

# Halo & shape decoupling effect in deformed nuclei

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P. Ring (TU Munich & PKU), Xiang-Xiang Sun (ITP)  
Jie Zhao (ITP), En-Guang Zhao (ITP)

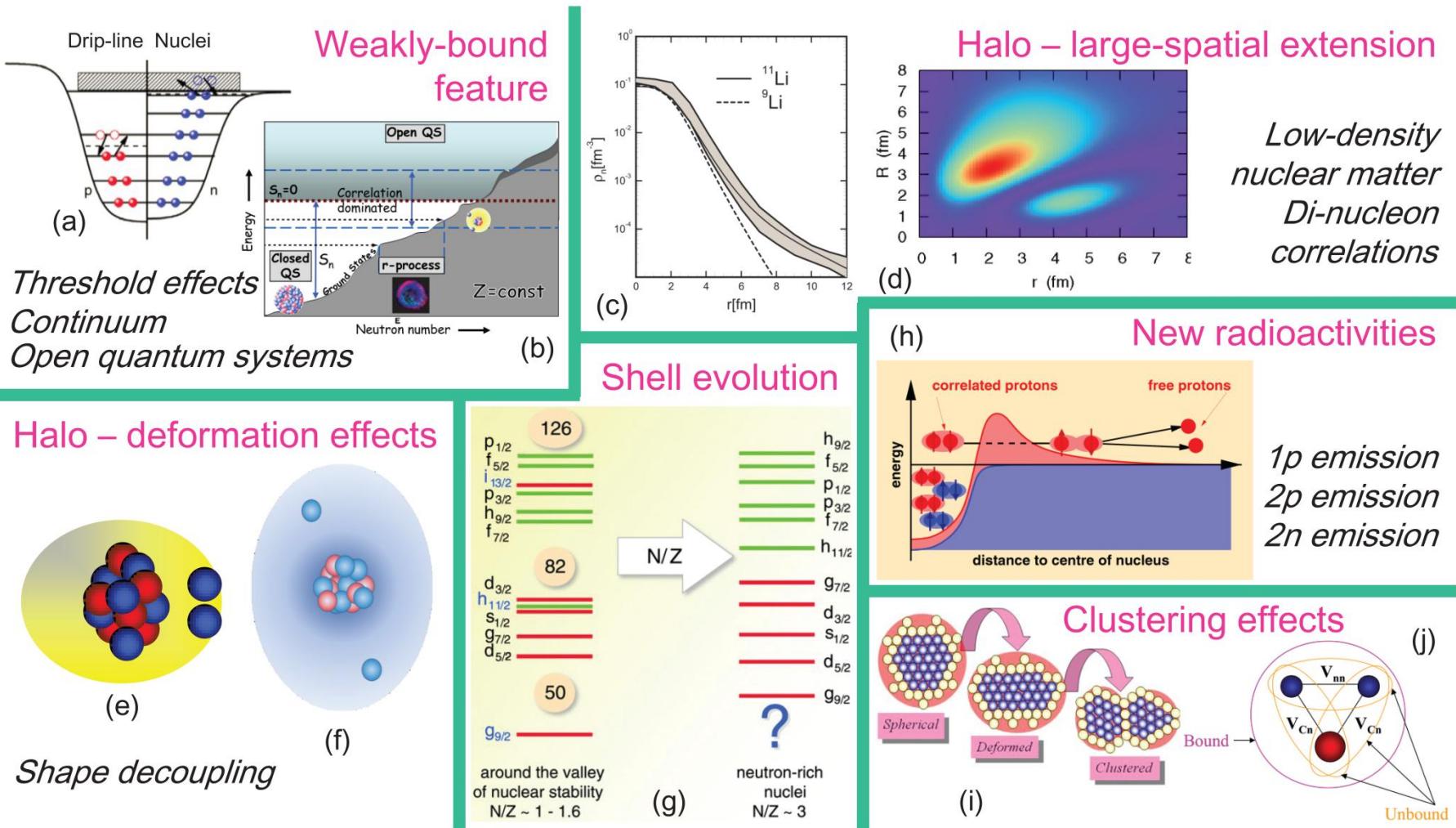
*Supported by:* NSFC, CAS & MOST;  
HPC Cluster of KLTP/ITP-CAS  
ScGrid of CNIC-CAS

# Contents

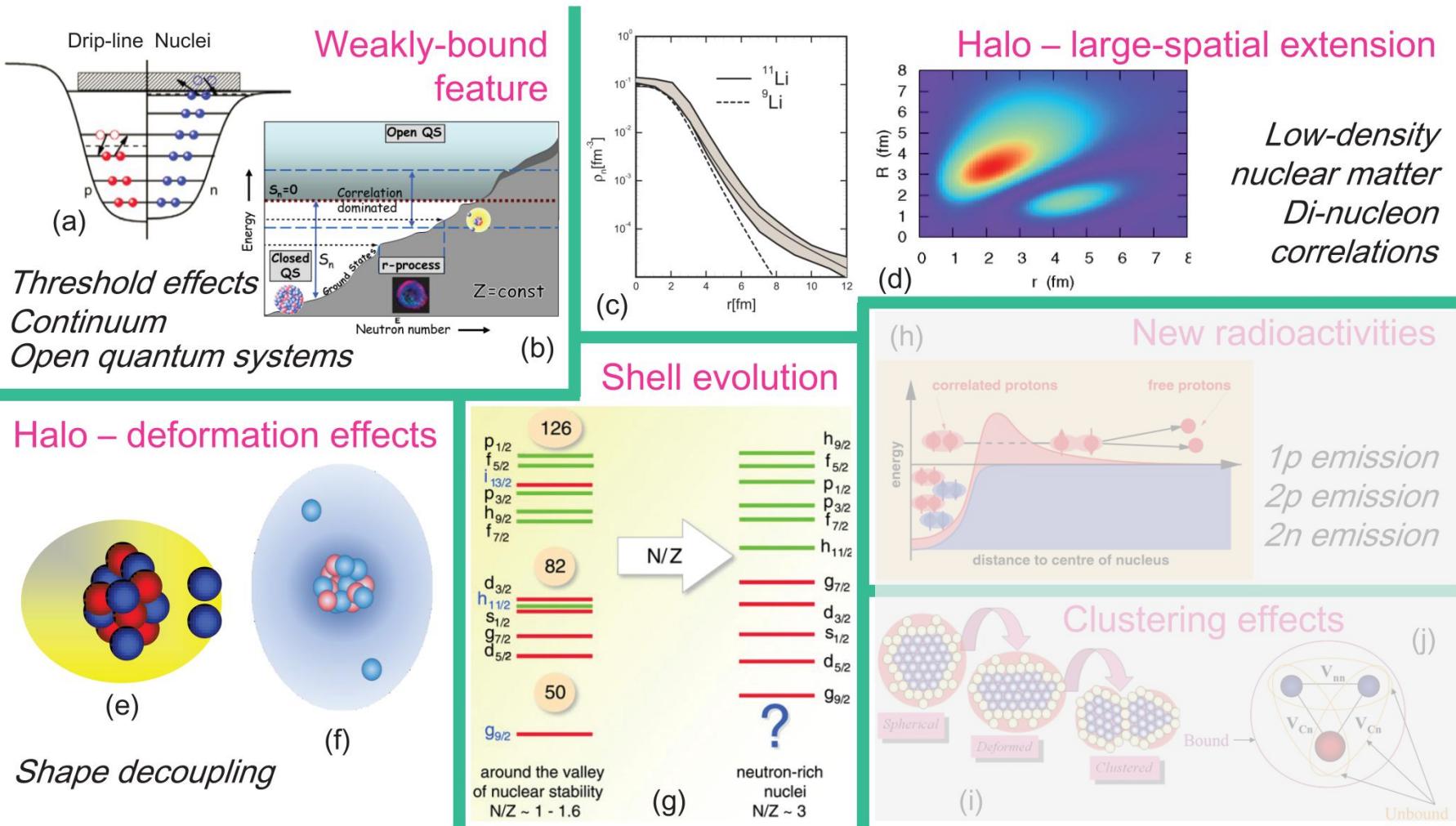
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- Introduction
- Deformed RHB model in continuum (Woods-Saxon basis)
- Shape decoupling in deformed halo nuclei
  - $^{44}\text{Mg}$ : prolate core but oblate halo
  - $^{22}\text{C}$ : oblate core but prolate halo
  - $^{11}\text{Li}$ ,  $^{22}\text{C}$  &  $^{44}\text{Mg}$ : triangle of Borromean nuclei
- How to probe shape decoupling in deformed halo nuclei?
- Summary & perspectives

# Physics in exotic nuclear structure

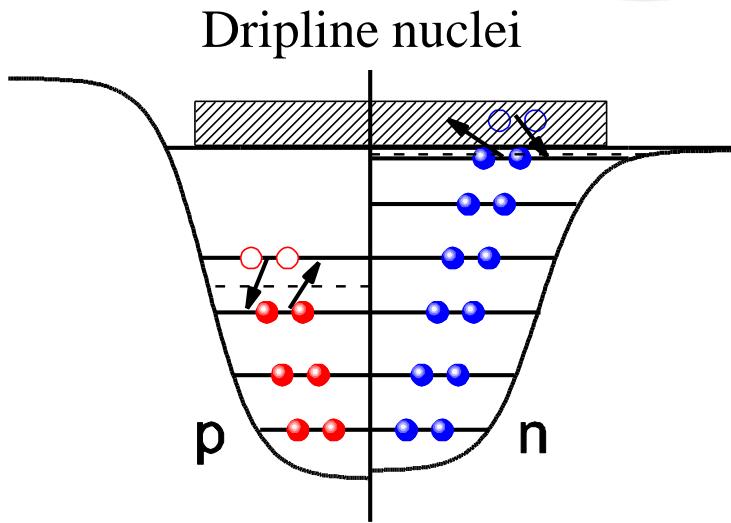
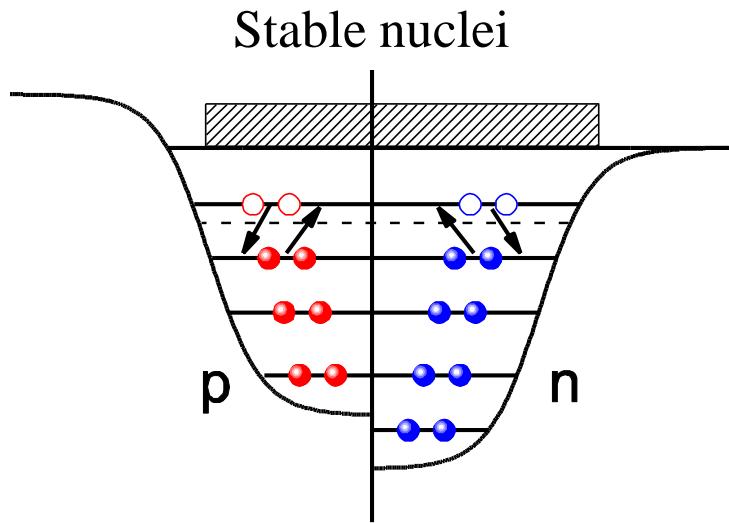
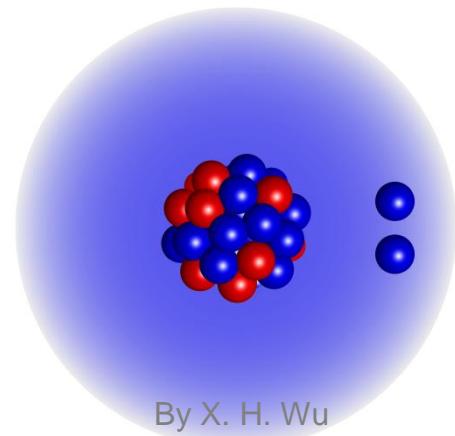


# Physics in exotic nuclear structure



# Characteristics of halo nuclei

- Weakly bound; large spatial extension
- Continuum can not be ignored



## *Self-consistent description:*

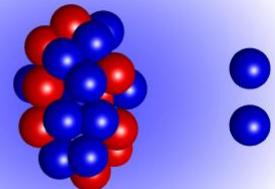
- Weakly bound, continuum
- Large spatial distribution
- Couplings among ...

Meng\_Toki\_SGZ\_Zhang\_Long\_Geng2006  
Prog. Part. Nucl. Phys. 57-470  
Meng & SGZ 2015, J. Phys. G42-093101

Bulgac1980; nucl-th/9907088

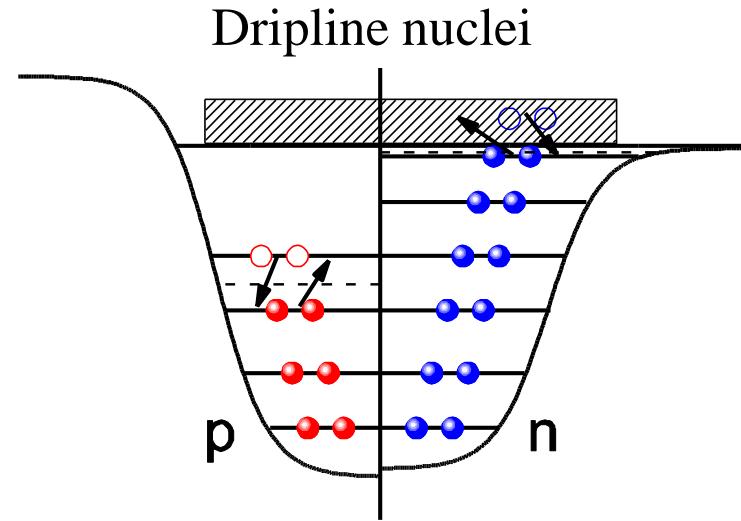
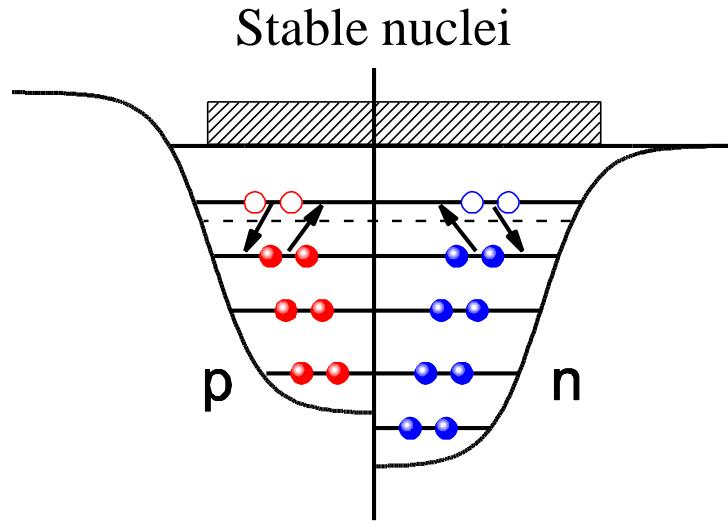
Dobaczewski\_Flocard\_Treiner1984\_NPA422-103

# Characteristics of deformed halo nuclei



By X. H. Wu

- Weakly bound; large spatial extension
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## *Self-consistent description:*

- Weakly bound, continuum
- Large spatial distribution
- Deformation effects**
- Couplings among ...

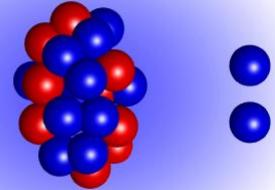
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# What we aim at

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*A self-consistent description of*

By X. H. Wu

- ✓ Deformation
- ✓ Continuum contribution
- ✓ Large spatial distribution
- ✓ Interplays among them

by developing a  
relativistic Hartree-Bogoliubov model

# Covariant Density Functional Theory (CDFT)

---

$$\begin{aligned}
\mathcal{L} = & \bar{\psi}_i (i\cancel{\partial} - M) \psi_i + \frac{1}{2} \partial_\mu \sigma \partial^\mu \sigma - U(\sigma) - g_\sigma \bar{\psi}_i \sigma \psi_i \\
& - \frac{1}{4} \Omega_{\mu\nu} \Omega^{\mu\nu} + \frac{1}{2} m_\omega^2 \omega_\mu \omega^\mu - g_\omega \bar{\psi}_i \cancel{\omega} \psi_i \\
& - \frac{1}{4} \vec{R}_{\mu\nu} \vec{R}^{\mu\nu} + \frac{1}{2} m_\rho^2 \vec{\rho}_\mu \vec{\rho}^\mu - g_\rho \bar{\psi}_i \cancel{\rho} \vec{\tau} \psi_i \\
& - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - e \bar{\psi}_i \frac{1 - \tau_3}{2} \cancel{A} \psi_i,
\end{aligned}$$

Serot\_Walecka1986\_ANP16-1

Reinhard1989\_RPP52-439

Ring1996\_PPNP37-193

Vretenar\_Afanasjev\_Lalazissis\_Ring2005\_PR409-101

Meng\_Toki\_SGZ\_Zhang\_Long\_Geng2006\_PPNP57-470

$$(\alpha \cdot \mathbf{p} + \beta(M + S(\mathbf{r})) + V(\mathbf{r})) \psi_i = \epsilon_i \psi_i$$

Liang\_Meng\_SGZ2015\_PR570-1

$$(-\nabla^2 + m_\sigma^2) \sigma = -g_\sigma \rho_S - g_2 \sigma^2 - g_3 \sigma^3$$

Meng\_SGZ2015\_JPG42-093101

$$(-\nabla^2 + m_\omega^2) \omega = g_\omega \rho_V - c_3 \omega^3$$

$$(-\nabla^2 + m_\rho^2) \rho = g_\rho \rho_3$$

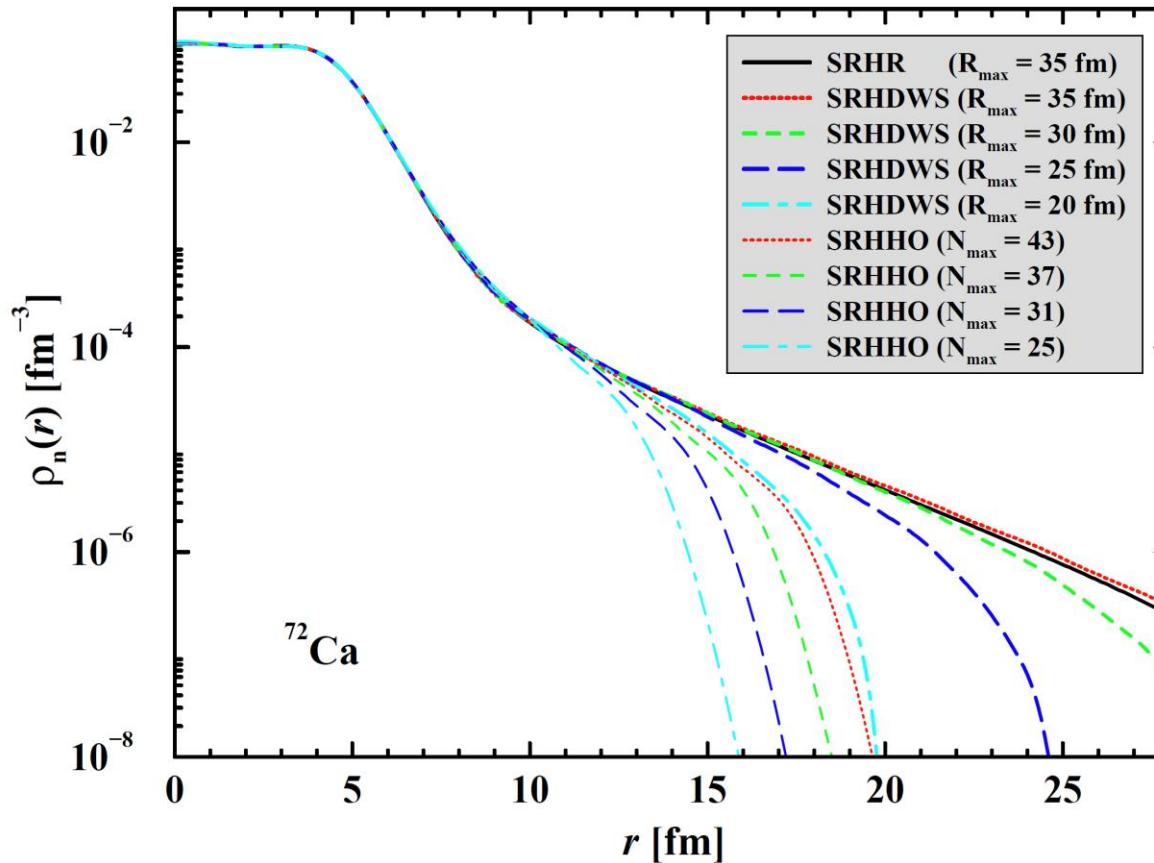
$$-\nabla^2 A = e \rho_C$$

# CDFTs in a Woods-Saxon basis

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Shapes	Model	Schr ödinger W-S basis	Dirac W-S basis	
Spherical	Rela. Hartree	SRH SWS <a href="#">SGZ_Meng_Ring2003_PRC91-262501</a>	SRH DWS	✓

# Why Woods-Saxon basis ?



Woods-Saxon basis is a reconciler between the HO basis &  $r$  space

- Reproduces results of  $r$  space
- Matrix diagonalization, numerically less complicated than HO

# CDFTs in a Woods-Saxon basis

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Axially deformed	Rela. Hartree + BCS		DRH DWS <a href="#">SGZ_Meng_Ring2006_AIP Conf. Proc. 865-90</a>	✓

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Woods-Saxon basis is a reconciler between the HO basis &  $r$  space

Density dependent DRHB theory in continuum

[Chen\\_Li\\_Liang\\_Meng2012\\_PRC85-067301](#)

[Schunck\\_Egido2008\\_PRC77-011301R; PRC78-064305](#)

[Long\\_Ring\\_Giai\\_Meng2010\\_PRC81-024308](#)

# Deformed RHB theory in continuum

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$$\sum_{\sigma' p'} \int d^3 r' \begin{pmatrix} h_D(\mathbf{r}\sigma p, \mathbf{r}\sigma' p') - \lambda & \Delta(\mathbf{r}\sigma p, \mathbf{r}'\sigma' p') \\ -\Delta^*(\mathbf{r}\sigma p, \mathbf{r}'\sigma' p') & -h_D(\mathbf{r}\sigma p, \mathbf{r}\sigma' p') + \lambda \end{pmatrix} \begin{pmatrix} U_k(\mathbf{r}'\sigma' p') \\ V_k(\mathbf{r}'\sigma' p') \end{pmatrix} = E_k \begin{pmatrix} U_k(\mathbf{r}\sigma p) \\ V_k(\mathbf{r}\sigma p) \end{pmatrix}$$

Kucharek\_Ring1991\_ZPA339-23

Woods-Saxon basis

$$\varphi_{i\kappa m}(\mathbf{r}\sigma) = \frac{1}{r} \begin{pmatrix} iG_{i\kappa}(r)Y_{jm}^l(\Omega\sigma) \\ -F_{i\kappa}(r)Y_{jm}^{\tilde{l}}(\Omega\sigma) \end{pmatrix}$$

Axially deformed nuclei

$$U_k(\mathbf{r}\sigma p) = \sum_{i\kappa} \begin{pmatrix} u_{k,(i\kappa)}^{(m)} \varphi_{i\kappa m}(\mathbf{r}\sigma p) \\ u_{k,(i\tilde{\kappa})}^{(\bar{m})} \tilde{\varphi}_{i\kappa m}(\mathbf{r}\sigma p) \end{pmatrix}$$

$$V_k(\mathbf{r}\sigma p) = \sum_{i\kappa} \begin{pmatrix} v_{k,(i\kappa)}^{(m)} \varphi_{i\kappa m}(\mathbf{r}\sigma p) \\ v_{k,(i\tilde{\kappa})}^{(\bar{m})} \tilde{\varphi}_{i\kappa m}(\mathbf{r}\sigma p) \end{pmatrix}$$

$$\begin{pmatrix} \mathcal{A} & \mathcal{B} \\ \mathcal{C} & \mathcal{D} \end{pmatrix} \begin{pmatrix} \mathcal{U} \\ \mathcal{V} \end{pmatrix} = E \begin{pmatrix} \mathcal{U} \\ \mathcal{V} \end{pmatrix}$$

$$\mathcal{U} = \left( u_{k,(i\kappa)}^{(m)} \right), \quad \mathcal{V} = \left( v_{k,(i\tilde{\kappa})}^{(\bar{m})} \right)$$

# Deformed RHB theory in continuum

---

$$\sum_{\sigma' p'} \int d^3 r' \begin{pmatrix} h_D(\mathbf{r}\sigma p, \mathbf{r}\sigma' p') - \lambda & \Delta(\mathbf{r}\sigma p, \mathbf{r}'\sigma' p') \\ -\Delta^*(\mathbf{r}\sigma p, \mathbf{r}'\sigma' p') & -h_D(\mathbf{r}\sigma p, \mathbf{r}\sigma' p') + \lambda \end{pmatrix} \begin{pmatrix} U_k(\mathbf{r}'\sigma' p') \\ V_k(\mathbf{r}'\sigma' p') \end{pmatrix} = E_k \begin{pmatrix} U_k(\mathbf{r}\sigma p) \\ V_k(\mathbf{r}\sigma p) \end{pmatrix}$$

Kucharek\_Ring1991\_ZPA339-23

$$U_k(\mathbf{r}\sigma p) = \sum_{i\kappa} \begin{pmatrix} u_{k,(i\kappa)}^{(m)} \varphi_{i\kappa m}(\mathbf{r}\sigma p) \\ u_{k,(i\tilde{\kappa})}^{(\bar{m})} \tilde{\varphi}_{i\kappa m}(\mathbf{r}\sigma p) \end{pmatrix}$$

Woods-Saxon basis

$$V_k(\mathbf{r}\sigma p) = \sum_{i\kappa} \begin{pmatrix} v_{k,(i\kappa)}^{(m)} \varphi_{i\kappa m}(\mathbf{r}\sigma p) \\ v_{k,(i\tilde{\kappa})}^{(\bar{m})} \tilde{\varphi}_{i\kappa m}(\mathbf{r}\sigma p) \end{pmatrix}$$

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SGZ\_Meng\_Ring 2007\_ISPUN Proc.

SGZ\_Meng\_Ring\_Zhao 2010\_PRC82-011301R

SGZ\_Meng\_Ring\_Zhao 2011\_JPConfProc312-092067

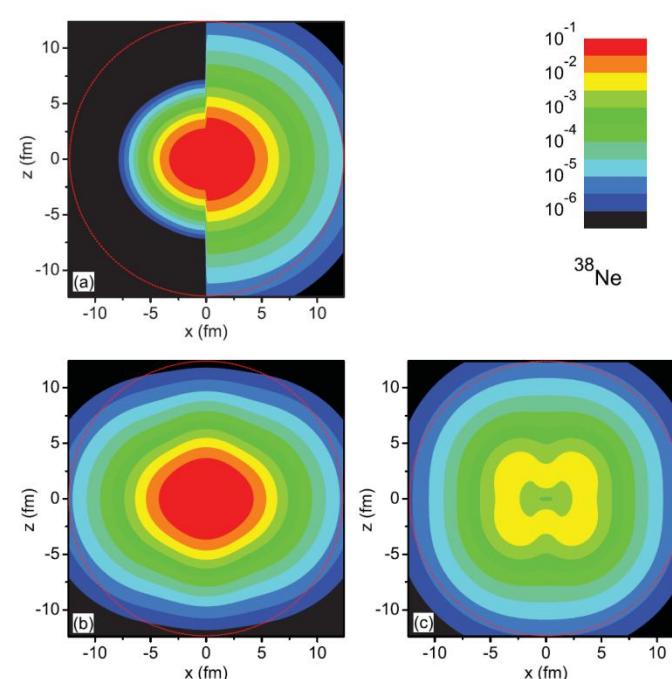
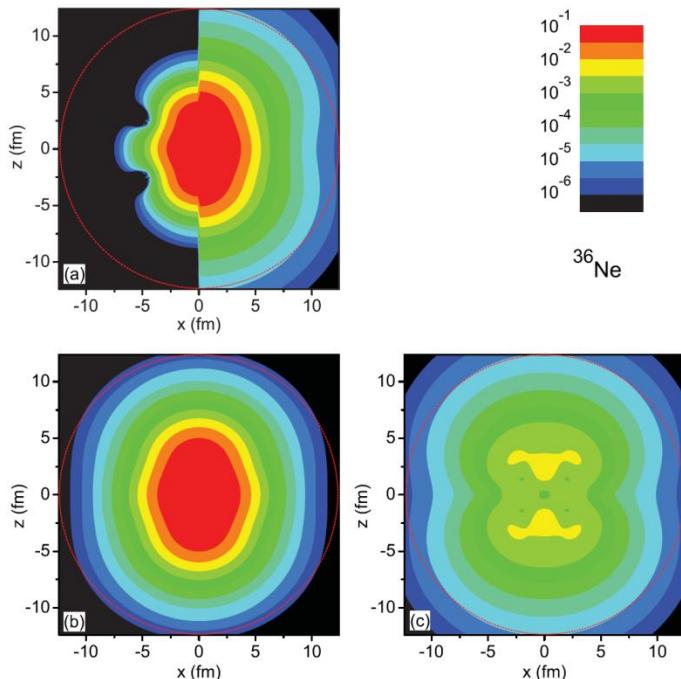
Li\_Meng\_Ring\_Zhao\_SGZ 2012\_PRC85-024312

Li\_Meng\_Ring\_Zhao\_SGZ 2012\_ChinPhysLett29-042101

# Conditions for occurrence of a halo & its shape

- Existence & deformation of neutron halo depend on quantum numbers of the main components of the s.p. orbits around Fermi surface
  - s levels with  $\Lambda = 0 \Rightarrow$  spherical halos
  - p levels with  $\Lambda = 0 \Rightarrow$  prolate halos
  - p levels with  $\Lambda = 1 \Rightarrow$  oblate halos
  - d, f, ... levels: no halos

SGZ\_Meng\_Ring\_Zhao 2010  
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[SGZ\\_Meng\\_Ring\\_Zhao 2010](#)

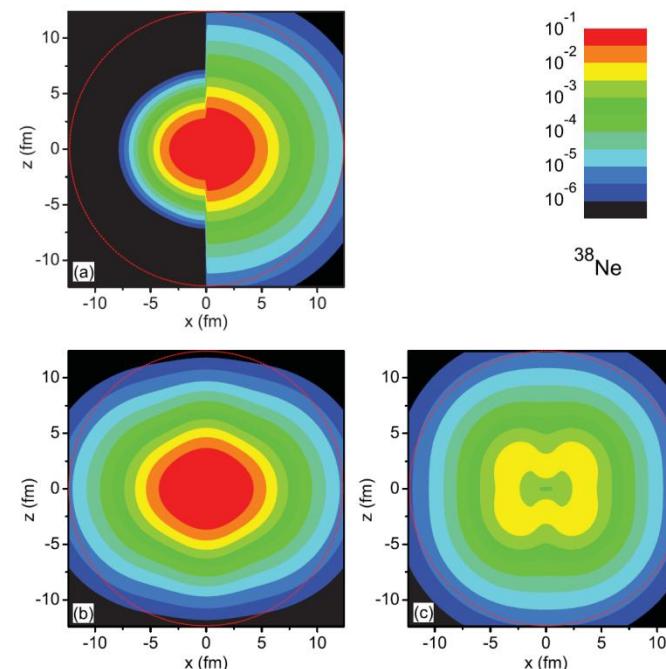
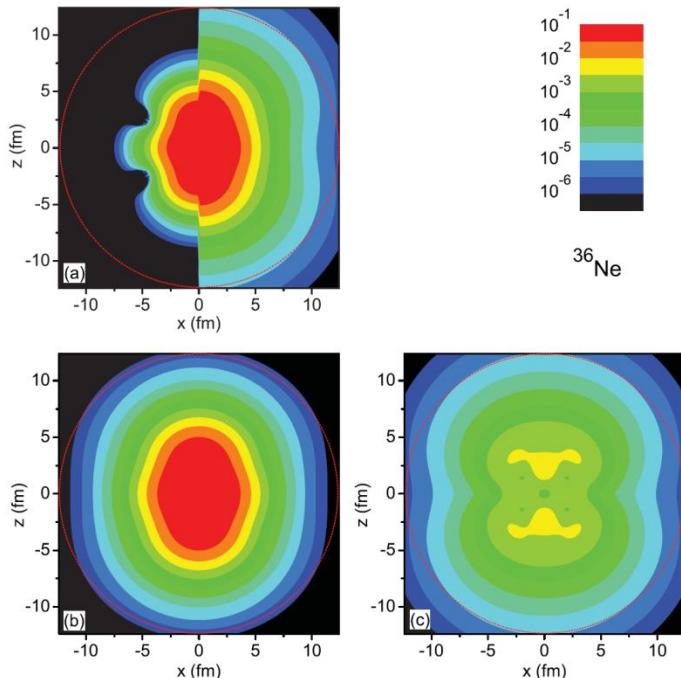
PRC82-011301R

[Li\\_Meng\\_Ring\\_Zhao\\_SGZ 2012](#)

PRC85-024312

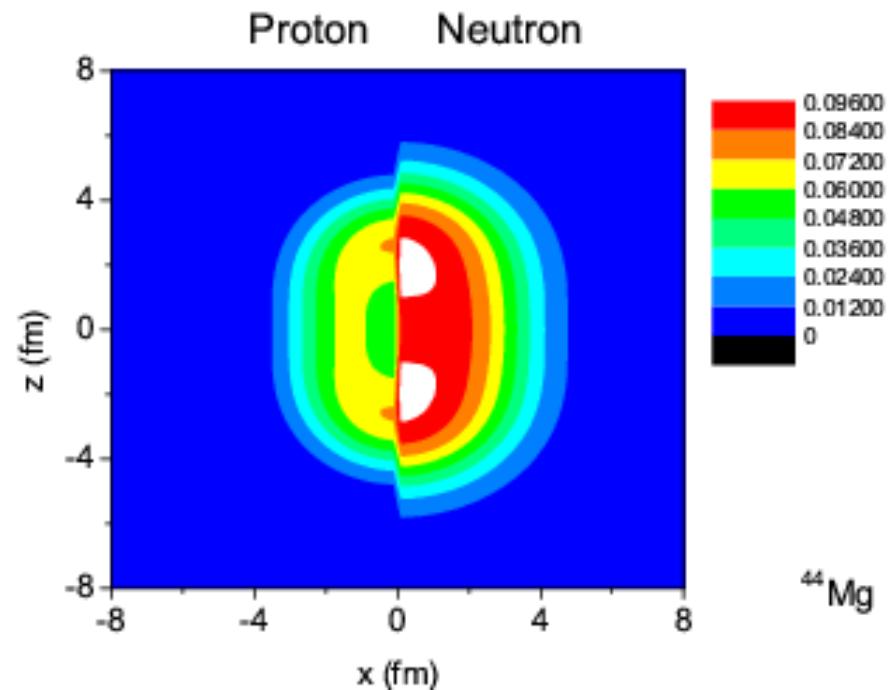
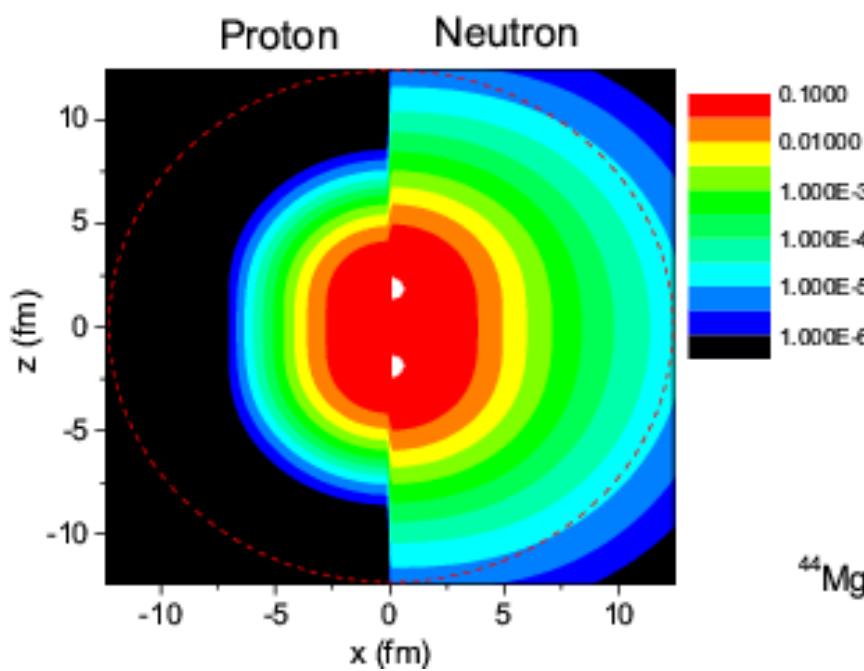
[Pei\\_Zhang\\_Xu2013PRC87-051302R](#)

[Nakada\\_Takayama2018\\_PRC98-011301R](#)



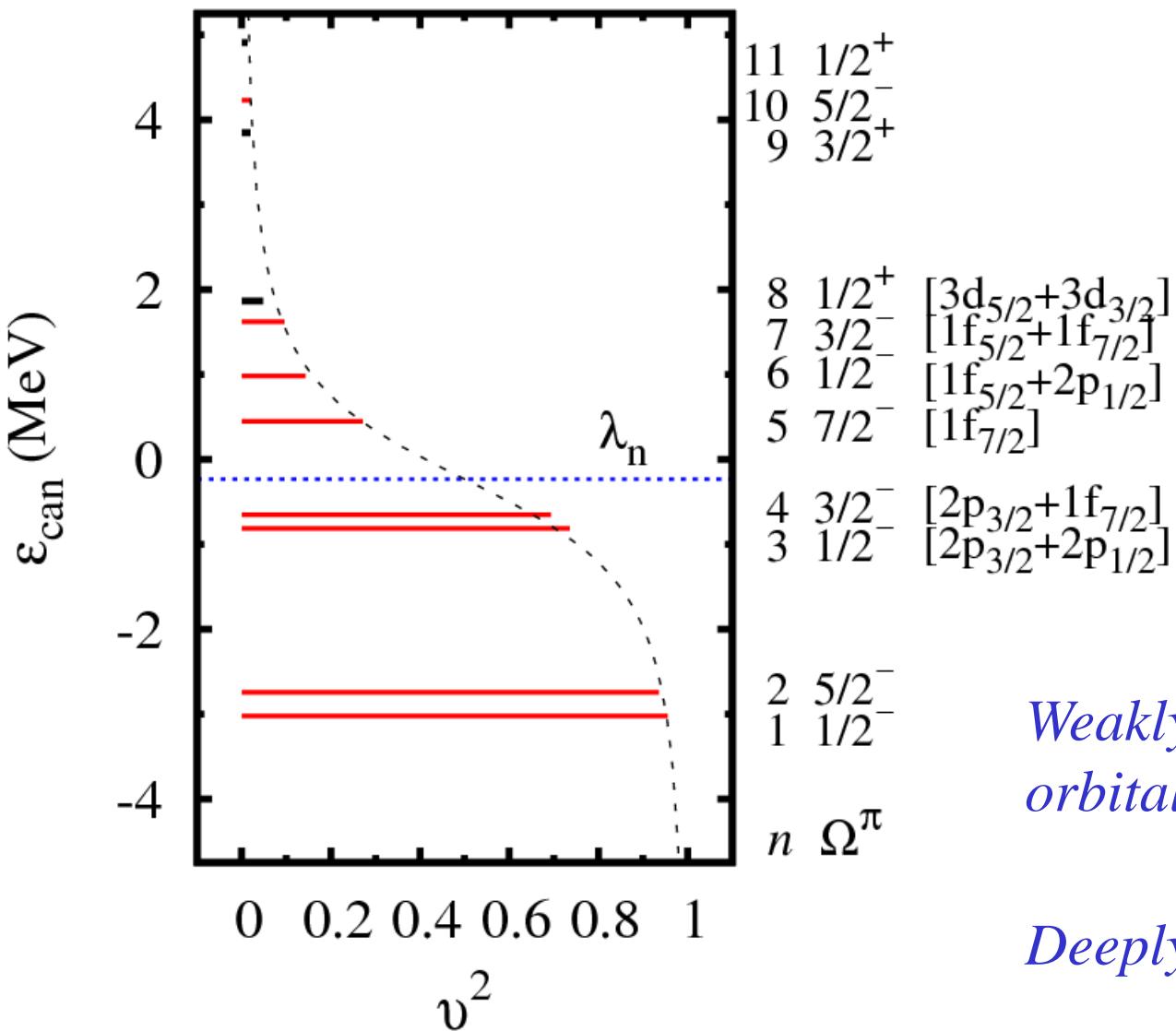
# $^{44}\text{Mg}$ : Density distributions

SGZ\_Meng\_Ring\_Zhao 2010 PRC82-011301R  
Li\_Meng\_Ring\_Zhao\_SGZ 2012 PRC85-024312

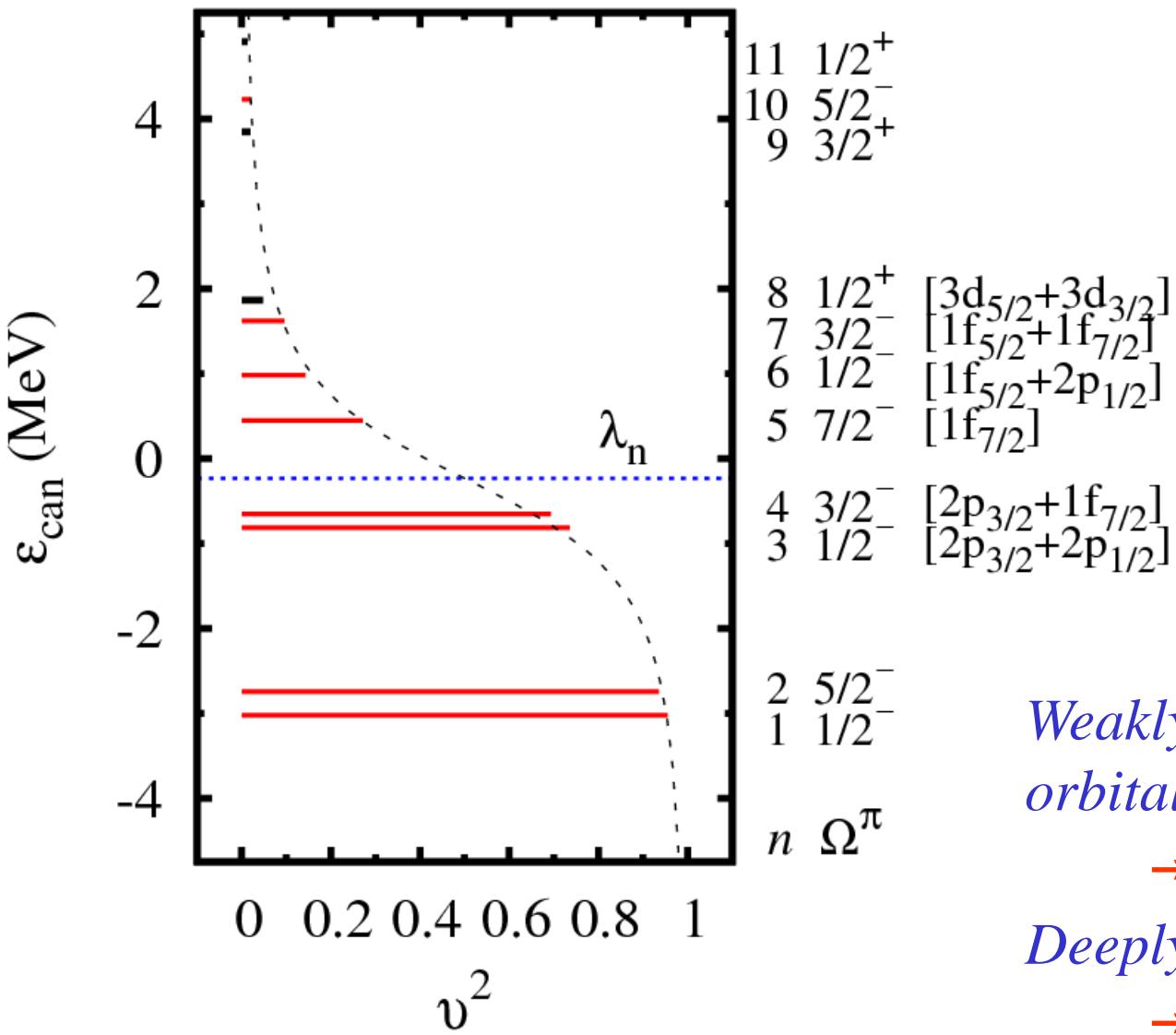


- Prolate deformation
- Large spatial extension in neutron density distribution

# $^{44}\text{Mg}$ : Single neutron states in canonical basis



# $^{44}\text{Mg}$ : Single neutron states in canonical basis



*Weakly bound & continuum  
orbitals*

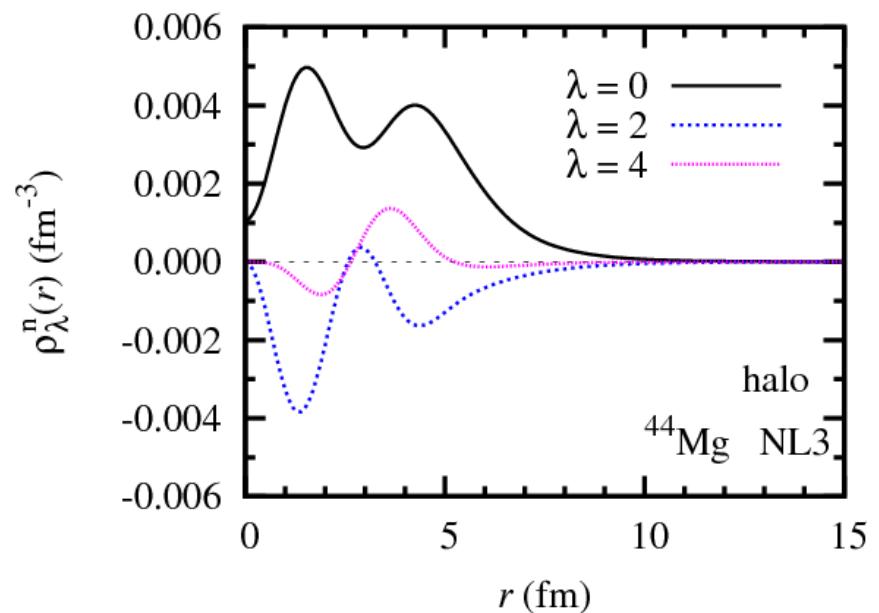
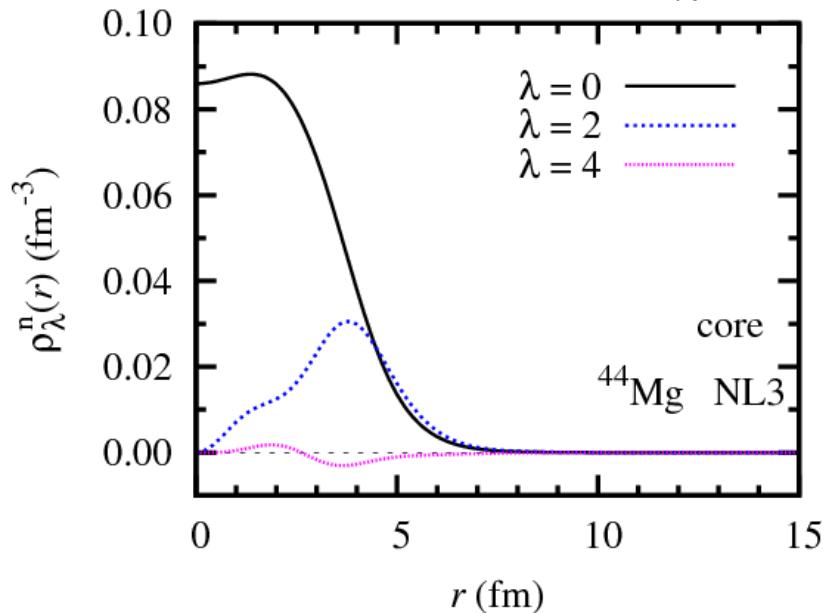
→ halo

*Deeply bound orbitals*

→ core

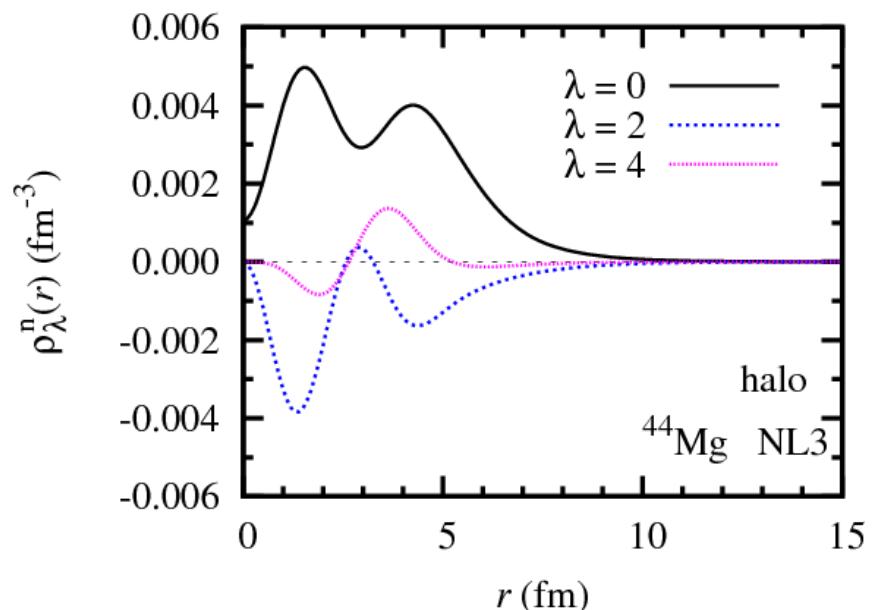
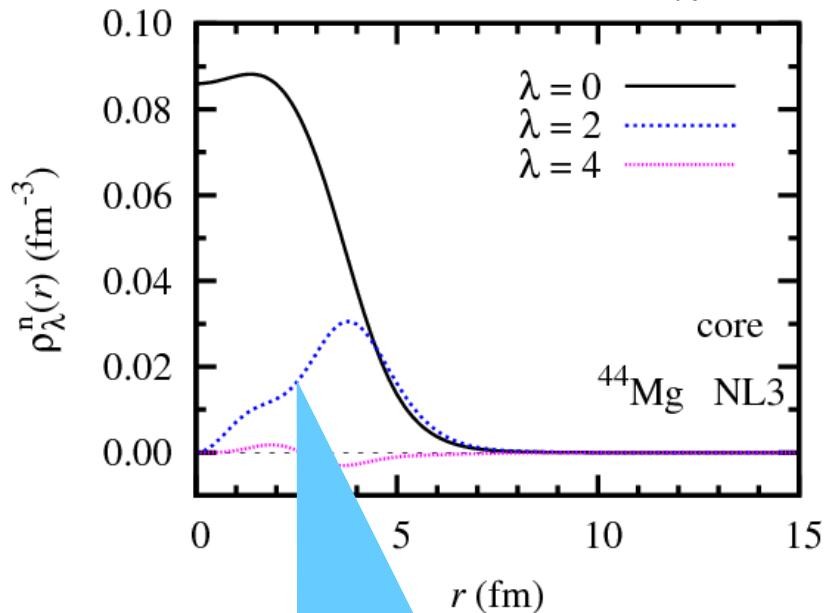
# $^{44}\text{Mg}$ : Density of core & halo---shape decoupling

$$\rho(\mathbf{r}) = \sum_{\lambda} \rho_{\lambda}(r) P_{\lambda}(\cos \theta), \quad \lambda = 0, 2, 4, \dots$$



# $^{44}\text{Mg}$ : Density of core & halo---shape decoupling

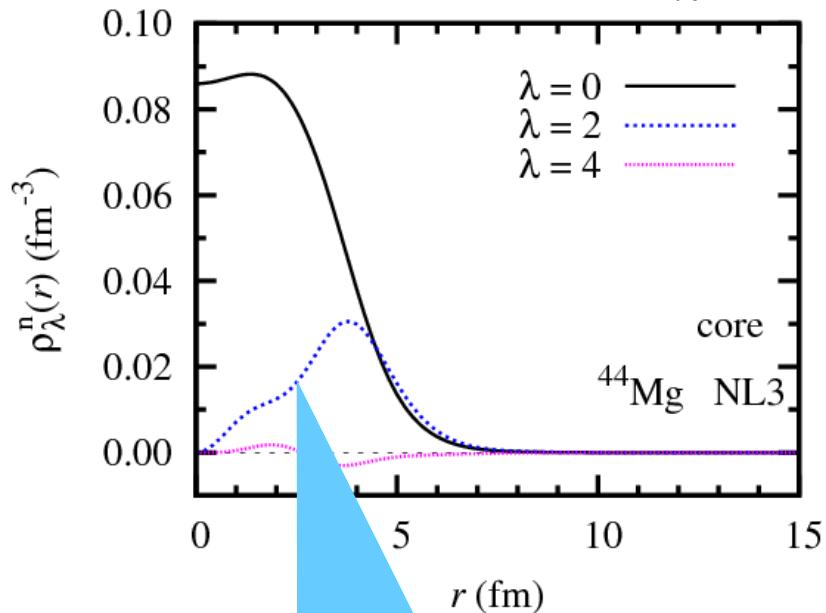
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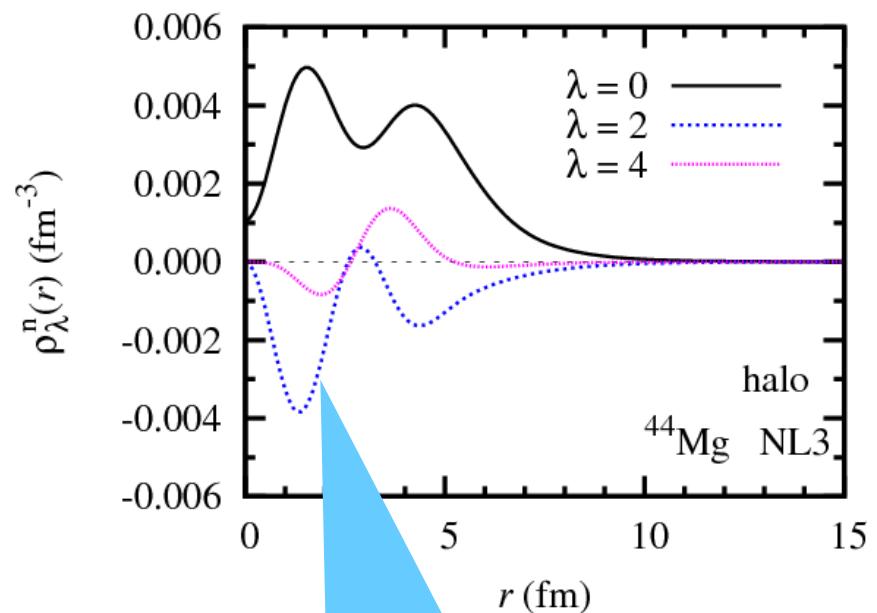
Core: prolate

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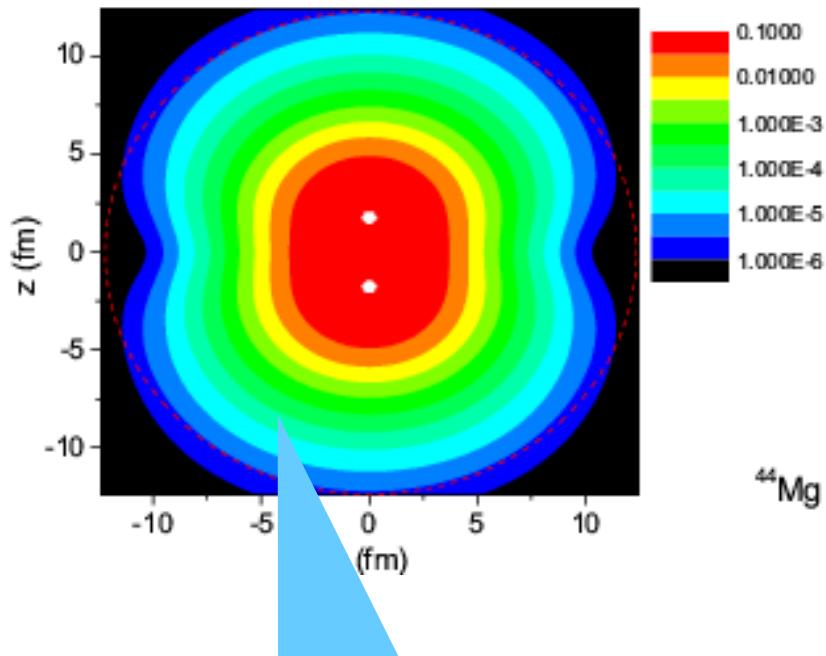


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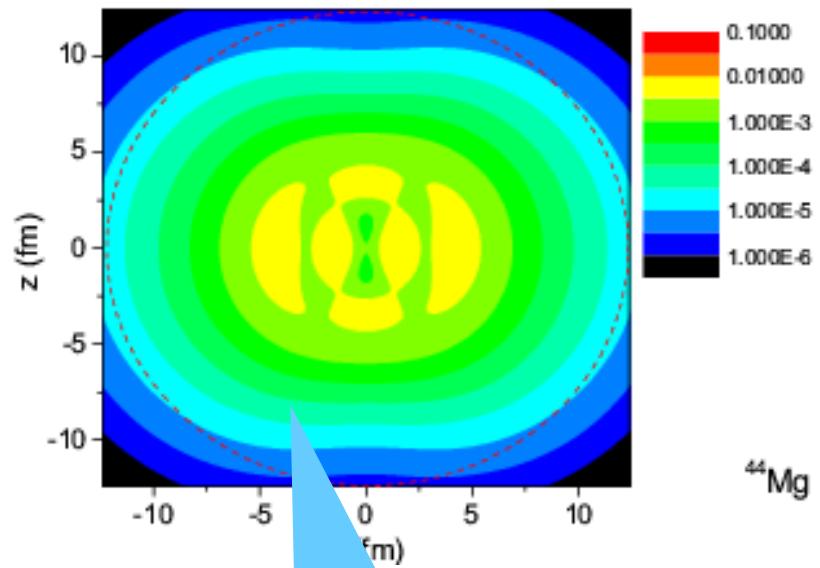


halo: oblate

# $^{44}\text{Mg}$ : Density of core & halo---shape decoupling



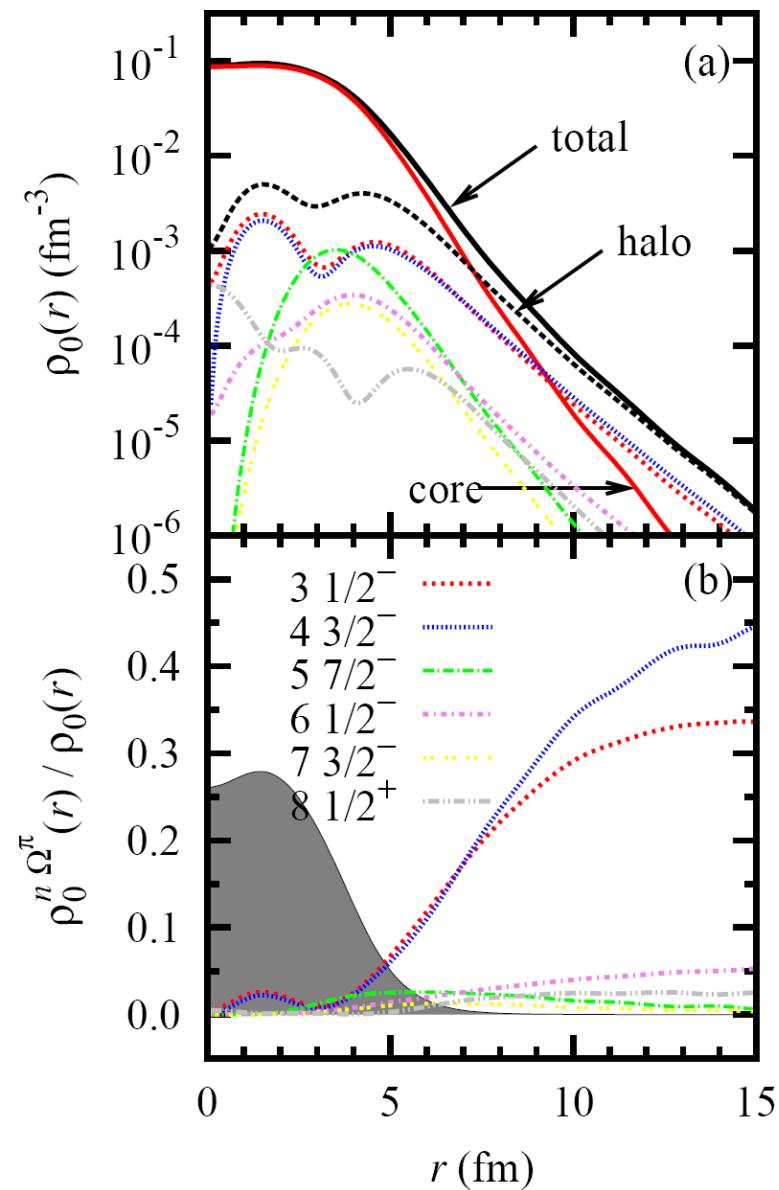
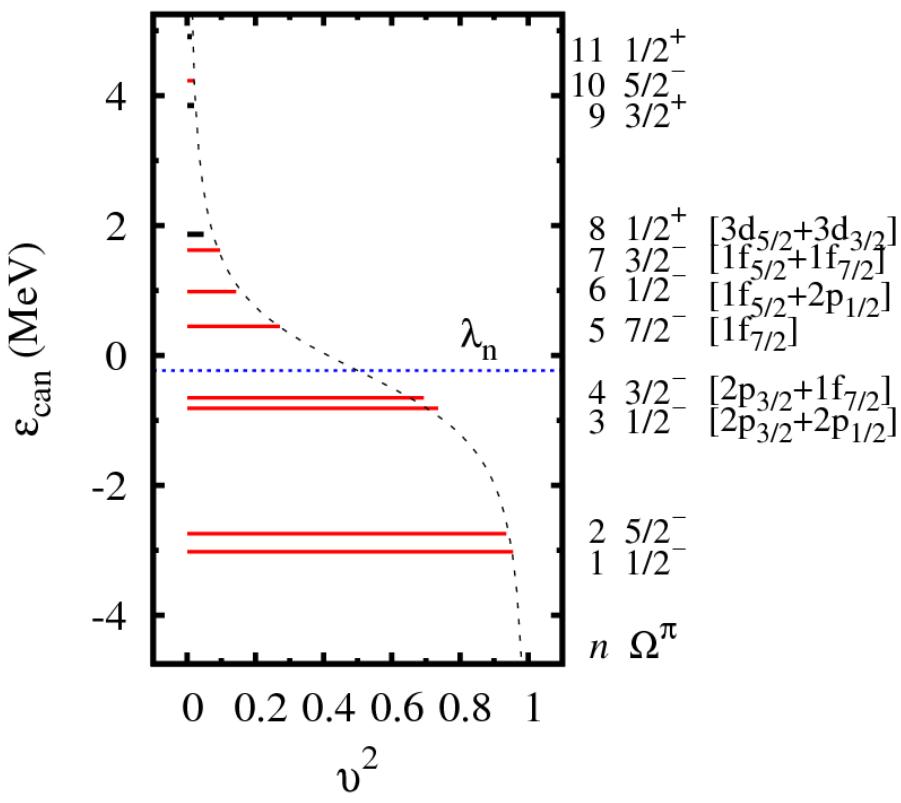
Core: prolate



halo: oblate

# $^{44}\text{Mg}$ : Decomposition of neutron density distribution

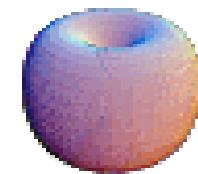
- The 3rd & 4th states contribute to tail part of neutron density distribution
- Main component:  $2\text{p}_{3/2}$
- $R_{\text{core}} = 3.72 \text{ fm}, R_{\text{halo}} = 5.86 \text{ fm}$



# Shape of low- $\Lambda$ single particle orbital

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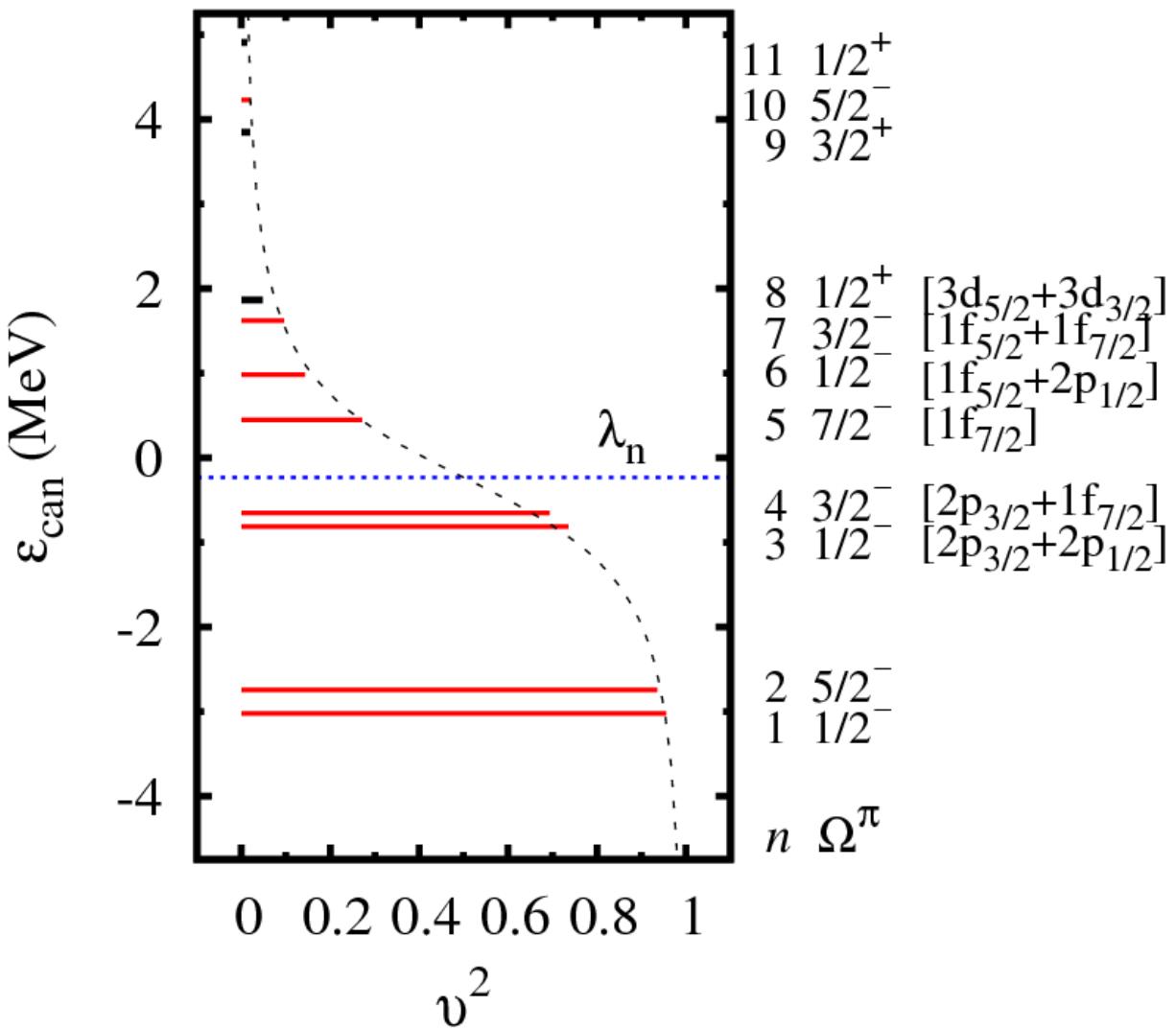
$$l = 1, \Lambda = \pm 1 \quad |Y_{1\pm 1}(\theta, \phi)|^2 \propto \sin^2(\theta)$$



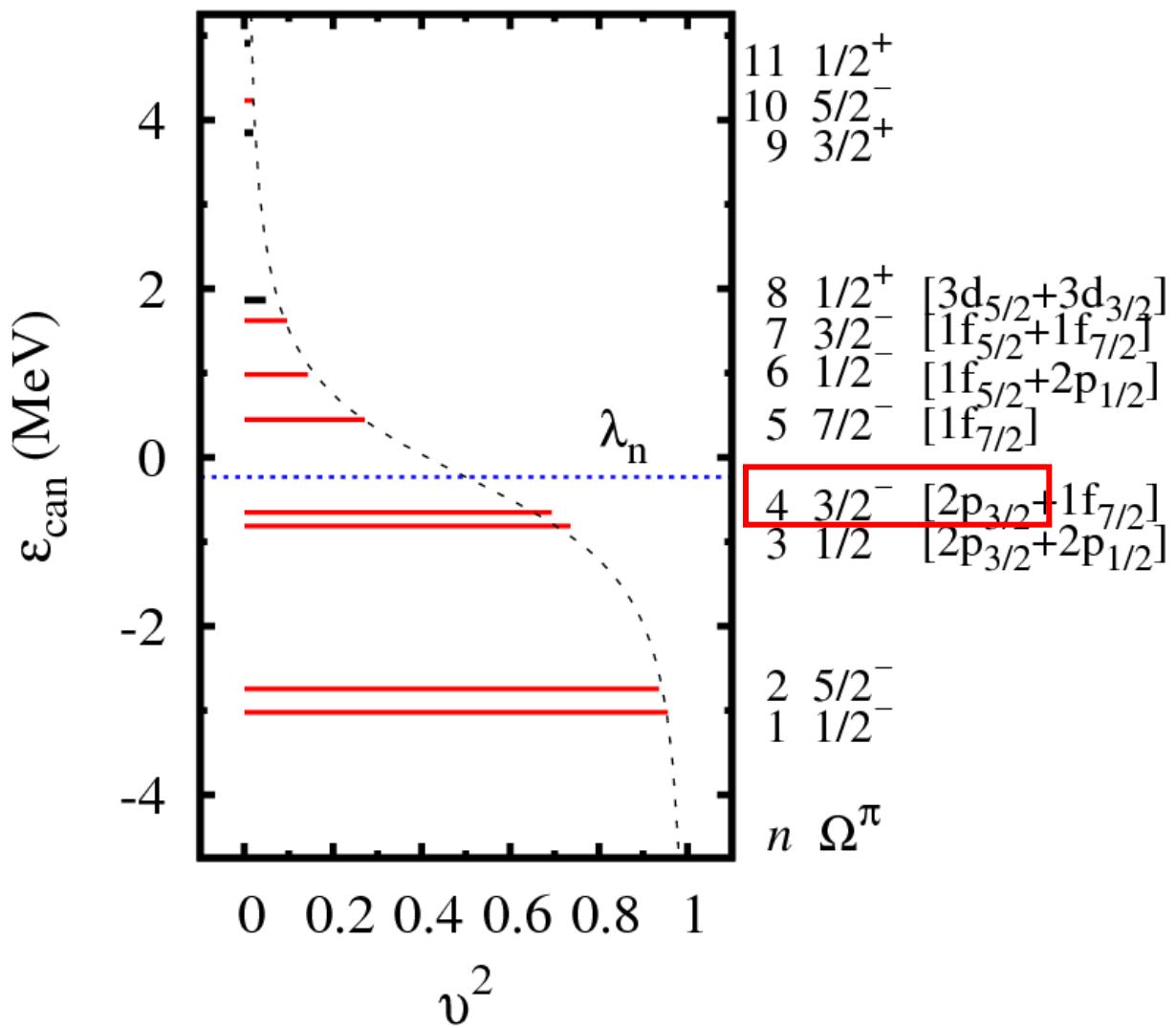
$$l = 1, \Lambda = 0 \quad |Y_{10}(\theta, \phi)|^2 \propto \cos^2(\theta)$$



# Mechanism of shape decoupling



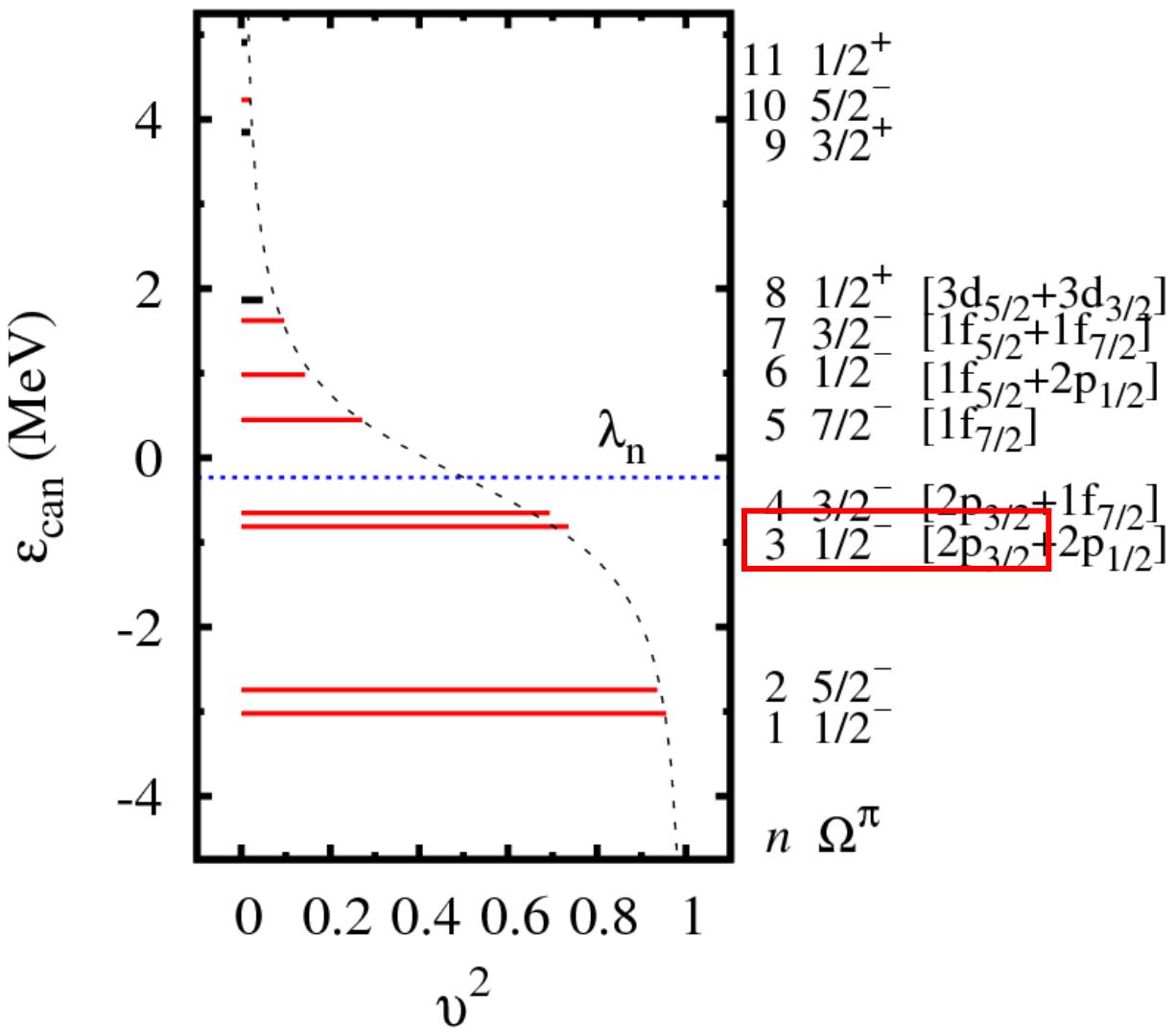
# Mechanism of shape decoupling



$\Lambda = \pm 1$



# Mechanism of shape decoupling



$\Lambda = \pm 1$   
 $\Lambda = 0$



# $^{22}\text{C}$ : Puzzles in $S_{2n}$ , $r_m$ & halo configuration

## □ Two-neutron separation energy

Refs.	AME2003	AME2012	Gaudefroy et al. 2012 PRL109-202503	AME2016
$S_{2n}$ (MeV)	$0.420 \pm 0.940\#$	$0.110 \pm 0.060$	$-0.14 \pm 0.46$	$0.035 \pm 0.020$

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## □ RMS matter radius

Refs.	Tanaka et al. 2010 PRL104-062701	Togano et al. 2016 PLB761-412	Nagahisa&Horiuchi 2018 PRC97-054614	$1.2 A^{1/3} \text{ fm}$
$r_m$ (fm)	$5.4 \pm 0.9$	$3.44 \pm 0.08$	$3.38 \pm 0.10$	$3.36$

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$r_m$ (fm)	$5.4 \pm 0.9$	$3.44 \pm 0.08$	$3.38 \pm 0.10$	$3.36$

## □ Halo configuration

- Inert  $^{20}\text{C}$  w/ 2n in  $2s_{1/2}$  (Horiuchi&Suzuki2006\_PRC74-034311, Ershov et al. 2012\_PRC86-034331, ...)
- Correlated  $^{20}\text{C}$  w/ 2n partly in  $2s_{1/2}$  (Suzuki...2016\_PLB753-199)
- Skyrme Hartree-Fock:  $t_0$  adjusted (Inakura...2014\_PRC89-064316)
- RHFB: no halo (Lu...2013\_PRC87-034311)

# $^{22}\text{C}$ w/ ab initio Gamow IMSRG



Cornell University  
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Nuclear Theory

## Resonances of unbound quantum many-body systems of nuclei

B. S. Hu, Q. Wu, Z. H. Sun, F. R. Xu

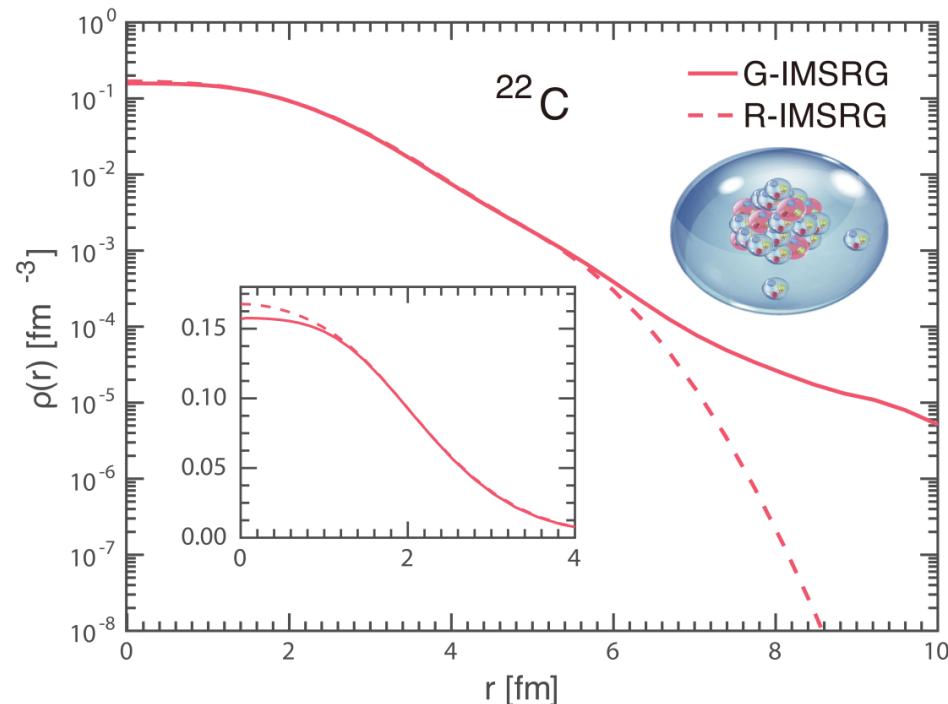
(Submitted on 22 Sep 2018)

### Predictions on excited states (in MeC)

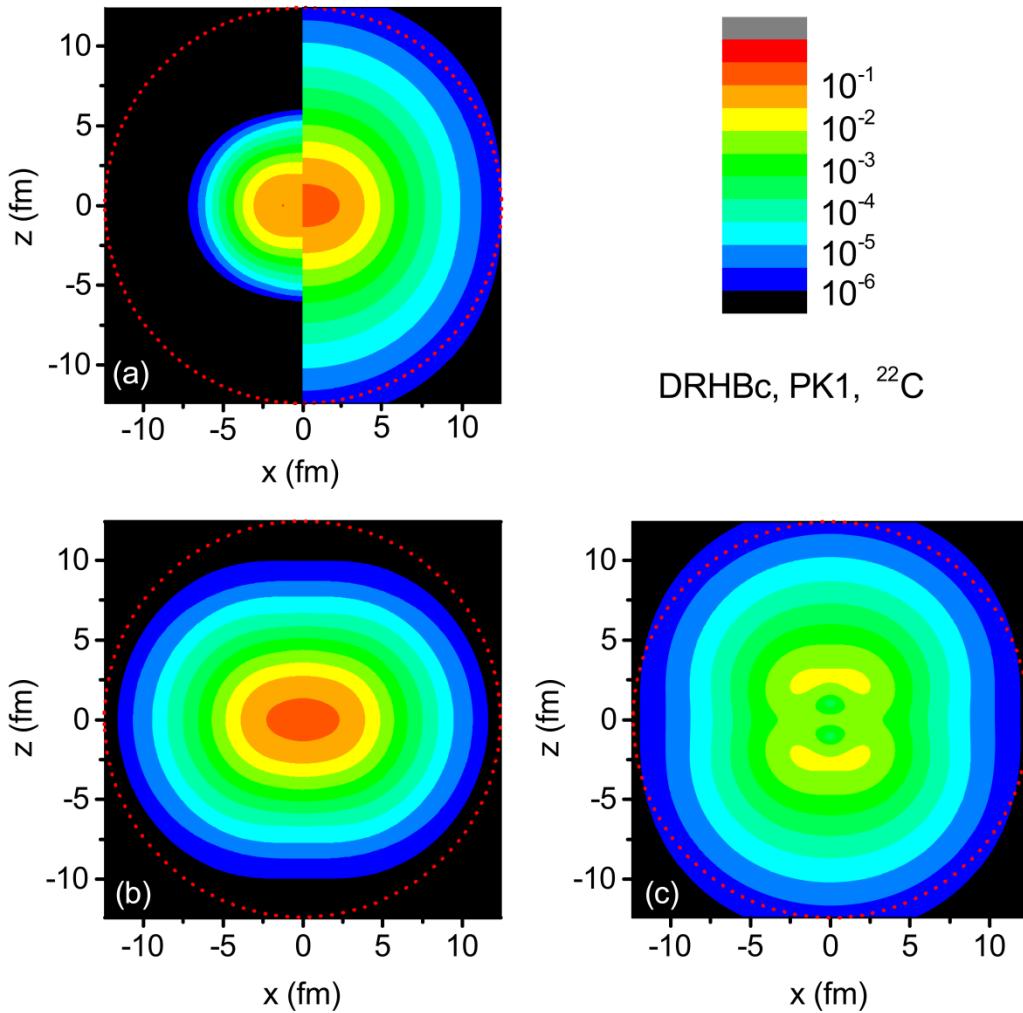
$J^\pi$	$2_1^+$	$4_1^+$	$3_1^+$	$1_1^+$	$2_2^+$	$2_3^+$	$1_2^+$
$E_{\text{IMSRG}}$	1.05	3.26	3.36	3.62	3.93	4.94	5.27
$\Gamma_{\text{IMSRG}}$	0.000	0.245	0.262	0.135	0.266	0.597	0.604

Hu\_Wu\_Sun\_Xu2018  
arXiv1809.08405

$$r_m = 2.79 \text{ fm w/o continuum}$$
$$r_m = 3.02 \text{ fm w/ continuum}$$



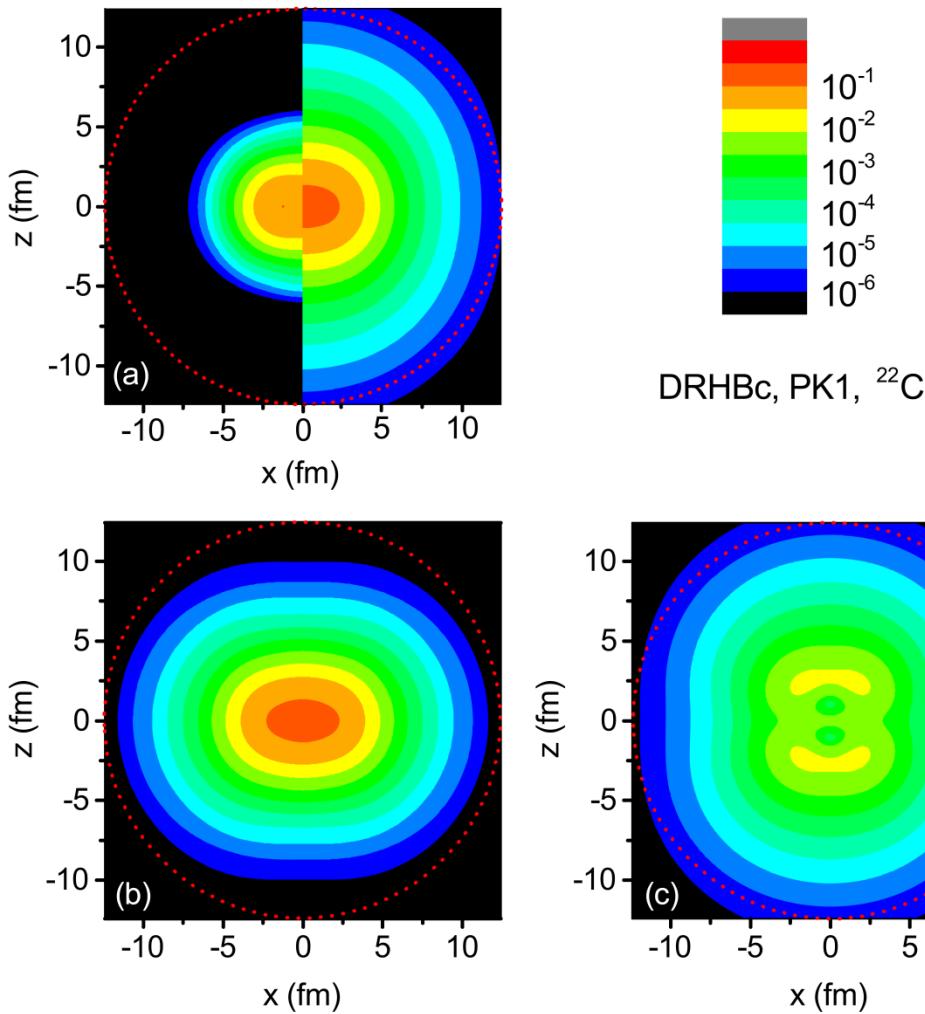
# $^{22}\text{C}$ : Halo (?) & shape decoupling



PK1

$$S_{2n} = 0.43 \text{ MeV}$$
$$r_m = 3.25 \text{ fm}$$
$$\beta_2 = -0.27$$

# $^{22}\text{C}$ : Halo (?) & shape decoupling

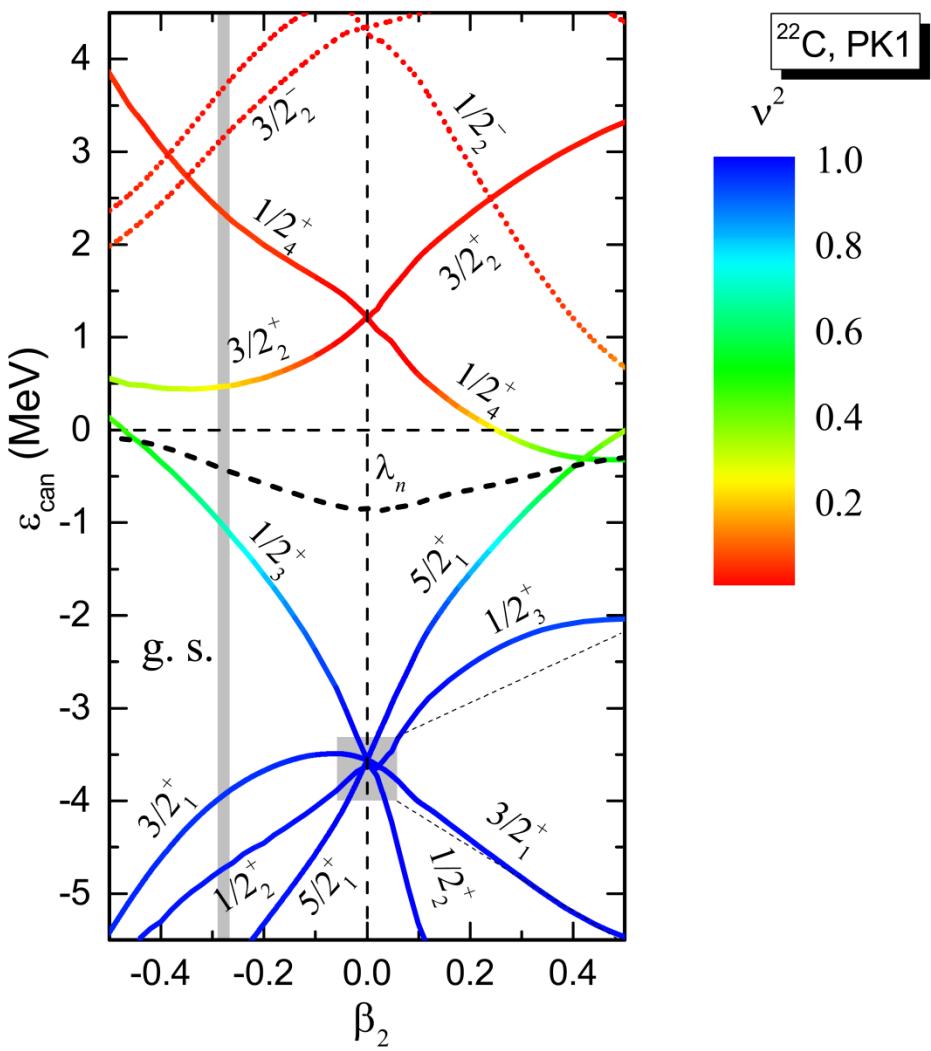


- $2s_{1/2}$ : ~25%  $\Rightarrow$  Halo
- Mixture of ( $2s_{1/2}$ ,  $1d_{5/2}$ )  
 $\Rightarrow$  Prolate halo

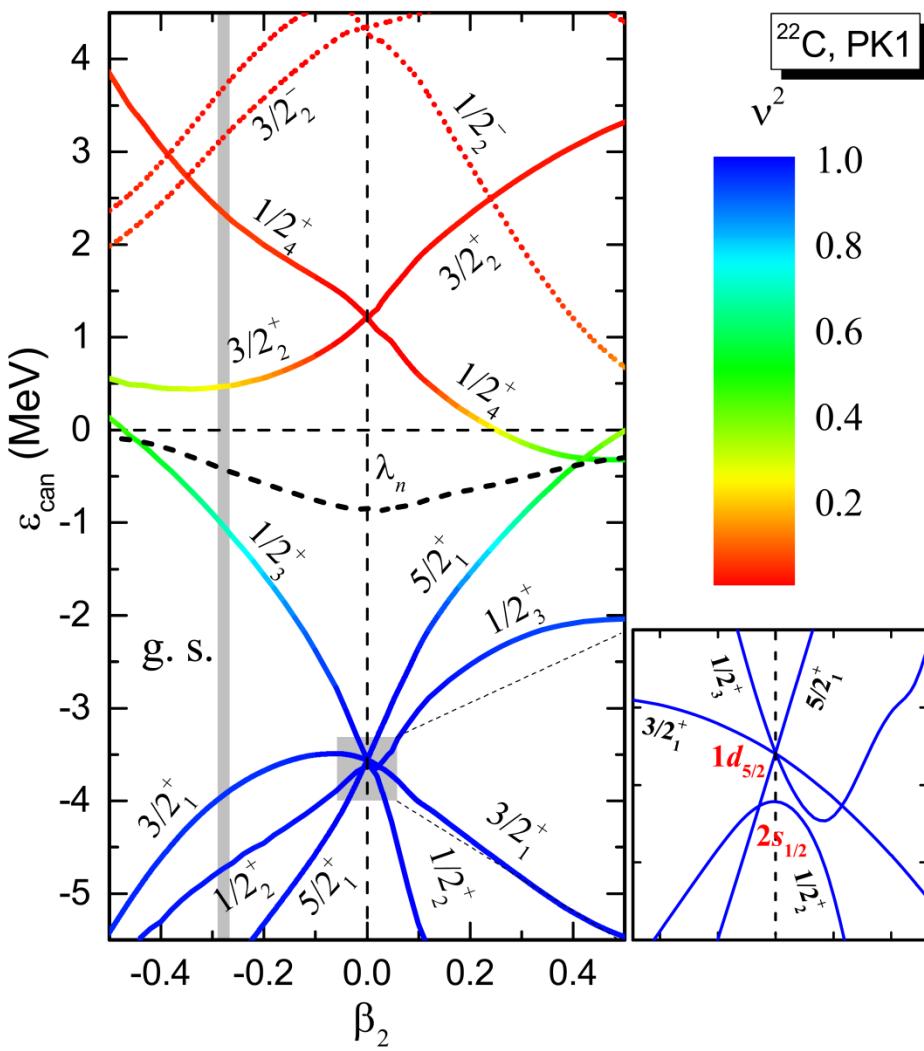
PK1

$$\begin{aligned}S_{2n} &= 0.43 \text{ MeV} \\r_m &= 3.25 \text{ fm} \\\beta_2 &= -0.27\end{aligned}$$

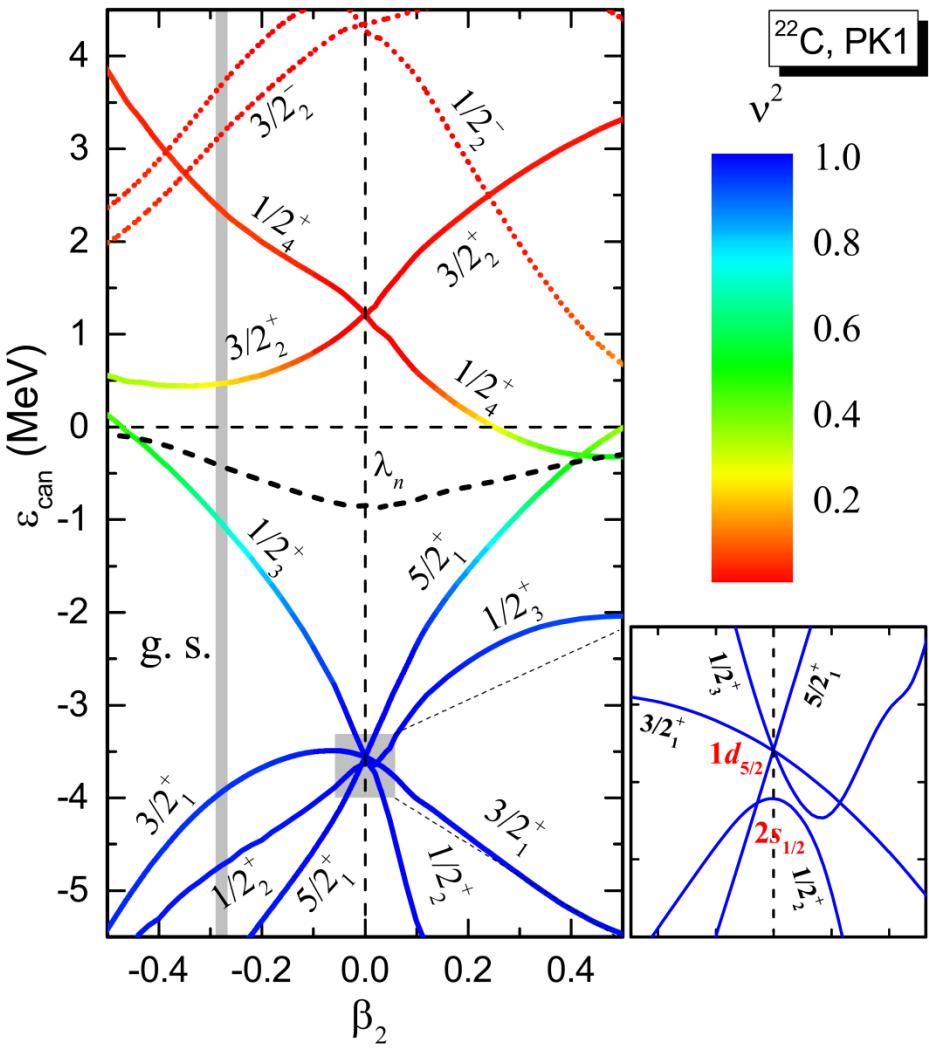
# $^{22}\text{C}$ : Single neutron levels



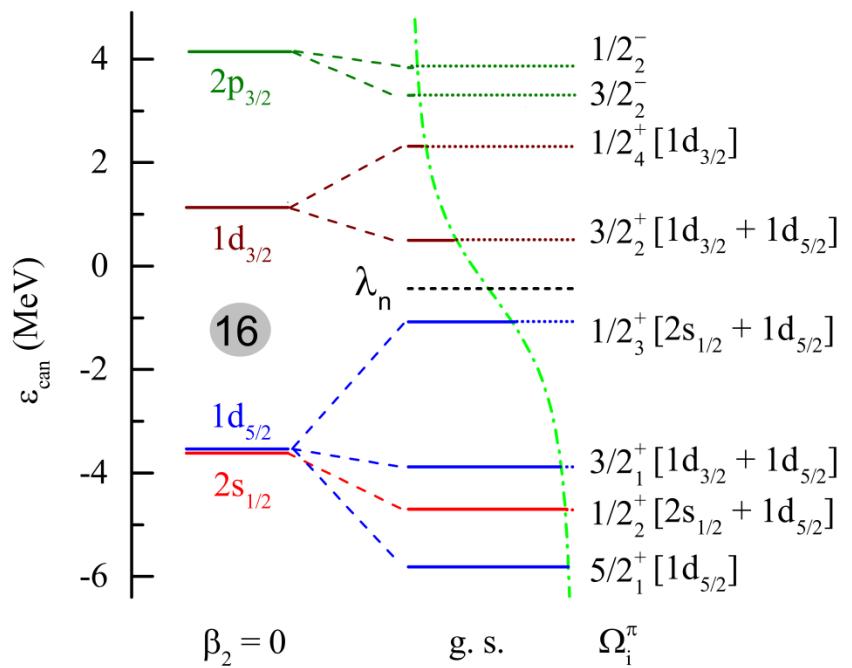
# $^{22}\text{C}$ : Single neutron levels



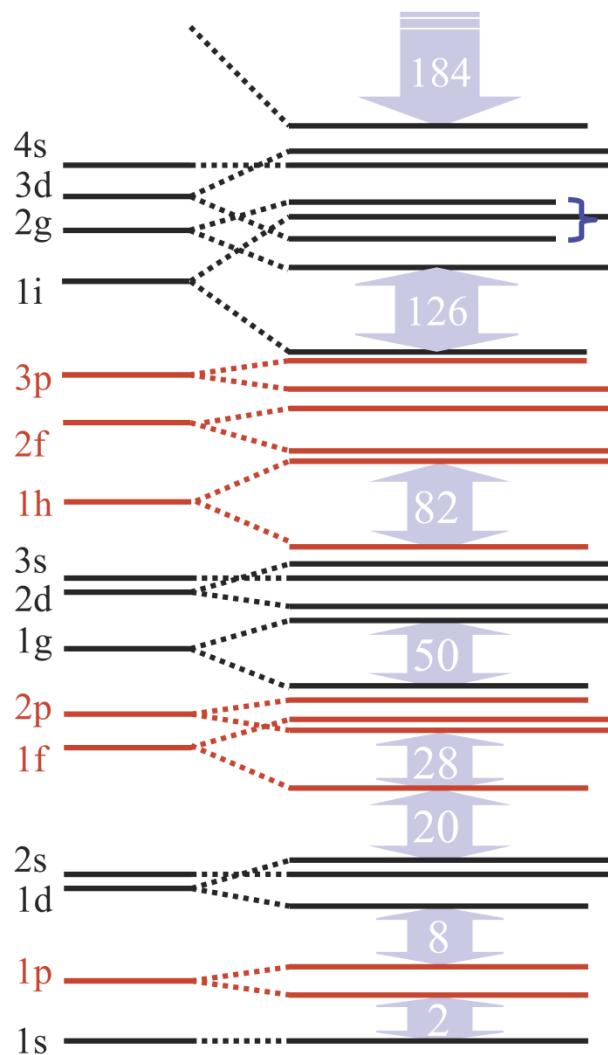
# $^{22}\text{C}$ : Single neutron levels



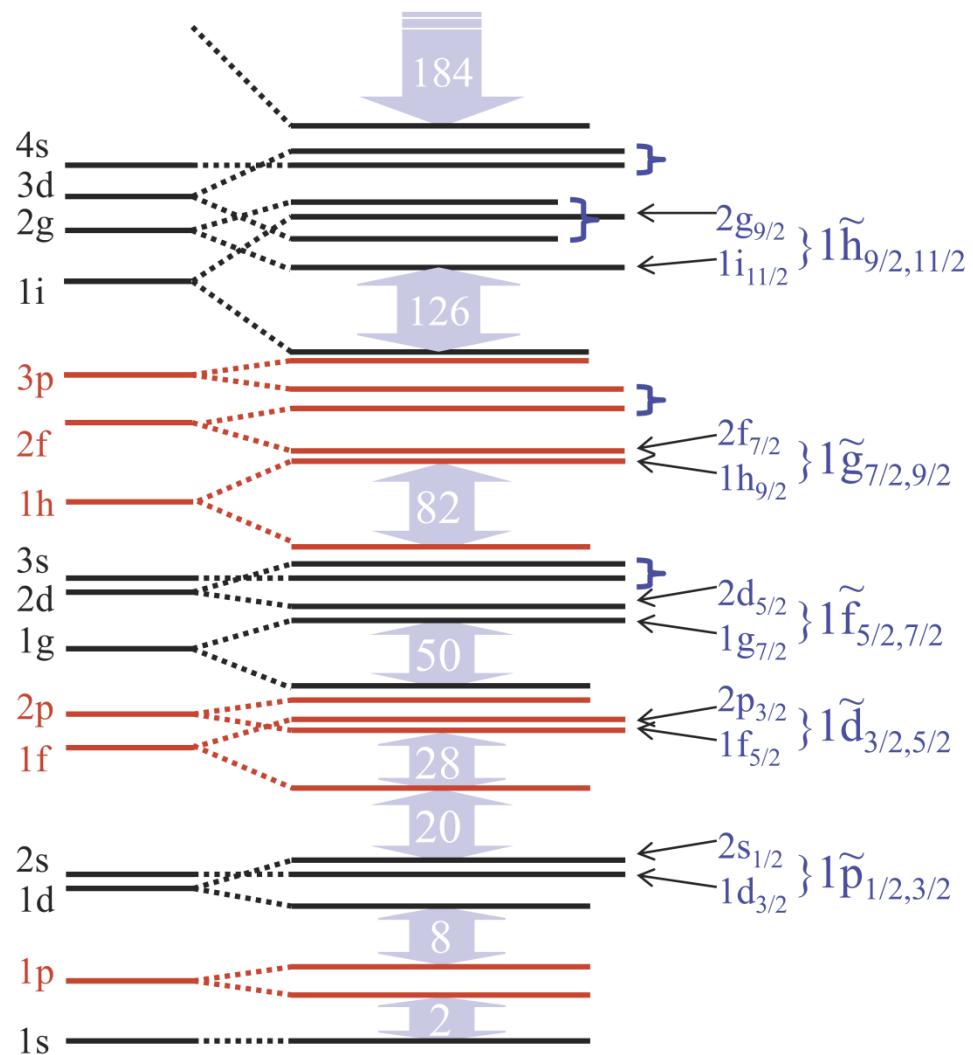
Inversion of  $(2s_{1/2}, 1d_{5/2})$   
No shell closure at  $N = 16$



# pseudospin symmetry



# pseudospin symmetry



# pseudospin symmetry

Arima\_Harvey\_Shimizu 1969\_PLB30-517

Hecht\_Adler 1969\_NPA137-129

Bohr\_Hamamoto\_Mottelson  
1982\_PhysScr26-267

Ginocchio1997\_PRL78-436

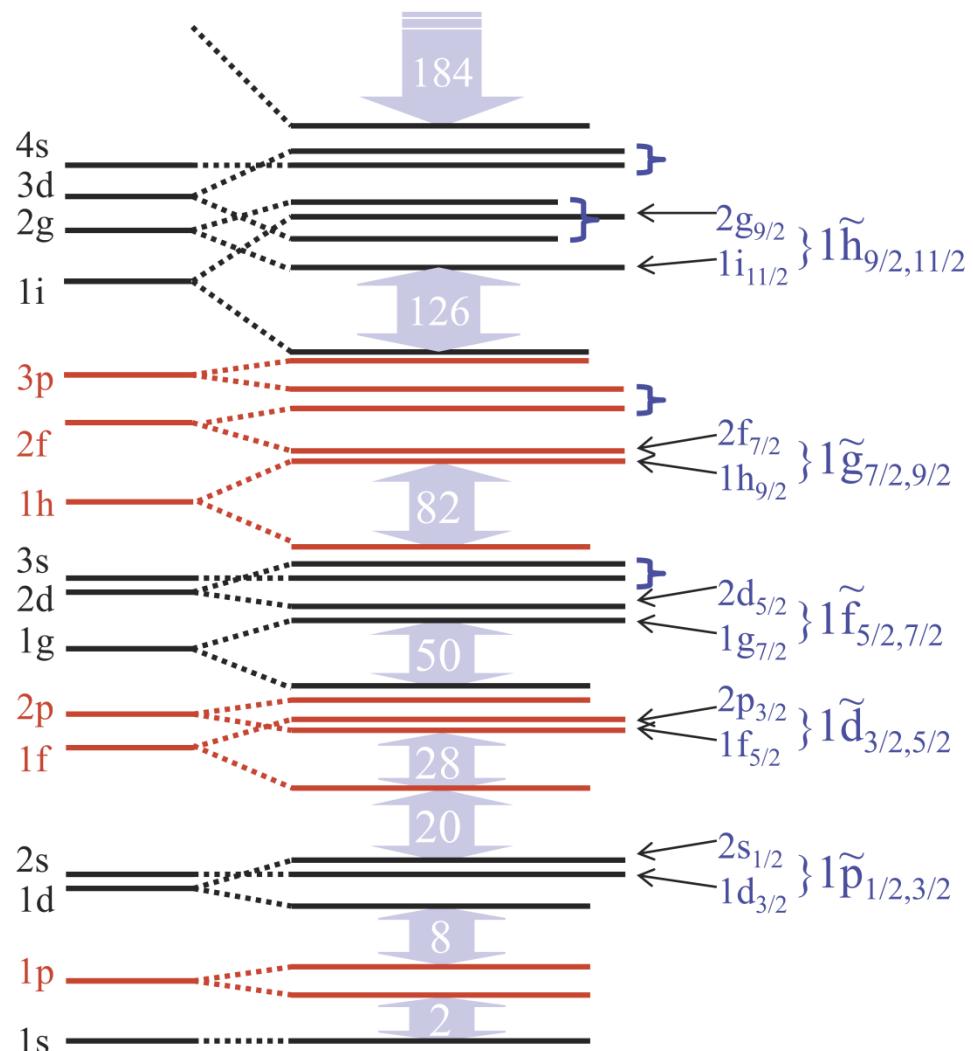
Meng\_Sugawara-Tanabe\_Yamaji\_Ring\_Arima  
1998\_PRC58-R628

SGZ\_Meng\_Ring2003\_PRL91-262501

Lu\_Zhao\_SGZ2012\_PRL109-072501

Li\_Shi\_Guo\_Chen\_Liang2016\_PRL117-062502

Liang\_Meng\_SGZ 2015\_PhysRep570-1



# pseudospin symmetry

Arima\_Harvey\_Shimizu 1969\_PLB30-517

Hecht\_Adler 1969\_NPA137-129

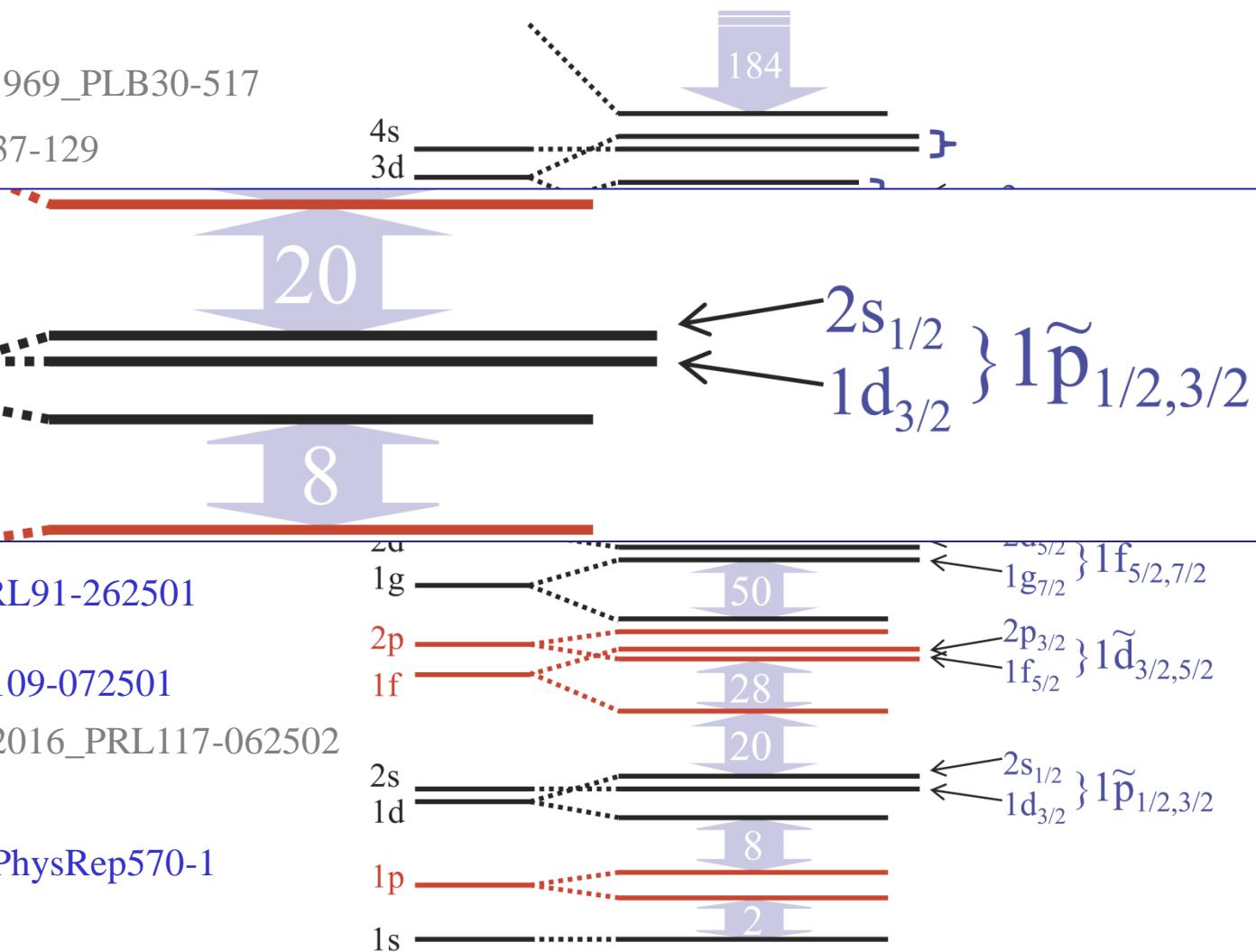
2s  
1d  
 $1_p$

SGZ\_Meng\_Ring2003\_PRL91-262501

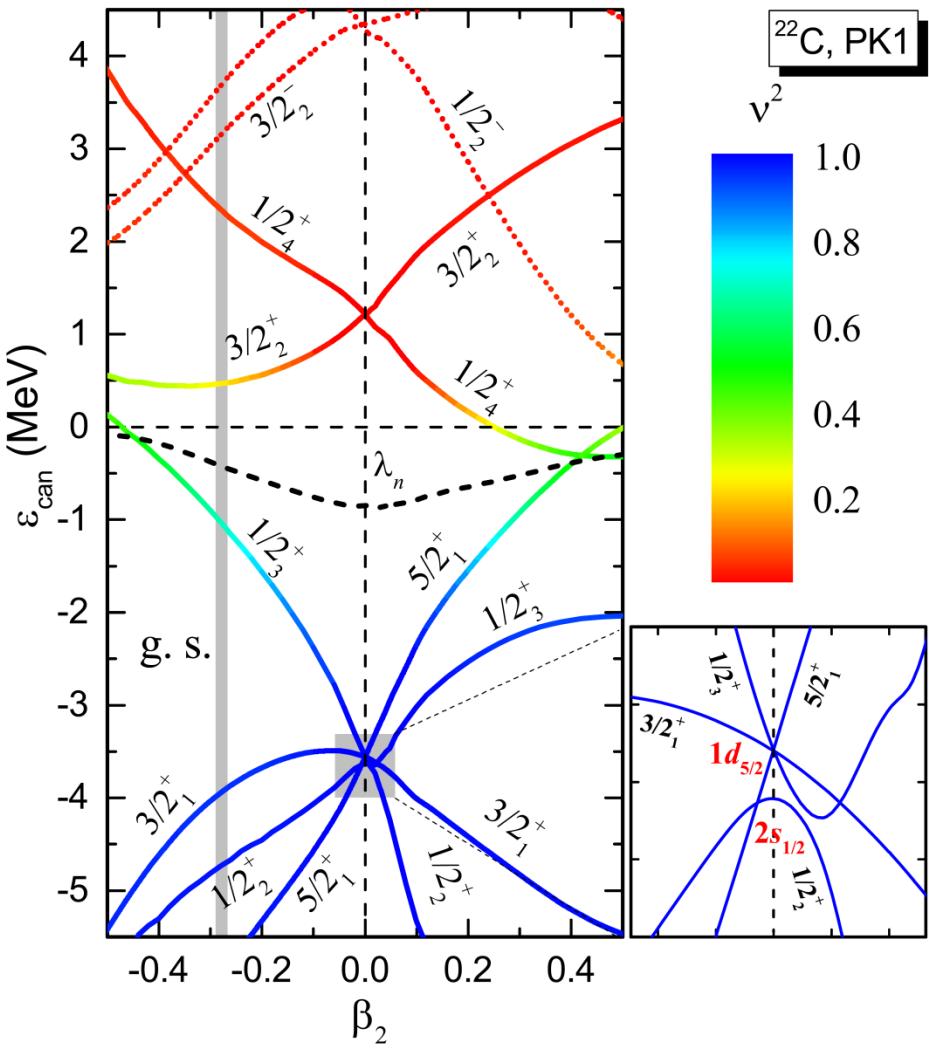
Lu\_Zhao\_SGZ2012\_PRL109-072501

Li\_Shi\_Guo\_Ch Chen\_Liang2016\_PRL117-062502

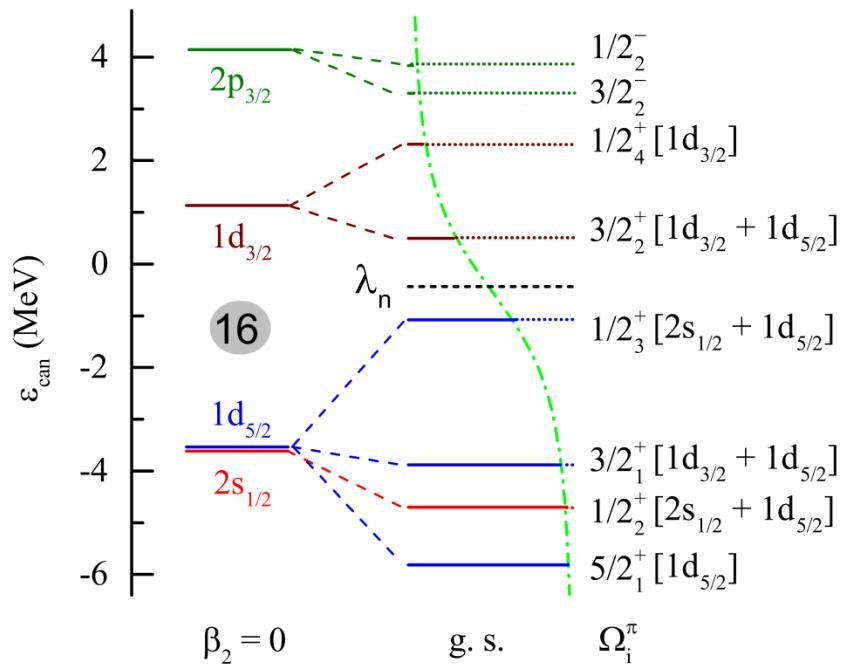
Liang\_Meng\_SGZ 2015\_PhysRep570-1



# Breaking of pseudospin symmetry?



Inversion of  $(2s_{1/2}, 1d_{5/2})$   
No shell closure at  $N = 16$



# Justification of PSS in Resonant States

PRL 109, 072501 (2012)

PHYSICAL REVIEW LETTERS

week ending  
17 AUGUST 2012

## Pseudospin Symmetry in Single Particle Resonant States

Bing-Nan Lu (吕炳楠),<sup>1</sup> En-Guang Zhao (赵恩广),<sup>1,2</sup> and Shan-Gui Zhou (周善贵)<sup>1,2,\*</sup>

<sup>1</sup>*State Key Laboratory of Theoretical Physics, Institute of Theoretical Physics, Chinese Academy of Sciences,  
Beijing 100190, China*

<sup>2</sup>*Center of Theoretical Nuclear Physics, National Laboratory of Heavy Ion Accelerator, Lanzhou 730000, China*  
(Received 23 April 2012; revised manuscript received 2 July 2012; published 16 August 2012)

The pseudospin symmetry (PSS) is a relativistic dynamical symmetry connected with the small component of the Dirac spinor. The origin of PSS in single particle bound states in atomic nuclei has been revealed and studied extensively. By examining the zeros of Jost functions corresponding to the small components of Dirac wave functions and phase shifts of continuum states, we show that the PSS in single particle resonant states in nuclei is conserved when the attractive scalar and repulsive vector potentials have the same magnitude but opposite sign. The exact conservation and the breaking of the PSS are illustrated for single particle resonances in spherical square-well and Woods-Saxon potentials.

DOI: 10.1103/PhysRevLett.109.072501

PACS numbers: 21.10.Pc, 03.65.Nk, 21.10.Tg, 24.10.Jv

# Breaking of pseudospin symmetry?

Something new when the pseudospin partners straddle the threshold?

PRL 109, 072501 (2012)

PHYSICAL REVIEW LETTERS

week ending  
17 AUGUST 2012

## Pseudospin Symmetry in Single Particle Resonant States

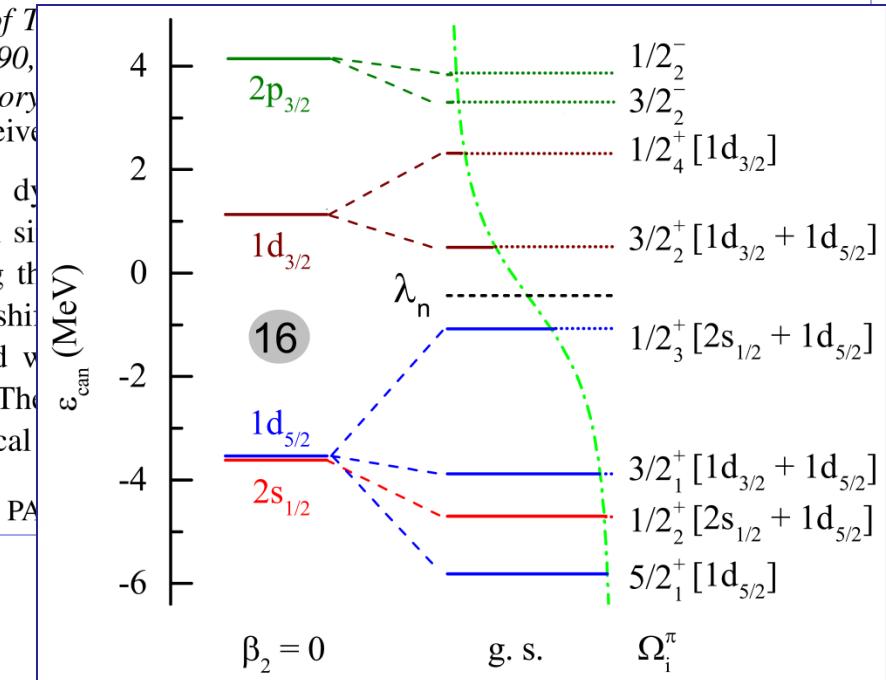
Bing-Nan Lu (吕炳楠),<sup>1</sup> En-Guang Zhao (赵恩广),<sup>1,2</sup> and Shan-Gui Zhou (周善贵)<sup>1,2,\*</sup>

<sup>1</sup>*State Key Laboratory of Theoretical Physics, Institute of Technology, Chinese Academy of Sciences, Beijing 100190, China*

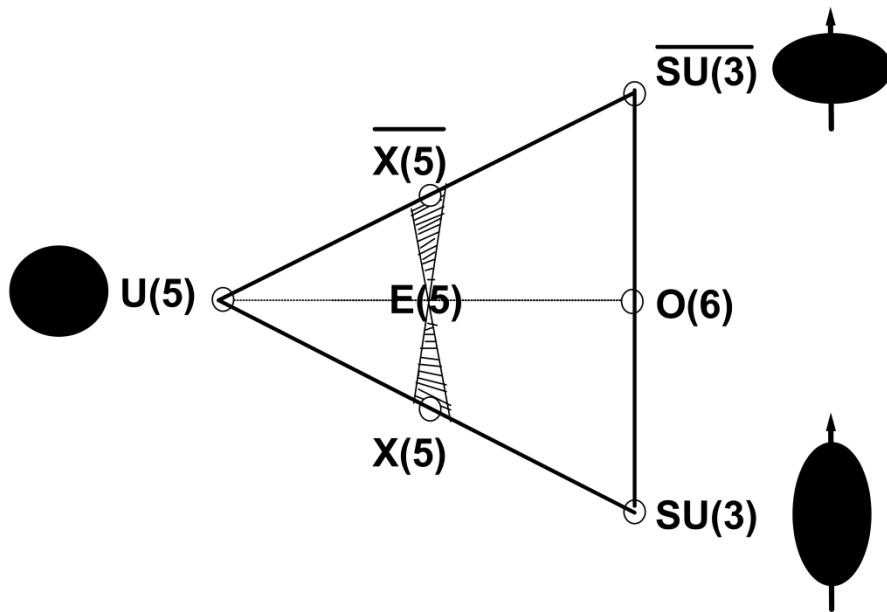
<sup>2</sup>*Center of Theoretical Nuclear Physics, National Laboratory of Nuclear Physics, Institute of High Energy Physics, Chinese Academy of Sciences, Beijing 100049, China*  
(Received 23 April 2012; revised manuscript received 10 June 2012)

The pseudospin symmetry (PSS) is a relativistic dynamical symmetry of the Dirac spinor. The origin of PSS in single-particle states in nuclei has been revealed and studied extensively. By examining the small components of Dirac wave functions and phase shifts, it is shown that the single particle resonant states in nuclei is conserved when the two central potentials have the same magnitude but opposite sign. The results are illustrated for single particle resonances in spherical nuclei.

DOI: 10.1103/PhysRevLett.109.072501



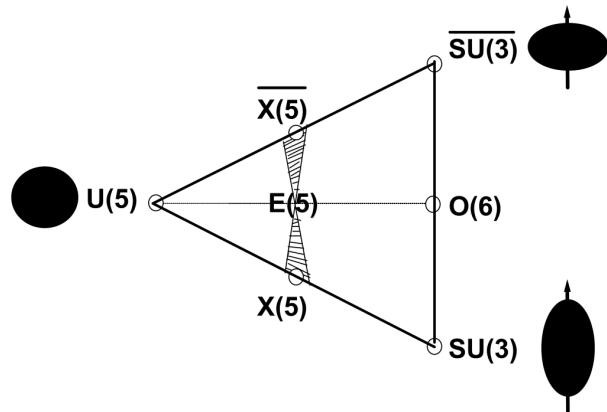
# Extended Casten triangle



# Triangle of Borromean nuclei: $^{11}\text{Li}$ , $^{22}\text{C}$ & $^{44}\text{Mg}$

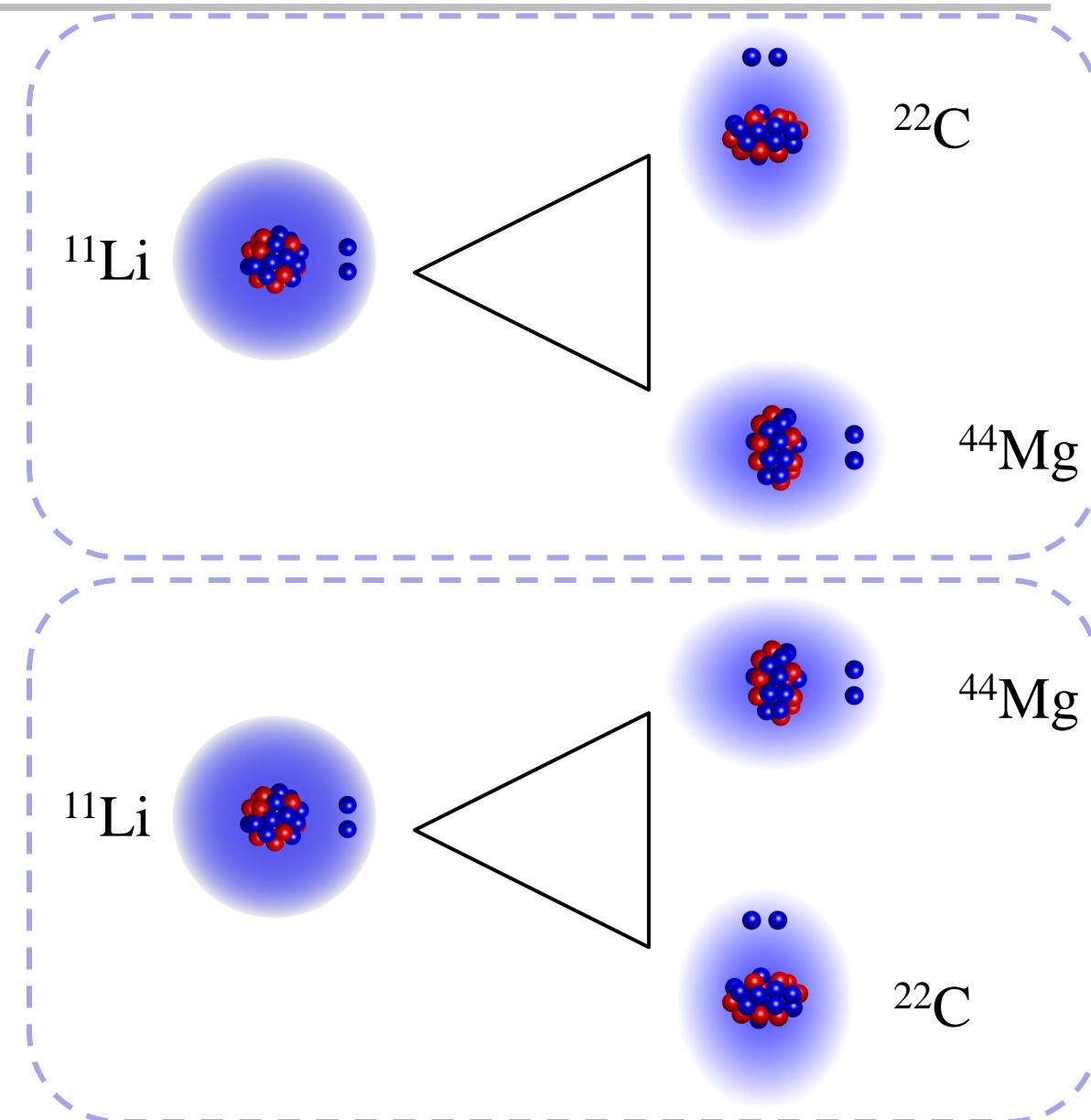
$$^{44}\text{Mg} = ^{22}\text{C} + ^{22}\text{C}$$

$$^{22}\text{C} = ^{11}\text{Li} + ^{11}\text{Li}$$



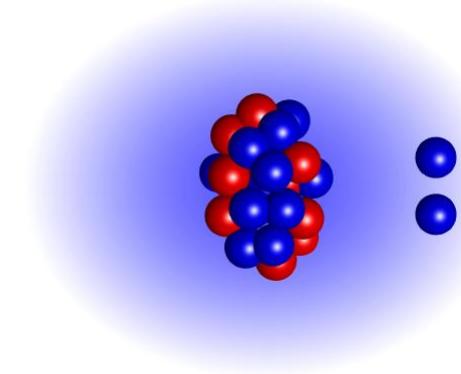
Pan\_Wang\_Huo\_Draayer  
2006\_IJMPE15-1723

Picture(s): courtesy of  
Xin-Hui Wu (吴鑫辉)



# How to probe the shape decoupling?

- Larger cross section
- Narrower momentum distribution
  - Bimodal ?

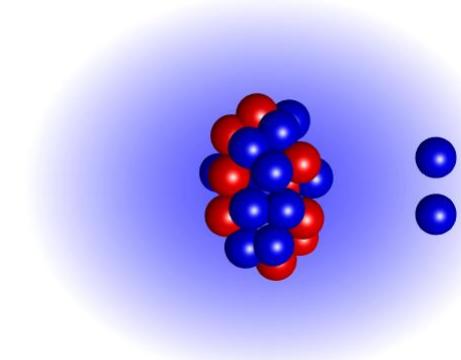


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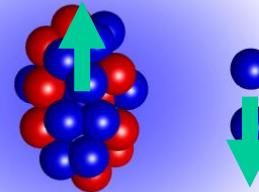
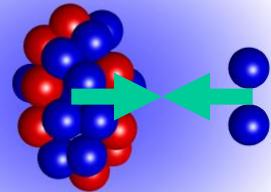
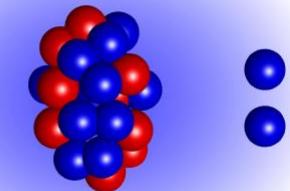
Navin...1997\_PRL81-5089

Sakharuk\_Zelevinsky1998\_PRC61-014609



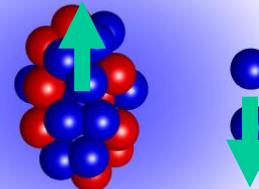
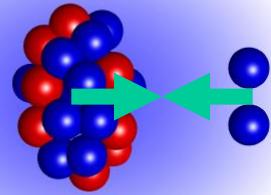
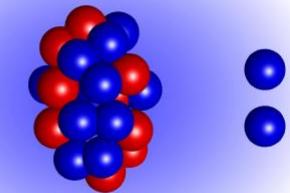
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- Larger cross section
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- New dipole modes ?



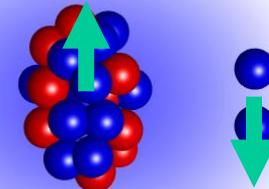
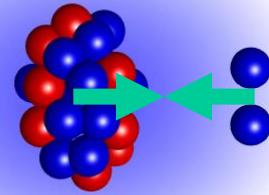
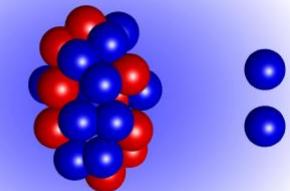
# How to probe the shape decoupling?

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- Rotation ? (X.-X. Sun, DRHBC+Angular Momentum Projection)



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- Larger cross section
- Narrower momentum distribution
  - Bimodal ?
- New dipole modes ?
- Rotation ? (X.-X. Sun, DRHBC+Angular Momentum Projection)
- Fusion ?



# Summary & perspectives

---

- Deformed relativistic HB theory in a Woods-Saxon basis
  - Occurrence of a halo in deformed nuclei depending on intrinsic structure of valence orbitals
  - $^{44}\text{Mg}$ : prolate core but oblate halo
  - $^{22}\text{C}$ : oblate core but prolate halo
  - $^{11}\text{Li}$ ,  $^{22}\text{C}$  &  $^{44}\text{Mg}$ : triangle of Borromean nuclei ?
- Breaking of pseudospin symmetry ?
- How to probe shape decoupling ?

# Summary & perspectives

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Thank you !