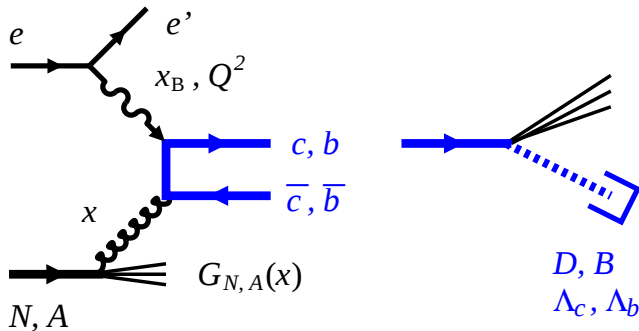


Open heavy flavor production and reconstruction at EIC

C. Weiss (JLab), ECT* Workshop “Spectroscopy at EIC,” 19-Dec-18



E. Chudakov, D. Higinbotham, C. Hyde,
S. Furletov, Yu. Furletova, D. Nguyen
N. Sato, M. Stratmann, M. Strikman,
C. Weiss*, JLab 2016/17 LDRD Project
https://wiki.jlab.org/nuclear_gluons/
[arXiv:1610.08536], [arXiv:1608.08686]

- Context

Physics interest, theory, HERA results

- HF production at EIC

Rates and kinematic dependences

Momentum and angle distributions

- HF reconstruction at EIC

Challenges at large x_B

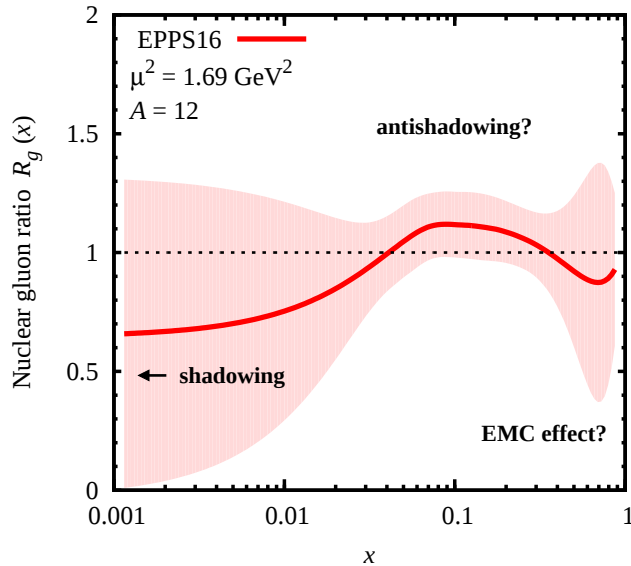
π/K identification, vertex detection

Exclusive D meson decays

Inclusive decays with displaced vertex

Simulation tools

- Applications and extensions



- Physics interest

Direct probe of gluon density in target

LDRD project: Nuclear gluons at $x \gtrsim 0.1$
EMC effect, antishadowing $\leftrightarrow$ NN interactions in QCD

HF propagation and hadronization in matter

HF spectroscopy

- Theory of HF production in DIS

LO photon-gluon fusion

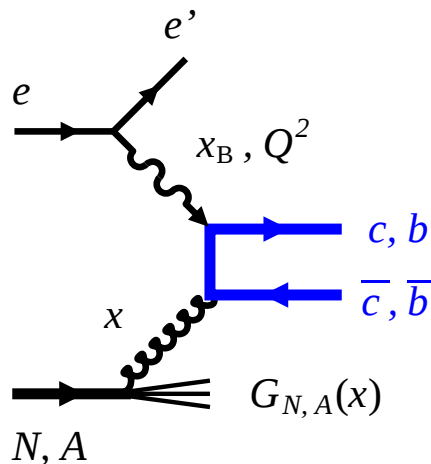
Probes gluons at $x > ax_B$, $a = (1 + 4m_h^2/Q^2)$

Higher orders calculated, uncertainties estimated

Laenen, Riemersma, Smith Van Neerven, Harris 93+.
Alekhin, Moch, Blümlein, Vogt, Kawamura et al. 11+

Inclusive σ^c and differential $d\sigma^c/d\eta dp_T$

Photoproduction $Q^2 = 0$ also hard process



- $c\bar{c}$, $b\bar{b}$ production in $ep/\gamma p$

Mostly $x < 10^{-2}$

Various reconstruction methods

Extensive tests of theory

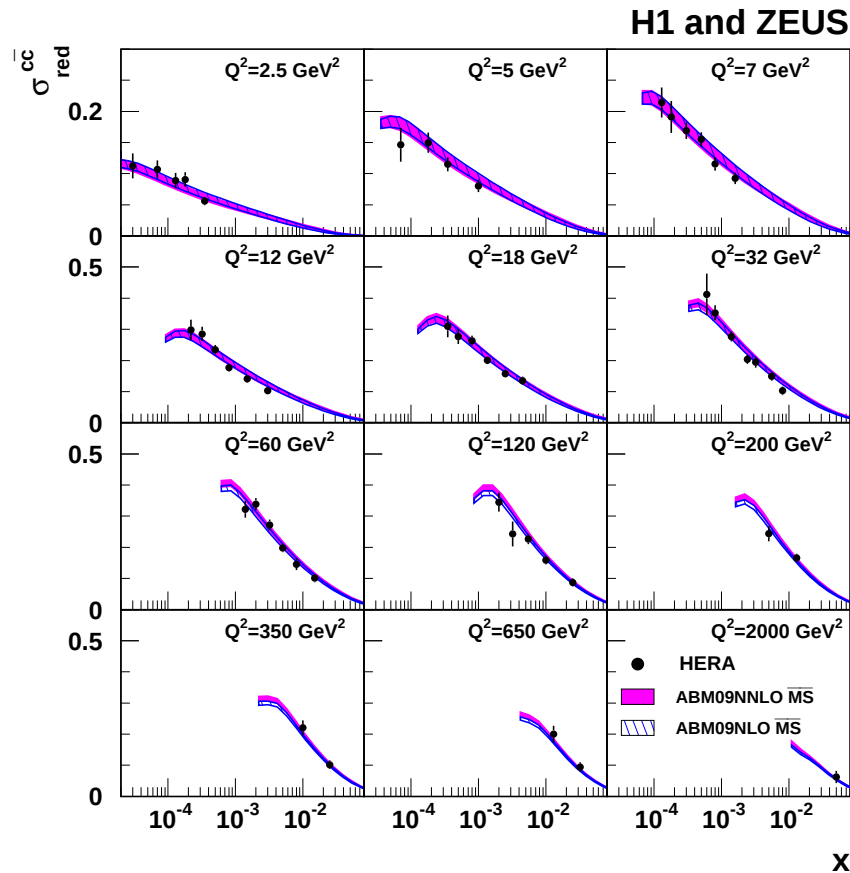
Measurements of $c \rightarrow D$ and $b \rightarrow B$ fragmentation functions

- Simulation tools

HVQDIS LO/NLO cross secn
+ MC integration Harris, Smith 98

Simple codes for QCD cross secns
and rate estimates JLab LDRD

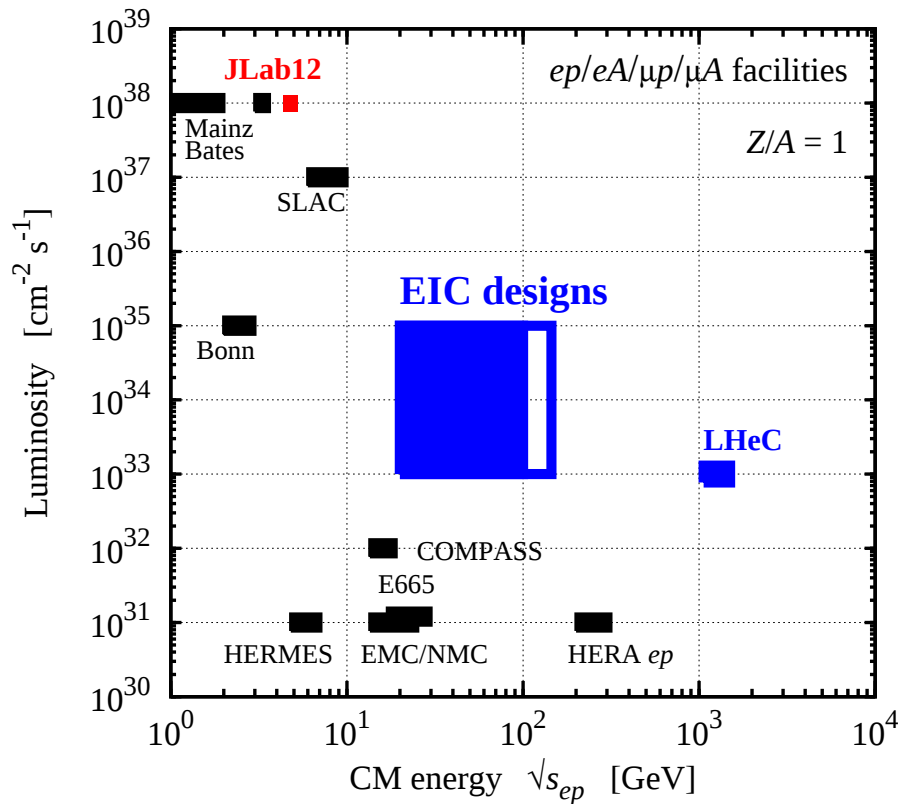
PYTHIA, HERWIG generators
for full DIS final state π , K , ...



H1 + ZEUS summary. H. Abramowicz *et al.* EPJC 73 (2013) 2311

Context: EIC capabilities

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- CM energy $\sqrt{s_{ep}} \sim 20\text{--}100$ GeV
Factor $\sqrt{Z/A}$ in nuclei
- Luminosity $\sim 10^{33}\text{--}10^{34}$ cm⁻² s⁻¹
 $\sim 10^2\text{--}10^3 \times$ HERA luminosity
Simulations for int. lumi 10–100 fb⁻¹
- [• Polarized protons and light ions]

[Parameters per EIC White Paper, NAS Study]

- Next-generation detectors

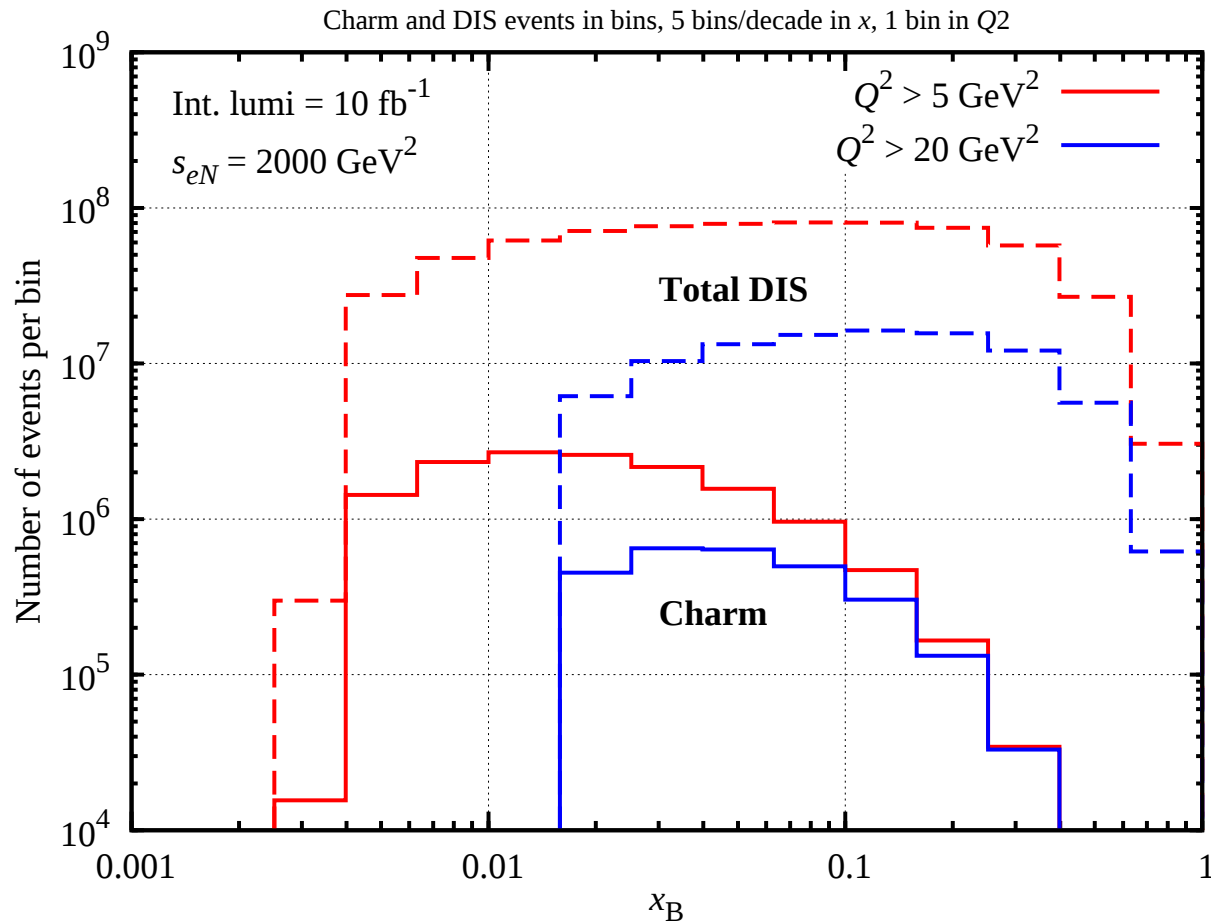
Central & ion endcap: Calorimetry, tracking + PID, vertex detection $|\eta| \lesssim 3.5$

Forward ion: Exclusive and diffractive p , coherent nuclear processes, fragments

Forward electron: Low- Q^2 tagger for quasireal photoproduction

EIC: Charm production rates

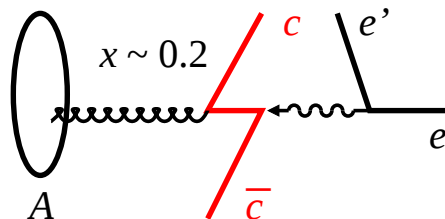
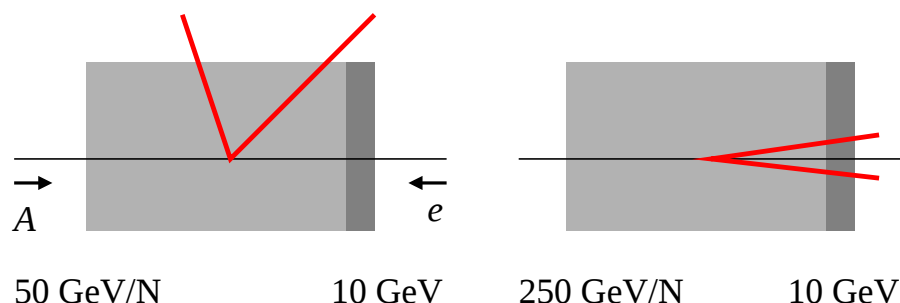
5



- Charm production rates drop rapidly at large x_B
- Charm production rates $\sim 10^5$ at $x_B \sim 0.1$ (int. lumi 10 fb^{-1})
Defines charm reconstruction efficiency needed for physics at $O(10\%)$
- Charm/DIS ratio $\sim 2-3 \%$ at $x_B \sim 0.1$
Defines charm reconstruction environment

EIC: Charm angle/momentum distributions

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- Large- x $c\bar{c}$ pairs produced at central rapidities in low-ratio collider

CM frame of electron-gluon collision
Example: $x = 0.2$ and 10×50 GeV

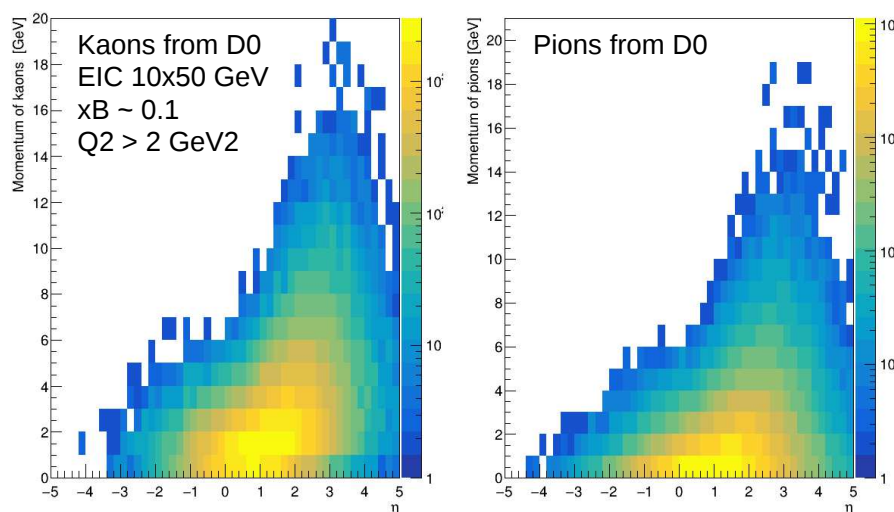
Forward-boosted in high-ratio collider

- π/K from D decays have typical momenta $\lesssim 5$ GeV

Favorable situation

- Good PID and momentum resolution available in central detector

Enables “new” methods of charm reconstruction with EIC



- Exclusive D -meson decays
- Inclusive decays with displaced vertex

Questions

How well do the methods work at large x ?

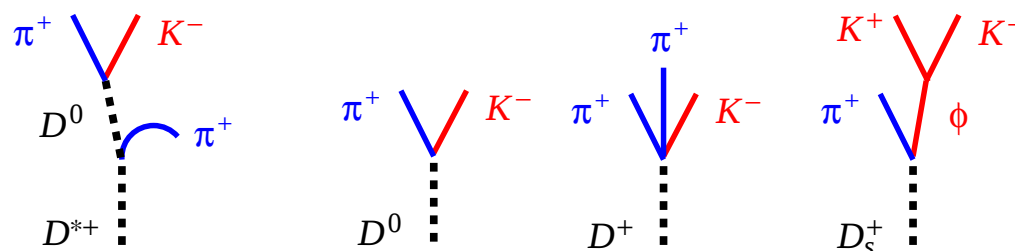
What are the overall efficiencies and uncertainties?

What detector performance is required?

Simulations at different levels

- 1) Theoretical estimates of reconstruction efficiency
- 2) Model acceptance and PID performance, describe resolution effects through smearing of vertex and momentum distributions
- 3) Tracking and vertexing based on schematic JLEIC detector model

h_c	f	Decay	BR
D^0	59%	$K^- \pi^+$	3.9%
		$K^- \pi^+ \pi^+ \pi^-$	8.1%
D^+	23%	$K^- \pi^+ \pi^+$	9.2%
D^{*+}	23%	$(K^- \pi^+)_{D^0} \pi^+_{\text{slow}}$	2.6%
		$(K^- \pi^+ \pi^+ \pi^-)_{D^0} \pi^+_{\text{slow}}$	5.5%
D_s^+	9%	$(K^+ K^-)_\phi \pi^+$	2.3%
Λ_c^+	8%	$p K^- \pi^+$	5.0%



- Simple exclusive channel $D^{*+} \rightarrow \pi^+(\text{slow}) + (K^- \pi^+)_{D^0}$

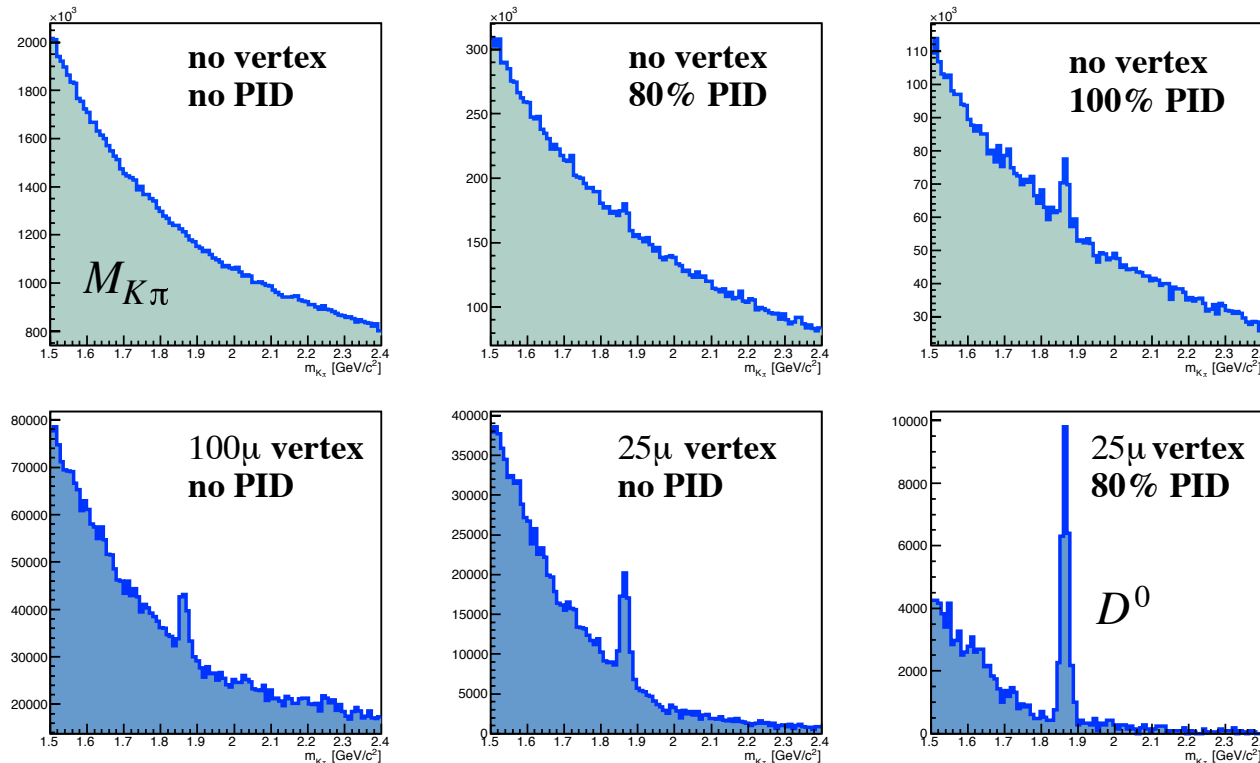
Used at HERA without PID. Efficiency $< 1\%$

- EIC PID + vertex detection allow use of other exclusive channels D^0, D^+, D_s^+
- Theoretical efficiency $\sim 10\%$ summed over channels

Fragmentation ratio $f \times$ Branching ratio BR

EIC: Impact of PID and vertex detection

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Invariant mass spectrum of two charged tracks/mesons in sample of charm events with $Q^2 > 10 \text{ GeV}^2$ and $x_B > 0.05$. PYTHIA 6 simulation, arbitrary normalization of event sample, no DIS background, vertex cut $100 \mu\text{m}$.

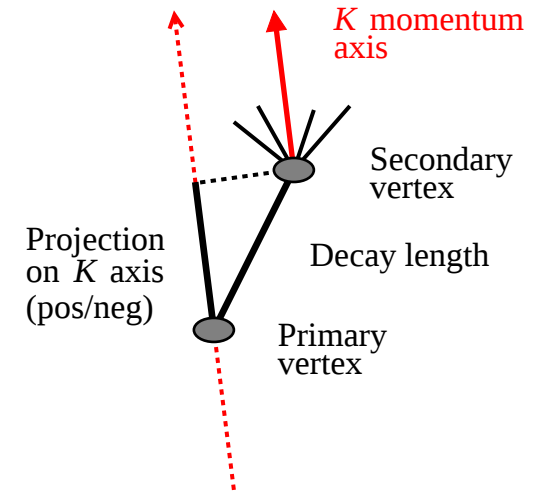
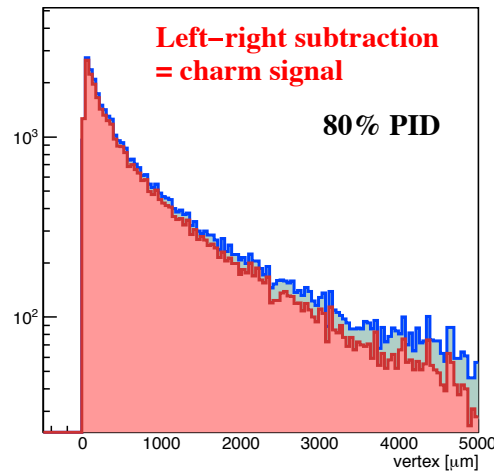
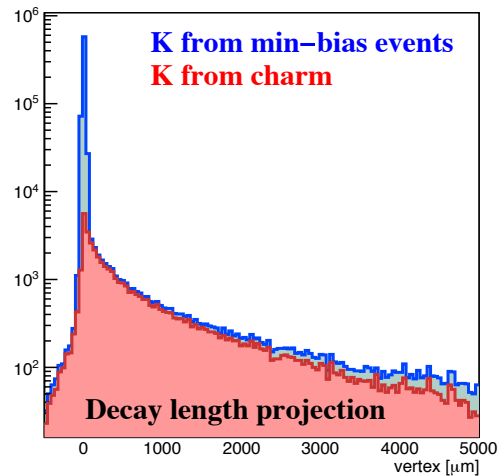
Yu. Furletova, S. Furletov

- Example: D^0 meson reconstruction using exclusive decay $D^0 \rightarrow K^- \pi^+$

Level-2 simulation with mass/momentum and vertex smearing

Also other channels

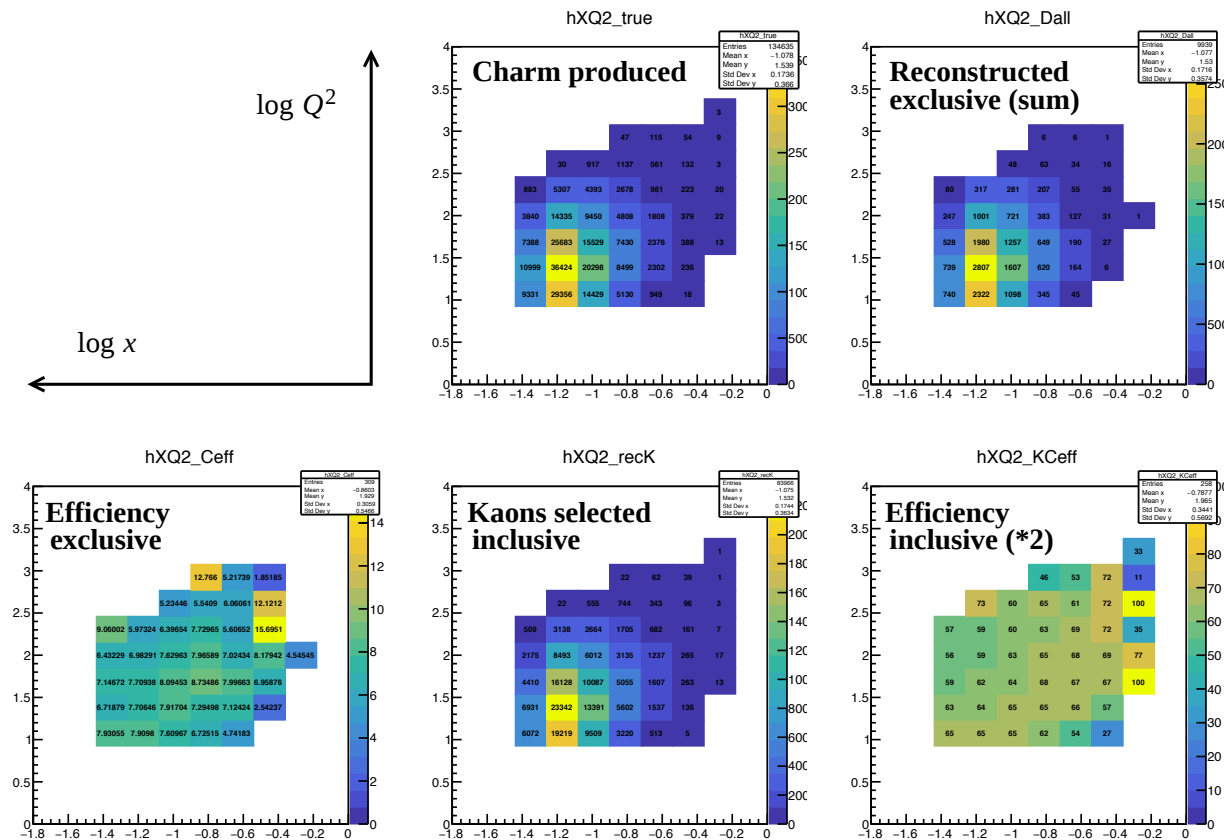
EIC: Charm reconstruction with inclusive modes 10



- Decay length significance distribution
 - Establish secondary vertex
 - Project decay length on jet axis, positive/negative
 - Identify D -meson decays through positive projection
- Used at HERA with vertex detector
- Use for charm at EIC
 - Identified K from PID
 - Efficiency up to $\sim 30\%$

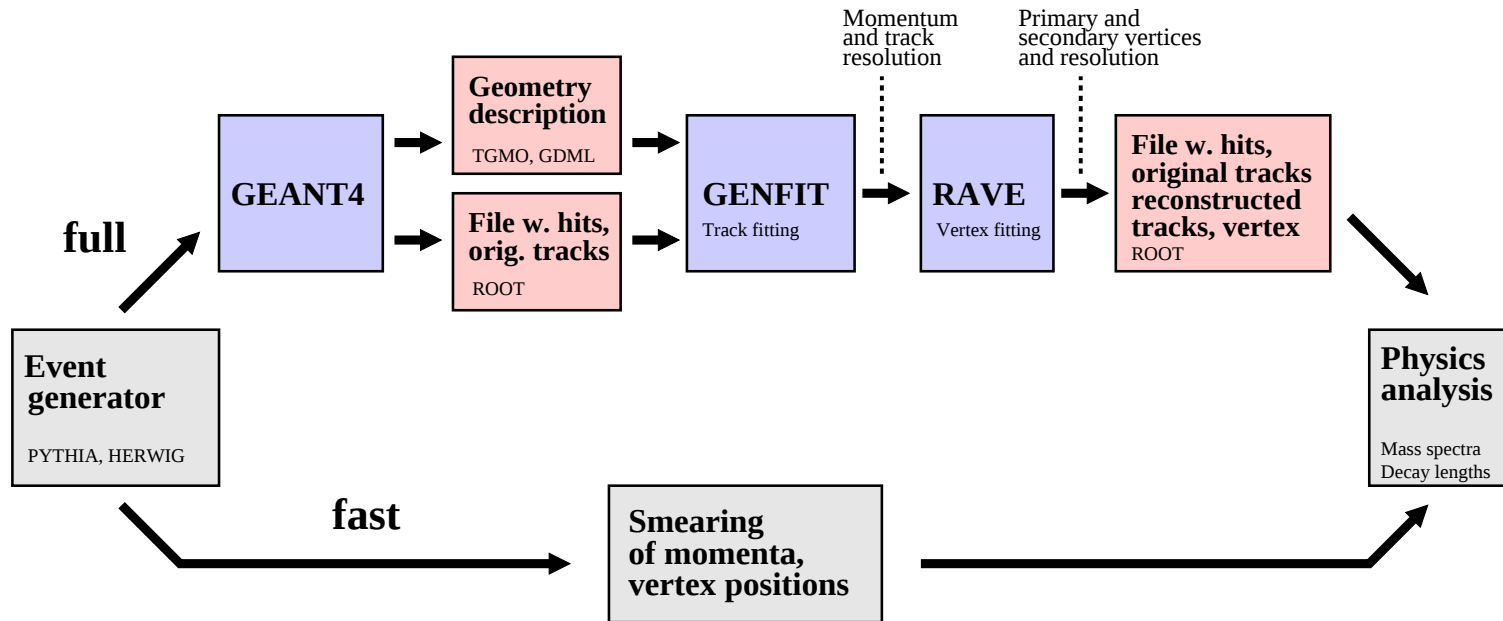
EIC: Charm reconstruction efficiency

11



- Total efficiency estimated $\sim 5\text{-}7\%$ exclusive, $\sim 30\%$ inclusive
- Little kinematic variation in (x, Q^2) region of interest
- Systematic uncertainties? HERA $\lesssim 10\%$
- Both vertex detection and PID are essential for charm reconstruction

Yu. Furltova



- Analysis chain for tracking and vertexing with JLEIC

Yu. Furletova, S. Furletov

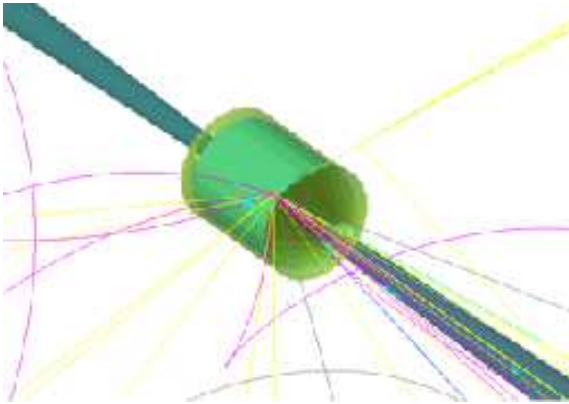
Model of vertex detector and outer tracker/endcap geometry

Based on GEANT4/Root, uses available tools GENFIT, RAVE

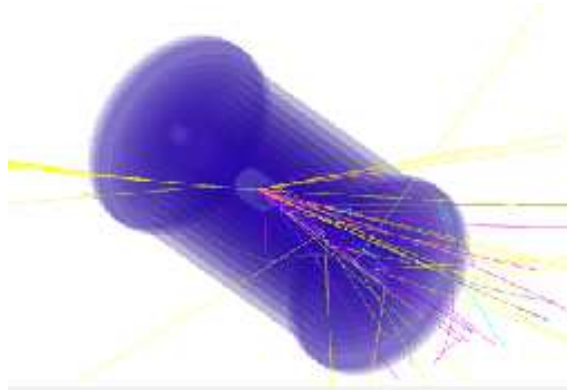
- Can be used in two ways

Verify smearing parameters of Level-2 simulations

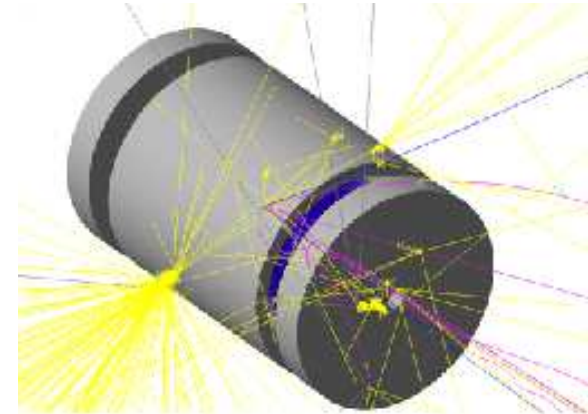
Full event reconstruction



Vertex detector



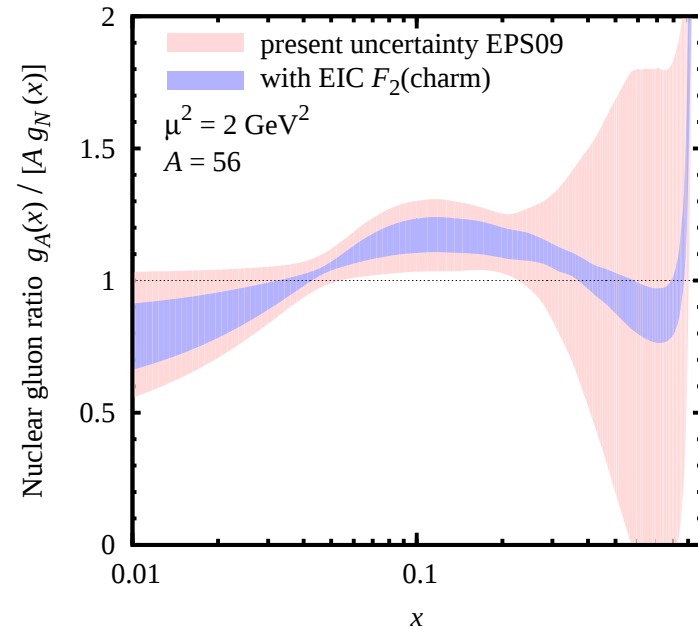
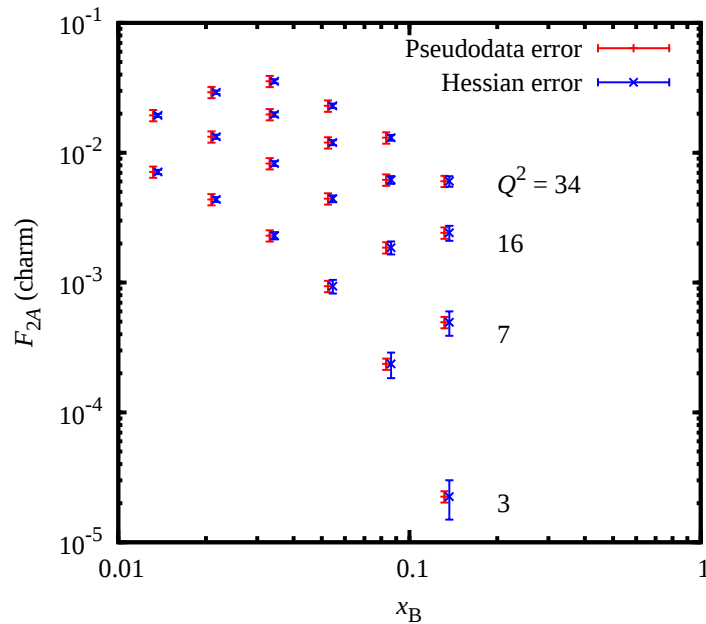
Outer tracker and endcap



Iron calorimeter

- Schematic detector model, describes geometry + magnetic field, implemented in GEANT4
- Can be adapted and optimized for HF simulations
- Open for collaboration

JLab 2016/17 LDRD Project https://wiki.jlab.org/nuclear_gluons/



- Impact of charm production studied using PDF reweighting

$F_2^c(x, Q^2)$ pseudodata, 10% total uncertainty, dominated by systematics, point-to-point

- Substantial impact on large- x nuclear gluons See also: Aschenauer et al, PRD 96 114005 (2017)

- Theoretical uncertainties to be estimated

Nuclear final-state interactions vs. initial-state modifications

Uncertainties of nuclear ratios

Further applications and extensions

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- Charm reconstruction with high- p_T pairs – rare but distinct events
- Charm reconstruction with Λ_c using endcap and forward detector
- Beauty production and reconstruction
- HF photoproduction using low- Q^2 electron tagger

Summary

- Great opportunities for HF physics at EIC
- HF production & reconstruction at large x needs fresh thinking

Challenges: Low rates, large DIS background

Capabilities: π/K PID, vertex detection

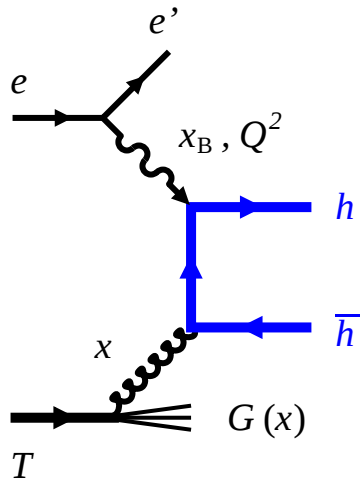
Methods: Exclusive and/or inclusive reconstruction

- Detector design needs input from HF simulations

Simulation tools available. Open for collaboration

JLab 2016/17 LDRD Project https://wiki.jlab.org/nuclear_gluons/

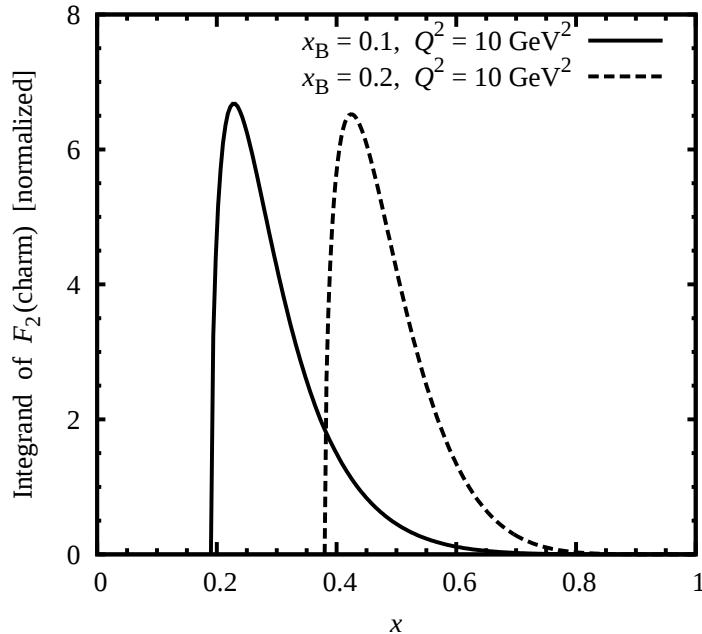
Supplementary material



$$F_2^h(x_B, Q^2) = \int_{ax}^1 \frac{dx}{x} x G(x) \hat{F}_g^h(x_B/x, Q^2, m_h^2, \mu^2)$$

$$\hat{F}_g^h(\dots) = e_h^2 g^2 Q^2 / m_h^2 \times \text{fun}(x_B/x, Q^2) \quad \text{coefficient function}$$

$$a = 1 + 4m_h^2/Q^2 \quad \text{sets limit of } x' \text{ integral}$$



- QCD factorization $\gamma^* T \rightarrow h\bar{h} + X$

Inclusive heavy structure functions F_2^h, F_L^h

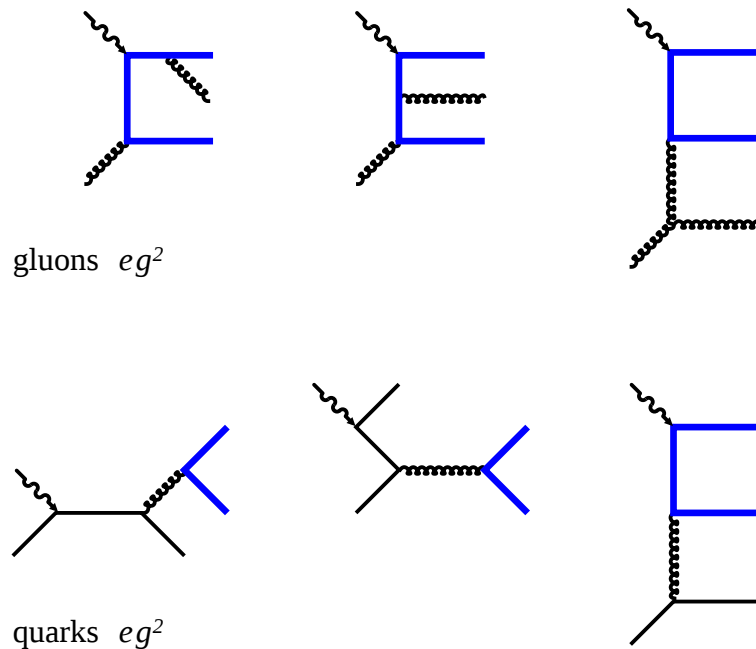
Differential cross section $d^4\sigma/dQ^2 d\eta d^2p_T$

- Photon-gluon fusion at LO $\mathcal{O}(e_h g)$

Couples to gluons only

Integrand localized above $x \sim ax_B$,
probes gluons almost locally in x

Witten 76; Babcock, Sivers 78;
Vainshtein, Shifman, Zakharov 78; Gluck, Reya 79



- Heavy quark production at NLO

Sensitivity to light quarks at $\mathcal{O}(e_h g^2)$

LO photon-gluon fusion large at $x > 0.1$

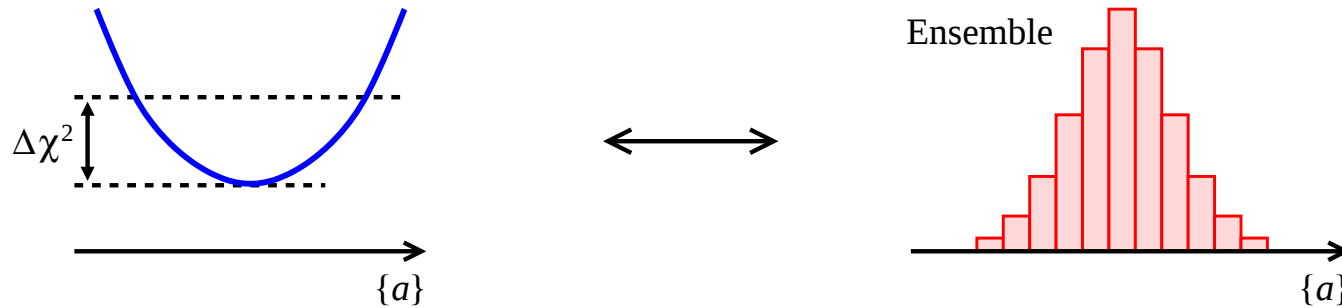
Theoretical uncertainties quantified

Laenen, Riemersma, Smith Van Neerven, Harris 93+.
Alekhin, Moch, Blümlein, Vogt, Kawamura et al. 11+

- Perturbative stability LO $\rightarrow$ NLO

Good stability of F_2^c with choice of effective LO scale Gluck, Reya, Stratmann 94

Rapidity, p_T distributions more sensitive



- PDF reweighting

Method for quantifying impact of new (pseudo-) data on existing global fit
Giele, Keller 98; NNPDF Collab Ball et al 11; Paukkunen, Zurita 14; Sato et al 16

Represents existing fit as statistical ensemble, uses Bayes' theorem

Avoids costly re-fitting

Widely used in PDF analysis, HEP

- Implemented for charm pseudodata from EIC

Presently F_{2c} , can be extended to other observables

Python code package, on github: <https://github.com/JeffersonLab/F2c>