



# *Exclusive Diffraction and GPDs at an EIC*

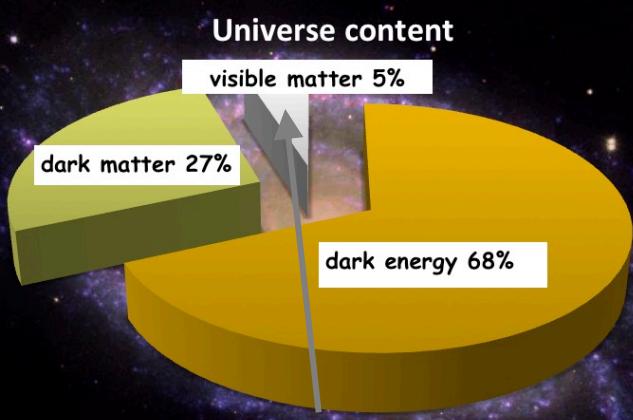
**Salvatore Fazio**  
*Brookhaven National Lab*

Workshop on the spectroscopy program at EIC  
and future accelerators

ECT\*, Trento (Italy)  
19-21 December 2018

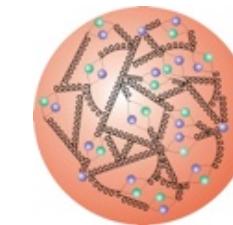
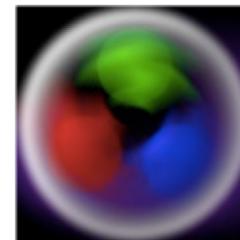
# Plan of the talk

- **Introduction**
- **The Electron-Ion Collider Project**
- **DVCS @ EIC**
  - **Impact studies**
- **DVMP @ EIC**
- **Exclusive diffraction on Nuclei**
  - **imaging, saturation**
- **Summary**



This is us !!!  
protons, neutrons, electrons

# What do we know?



Proton  
 $10^{-15}\text{m}$

Quarks and Gluons  
 $10^{-17}\text{m}$   
increase beam energy

To investigate the nucleon's partonic structure, the previous and only e+p collider, **HERA**, was built

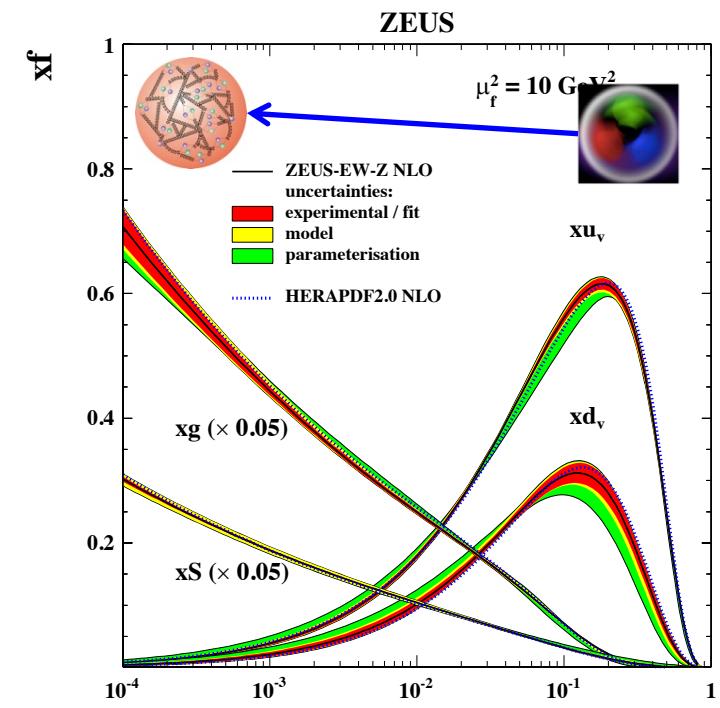
The  $x$  (of Bjorken) variable: fraction of the nucleon's momentum carried by the interacting parton

**HERA's discovery:** Gluon density dominates at  $x < 0.1$

Proton:

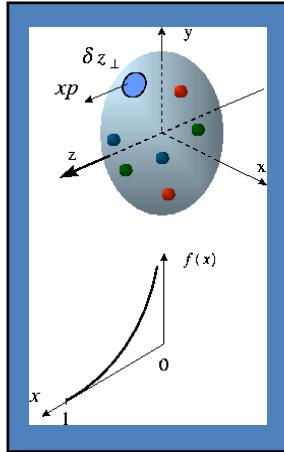
Quark-Masses:  $\sim 1\% M_p$

Mass of the “visible matter” is completely dominated by gluons, QCD dynamics

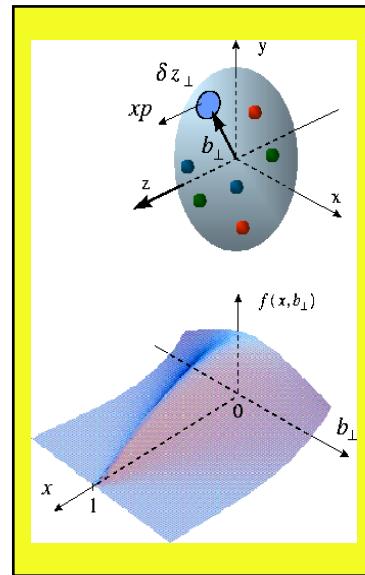


# Generalized Parton Distributions

Longitudinal momentum & helicity distributions

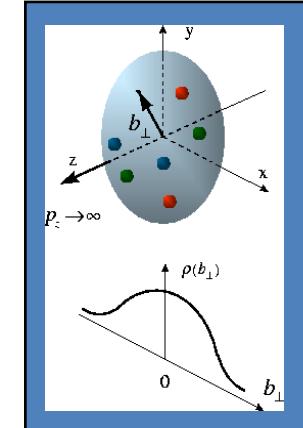


$f(x)$   
parton densities



$H(x, \xi, t)$   
GPDs

transverse charge & current densities

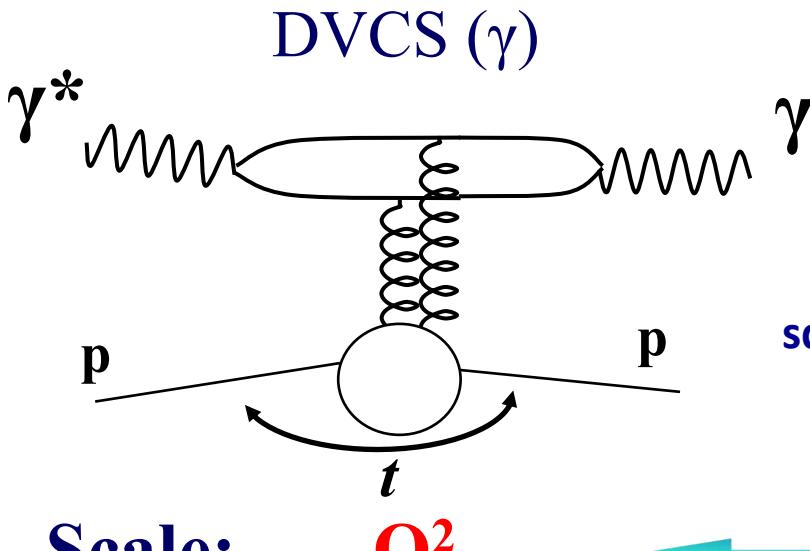


$F_1(t)$   
form factors

The nucleon (spin-1/2) has **four quark and gluon GPDs** ( $H$ ,  $E$  and their polarized versions). Like usual PDFs, GPDs are non-perturbative functions **defined via the matrix elements of**

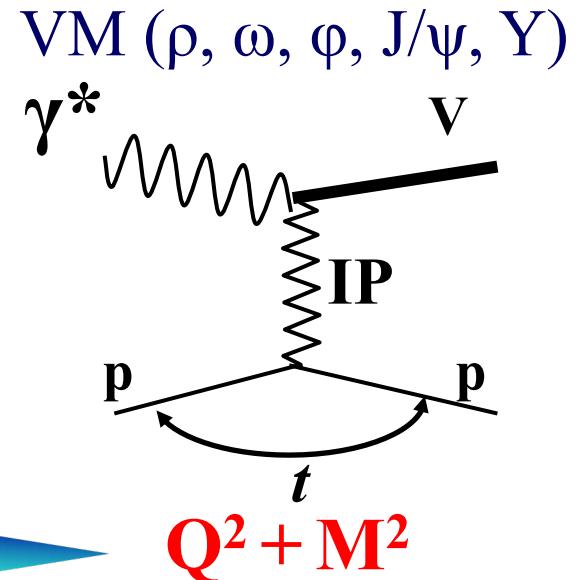
$$\begin{aligned} F^q &= \frac{1}{2} \int \frac{dz^-}{2\pi} e^{ix\bar{P}^+ z^-} \langle p' | \bar{q}(-\frac{1}{2}z) \gamma^+ q(\frac{1}{2}z) | p \rangle |_{z^+=0, \mathbf{z}=0} \\ &= \frac{1}{2\bar{P}^+} \left[ H^q(x, \xi, t, \mu^2) \bar{u}(p') \gamma^+ u(p) + E^q(x, \xi, t, \mu^2) \bar{u}(p') \frac{i\sigma^{+\alpha} \Delta_\alpha}{2m_N} u(p) \right] \end{aligned}$$

# Exclusive Vector Meson and real photon production



square 4-momentum at the  $p$  vertex:  

$$t = (p' - p)^2$$



DVCS:

- Very clean experimental signature
- No VM wave-function uncertainty
- Hard scale provided by  $Q^2$
- Sensitive to both quarks and gluons [via  $Q^2$  dependence of xsec (scaling violation)]

VMP:

- Uncertainty of wave function
- $J/\Psi$  → direct access to gluons, c+bar-c pair produced via quark(gluon)-gluon fusion
- Light VMs → quark-flavor separation

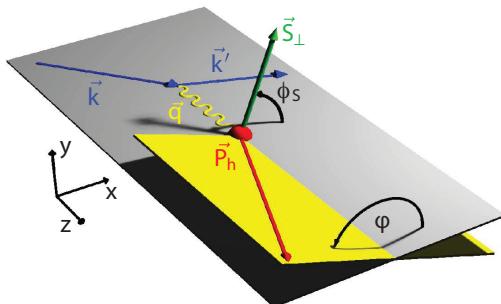
Alternative/complementary  
way to quark-flavor separation

DVCS on a real neutron target → polarized Deuterium or  $\text{He}^3$

# Accessing the GPDs in exclusive processes

$$\frac{d\sigma}{dt} \sim A_0 \left[ |H|^2(x, t, Q^2) - \frac{t}{4M_p^2} |E|^2(x, t, Q^2) \right]$$

Dominated by **H**  
slightly dependent on **E**



$$\varphi = \phi_h - \phi_l$$

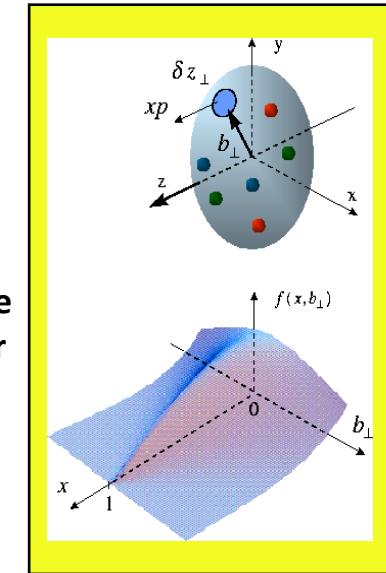
$$\varphi_s = \Phi_T - \phi_h$$

Angle btw the production  
and scattering planes

Angle btw the scattering plane  
and the transverse pol. vector

$$A_C = \frac{d\sigma^+ - d\sigma^-}{d\sigma^+ + d\sigma^-} \propto \text{Re}(A)$$

Requires a positron beam  
done @ HERA



$$A_{UT} \propto \sqrt{\frac{-t}{4M^2}} \left[ F_2(t) H(\xi, \xi, t, Q^2) - F_1(t) E(\xi, \xi, t, Q^2) + \dots \right]$$

$\sin(\Phi_T - \phi_N)$   
governed by **E** and **H**  
Requires a polarized proton-target

Spin-Sum-Rule in PRF:

$$\frac{1}{2} = J_q^z + J_g^z = \frac{1}{2} \Delta\Sigma + \sum_q \mathcal{L}_q^z + J_g^z$$

$$J_{q,g}^z = \frac{1}{2} \left( \int_{-1}^1 x dx \left( \mathbf{H}^{q,g} + \mathbf{E}^{q,g} \right) \right)_{t \rightarrow 0}$$

from  $g_1$

responsible for orbital angular momentum  
a window to the SPIN physics

# Ingredients for a High Resolution “Femtoscope”

Large center-of-mass coverage:

Access to wide kinematic range in  $x$  and  $Q^2$

Polarized electron and hadron beams:

- access to spin structure of nucleons and nuclei
- Spin vehicle to access the 3D spatial and momentum structure of the nucleon
- Full specification of initial and final states to probe q-g structure of NN and NNN interaction in light nuclei

Nuclear beams:

- Accessing the highest gluon densities → amplification of saturation phenomena

High luminosity:

- Detailed mapping the 3D spatial and momentum structure of nucleons and nuclei
- Access to rare probes

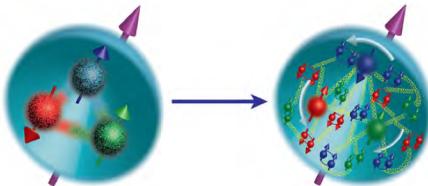
All these requirement will be addressed by a future Electron-Ion Collider

# Most Compelling Physics Goals



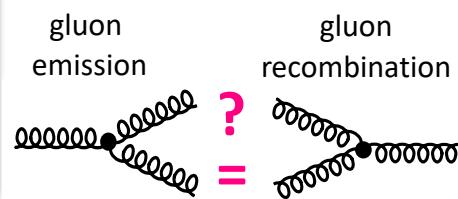
How are sea quarks and gluons, and their spins, **distributed in space and momentum** inside the nucleon?

- How do the **nucleon properties emerge** from them and their interactions?



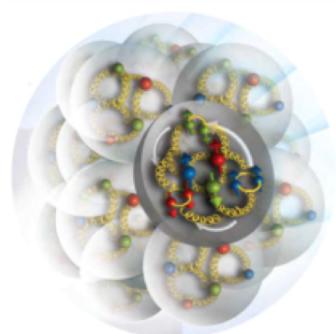
What happens to the **gluon density** in nuclei?

- Does it **saturate at high energy**?
- Does this saturation give rise to **a gluonic matter with universal properties** in all nuclei, even proton?



How does a **dense nuclear environment** affect the quarks and gluons, and their correlations and their interactions?

- How do color-charged quarks and gluons, and colorless jets, **interact with a nuclear medium**?
- How do the **confined hadronic states emerge** from these quarks and gluons?
- How do the quark-gluon **interactions create nuclear binding**?



# The Electron Ion Collider

Two proposals for realization  
of the Science Case

For e-N collisions at the EIC:

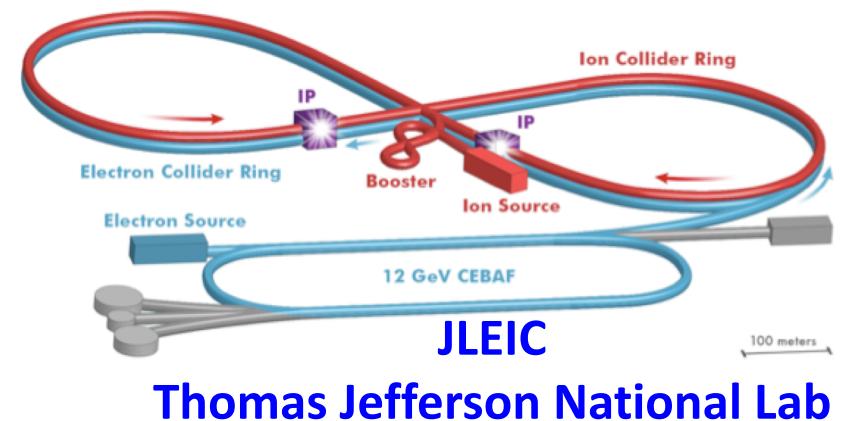
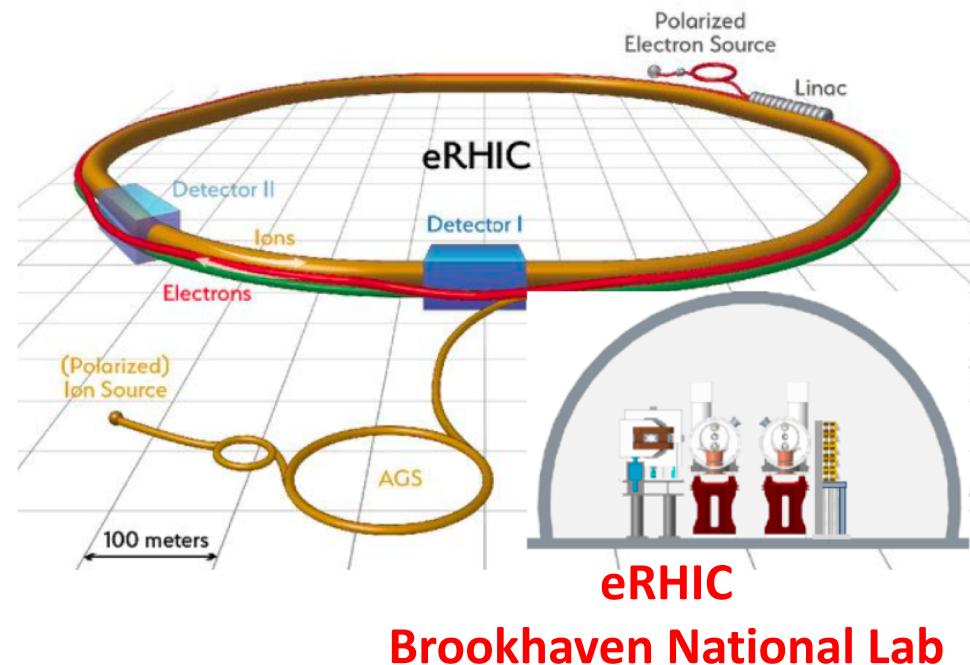
- ✓ Polarized beams: e, p, d/<sup>3</sup>He
- ✓ Luminosity  $L_{ep} \sim 10^{34} \text{ cm}^{-2}\text{sec}^{-1}$   
100-1000 times HERA
- ✓  $\sqrt{s} = 20-100$  (140) GeV Variable CoM

For e-A collisions at the EIC:

- ✓ Wide range in nuclei
- ✓ Luminosity per nucleon same as e+p
- ✓ Variable center of mass energy

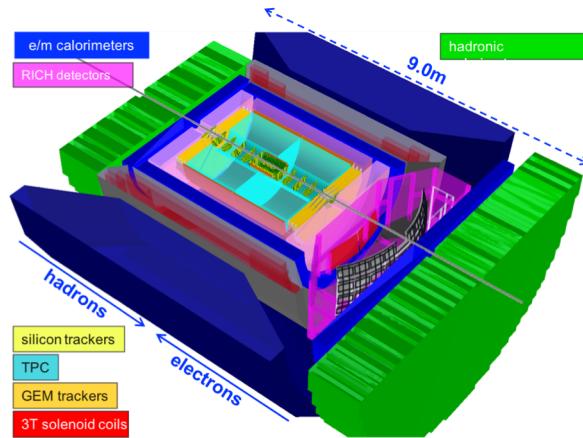
World's first  
**Polarized electron-proton/light ion**  
**and electron-Nucleus collider**

Both designs use DOE's significant  
investments in infrastructure



# “General-purpose” detector concepts

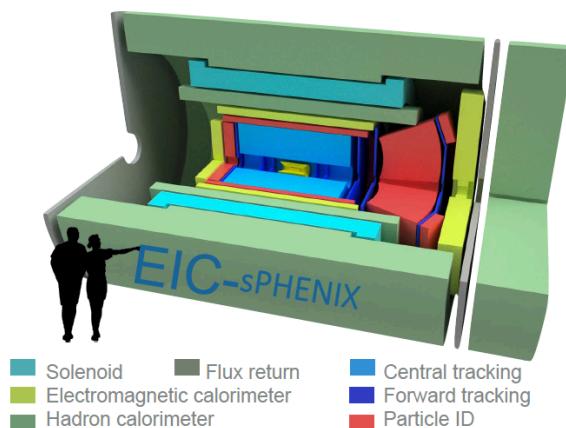
## Brookhaven: BEAST



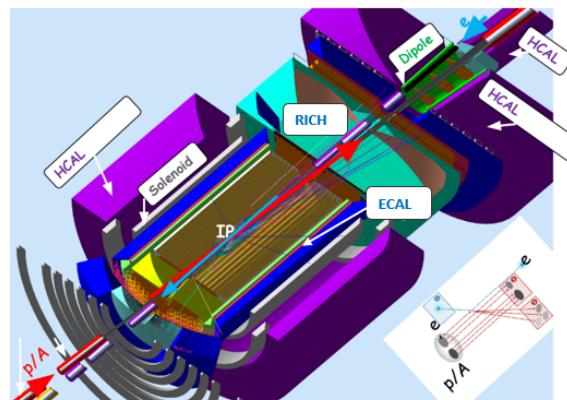
### Overall detector requirements:

- Large acceptance in pseudorapidity:  $-4.5 \lesssim \eta \lesssim 4.5$
- Equal coverage of tracking and EM-calorimetry
- High performance PID to separate p, K,  $\pi$  on track level
- High precision low mass tracking
- Forward instrumentation for protons and neutrons
- High control on systematic effects

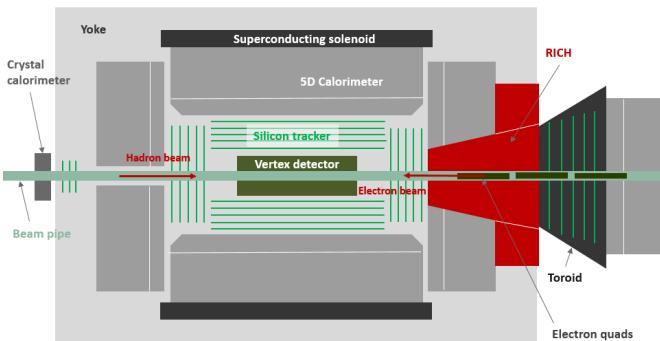
## Brookhaven: EIC-sPHENIX



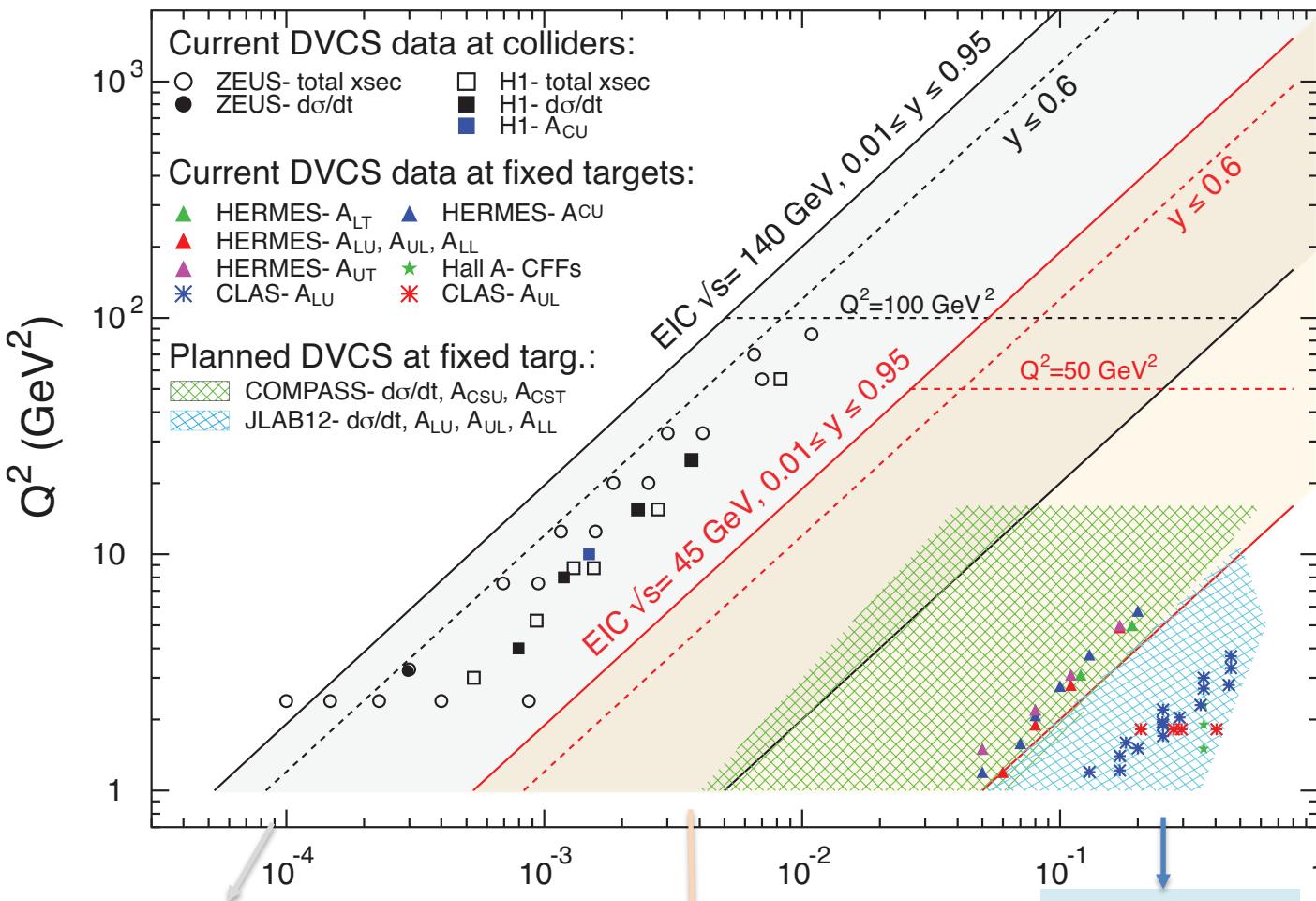
## Jefferson lab: JLEIC



## Argonne: TOPSiDE



# DVCS at an EIC



HERA results limited by lack of statistics

EIC: the first machine to measure cross sections and asymmetries

# Data simulation & event selection

The code MILOU by E. Perez, L Schoeffel, L. Favart [arXiv:hep-ph/0411389v1] is Based on a GPDs convolution by: A. Freund and M. McDermott [<http://durpdg.dur.ac.uk/hepdata/dvcs.html>]

## Acceptance criteria

- for Roman pots:  $0.03 < |t| < 1.5 \text{ GeV}^2$
- $0.01 < y < 0.85 \text{ GeV}^2$
- $\eta < 5$

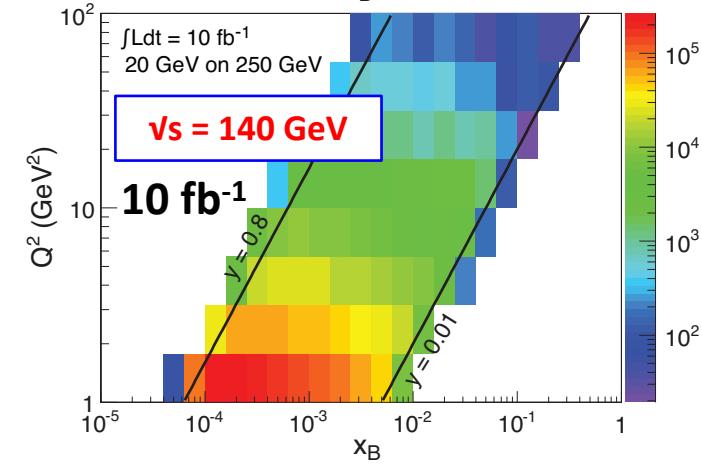
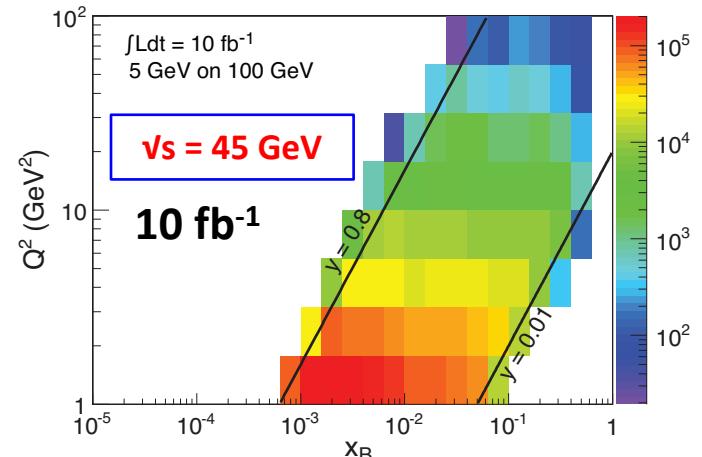
## ➤ BH rejection criteria (applied to x-sec. measurements)

- $y < 0.6$
- $(\theta_{\text{el}} - \theta_\gamma) > 0$
- $E_{\text{el}} > 1 \text{ GeV}^2; E_{\gamma} > 1 \text{ GeV}^2$

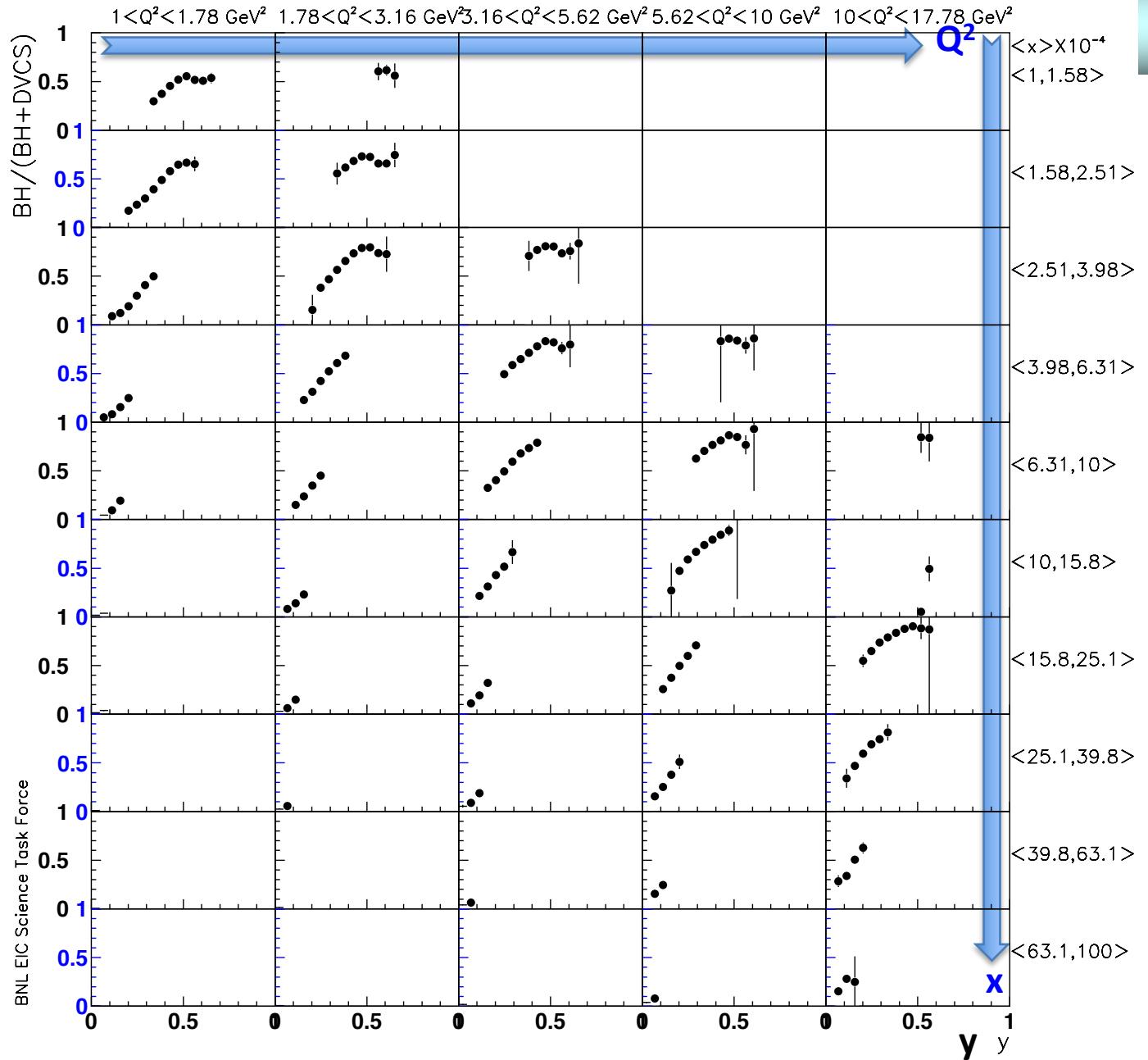
## ➤ Events smeared for expected resolution in $t, Q^2, x$

## ➤ Systematic uncertainty assumed to be $\sim 5\%$ (having in mind experience from HERA)

## ➤ Overall systematic uncertainty from luminosity measurement not taken into account



- ❖ EIC will provide sufficient lumi to bin in multi-dimensions
- ❖ wide x and  $Q^2$  range needed to extract GPDs



## BH fraction

cuts keep BH below  
60% of the sample at  
large  $y > 0.5$

20 x 250 GeV $^2$

BH subtraction will be  
not an issue for  $y < 0.6$

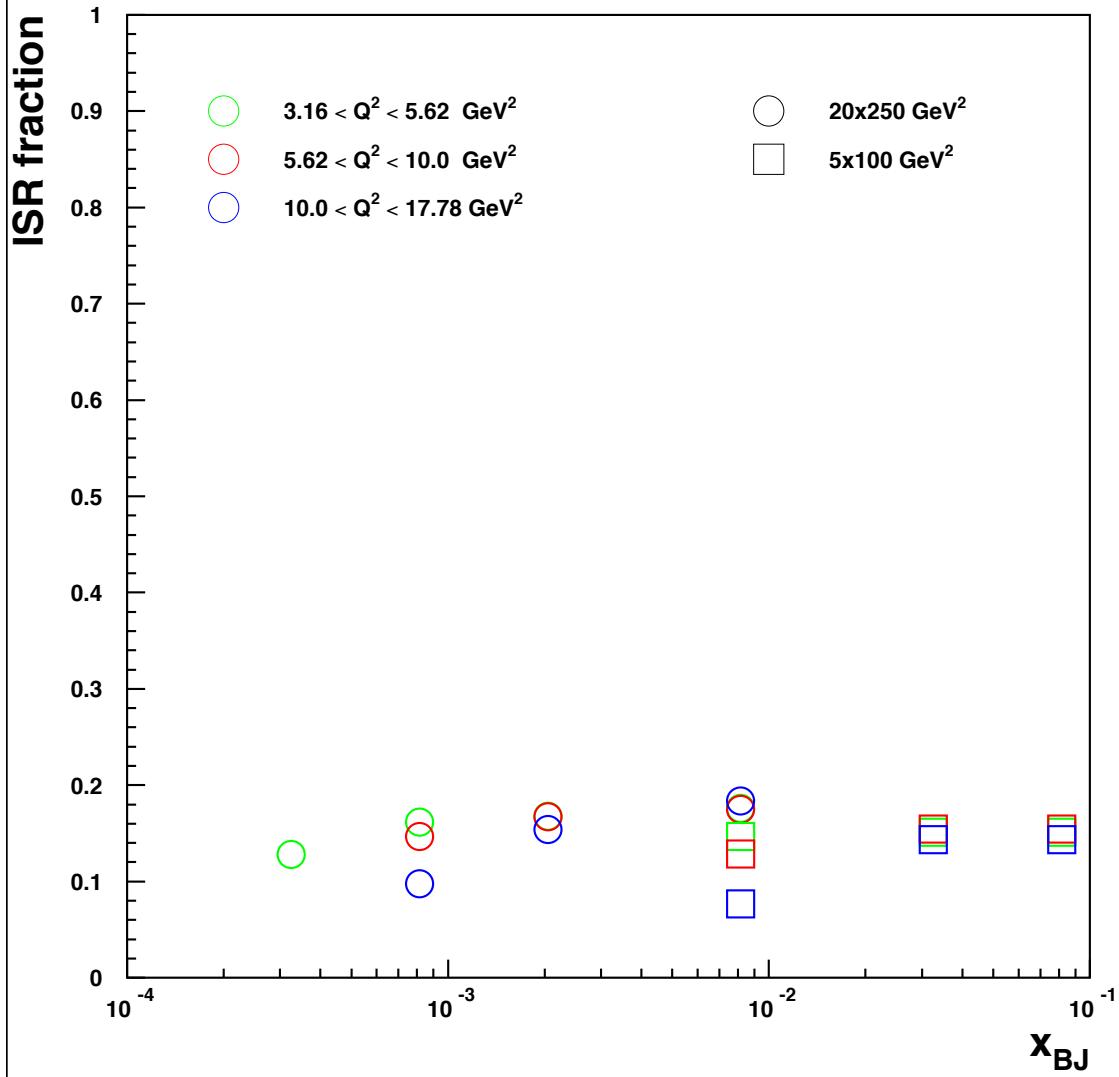
BH subtraction will be  
relevant at lower  
energies and large  $y$ , in  
some of the  $x$ - $Q^2$  bin

**BUT...**

higher-lower vs kin.  
overlapping:

x-sec. measurements at  
a higher  $\sqrt{s}$  at low- $y$  can  
cross-check the BH  
subtraction made at  
lower  $\sqrt{s}$

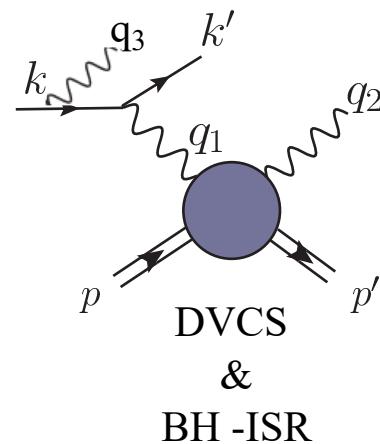
# Contribution from ISR



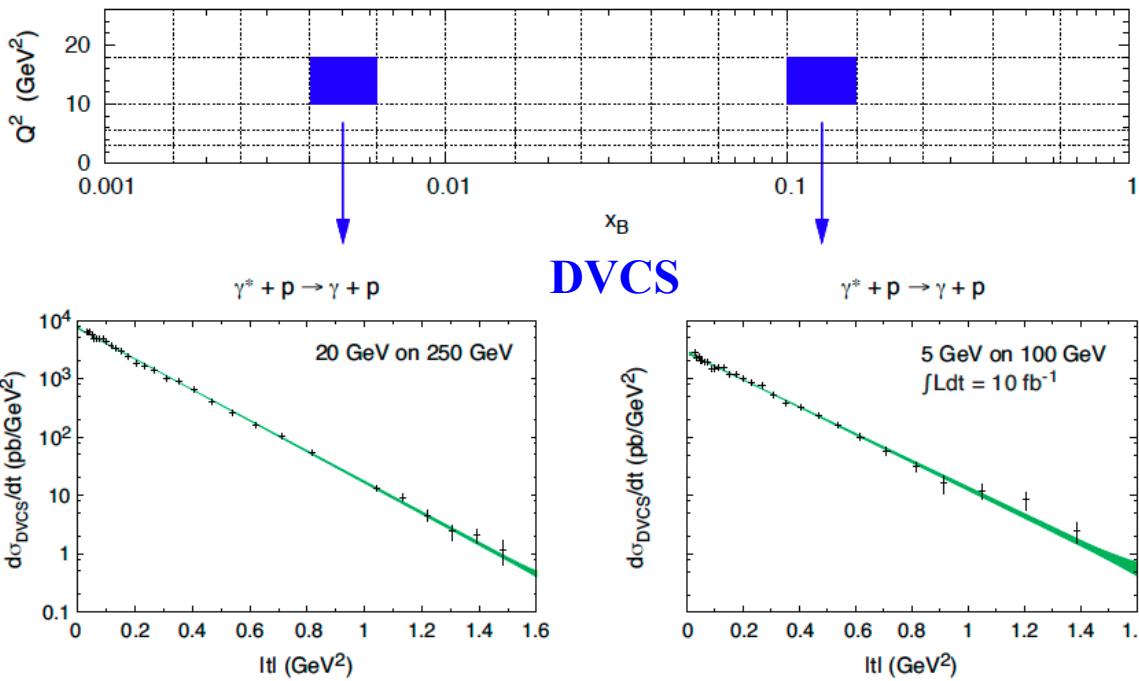
Fraction of ISR events for three  $Q^2$ -bins as fct of  $x$  for two EIC beam energy combinations.

**ONLY 15% of the events emit a photon with  $> 2\%$  energy of the incoming electron**

ISR photons with  $E_\gamma < 0.02 E_e$  do not result in a significant correction for the event kinematics.

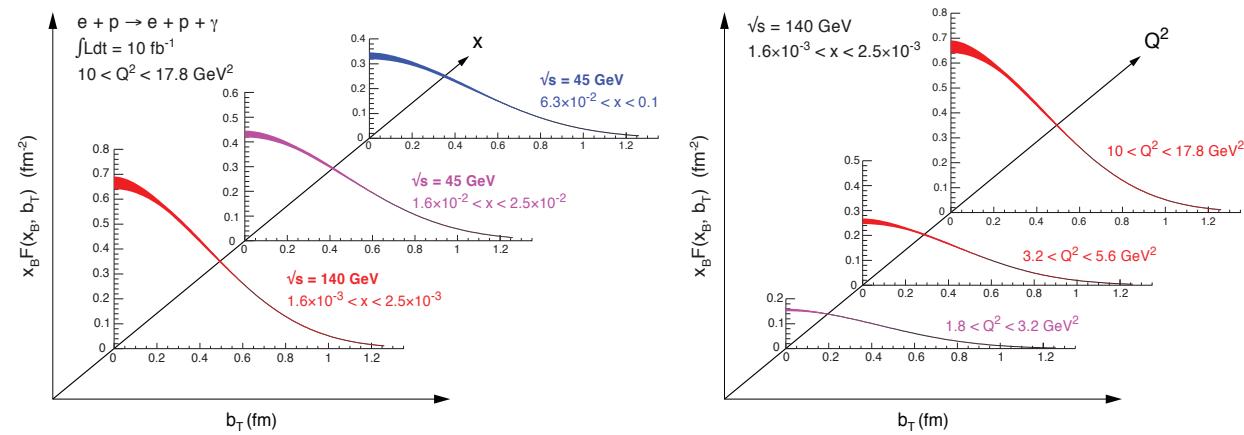


# DVCS & J/ $\psi$ differential cross section

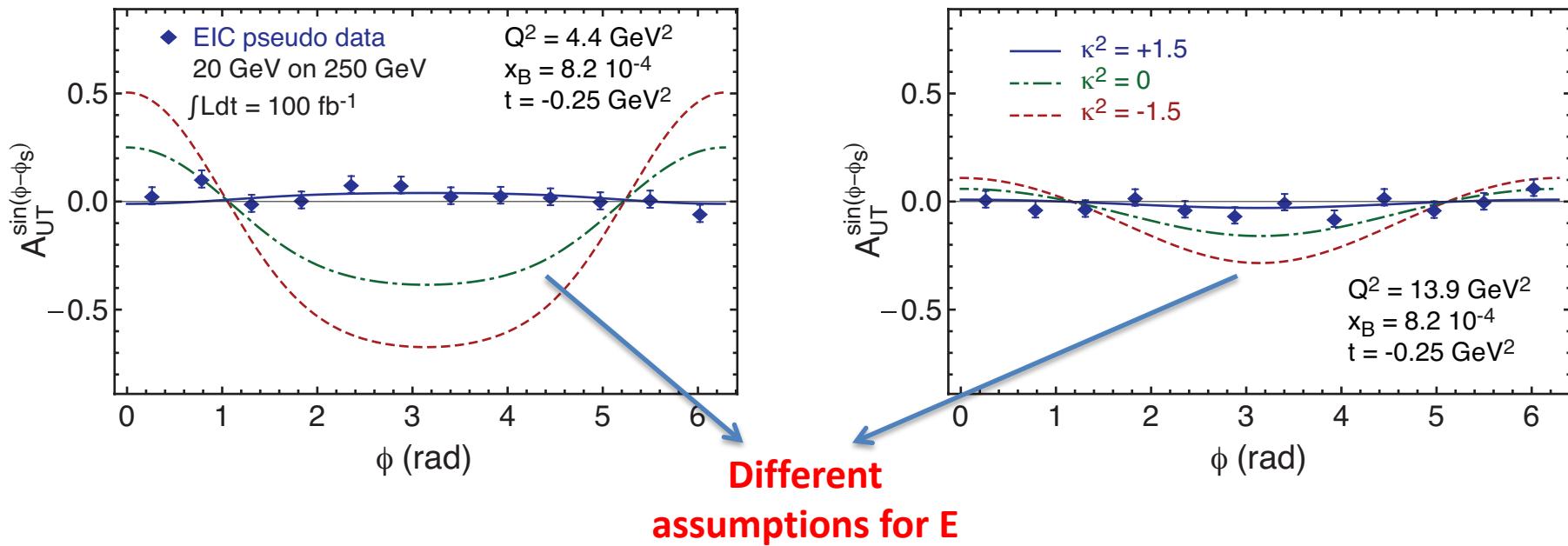


Luminosity:  $10 \text{ fb}^{-1}$

- Measurement dominated by systematics
- Fourier transf. of  $d\sigma/dt \rightarrow$  partonic profiles



# Transverse target-spin asymmetry



$$A_{UT} \propto \sqrt{\frac{-t}{4M^2}} \left[ F_2(t) H(\xi, \xi, t, Q^2) - F_1(t) E(\xi, \xi, t, Q^2) + \dots \right]$$

$\sin(\Phi_T - \phi_N)$   
governed by E and H

Spin-Sum-Rule in PRF:

$$\frac{1}{2} = J_q^z + J_g^z = \frac{1}{2} \Delta\Sigma + \sum_q \mathcal{L}_q^z + J_g^z$$

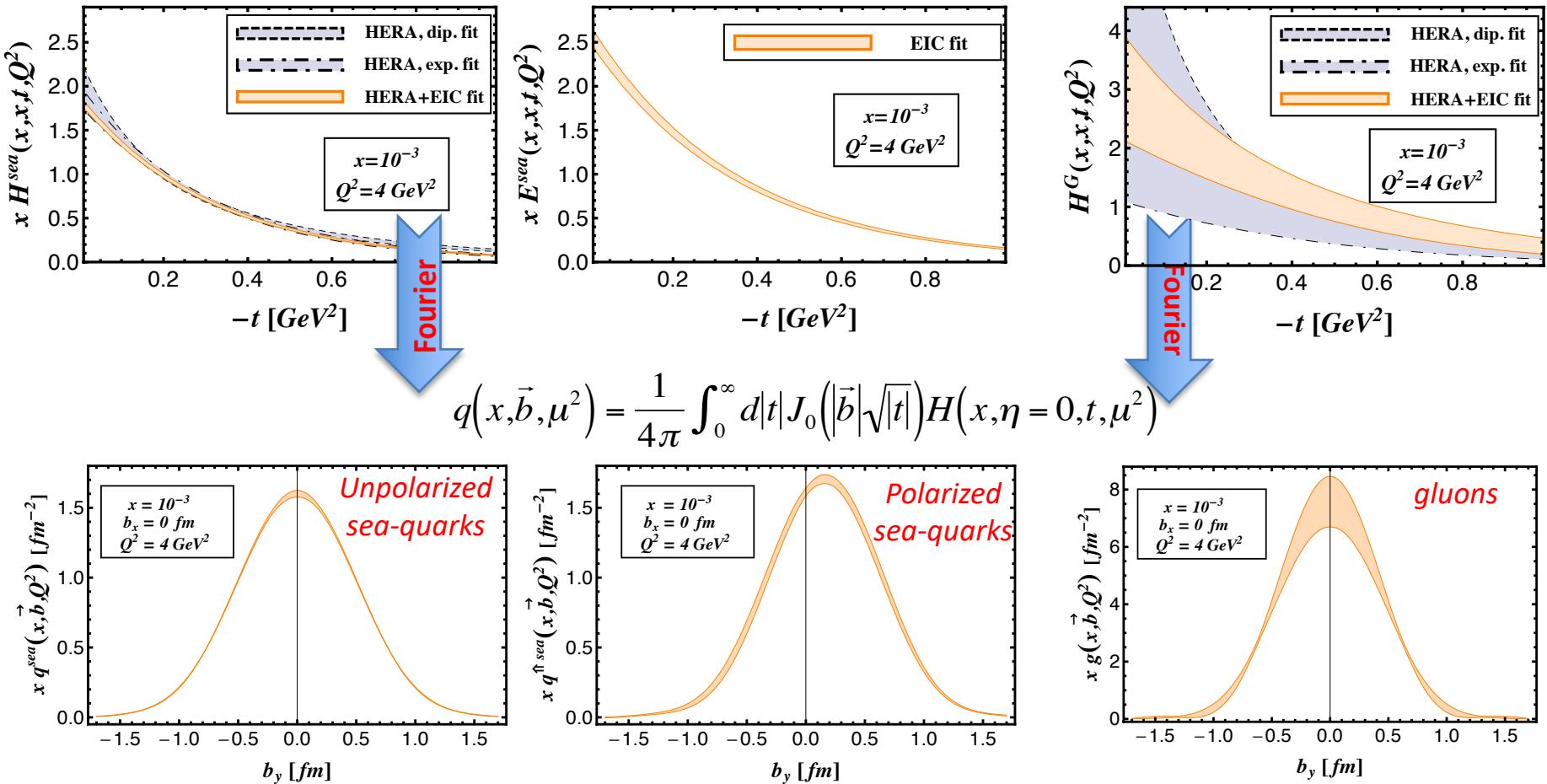
$$J_{q,g}^z = \frac{1}{2} \left( \int_{-1}^1 x dx (H^{q,g} + E^{q,g}) \right)_{t \rightarrow 0}$$

Gives access to GPD E

E.C. Aschenauer, S. F., K. Kumerički, D. Müller  
JHEP09(2013)093

# DVCS-based imaging

- A global fit over all mock data was done, based on: [Nuclear Physics B 794 (2008) 244–323]
- Known values  $q(x)$ ,  $g(x)$  are assumed for  $H^q$ ,  $H^g$  (at  $t=0$  forward limits  $E^q$ ,  $E^g$  are unknown)



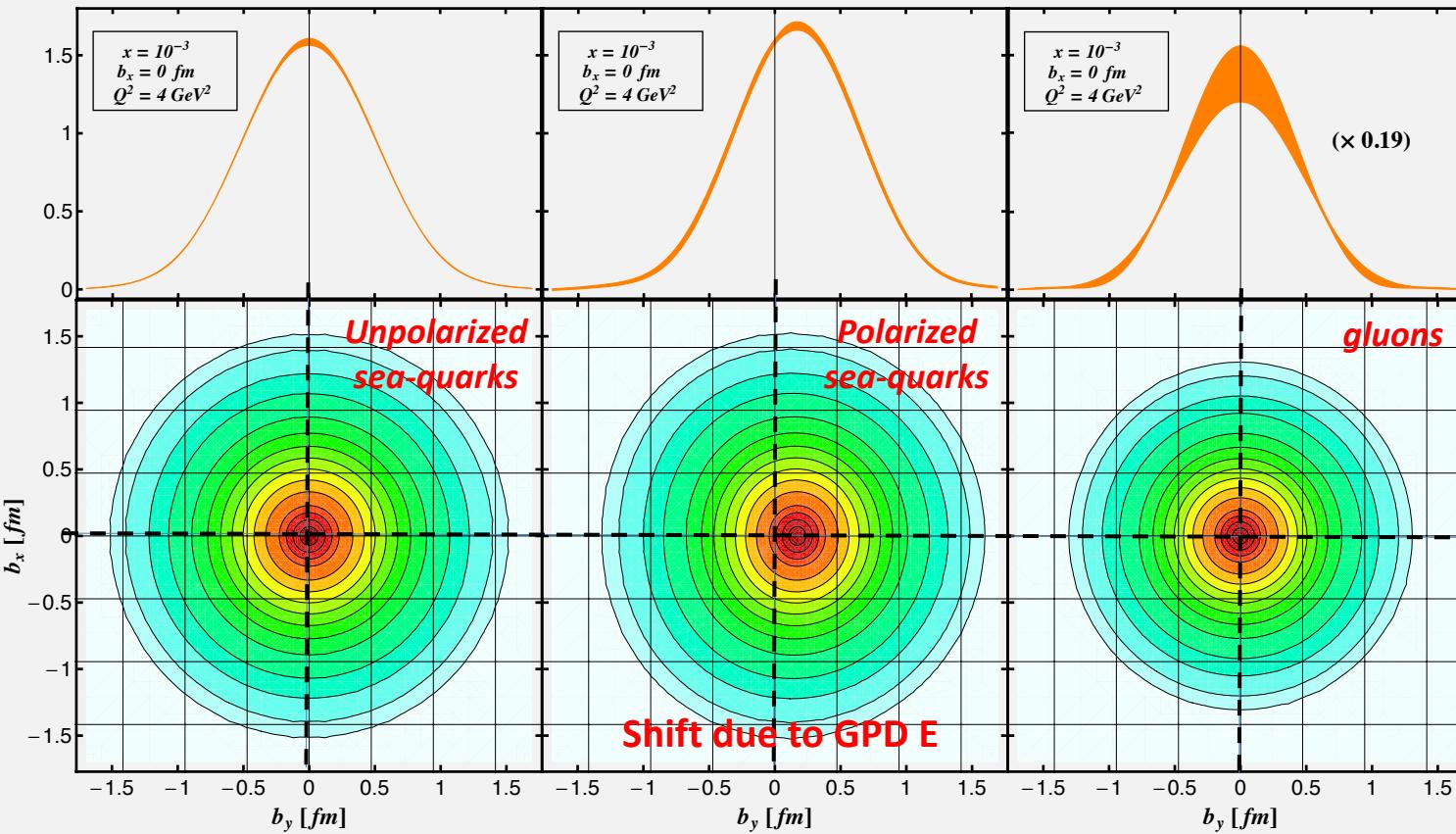
E.C. Aschenauer, S. F., K. Kumerički, D. Müller, JHEP09(2013)093

# Spatial Imaging – as in the EIC White Paper

$x q^{sea}(x, \vec{b}, Q^2) [fm^{-2}]$

$x q^{\dagger sea}(x, \vec{b}, Q^2) [fm^{-2}]$

$x g(x, \vec{b}, Q^2) [fm^{-2}]$



E.C. Aschenauer, S. F., K. Kumerički, D. Müller,  
JHEP09(2013)093

## Impact of EIC (based on DVCS only):

- ✓ Excellent reconstruction of  $H^{sea}$ , and  $H^g$  (from  $d\sigma/dt$ )
- ✓ Reconstruction of sea-quarks GPD E

## Other capabilities still to be evaluated?

- GPD H-Gluon is nice but can be much better by including J/ $\psi$
- Access to GPD E-gluon  $\rightarrow$  orbital momentum (Ji sum rule)
- Flavor Separation of GPDs (VMP and/or DVCS on deuteron)
- Nuclear imaging (modification of GPDs in p+A collisions)

# How to separate flavors?

## Method 1 – VMP

rho0: 2u+d 9/4g

omega: 2u-d /4g

phi: s,g

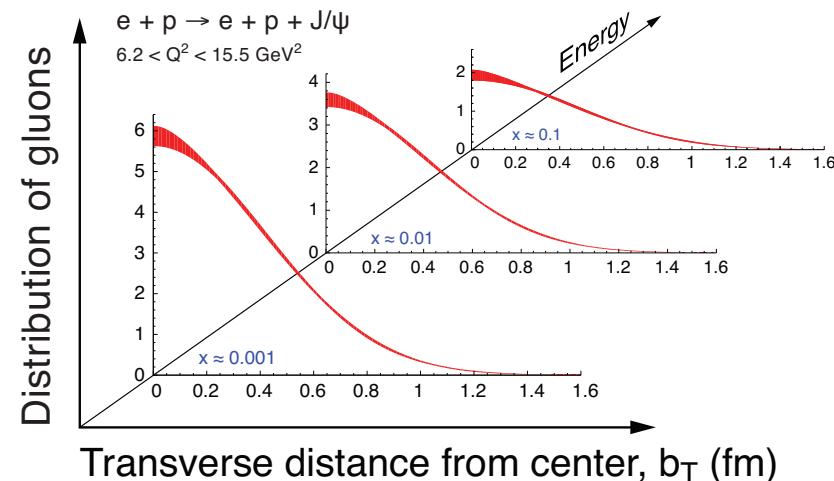
rho+: u-d

J/psi: g

We simulated the J/Psi cross section and the Fourier transform but never included it on GPDs fits

### Challenges of VMP (if compared to DVCS)

- Uncertainty on wave function
- measuring muons vs electron decay channel



## Method 2 – DVCS on protons and neutrons

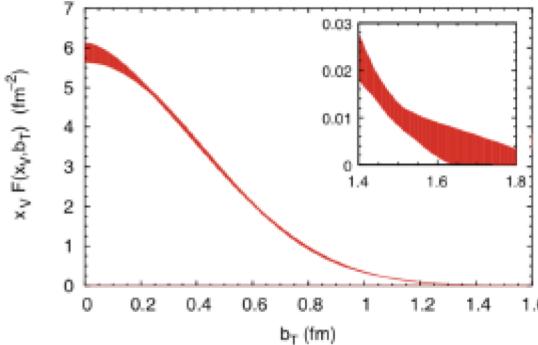
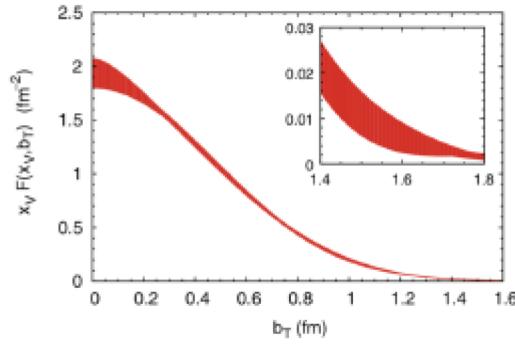
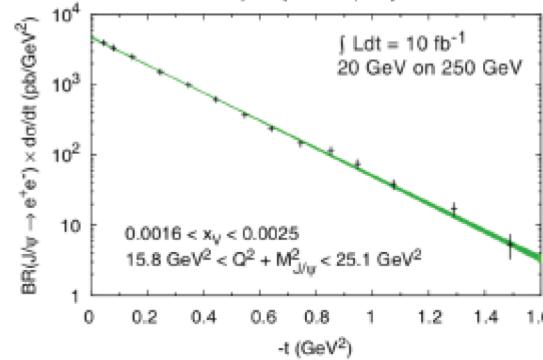
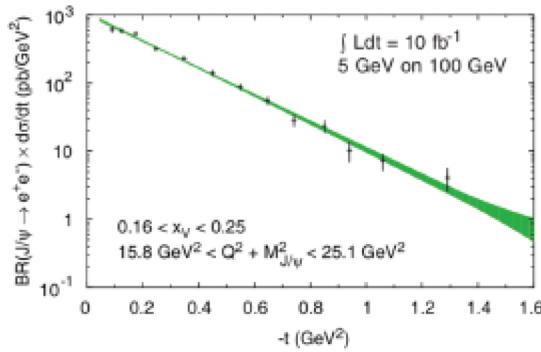
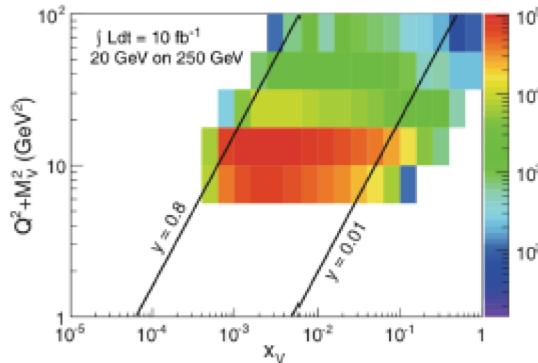
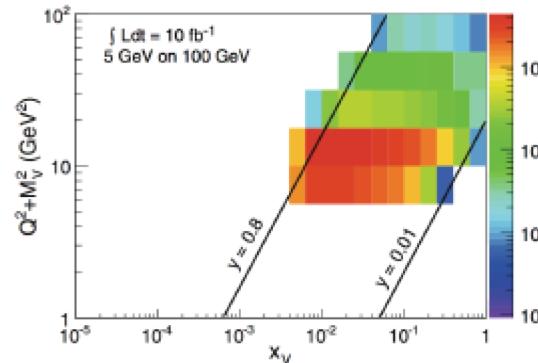
- We do not use a real neutron target → Use Deuterium (D)
- We incoherent DVCS on D (D can break up) but coherent on n (tagged by ZDC)
- One still needs J/psi to directly access the gluons and extract  $E_g$

# Imaging gluons with J/ $\psi$

## EIC White Paper

Luminosity:  $10 \text{ fb}^{-1}$

- Measurement dominated by systematics
- Fourier transf. of  $d\sigma/dt \rightarrow$  partonic profiles

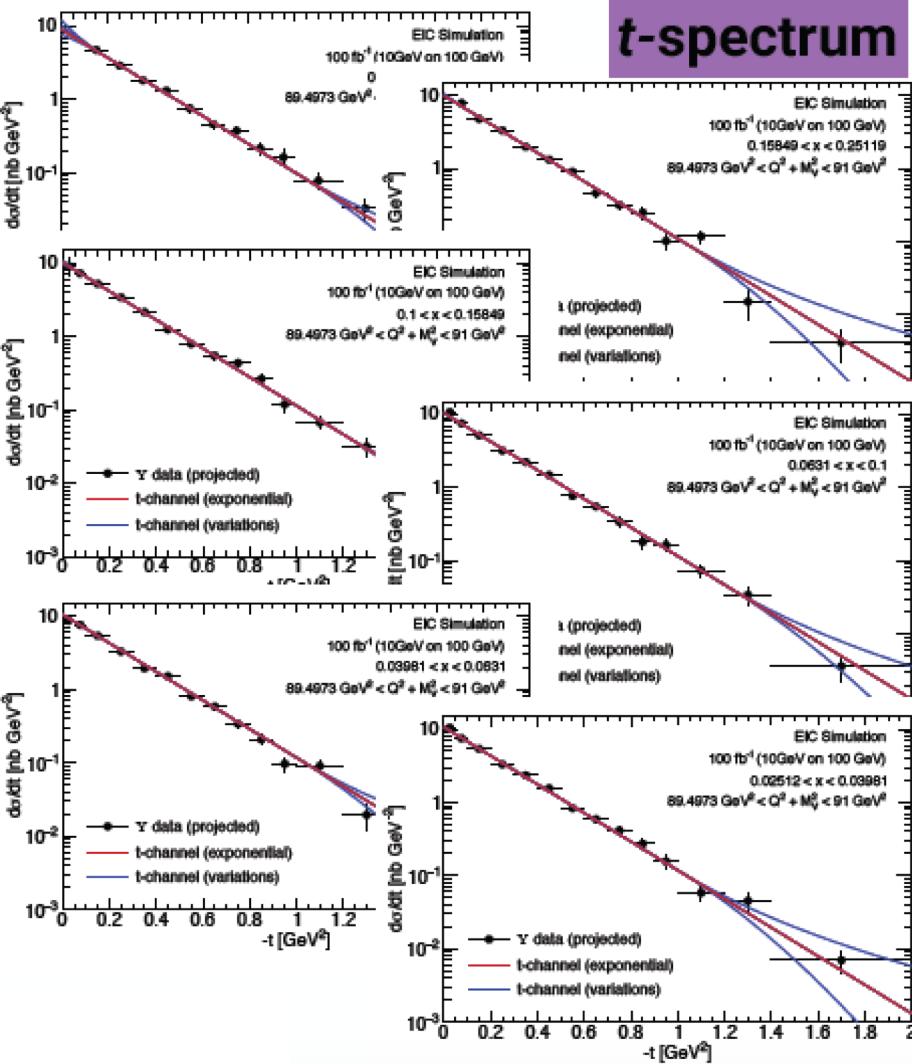


Average gluon densities

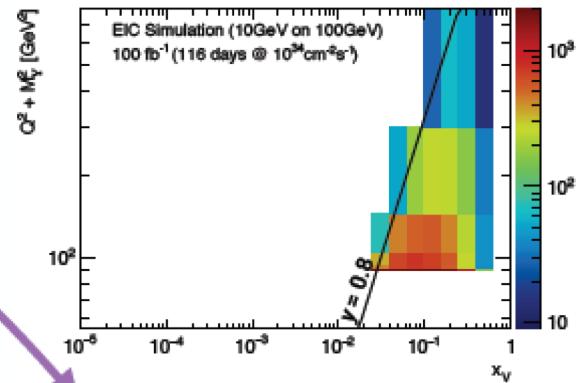
# Imaging gluons with Y(1s)

- ★ Nominal EIC detector
- ★ 10x more luminosity
- ★ Electron and muon channels

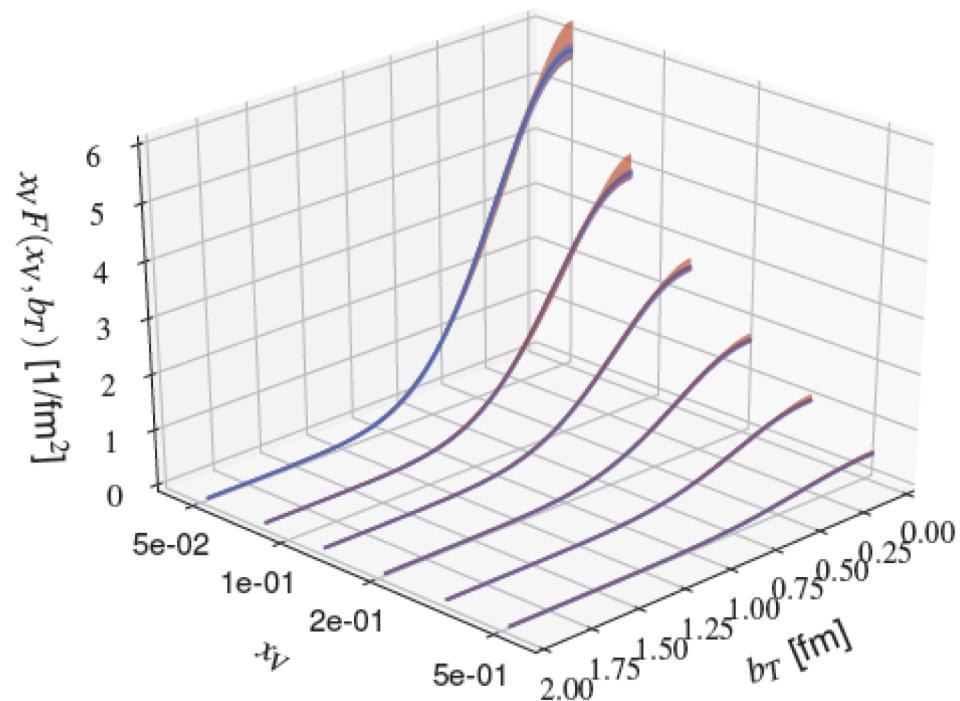
S. Joosten, Z.-E. Meziani  
2018 EICUG Meeting



## t-spectrum



## Average gluon density:



# Topical Workshops



## Next-generation GPD studies with exclusive meson production at EIC

Stony Brook, June 4-6, 2018

<https://indico.bnl.gov/event/4346/>

- Meson production could become essential tool for GPD studies at EIC  
Dedicated community, great interest
- Next-level impact studies need GPD-based physics models
- PARTONS project (H. Moutarde et al) can play important role in integrating GPD efforts at JLab12 and EIC



**Follow-up Workshop:** Warsaw (Poland), January 22-25 2019

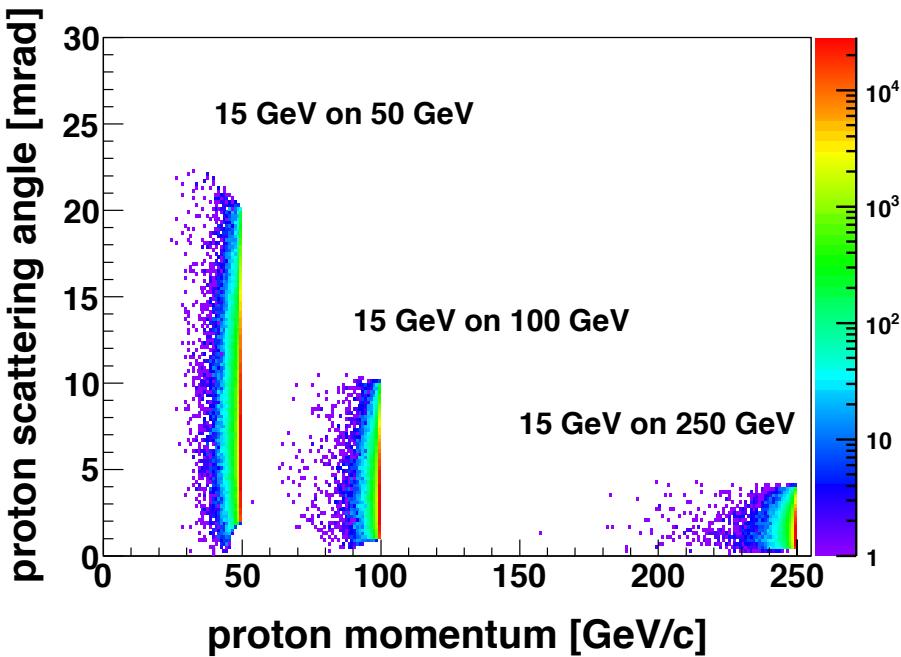
Prospects for extraction of GPDs from global fits of current and future data

22-25 January 2019

Heavy Ion Laboratory (Cyklotron)  
Europe/Warsaw timezone

<https://events.ncbj.gov.pl/event/8/>

# Scattered Proton measurement



## Note:

high energy colliders (HERA, Tevatron, LHC, RHIC) use **Roman Pots** to detect these protons

→ RPs are high resolution movable small tracking detectors (Si strips, Si pixels...), a **crucial component**

→  $\theta < 10$  mrad

→ impact on large  $p_T$ -acceptance

→ small  $p_T$ -acceptance limited by beam divergence and immittance

→ rule of thumb keep 10s between RP and beam

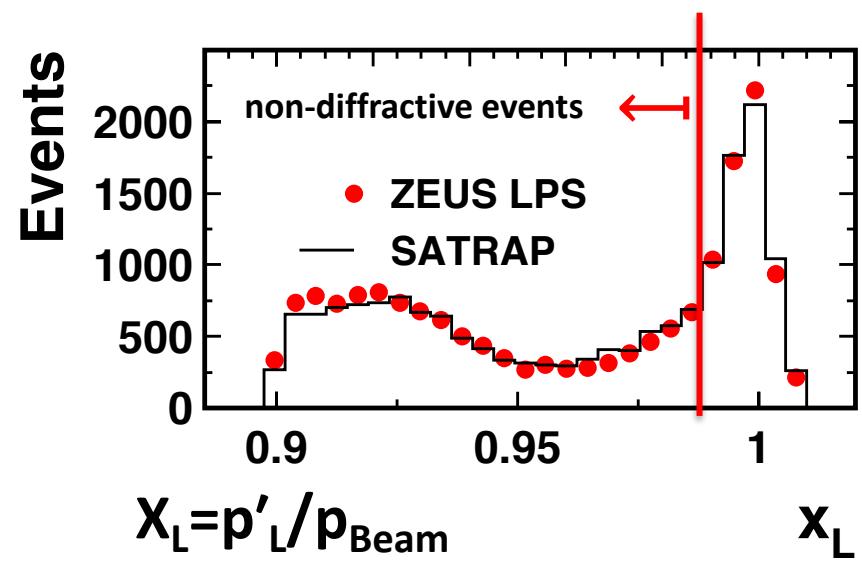
Remember, main detector is 35 mrad from beam line  
 → so not seen in main detector  
 → need different detection technology

$p_T$  of proton critical for physics

$$p_T = p' \sin(\theta)$$

$$p'_L > 97\% \text{ of } p_{\text{Beam}}$$

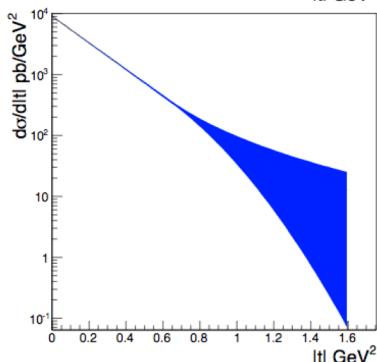
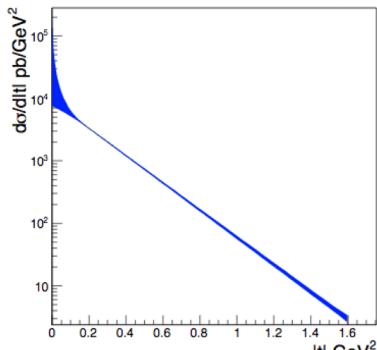
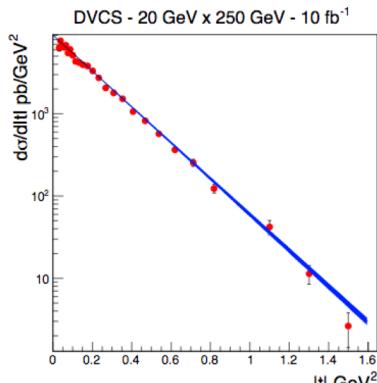
ZEUS Coll, JHEP 06 (2009) 074



$$X_L = p'_L / p_{\text{Beam}}$$

# Impact of proton acceptance

## Measurement



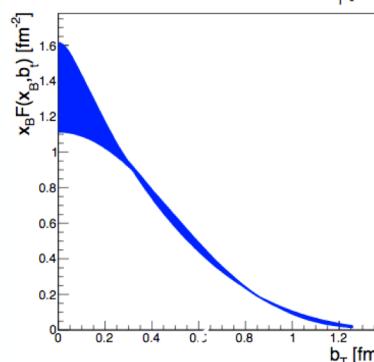
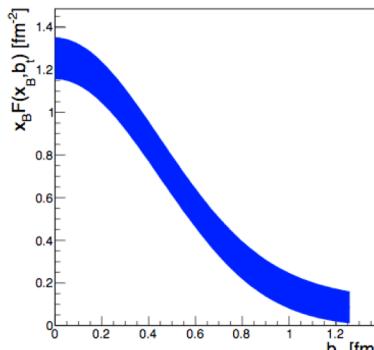
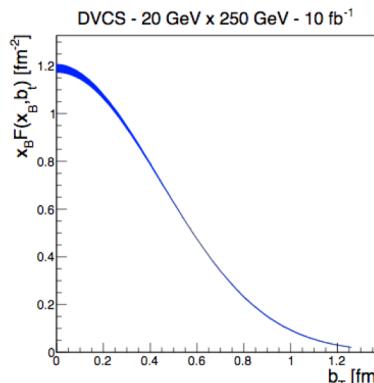
Plots from  
EIC White Paper:

Fourier  
transform

limited  
lower  
 $p_T$ -acceptance

limited  
higher  
 $p_T$ -acceptance

## Physics observable (cross-section vs impact parameter)



### Requirement:

$$\int L_{\text{int}} = 10 \text{ fb}^{-1}$$

$$0.18 < p_T (\text{GeV}) < 1.3$$

$$0.03 < |t| (\text{GeV}^2) < 1.6$$

$$\int L_{\text{int}} = 10 \text{ fb}^{-1}$$

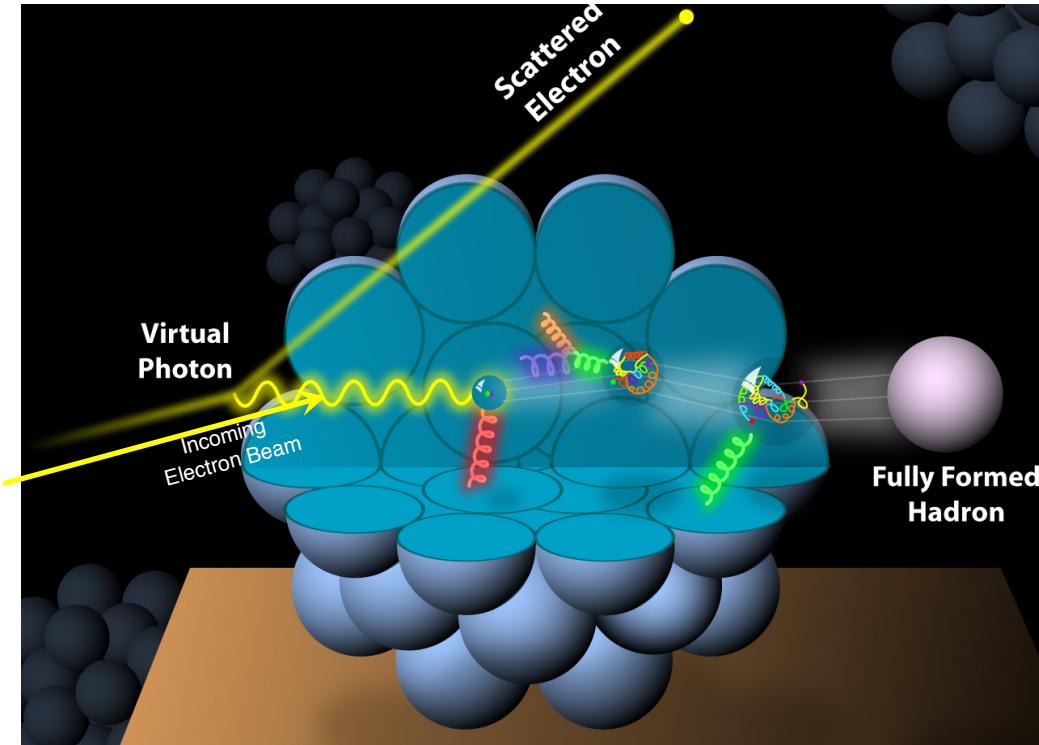
$$0.44 < p_T (\text{GeV}) < 1.3$$

$$\int L_{\text{int}} = 10 \text{ fb}^{-1}$$

$$0.18 < p_T (\text{GeV}) < 0.8$$

We need a proton spectrometer  
with large acceptance!

# Nuclear PDFs and GPDs an Electron-Ion Collider (EIC)

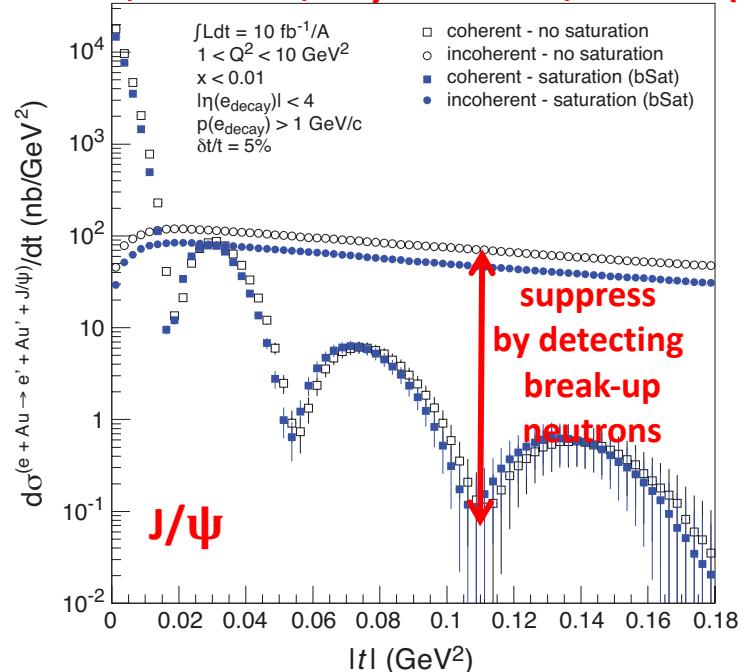


# Imaging the gluons in nuclei

## Diffractive physics in eA

- Measure spatial gluon distribution in nuclei
- Reaction:  $e + Au \rightarrow e' + Au' + J/\psi, \phi, \rho$
- Momentum transfer  $t = |\mathbf{p}_{Au} - \mathbf{p}_{Au'}|^2$

T. Toll, T. Ullrich, Phys. Rev. C87, 024913 (2013)



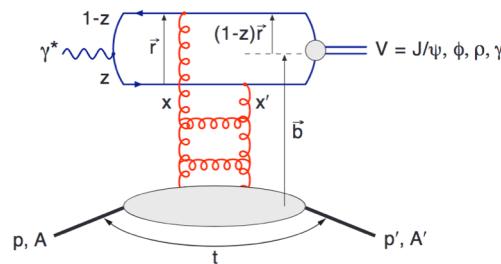
Coherent requires forward scattered nucleus needs to stay intact

- Veto breakup through neutron detection

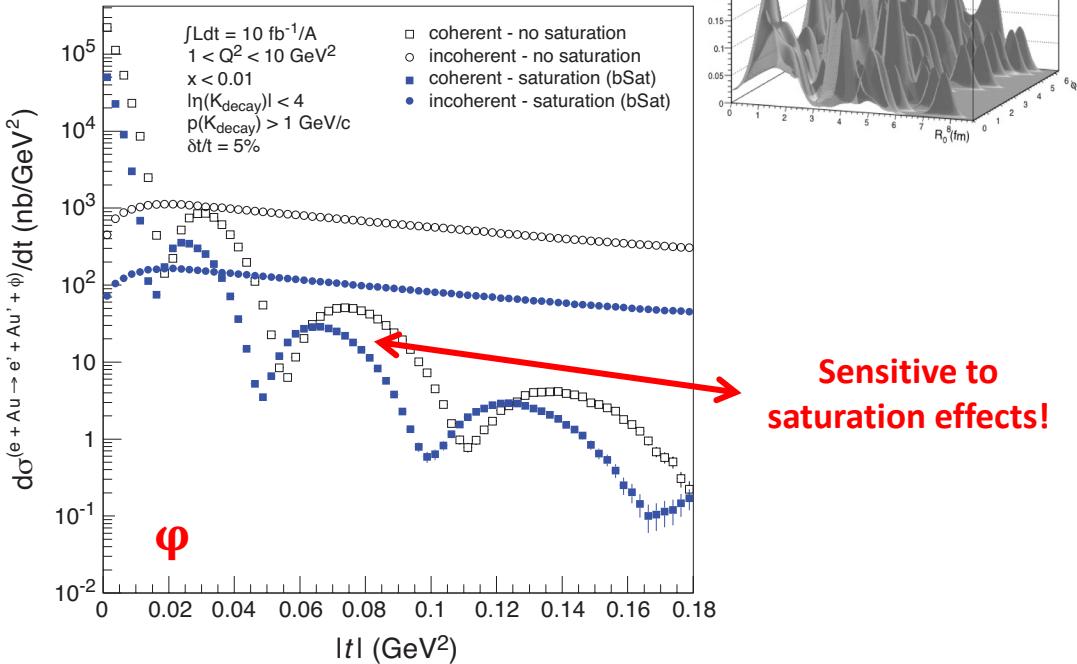
19 DEC 2018

## Hot topic:

- Lumpiness of source?
- Just Wood-Saxon+nucleon  $g(b_T)$
- ☐ coherent part probes “shape of black disc”
- ☐ incoherent part (large  $t$ ) sensitive to “lumpiness” of the source [= proton] (fluctuations, hot spots, ...)



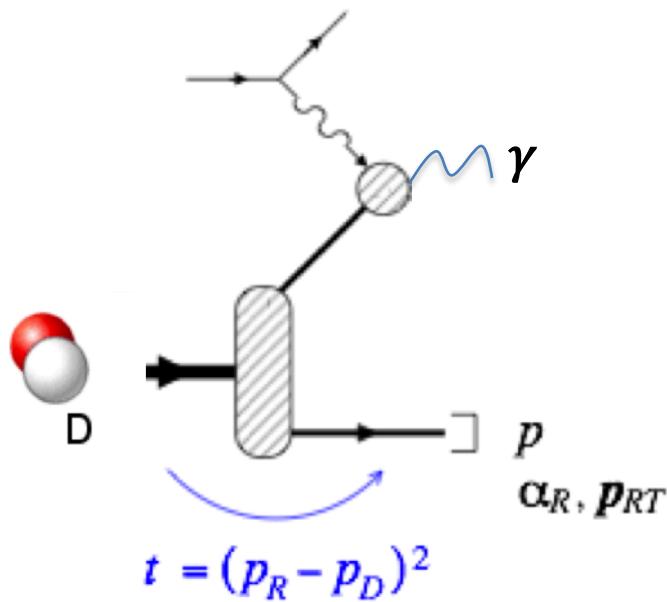
## possible Source distribution with $b_T^g = 2 \text{ GeV}^2$



S. Fazio (BNL)

26

# Measuring neutron via spectator tagging



- Possibility to study neutron structure
- DVCS on neutron compared to proton is important for flavor separation

DVCS on incoherent D (D breaks up) but coherent on the neutron, the “double tagging” method

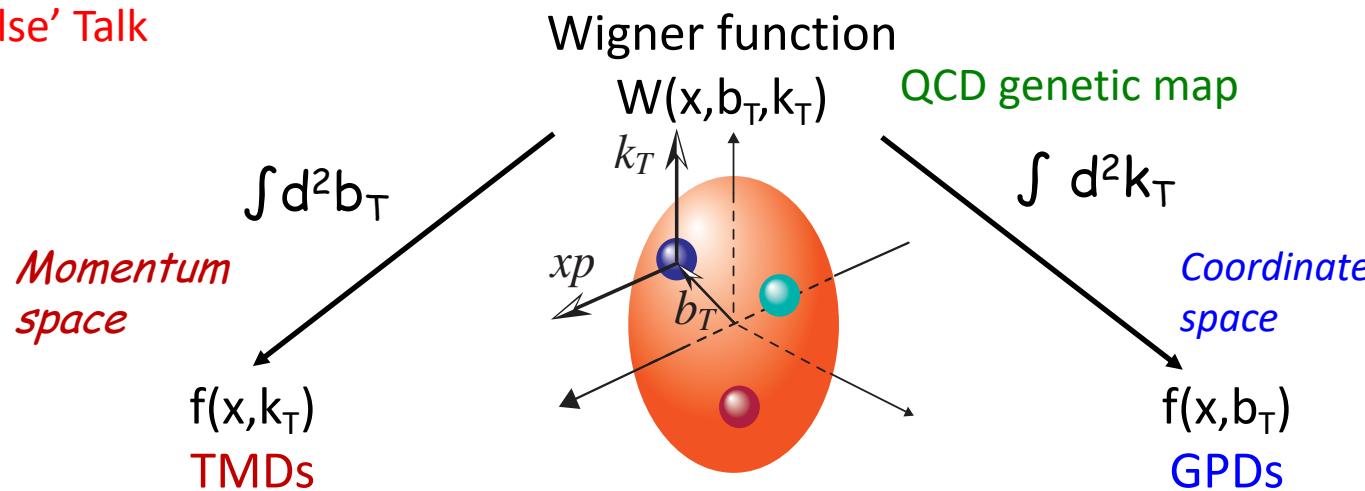
- Tag DIS on a neutron (by the ZDC)
- Measure the recoil proton momentum
- The recoil proton momentum cone is
  - $\alpha_R = (E_R + p_{R||})/(E_D + p_{D||})$  and  $p_{RT}$
- Gives you a free neutron structure, not affected by final state interactions



Polarized He3 also experimentally easy, proton contributions cancel out!

# Direct access to Wigner function

C. Van Hulse' Talk



Process: exclusive di-jet production

First proposed in e+p scattering by:

Yoshitaka Hatta, Bo-Wen Xiao, and Feng Yuan,

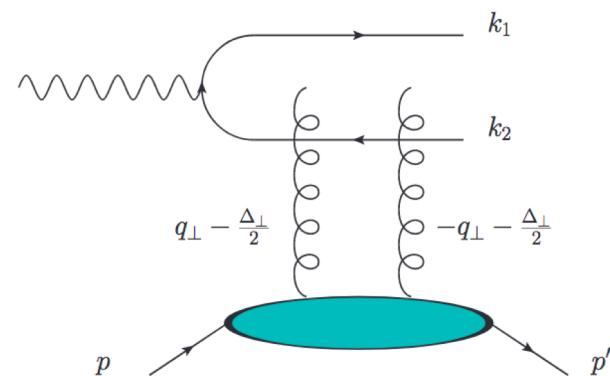
Phys. Rev. Lett. 116, 202301 (2016)

Later extended to UPC:

Y. Hagiwara, Y. Hatta, R. Pasechnik, M. Tasevsky, and O. Teryaev

Phys. Rev. D 96, 034009 (2017)

- **New important EIC physics beyond the W.P.!**
- **EIC impact studies still be done**



# Summary on GPDs

We studied and quantified the capability of an EIC to provide high precision and fine binned DVCS and VMP measurements of both cross sections and asymmetries over a large phase-space. This opens an unprecedented possibility for

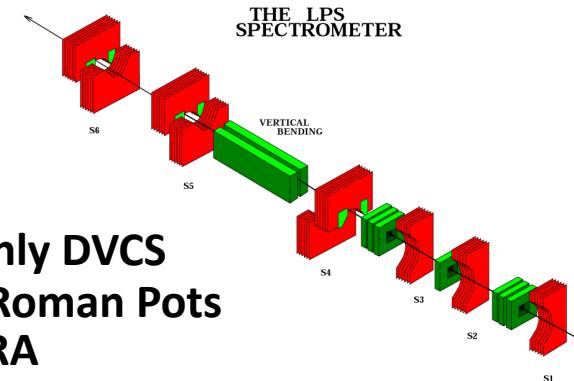
- ❖ Accurate 2+1D imaging of the polarized and unpolarized quarks and gluons inside the hadrons, and their correlations
- ❖ Investigate the proton-spin decomposition puzzle (orbital angular momentum)

## To do list

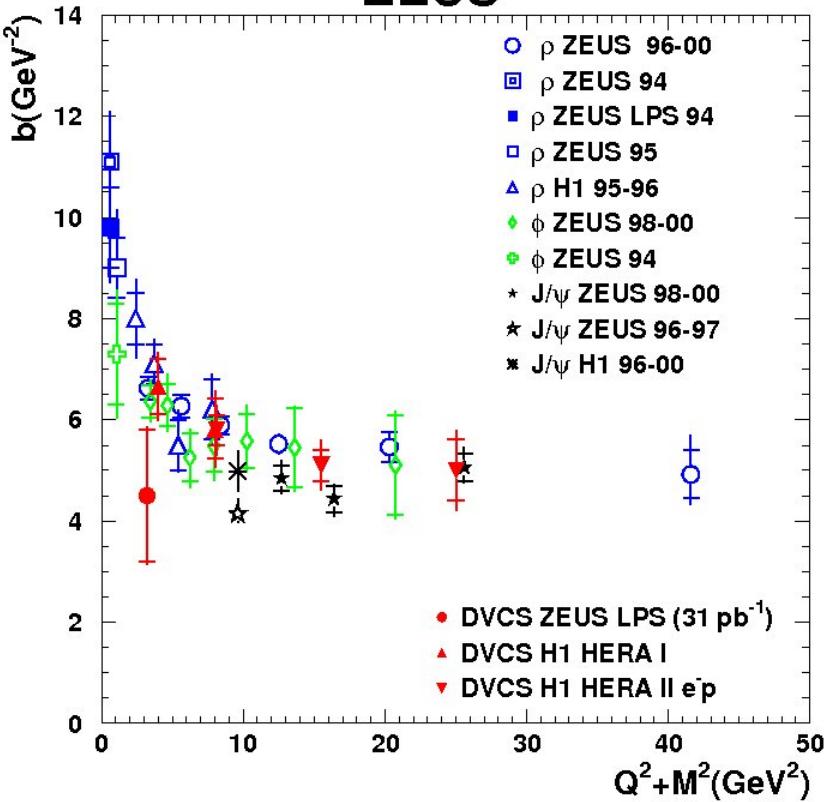
- ❖ Include VMP in global fits (flavor separation, precision on gluons)
- ❖ Study of GPDs in nuclei (and possible gluon saturation effects)
- ❖ Wigner fcn.

# Back up

# DVCS & VMPs at HERA



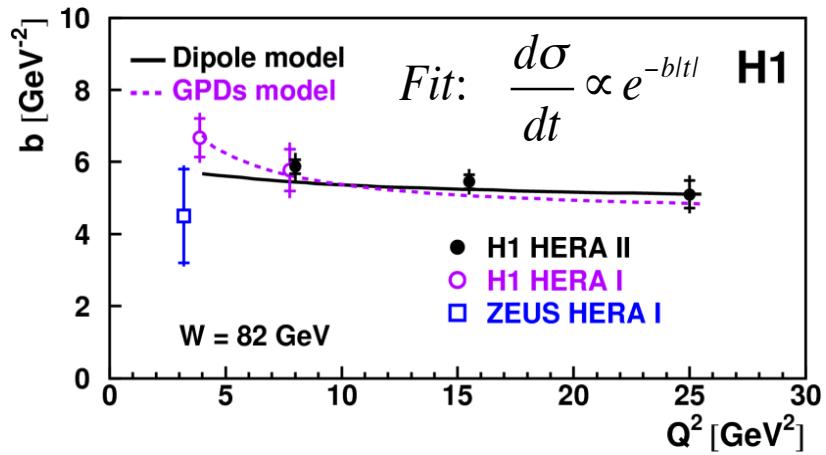
**ZEUS**



$d\sigma/dt$  measured for the first time by a direct measurement of the outgoing proton 4-momentum using the Leading Proton Spectrometer (roman pots)

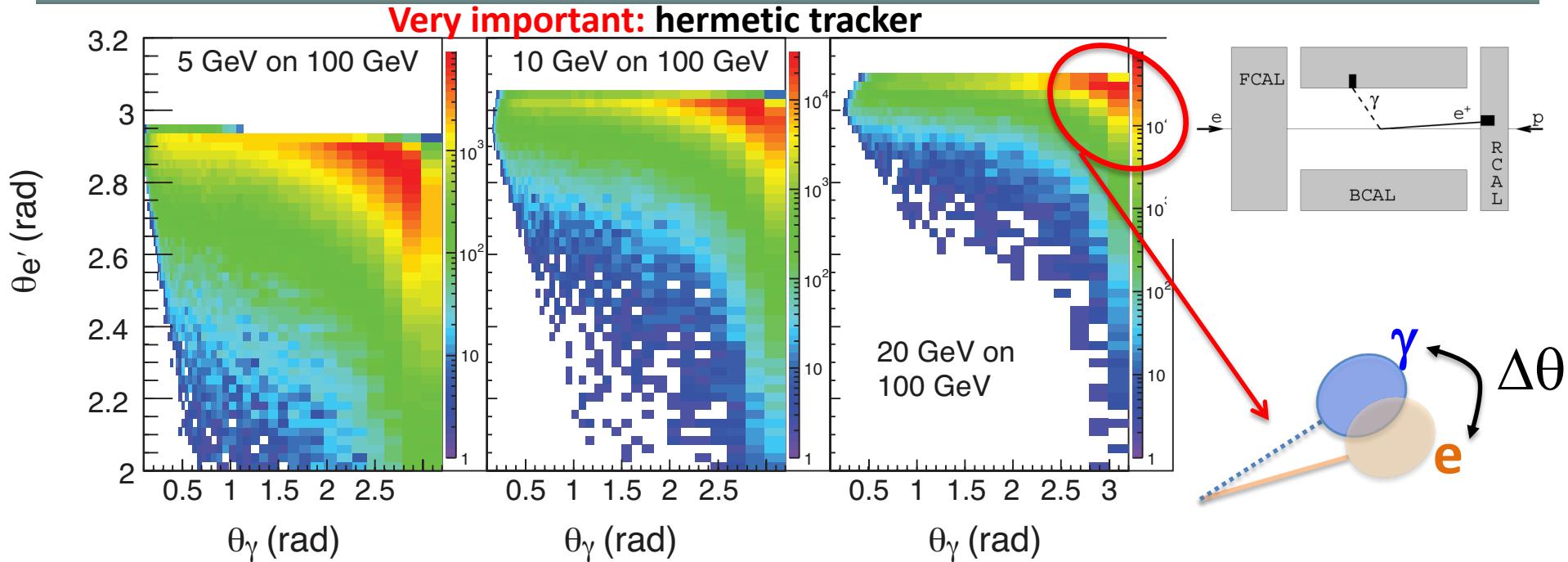
**ZEUS released the only DVCS measurement with Roman Pots Spectrometer at HERA**

- No p-dissociation background
  - $0.08 < |t| < 0.53 \text{ GeV}^2$
  - Low geometrical acceptance → low statistics
- This detector was removed after the HERA II upgrade →  $\mathcal{L} = 31 \text{ pb}^{-1}$



The ZEUS result still statistically compatible with H1, but hints for a flatter trend

# DVCS – clusters separation in rapidity



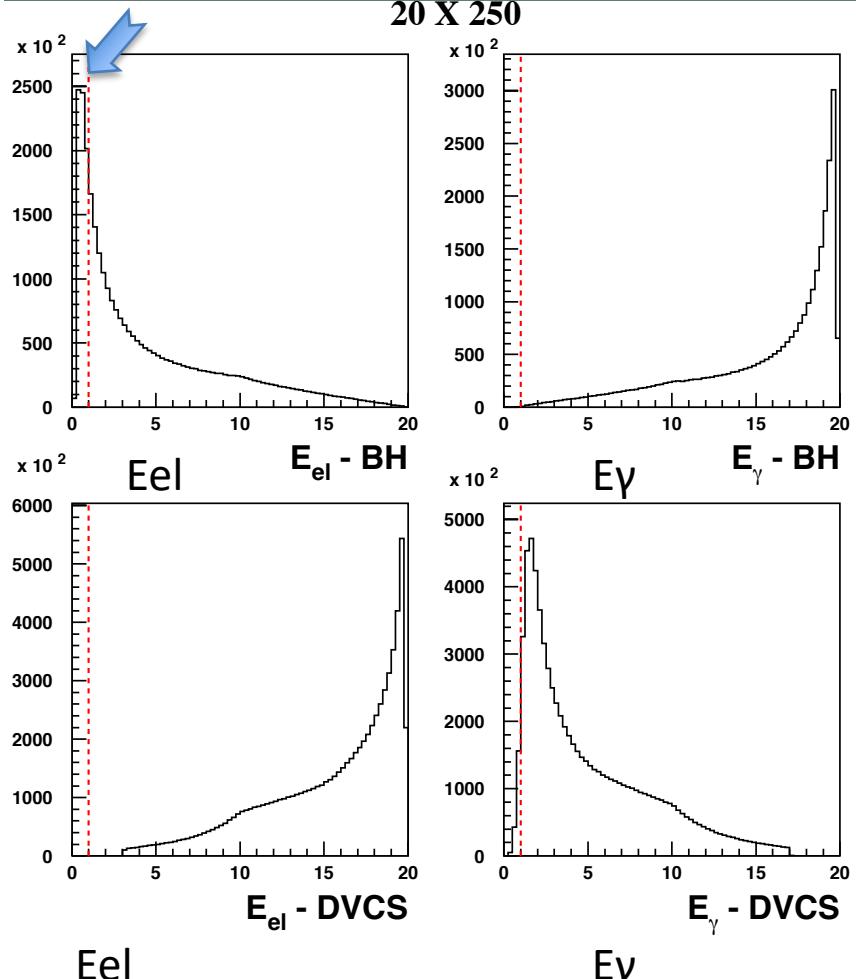
**N.B. - Need for a emCAL with a very fine granularity, to distinguish clusters down to  $\Delta\theta=1$  deg**

This is also important for  $\Delta\phi$  calculation in asymmetries measurement and for BH rejection in the xsec measurement

**N.B. – when electron lies at a very small angle its track can be missing**

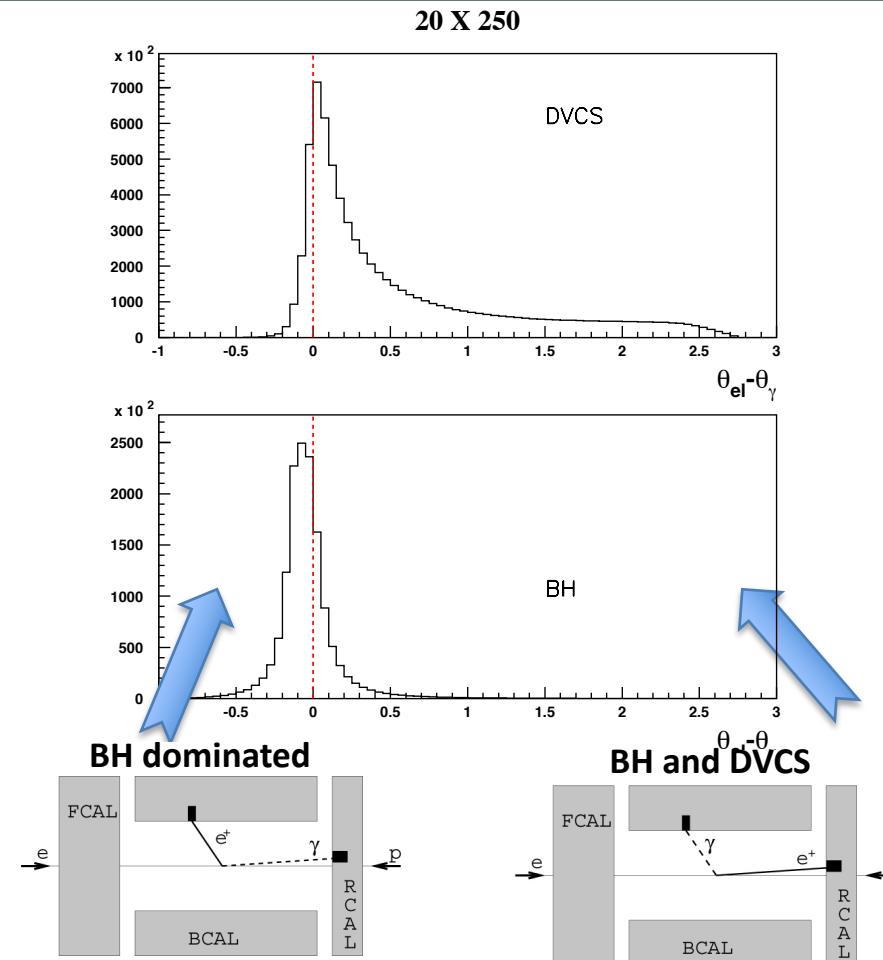
A pre-shower calorimeter needed to control background from  $\pi^0 \rightarrow \gamma\gamma$

# BH suppression



BH electron has very low energy (often below 1 GeV)

**Important:** em Cal must discriminate clusters above noise down to 1 GeV

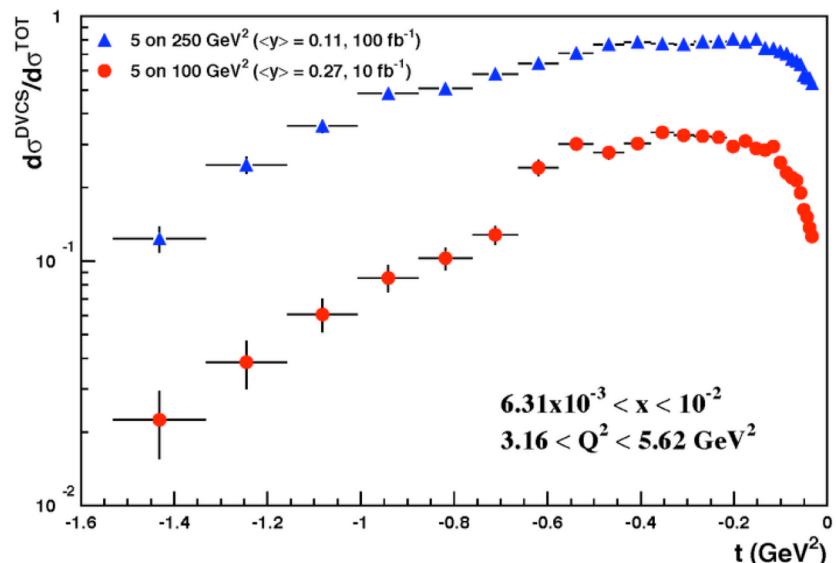
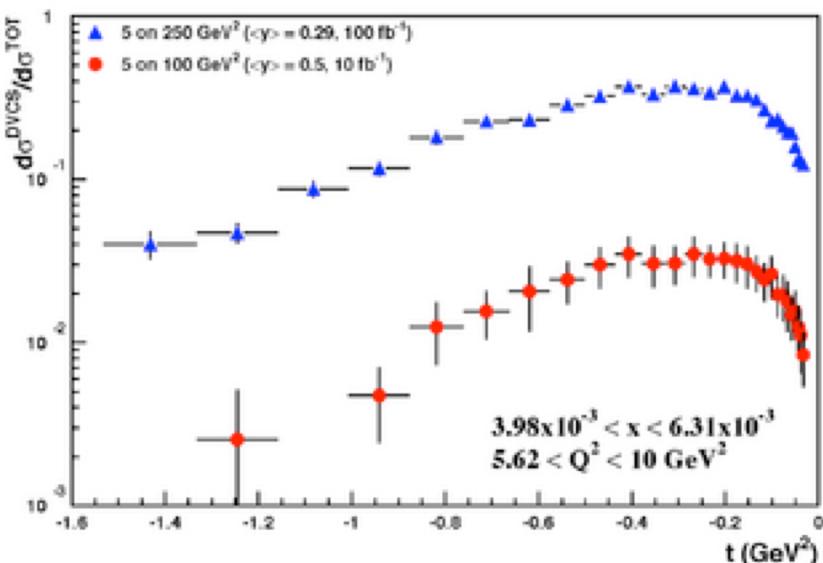


DVCS: most of the  $\gamma$  are less “rear” than  $e$  ( $\theta_{el}-\theta_{\gamma} > 0$ )  $\rightarrow$  rejects most of the BH cuts keep BH below 60% of the sample even at large  $y > 0.5$  – at high energies

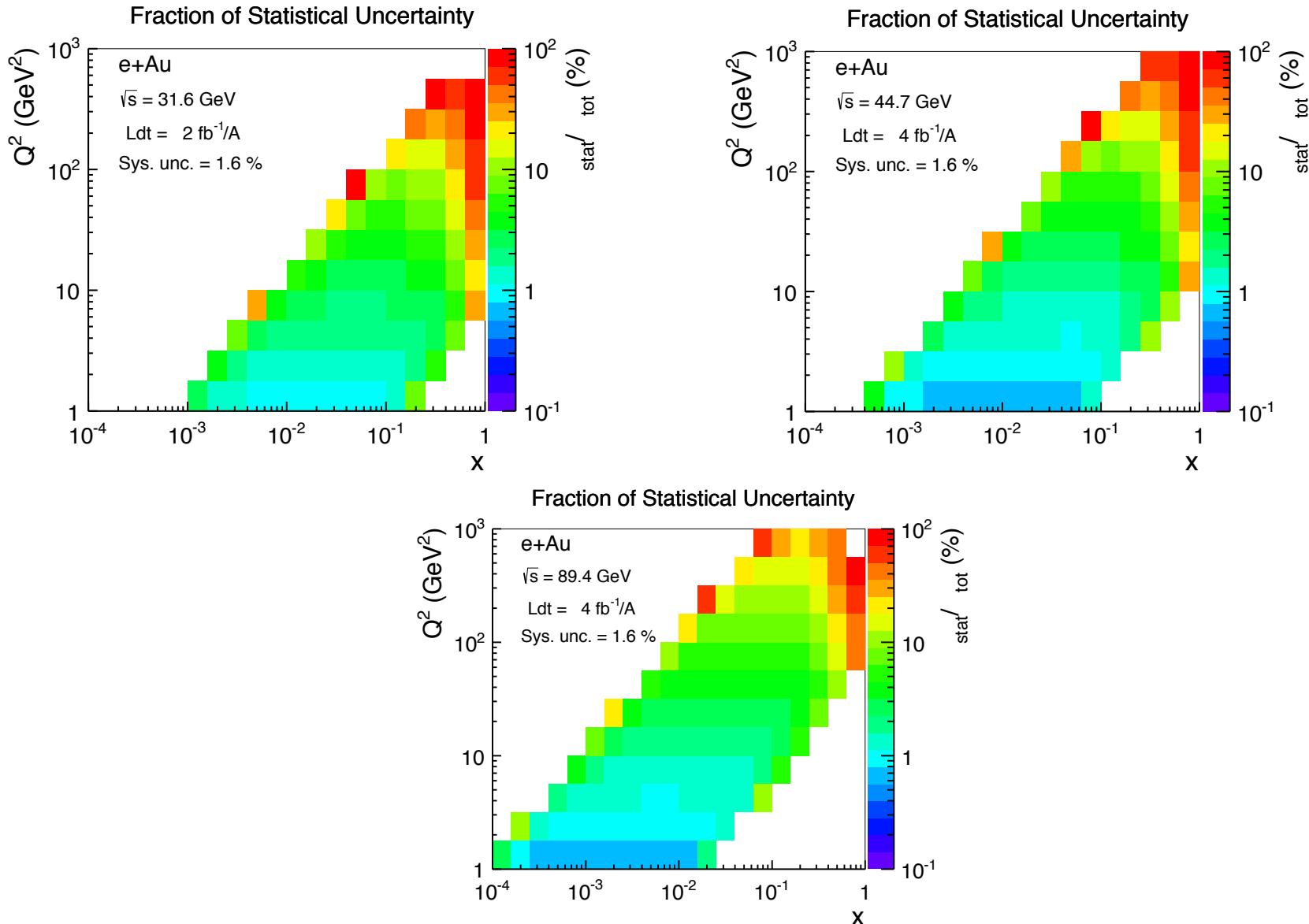
# Rosenbluth separation

$$d\sigma = d\sigma_{DVCS} + d\sigma_{BH} + d\sigma_{INT}$$

Rosenbluth separation of the electroproduction cross section into its parts

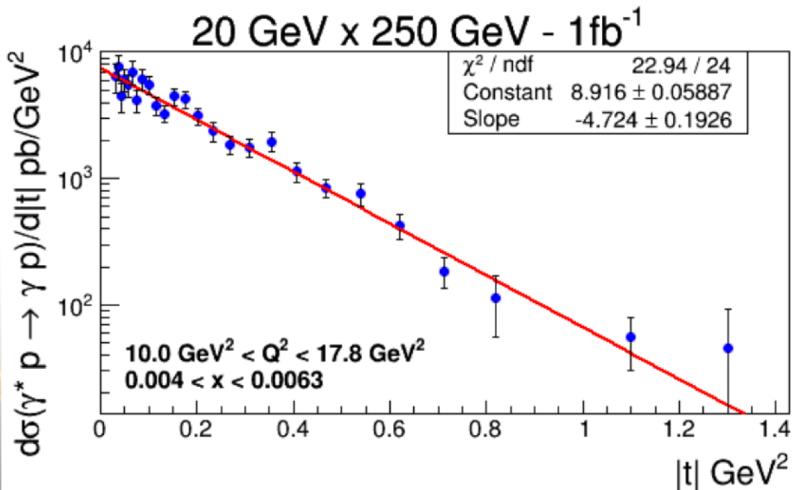
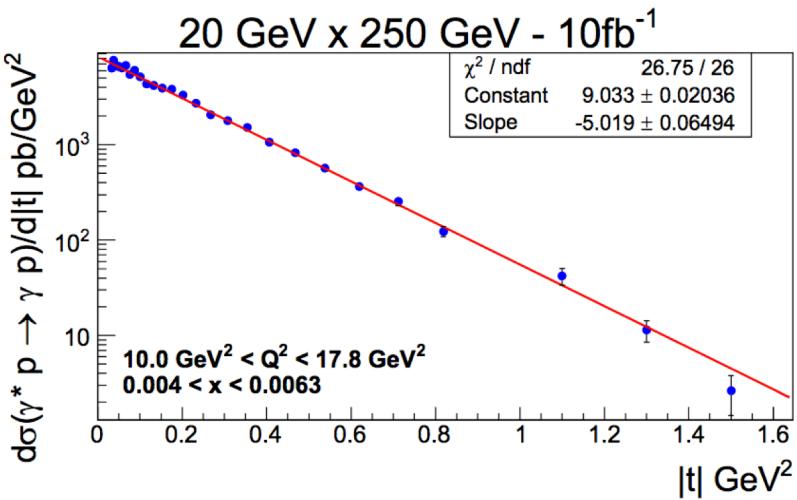


- The statistical uncertainties include all the selection criteria to suppress the BH
- exponential  $|t|$ -dependence assumed

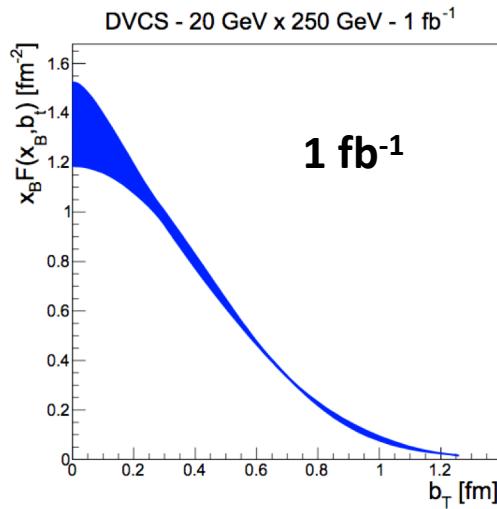
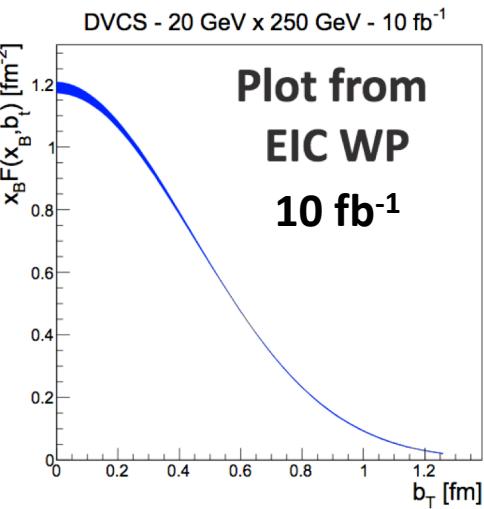


# Impact of collected luminosity

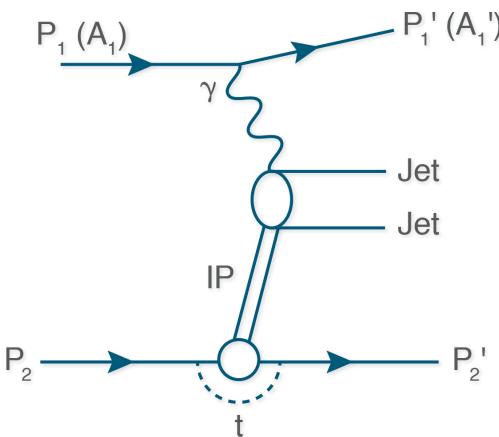
See also B. Mueller's talk



$0.18 < p_T < 1.3 \text{ GeV}$   
 $10 \text{ fb}^{-1} \rightarrow 1 \text{ fb}^{-1}$



# Wigner function in UPC @ RHIC



Y. Hagiwara, Y. Hatta, R. Pasechnik, M. Tasevsky, and O. Teryaev  
Phys. Rev. D 96, 034009 (2017)

Type of collisions:  $p+p$ ,  $A+p$  (where the first  $p,A$  is the photon source)  
Exclusivity requirements:

- Veto proton(nucleus) break up with RPs (ZDC)
- Use RPs to measure the scattered diffractive protons

LHC: C. Van Hulse' Talk

STAR @ RHIC:

→ ideal detector → large acceptance for low  $p_T$  di-jets

(PRD95(2017)71103) + RPs

→ 2017 data: provides proof of principle

→ future  **$p^{\uparrow}+p$  RHIC runs** with upgrade of RP with

curved edgeless sensors

→ factor of ~2 increase in acceptance

Estimated yield @ STAR: ~8000 events in  $p+p$  collisions at  $\sqrt{s}=510$  GeV for a potential run 21/22  
(Assumes RPs spectrometer upgrade)