger, a_\mu^\dagger] = 0.$$

$$a_j^\dagger |0\rangle = |j\rangle \quad (j \text{ is combined index for all degrees of freedom})$$

$$a_j |j\rangle = |0\rangle \quad (|0\rangle \text{ is the "vacuum state"})$$

$$(a_j^\dagger)^n |0\rangle = |n_j\rangle \quad (n \text{ particles each w/ } j \text{ quantum number(s)})$$

Application of a^\dagger or a moves you throughout the *Fock* space:

$$\mathcal{F} = \mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \mathcal{H}_2 \oplus \cdots \mathcal{H}_{\Lambda_{max}}$$

a^\dagger or a provide a basis for all operators in the Fock space

\implies can define states that span entire Fock space!

Bosonic coherent state

$$|\phi\rangle \equiv e^{\sum_i \phi_i a_i^\dagger} |0\rangle \quad \forall \phi_i \in \mathbb{C}$$

$$\begin{aligned}
 &= \sum_{n_1, n_2, \dots} \frac{(\phi_1 a_1^\dagger)^{n_1}}{n_1!} \frac{(\phi_2 a_2^\dagger)^{n_2}}{n_2!} \dots |0\rangle \\
 a_1 |\phi\rangle &= \sum_{n_1, n_2, \dots} \frac{a_1 (\phi_1 a_1^\dagger)^{n_1}}{n_1!} \frac{(\phi_2 a_2^\dagger)^{n_2}}{n_2!} \dots |0\rangle \\
 &= \phi_1 \sum_{n'_1, n_2, \dots} \frac{(\phi_1 a_1^\dagger)^{n'_1}}{n'_1!} \frac{(\phi_2 a_2^\dagger)^{n_2}}{n_2!} \dots |0\rangle \\
 &= \phi_1 |\phi\rangle \quad (\text{similarly for } \langle \phi | a_k^\dagger = \langle \phi | \phi_k^*)
 \end{aligned}$$

In general, $a_i |\phi\rangle = \phi_i |\phi\rangle \implies |\phi\rangle$ is an eigenstate of a_i w/ eigenvalue ϕ_i .

Note: $[a_i, a_j] |\phi\rangle = 0 \implies [\phi_i, \phi_j] = 0$. No problem since $\phi_{i,j} \in \mathbb{C}$!

Other properties of the Bosonic coherent state

- $|\phi\rangle$ clearly spans the entire Fock space
- Has closure relation

$$\begin{aligned}
 1 &= \int_{-\infty}^{\infty} \left[\prod_j \frac{d\phi_j^* d\phi_j}{2\pi i} \right] e^{\sum_k \phi_k^* \phi_k} |\phi\rangle \langle \phi| \\
 &= 1_0 \otimes 1_1 \otimes 1_2 \otimes \dots \otimes 1_{\Lambda_{max}} \quad (\text{overcomplete})
 \end{aligned}$$

- Overlap of two coherent states:

$$\langle \psi | \phi \rangle = e^{\sum_i \psi_i^* \phi_i} \neq 0$$

- Matrix element of *normal-ordered* operator

$$\begin{aligned}
 \langle \psi | a_k^\dagger a_j | \phi \rangle &= \psi_k^* \phi_j \langle \psi | \phi \rangle = \psi_k^* \phi_j e^{\sum_i \psi_i^* \phi_i} \\
 \langle \psi | : f(a_k^\dagger, a_j) : | \phi \rangle &= f(\psi_k^*, \phi_j) e^{\sum_i \psi_i^* \phi_i}
 \end{aligned}$$

Trace of an operator A

$$\begin{aligned}
 \text{tr}A &\equiv \sum_n \langle n|A|n\rangle = \sum_n \int_{-\infty}^{\infty} \left[\prod_j \frac{d\phi_j^* d\phi_j}{2\pi i} \right] e^{\sum_k \phi_k^* \phi_k} \langle n|\phi\rangle \langle \phi|A|n\rangle \\
 &= \int_{-\infty}^{\infty} \left[\prod_j \frac{d\phi_j^* d\phi_j}{2\pi i} \right] e^{\sum_k \phi_k^* \phi_k} \sum_n \langle \phi|A|n\rangle \langle n|\phi\rangle \\
 &= \int_{-\infty}^{\infty} \left[\prod_j \frac{d\phi_j^* d\phi_j}{2\pi i} \right] e^{\sum_k \phi_k^* \phi_k} \langle \phi|A|\phi\rangle
 \end{aligned}$$

Some comments on bosonic coherent states

- Our case is called the “Canonical Coherent State”—other types of coherent states are relevant for signal processing, image processing, etc. . .
- “Classical Electric Field”—coherent state of photons in the classical limit
- “Gaussian wave packets” $\langle x|\phi\rangle$ minimize the uncertainty principle (see Schiff, QM, 1955)

Can we do the same for fermionic states?

We want $|\xi\rangle$ s.t. $a_i|\xi\rangle = \xi_i|\xi\rangle$, where ξ_i is an eigenvalue of operator a_i . If we have this, then we also have

$$\{a_i, a_j\}|\xi\rangle = 0 \implies \{\xi_i, \xi_j\} = 0.$$

So ξ_i CANNOT be a c-number!

Enter Grassmann numbers

Grassmann numbers

Define set of Grassmann numbers

$$\eta_1, \eta_2, \dots, \eta_n, \eta_1^*, \eta_2^*, \dots, \eta_n^*$$

such that $\{\eta_i, \eta_j\} = \{\eta_i^*, \eta_j^*\} = \{\eta_i^*, \eta_j\} = 0 \implies \eta_i^2 = 0$ (nilpotent).

Grassmann functions

Define set of Grassmann numbers

$$\left. \begin{aligned} f(\eta_i) &= f_0 + f_1 \eta_i \\ g(\eta_i) &= g_0 + g_1 \eta_i \end{aligned} \right\} \implies f(\eta_i) + g(\eta_i) = (f_0 + g_0) + (f_1 + g_1) \eta_i$$

We have a *Grassmann Algebra*!

Also! $\{\eta_i, a\} = \{\eta_j, a^\dagger\} = 0$

Integration and Differentiation, Grassmann style!

Rules for integrating and differentiating

$$\frac{\partial}{\partial \eta_i} \eta_j = \delta_{ij}$$
$$\int d\eta_i \eta_j = \delta_{ij}$$
$$\int d\eta_i = 0$$

Note: no limits on the integration! Purely formal manipulations.

Weird, huh?

Fermionic coherent state

$$|\xi\rangle \equiv e^{-\sum_i \xi_i a_i^\dagger} |0\rangle \quad \forall \xi_i \text{ Grassmann}$$

$$= \prod_i (1 - \xi_i a_i^\dagger) |0\rangle$$

$$a_1 |\xi\rangle = a_1 (1 - \xi_1 a_1^\dagger) \prod_{i=2} (1 - \xi_i a_i^\dagger) |0\rangle$$

$$= \xi_1 a_1 a_1^\dagger \prod_{i=2} (1 - \xi_i a_i^\dagger) |0\rangle$$

$$= \xi_1 (1 - a_1^\dagger a_1) \prod_{i=2} (1 - \xi_i a_i^\dagger) |0\rangle$$

$$= \xi_1 \prod_{i=2} (1 - \xi_i a_i^\dagger) |0\rangle$$

$$= \xi_1 (1 - \xi_1 a_1^\dagger) \prod_{i=2} (1 - \xi_i a_i^\dagger) |0\rangle$$

$$= \xi_1 \prod_i (1 - \xi_i a_i^\dagger) |0\rangle$$

$$= \xi_1 |\xi\rangle .$$

Properties of fermionic coherent states

- Define $\langle \xi | = \langle 0 | e^{-\sum_i a_i \xi_i^*}$ and have that $\langle \xi | a_k^\dagger = \langle \xi | \xi_k^*$.
- Overlap $\langle \eta | \xi \rangle = e^{-\sum_i \eta_i^* \xi_i}$
- Matrix element of normal ordered operator

$$\langle \eta | : f(a_j^\dagger, a_i) : | \xi \rangle = f(\eta_j^*, \xi_i) e^{-\sum_i \eta_i^* \xi_i}$$

- Completeness relation:

$$1 = \int \left[\prod_i d\xi_i^* d\xi_i \right] e^{-\sum_i \xi_k^* \xi_k} | \xi \rangle \langle \xi |$$

Trace of operator A

$$\begin{aligned}
 \text{tr}A &= \sum_n \langle n|A|n\rangle \\
 &= \int \left[\prod_j d\xi_j^* d\xi_j \right] e^{-\sum_k \xi_k^* \xi_k} \sum_n \langle n|\xi\rangle \langle \xi|A|n\rangle \\
 &= \int \left[\prod_j d\xi_j^* d\xi_j \right] e^{-\sum_k \xi_k^* \xi_k} \langle -\xi|A \sum_n |n\rangle \langle n||\xi\rangle \\
 &= \int \left[\prod_j d\xi_j^* d\xi_j \right] e^{-\sum_k \xi_k^* \xi_k} \langle -\xi|A|\xi\rangle .
 \end{aligned}$$

Is there a physical interpretation of fermionic coherent states?

None that I know of.

Gaussian integration

Assume we have matrix A that is real and symmetric and invertible:

$$\int_{-\infty}^{\infty} \left[\prod_i \frac{d\phi_i}{\sqrt{2\pi}} \right] e^{-\frac{1}{2} \sum_{k,l} \phi_k A_{k,l} \phi_l}$$

$$= \int_{-\infty}^{\infty} \frac{d\vec{\phi}}{(2\pi)^{n/2}} e^{-\frac{1}{2} \vec{\phi} \cdot A \cdot \vec{\phi}} .$$

One can show that this is equal to

$$\frac{1}{\sqrt{\det A}}$$

For a complex (charged) scalar field and A Hermitian, have

$$\int_{-\infty}^{\infty} \left[\prod_i \frac{d\phi_i^* d\phi_i}{2\pi i} \right] e^{-\frac{1}{2} \sum_{k,l} \phi_k^* A_{k,l} \phi_l}$$

$$= \frac{1}{\det A} .$$

Now consider integration with Grassmann numbers on matrix M , where M has no constraints,

$$\int \left[\prod_i d\xi_i^* d\xi_i \right] e^{-\sum_{k,l} \xi_k^* M_{k,l} \xi_l}$$

$$= \det M .$$

Path-Integral formalism w/ fermions

We are interested in calculating

$$\langle O \rangle = \frac{1}{\mathcal{Z}} \text{Tr} \left(O e^{-\beta H} \right) ,$$

where $\mathcal{Z} = \text{Tr} e^{-\beta H}$.

We can express \mathcal{Z} with fermionic coherent state $|\psi_0\rangle$:

$$\mathcal{Z} = \int \left[\prod_j d\psi_{j,0}^* d\psi_{j,0} \right] e^{-\sum_k \psi_{k,0}^* \psi_{k,0}} \langle -\psi_0 | e^{-\beta H} | \psi_0 \rangle .$$

PI continued. . .

We now split the exponential up into N_t timesteps of width $\delta = \beta/N_t$,

$$e^{-\beta H} \equiv e^{-\delta H} e^{-\delta H} \dots e^{-\delta H} .$$

We insert the complete set of fermionic coherent states,

$$1 = \int \left[\prod_{\alpha} d\psi_{\alpha}^{\dagger} d\psi_{\alpha} \right] e^{-\sum_{\beta} \psi_{\beta}^{\dagger} \psi_{\beta}} |\psi\rangle \langle \psi| ,$$

between each factor of the exponential, giving for the partition function \mathcal{Z} ,

$$\mathcal{Z} = \lim_{N_t \rightarrow \infty} \int \prod_{t=0}^{N_t-1} \left\{ \left[\prod_{\alpha} d\psi_{\alpha,t}^{\dagger} d\psi_{\alpha,t} \right] \langle \psi_{t+1} | e^{-\delta H} | \psi_t \rangle e^{-\sum_{\beta} \psi_{\beta,t}^{\dagger} \psi_{\beta,t}} \right\} .$$

Note: To account for the (red) minus sign in the partition function on the previous page, must have $\psi_{N_t} = -\psi_0 \implies$ anti-periodic boundary conditions in time!

The form of H

To go any further, must assume some form for H :

$$\begin{aligned}
 &= H_0 + V_2 = \sum_{i,j} k_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i,j} v_{ij} a_i^\dagger a_i a_j^\dagger a_j \\
 &= H_0 + V_2 = \sum_{i,j} k_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i,j} v_{ij} n_i n_j ,
 \end{aligned}$$

where $n_i \equiv a_i^\dagger a_i$ (number density operator). Here k is a “connectivity” matrix (think kinetic operator), and v represents 2-body matrix elements. Both are represented by c-numbers. Now consider the matrix element

$$\langle \psi_{t+1} | e^{-\delta(H_0 + V_2)} | \psi_t \rangle$$

What's the problem?

H_0 will be quadratic in Grassmann fields – Great!
 V_2 will be *quartic* in Grassman fields – Oh oh!

The Hubbard-Stratonovich Transformation

At its foundation, the HS transformation relies on the following relations,

$$e^{-\frac{1}{2}Un^2} = \frac{1}{\sqrt{2\pi U}} \int_{-\infty}^{\infty} d\phi e^{-\frac{1}{2U}\phi^2 \pm i\phi n}$$

$$e^{\frac{1}{2}Un^2} = \frac{1}{\sqrt{2\pi U}} \int_{-\infty}^{\infty} d\phi e^{-\frac{1}{2U}\phi^2 \pm \phi n} .$$

Assumed $U \geq 0$ and the \pm signs are equivalent. The real variable ϕ (that is integrated over) is an *auxiliary* field that allows us to ‘linearize-in- n ’ the arguments of the exponential.

Applying HS trans. to our problem

Define $\tilde{\kappa} \equiv \delta\kappa$ and $\tilde{\nu} \equiv \delta\nu$, and we assume that eigenvalues of $\tilde{\nu}$ are real and > 0 (why??). If this holds, then

$$\langle \psi_{t+1} | e^{-\sum_{ij} \tilde{\kappa}_{ij} a_j^\dagger a_j - \frac{1}{2} \sum_{ij} \tilde{\nu}_{ij} n_i n_j} | \psi_t \rangle = \int_{-\infty}^{\infty} \left[(\det \tilde{\nu})^{-\frac{1}{2}} \prod_k \frac{d\phi_k}{\sqrt{2\pi}} \right] e^{-\frac{1}{2} \sum_{ij} \phi_i [\tilde{\nu}^{-1}]_{ij} \phi_j} \langle \psi_{t+1} | e^{-\sum_{ji} \tilde{\kappa}_{jk} a_j^\dagger a_k \pm i \sum_j \phi_j n_j} | \psi_t \rangle .$$

Now we can evaluate the coherent state matrix elements:

$$\begin{aligned} \langle \psi_{t+1} | e^{-\sum_{ji} \tilde{\kappa}_{jk} a_j^\dagger a_k \pm i \sum_j \phi_j n_j} | \psi_t \rangle &= \\ e^{-\sum_{ji} \tilde{\kappa}_{jk} \psi_{j,t+1}^\dagger \psi_{k,t} \pm i \sum_j \phi_j \psi_{j,t+1}^\dagger \psi_{j,t}} e^{\sum_i \psi_{i,t+1}^\dagger \psi_{i,t}} + \mathcal{O}(\delta^2) & \\ = e^{-\sum_{ji} \tilde{\kappa}_{jk} \psi_{j,t+1}^\dagger \psi_{k,t} + \sum_j (1 \pm i\phi_j) \psi_{j,t+1}^\dagger \psi_{j,t}} + \mathcal{O}(\delta^2) & \end{aligned}$$

The Partition function with auxiliary fields

Combining everything gives

$$\mathcal{Z} = \lim_{N_t \rightarrow \infty} \int_{-\infty}^{\infty} \mathcal{D}[\phi] e^{-\frac{1}{2} \sum_{ij,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}}$$

$$\int \mathcal{D}[\psi^\dagger, \psi] \exp \left\{ - \sum_{j,t} \left(\psi_{j,t}^\dagger \psi_{j,t} - (1 \pm i\phi_{j,t}) \psi_{j,t+1}^\dagger \psi_{j,t} \right) - \sum_{ij,t} \tilde{\kappa}_{ij} \psi_{i,t+1}^\dagger \psi_{j,t} \right\},$$

where

$$\mathcal{D}[\phi] = (\det \tilde{v})^{-N_t/2} \prod_i \prod_{t=0}^{N_t-1} \frac{1}{\sqrt{2\pi}} d\phi_{i,t}$$

$$\mathcal{D}[\psi^\dagger, \psi] = \prod_x \prod_t^{N_t-1} d\psi_{x,t}^\dagger d\psi_{x,t}.$$

Note the temporal index to the HS field for bookkeeping purposes ($\phi_i \rightarrow \phi_{i,t}$).

Finally integrating out the fermions!

Now I express the argument of the exponential in matrix form,

$$\mathcal{Z} = \lim_{N_t \rightarrow \infty} \int_{-\infty}^{\infty} \mathcal{D}[\phi] e^{-\frac{1}{2} \sum_{ij,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}} \int \mathcal{D}[\psi^\dagger, \psi] \exp \left\{ - \sum_{it',jt} \psi_{it'}^\dagger M[\phi]_{it',jt} \psi_{jt} \right\},$$

where the *fermion* matrix $M[\phi]$ is a *functional* of the field ϕ with c-number matrix elements:

$$\begin{aligned} M[\phi]_{it',jt} &= \delta_{ij} \delta_{t't} - (1 \pm i\phi_{j,t}) \delta_{ij} \delta_{t',t+1} - \tilde{\kappa}_{ij} \delta_{t',t+1} \\ &\approx \delta_{ij} \delta_{t't} - e^{\pm i\phi_{j,t}} \delta_{ij} \delta_{t',t+1} - \tilde{\kappa}_{ij} \delta_{t',t+1}. \end{aligned}$$

We have a matrix and we have quadratic Grassmann terms. We must integrate!

$$\mathcal{Z} = \lim_{N_t \rightarrow \infty} \int_{-\infty}^{\infty} \mathcal{D}[\phi] e^{-\frac{1}{2} \sum_{ij,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}} \det M[\phi].$$

Observables \hat{O} with the PI

One can also show, using the same steps as above, that

$$\langle \hat{O} \rangle = \lim_{N_t \rightarrow \infty} \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} \mathcal{D}[\phi] \mathcal{O}[\phi] e^{-\frac{1}{2} \sum_{i,j,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}} \det M[\phi],$$

where in principle, the operator O can also be functional of ϕ .

Note:

- Every term in M is a c-number
- Auxiliary field ϕ is a c-number, not dynamical in this case!
- Dynamics of fermions encoded in $M[\phi]$

Example for \hat{O} : the fermion correlator

We are interested in calculating

$$\langle a_\alpha(\tau) a_\beta^\dagger(0) \rangle = \lim_{N_t \rightarrow \infty} \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} \mathcal{D}[\phi] \psi_{\alpha,\tau} \psi_{\beta,0}^\dagger e^{-\frac{1}{2} \sum_{ij,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}} \det M[\phi]$$

But the RHS has Grassmann variables! So how do we calculate this term?

The generating functional

We introduce the generating functional (repeated indices summed):

$$Z_0[\eta^\dagger, \eta] = \int \mathcal{D}[\psi^\dagger, \psi] e^{-\psi_k^\dagger M_{kl}[\phi] \psi_l + \eta_k^\dagger \psi_k + \psi_k^\dagger \eta_k}$$

The original partition function is

$$\mathcal{Z} = \lim_{N_t \rightarrow \infty} \int_{-\infty}^{\infty} \mathcal{D}[\phi] e^{-\frac{1}{2} \sum_{ij,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}} Z_0[\tilde{\eta}, \eta] \Big|_{\tilde{\eta}=\eta=0}$$

I can pull down products of ψ_n and ψ_m^\dagger by simply performing Grassman differentiation,

$$\begin{aligned} & \int_{-\infty}^{\infty} \mathcal{D}[\phi] e^{-\frac{1}{2} \sum_{ij,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}} \psi_n \psi_m^\dagger Z_0[\tilde{\eta}, \eta] \Big|_{\tilde{\eta}=\eta=0} \\ &= \int_{-\infty}^{\infty} \mathcal{D}[\phi] e^{-\frac{1}{2} \sum_{ij,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}} \left(\overrightarrow{\frac{\partial}{\partial \eta_n^\dagger}} Z_0[\eta^\dagger, \eta] \overleftarrow{\frac{\partial}{\partial \eta_m}} \right) \Big|_{\eta^\dagger=\eta=0} \end{aligned}$$

We can formally integrate the generating functional

After completing the square in the argument and performing Grassmann gaussian integration

$$Z_0[\bar{\eta}, \eta] = \det(M[\phi]) e^{\bar{\eta}_k [M[\phi]^{-1}]_{kl} \eta_l}$$

This means that

$$\left(\frac{\overrightarrow{\partial}}{\partial \eta_n^\dagger} Z_0[\eta^\dagger, \eta] \frac{\overleftarrow{\partial}}{\partial \eta_m} \right) \Big|_{\eta^\dagger = \eta = 0} = M[\phi]_{n,m}^{-1} \det M[\phi]$$

Therefore our fermion correlator is

$$\begin{aligned} \langle a_\alpha(\tau) a_\beta^\dagger(0) \rangle &= \lim_{N_t \rightarrow \infty} \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} \mathcal{D}[\phi] \psi_{\alpha,\tau} \psi_{\beta,0}^\dagger e^{-\frac{1}{2} \sum_{ij,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}} \det M[\phi] \\ &= \lim_{N_t \rightarrow \infty} \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} \mathcal{D}[\phi] e^{-\frac{1}{2} \sum_{ij,t} \phi_{i,t} [\tilde{v}^{-1}]_{ij} \phi_{j,t}} M^{-1}[\phi]_{\alpha\tau,\beta 0} \det M[\phi] \end{aligned}$$

A theory with fermions: the Hubbard Model

The Hubbard Hamiltonian :

$$\begin{aligned} H &\equiv H_{tb} + H_U \\ &\equiv -\kappa \sum_{\langle x,y \rangle, s} a_{x,s}^\dagger a_{y,s} - \frac{U}{2} \sum_x (n_{x,\uparrow} - n_{x,\downarrow})^2, \end{aligned}$$

- κ is the nearest-neighbor hopping amplitude for electrons on the lattice
- U is the onsite interaction ($U \geq 0$)
- $\langle x, y \rangle$ denotes summation over nearest neighbors,
- s assumes the values \uparrow (“spin up”) or \downarrow (“spin down”).
- $n_{x,s} \equiv a_{x,s}^\dagger a_{x,s}$ is the number operator for spin s at position x

This particular form of the Hubbard Hamiltonian corresponds to a system at “half-filling”, where the average number of electrons per site is 1.

Case study: 1-site Hubbard model

We can solve this problem exactly

- No hopping (nowhere to hop to!) $\implies \kappa = 0$
- Fock space:

$$|0\rangle \oplus |\uparrow\rangle \oplus |\downarrow\rangle \oplus |\uparrow\downarrow\rangle$$

- Partition function:

$$\mathcal{Z} = 2(1 + e^{\beta U/2}).$$

- Correlator:

$$C(\tau) = \begin{bmatrix} C_{\uparrow\uparrow}(\tau) & C_{\uparrow\downarrow}(\tau) \\ C_{\downarrow\uparrow}(\tau) & C_{\downarrow\downarrow}(\tau) \end{bmatrix} = \frac{1}{2 \cosh(U\beta/4)} \begin{bmatrix} \cosh\left(\frac{U}{2}\left(\tau - \frac{\beta}{2}\right)\right) & 0 \\ 0 & \cosh\left(\frac{U}{2}\left(\tau - \frac{\beta}{2}\right)\right) \end{bmatrix}.$$

Our task: Use PI to calculate $C(\tau)$

- We must work with coherent states for each spin d.o.f.: $|\psi\rangle \rightarrow |\psi_\uparrow\psi_\downarrow\rangle$
- Utilize HS transformation to remove quartic terms in creation/annihilation operators

$$\langle \psi_{t+1,\uparrow}; \psi_{t+1,\downarrow} | e^{\frac{\tilde{U}}{2}(n_{x\uparrow} - n_{x\downarrow})^2} | \psi_{t,\uparrow}; \psi_{t,\downarrow} \rangle =$$

$$\int_{-\infty}^{\infty} \frac{d\phi_t}{\sqrt{2\pi\tilde{U}}} e^{-\frac{1}{2\tilde{U}}\phi_t^2} \langle \psi_{t+1,\uparrow}; \psi_{t+1,\downarrow} | e^{\pm\phi_t(n_{x\uparrow} - n_{x\downarrow})} | \psi_{t,\uparrow}; \psi_{t,\downarrow} \rangle .$$

where $\tilde{U} = \delta U$.

Partition function \mathcal{Z}

$$\mathcal{Z} = \lim_{N_t \rightarrow \infty} \int_{-\infty}^{\infty} \left[\prod_{t=0}^{N_t-1} \frac{d\phi_t}{\sqrt{2\pi\bar{U}}} \right] e^{-\frac{1}{2\bar{U}} \sum_t \phi_t^2} \int \left[\prod_t^{N_t-1} d\psi_{t\uparrow}^\dagger d\psi_{t\uparrow} \right] e^{-\sum_{t',t} \psi_{t'\uparrow}^\dagger M[\phi]_{t',t} \psi_{t\uparrow}} \int \left[\prod_t^{N_t-1} d\psi_{t\downarrow}^\dagger d\psi_{t\downarrow} \right] e^{-\sum_{t',t} \psi_{t'\downarrow}^\dagger M[-\phi]_{t',t} \psi_{t\downarrow}}$$

where

$$M[\phi]_{t',t} = \delta_{t't} - e^{-\phi_t} \delta_{t',t+1},$$

Integrate out fermionic fields:

$$\mathcal{Z} = \lim_{N_t \rightarrow \infty} \int_{-\infty}^{\infty} \left[\prod_{t=0}^{N_t-1} \frac{d\phi_t}{\sqrt{2\pi\bar{U}}} \right] e^{-\frac{1}{2\bar{U}} \sum_t \phi_t^2} \det M[\phi] \det M[-\phi] = \int_{-\infty}^{\infty} \left[\prod_{t=0}^{N_t-1} \frac{d\phi_t}{\sqrt{2\pi\bar{U}}} e^{-\frac{1}{2\bar{U}} \phi_t^2} \right] \det (M[\phi]M[-\phi]) .$$

Finally the correlator $C(\tau)$

$$\begin{aligned}
 C_{\uparrow\uparrow}(\tau) &= \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} \left[\prod_{t=0}^{N_t-1} \frac{d\phi_t}{\sqrt{2\pi\tilde{U}}} \right] M_{\tau,0}^{-1}[\phi] e^{-\frac{1}{2\tilde{U}} \sum_t \phi_t^2} \det(M[\phi]M[-\phi]) \\
 &= \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} \left[\prod_{t=0}^{N_t-1} \frac{d\phi_t}{\sqrt{2\pi\tilde{U}}} e^{-\frac{1}{2\tilde{U}} \phi_t^2} \right] M_{\tau,0}^{-1}[\phi] \det(M[\phi]M[-\phi]) .
 \end{aligned}$$

$$\begin{aligned}
 C_{\downarrow\downarrow}(\tau) &= \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} \left[\prod_{t=0}^{N_t-1} \frac{d\phi_t}{\sqrt{2\pi\tilde{U}}} \right] M_{\tau,0}^{-1}[-\phi] e^{-\frac{1}{2\tilde{U}} \sum_t \phi_t^2} \det(M[\phi]M[-\phi]) \\
 &= \frac{1}{\mathcal{Z}} \int_{-\infty}^{\infty} \left[\prod_{t=0}^{N_t-1} \frac{d\phi_t}{\sqrt{2\pi\tilde{U}}} e^{-\frac{1}{2\tilde{U}} \phi_t^2} \right] M_{\tau,0}^{-1}[-\phi] \det(M[\phi]M[-\phi]) .
 \end{aligned}$$

Monte Carlo Integration

- Define $\vec{\phi} = (\phi_0, \phi_1, \dots, \phi_{N_t-1})$
- Sample each component from a Gaussian distribution $\mathcal{N}_{0, \sqrt{\bar{u}}}$
- Do this N times, each time performing the following calculations,

$$\mathcal{Z} \approx \frac{1}{N} \sum_{\vec{\phi} \in \mathcal{N}_{0, \sqrt{\bar{u}}}} \det(M[\phi]M[-\phi])$$

$$C_{\uparrow\uparrow}(\tau) \approx \frac{1}{N} \frac{1}{\mathcal{Z}} \sum_{\vec{\phi} \in \mathcal{N}_{0, \sqrt{\bar{u}}}} M_{\tau,0}^{-1}[\phi] \det(M[\phi]M[-\phi]) .$$

Let's do this!

Necessary ingredients to numerically do this problem

- Choose U , β , and N_t . This gives you $\delta = \beta/N_t$ and $\tilde{U} = U\delta$.
- Construct $M[\phi]_{t',t} = \delta_{t't} - e^{-\phi t} \delta_{t',t+1}$

$$\begin{pmatrix}
 1 & 0 & 0 & 0 & 0 & 0 & 0 & e^{-\phi 7} \\
 -e^{-\phi 0} & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & -e^{-\phi 1} & 1 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & -e^{-\phi 2} & 1 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & -e^{-\phi 3} & 1 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & -e^{-\phi 4} & 1 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & -e^{-\phi 5} & 1 & 0 \\
 0 & 0 & 0 & 0 & 0 & 0 & -e^{-\phi 6} & 1
 \end{pmatrix}$$

- Sampling normal distribution with `python3`: `numpy.random.normal($\mu = 0$, $\sqrt{\tilde{U}}$)`
- Calculating determinant of $M[\phi]M[-\phi]$ with `python3`: `numpy.linalg.det(M)`
- Inverting matrix with `python3`: `numpy.linalg.inv(M)`

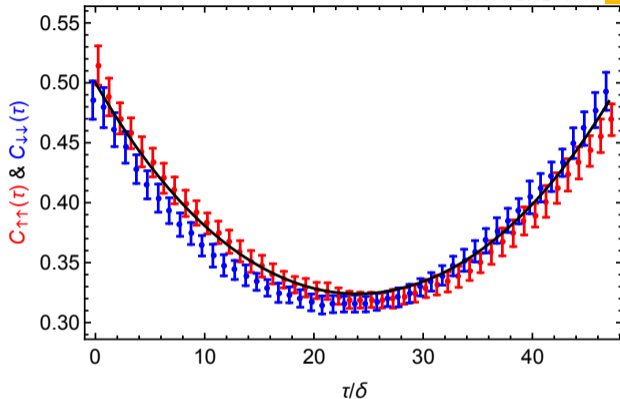


Figure: $C_{\uparrow\uparrow}(\tau)$ (red) and $C_{\downarrow\downarrow}(\tau)$ (blue) calculated from Monte Carlo integration for the one-site Hubbard Model. The parameters used for this calculation were $U/\kappa = 2$, $\kappa\beta = 2$, and $N_t = 48$. The number of Monte Carlo samples $N = 50000$. Shown errors are the bootstrap standard errors. The black line is the analytic result.