

# SU( $N$ ) gauge theories beyond large $N$ : universalities of spectral ratios

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# Outline

## Universalities in gauge theories

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SU( $N$ ) Gauge Theories on the lattice

Existence of the 't Hooft large- $N$  limit

Universality of  $m_{0^{++}}^2 / \sigma$

Glueball masses and mass anomalous dimension

Conclusions

- 1 SU( $N$ ) Gauge Theories on the lattice
- 2 Existence of the 't Hooft large- $N$  limit
- 3 Universality of  $m_{0^{++}}^2 / \sigma$
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# The Lattice

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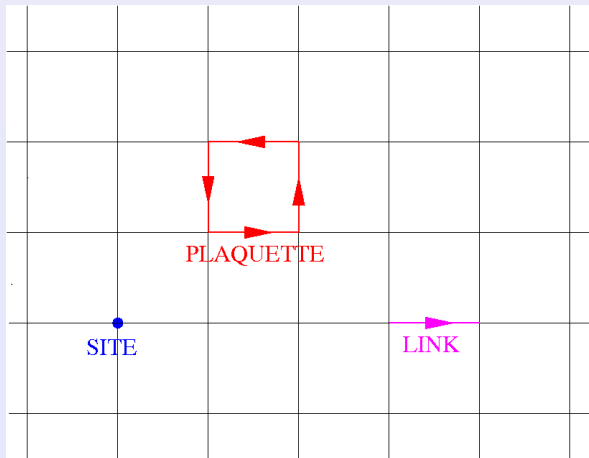
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# Lattice action

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Path integral

$$Z = \int (\mathcal{D}U_\mu(i)) (\det M(U_\mu))^{N_f} e^{-S_g(U_{\mu\nu}(i))}$$

with

$$U_\mu(i) = \text{Pexp} \left( ig \int_i^{i+\hat{\mu}} A_\mu(x) \mathbf{d}x \right), \quad U_{\mu\nu}(i) = U_\mu(i) U_\nu(i + \hat{\mu}) U_\mu^\dagger(i + \hat{\nu}) U_\nu^\dagger(i)$$

Gauge part

$$S_g = \beta \sum_{i,\mu} \left( 1 - \frac{1}{N} \text{Re Tr}(U_{\mu\nu}(i)) \right), \quad \text{with } \beta = 2N/g_0^2$$

Invariance under SU(N) gauge transformations

$$\tilde{U}_\mu(i) = G^\dagger(i) U_\mu(i) G(i + \hat{\mu})$$

# Wilson fermions

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Take the naive Dirac fermions (assumed to be in a representation  $\mathcal{R}$ ) of the gauge group and add an irrelevant term that goes like the Laplacian

$$M_{\alpha\beta}(ij) = (m + 4r)\delta_{ij}\delta_{\alpha\beta} - \frac{1}{2} \left[ (r - \gamma_\mu)_{\alpha\beta} U_\mu^R(i)\delta_{i,j+\mu} + (r + \gamma_\mu)_{\alpha\beta} U_\mu^{R\dagger}(j)\delta_{i,i-\mu} \right]$$

This formulation **breaks explicitly chiral symmetry**

Define the hopping parameter

$$\kappa = \frac{1}{2(m + 4r)}$$

Chiral symmetry recovered in the limit  $\kappa \rightarrow \kappa_C$  ( $\kappa_C$  to be determined numerically)

# Quenched approximation

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For an observable  $\mathcal{O}$

$$\langle \mathcal{O} \rangle = \frac{\int (\mathcal{D}U_\mu(i)) (\det M(U_\mu))^{N_f} f(M) e^{-S_g(U_{\mu\nu}(i))}}{\int (\mathcal{D}U_\mu(i)) (\det M(U_\mu))^{N_f} e^{-S_g(U_{\mu\nu}(i))}}$$

Assume  $\det M(U_\mu) \simeq 1$  i.e. fermions loops are removed from the action

The approximation is exact in the  $m \rightarrow \infty$  and  $N \rightarrow \infty$  limit ( $g^2 N$  is fixed)

$\hookrightarrow$  the large  $N$  spectrum is quenched for  $m \neq 0$

As  $N$  increases, unquenching effects are expected for smaller quark masses

# Extracting the spectrum

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Trial operators  $\Phi_1(t), \dots, \Phi_n(t)$  with the quantum numbers of the state of interest

$$\begin{aligned} C_{ij}(t) &= \langle 0 | (\Phi_i(0))^\dagger \Phi_j(t) | 0 \rangle \\ &= \langle 0 | (\Phi_i(0))^\dagger e^{-Ht} \Phi_j(0) e^{Ht} | 0 \rangle \\ &= \sum_n \langle 0 | (\Phi_i(0))^\dagger | n \rangle \langle n | e^{-Ht} \Phi_j(0) e^{Ht} | 0 \rangle \\ &= \sum_n e^{-\Delta E_n t} \langle 0 | (\Phi_i(0))^\dagger | n \rangle \langle n | \Phi_j(0) | 0 \rangle = \sum_n c_{in}^* c_{jn} e^{-\Delta E_n t} \end{aligned}$$

Masses can be extracted from diagonal correlators

$$C_{ii} = \sum_n |c_{in}|^2 e^{-am_n t} \xrightarrow{t \rightarrow \infty} |c_{i1}|^2 e^{-am_1 t}$$



# Variational calculations

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Using the information of the whole correlation matrix allows us to better control the groundstate mass and to determine masses of excitations with a variational procedure

- 1 Find the eigenvector  $v$  that minimises

$$am_1(t_d) = -\frac{1}{t_d} \log \frac{v_i^* C_{ij}(t_d) v_j}{v_i^* C_{ij}(0) v_j}$$

for some  $t_d$

- 2 Fit  $v(t)$  with the law  $Ae^{-m_1 t}$  to extract  $m_1$
- 3 Find the complement to the space generated by  $v(t)$
- 4 Repeat 1-3 to extract  $m_2, \dots, m_n$

Need a good overlap with the state of interest

# Fermionic operators

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For isotriplet states (flavour index  $\alpha \neq \beta$ ):

Particle	Bilinear	$J^{PC}$
$a_0$	$\bar{\psi}_\alpha \psi_\beta$	$0^{++}$
$\pi$	$\bar{\psi}_\alpha \gamma_5 \psi_\beta, \bar{\psi}_\alpha \gamma_0 \gamma_5 \psi_\beta$	$0^{-+}$
$\rho$	$\bar{\psi}_\alpha \gamma_i \psi_\beta, \bar{\psi}_\alpha \gamma_0 \gamma_i \psi_\beta$	$1^{--}$
$a_1$	$\bar{\psi}_\alpha \gamma_5 \gamma_i \psi_\beta$	$1^{++}$
$b_1$	$\bar{\psi}_\alpha \gamma_i \gamma_j \psi_\beta$	$1^{+-}$

Flavour singlet states more difficult to study

# Glueball operators

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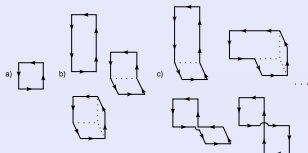
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Conclusions

- Using traced Wilson loops of various shapes, build operators  $O_i(t)$  that are eigenstates of  $P$  and  $C$  and under the (discrete) group of rotations transform according to irreducible representations
- Examples of basic contours used



- Continuous spin reconstructed by looking the decomposition of the irreducible representations of the octahedral group in irreps of  $SO(3)$

# String tension

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Confining potential:  $V = \sigma R$

Polyakov loop

$$P_k(i) = \frac{1}{N} \text{Tr} \prod_{j=0}^L U_k(i + j\hat{k})$$

$$P(t) = \sum_{\vec{n}, k} P_k(\vec{n}, t)$$

$$C(t) = \langle (P(0))^\dagger P(t) \rangle = \sum_j |c_j|^2 e^{-am_j t} \xrightarrow{t \rightarrow \infty} |c_l|^2 e^{-am_l t}$$

$$am_l \simeq a^2 \sigma L - \frac{\pi(D-2)}{6L}$$

# Building extended operators

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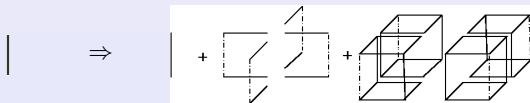
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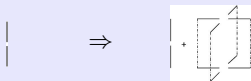
Conclusions

Starting from links, we can build extended loop operators via

- Smearing



- Blocking



For mesons, extended operators can be built by smearing source and sink operators over a few lattice spacings with a weight function (e.g. Gaussian smearing, wall smearing etc.)

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# The 't Hooft's large- $N$ limit

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## The Conjecture

In the limit  $N \rightarrow \infty$  and  $g \rightarrow 0$  with  $\lambda = g^2 N$  fixed, physical quantities in  $SU(N)$  gauge theories can be expressed as functions of  $1/N$  (if  $N_f$  fermions in the fundamental representations are present) or  $1/N^2$  (in the Yang-Mills case), with a finite large- $N$  limit and a convergent series expansion about that limit down to some  $N = N^*$

## Relevance

- Explanation of observed QCD features (OZI rules, stability of particles, . . .)
- Potential for analytic calculations
- Connection with gauge-string dualities

## Support

Large- $N$  extrapolation of lattice results

[For a review, see B. Lucini and M. Panero, Phys. Rept. 526 (2013) 93]

# Large- $N$ limit on the lattice

The lattice approach allows us to go beyond perturbative and diagrammatic arguments. In SU( $N$ ) YM, for a given observable

## 1 Continuum extrapolation

- Determine its value at fixed  $a$  and  $N$
- Extrapolate to the continuum limit
- Extrapolate to  $N \rightarrow \infty$  using a power series in  $1/N^2$

## 2 Fixed lattice spacing

- Choose  $a$  in such a way that its value in physical units is common to the various  $N$
- Determine the value of the observable for that  $a$  at any  $N$
- Extrapolate to  $N \rightarrow \infty$  using a power series in  $1/N^2$

Study performed for various observables both at zero and finite temperature for  $2 \leq N \leq 8$  (and  $N = 17!$ )



# The $A^{++}$ glueball channel

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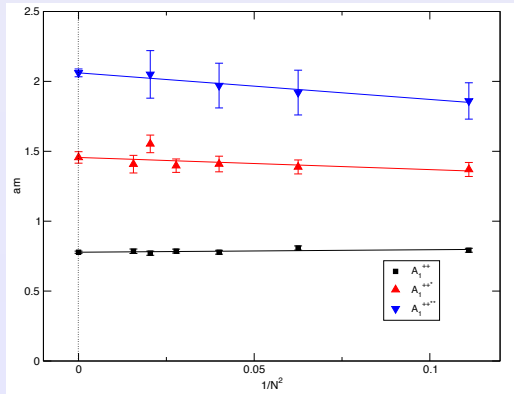
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Lattice spacing fixed by requiring  $aT_c = 1/6$

[B. Lucini, A. Rago and E. Rinaldi, JHEP 1008 (2010) 119]

# The glueball spectrum at $aT_c = 1/6$

## Universalities in gauge theories

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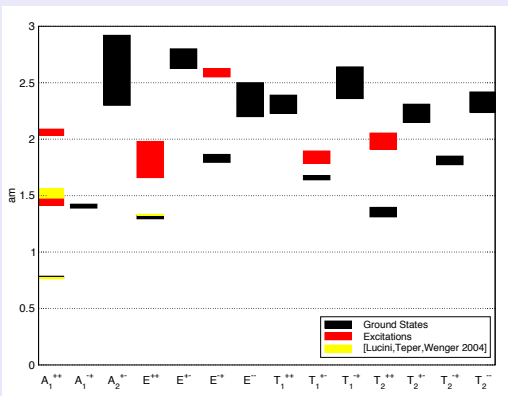
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[B. Lucini, A. Rago and E. Rinaldi, JHEP 1008 (2010) 119]

# Large- $N$ extrapolation of glueball masses

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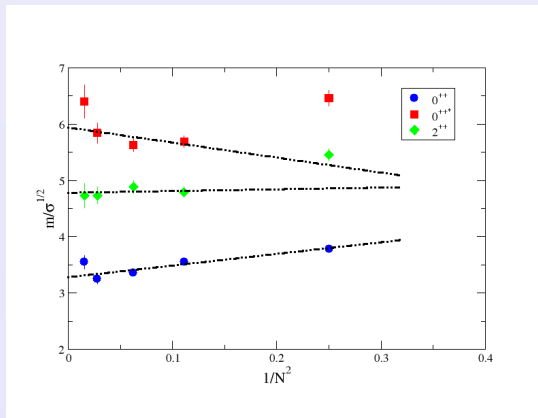
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[B. Lucini, M. Teper and U. Wenger, JHEP 0406 (2004) 012]

# Glueball masses at $N = \infty$

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$$0^{++} \quad \frac{m}{\sqrt{\sigma}} = 3.28(8) + \frac{2.1(1.1)}{N^2}$$

$$0^{++*} \quad \frac{m}{\sqrt{\sigma}} = 5.93(17) - \frac{2.7(2.0)}{N^2}$$

$$2^{++} \quad \frac{m}{\sqrt{\sigma}} = 4.78(14) + \frac{0.3(1.7)}{N^2}$$

Accurate  $N = \infty$  value, small  $\mathcal{O}(1/N^2)$  correction

# $m_\rho$ vs. $m_\pi^2$ at $N = \infty$

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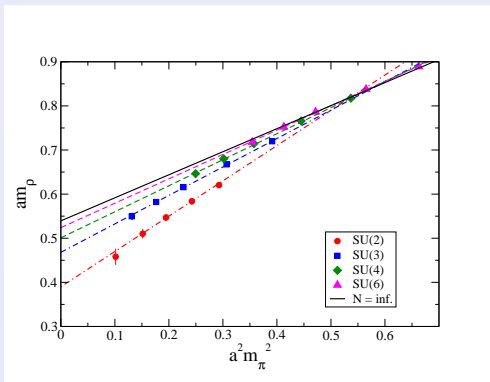
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[L. Del Debbio, B. Lucini, A. Patella and C. Pica, JHEP 0803 (2008) 062]

[see also G. Bali and F. Bursa, JHEP 0809 (2008) 110]

# The meson spectrum approaching $N = \infty$

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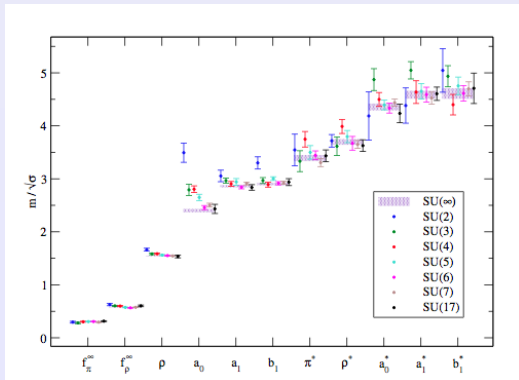
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[G. Bali *et al.*, JHEP 1306 (2013) 071]

# Comparison with QCD

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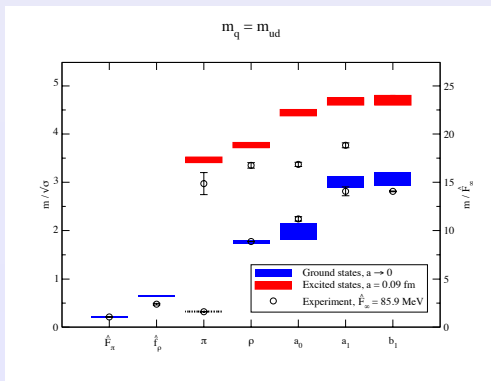
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$\sqrt{\sigma}$  fixed from the condition  $\hat{F}_\infty = 85.9$  MeV,  $m_{ud}$  from  $m_\pi = 138$  MeV  
 [G. Bali *et al.*, JHEP 1306 (2013) 071]

# The strange meson spectrum

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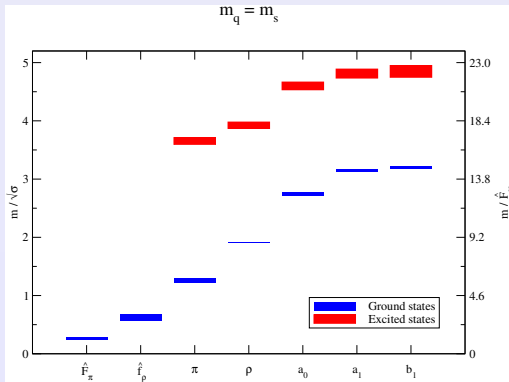
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$$m_s \text{ fixed from } m_\pi(m_s) = (m_{K^\pm}^2 + m_{K^0}^2 - m_{\pi^\pm}^2)^{1/2} = 686.9 \text{ MeV}$$



# Continuum meson spectrum – SU(7)

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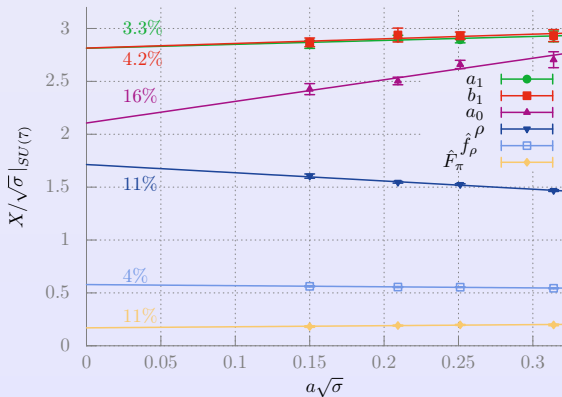
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# What do we learn?

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Conclusions

- Lowest-lying mesons broadly compatible with QCD, excitations off by 20% (however, excitations less controlled in our calculation)
- The calculated large- $N$  masses  $m_\rho = 753(14)$  MeV and of the  $m_\phi = 981(44)$  MeV are remarkably close to their experimental values  $m_\rho = 775$  and  $m_\phi = 1019$  MeV
- Observed degeneracy of  $(\rho, a_0)$  and  $(a_1, \pi^*)$  (predicted by  $\chi$ PT)

# Meson masses from gauge-string duality

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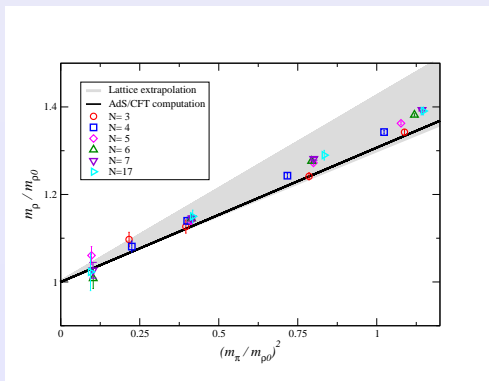
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Ads/CFT data from Erdmenger *et al.*, Eur.Phys.J. A35 (2008) 81-133 [arXiv:0711.4467]

# The spectrum from the topological string

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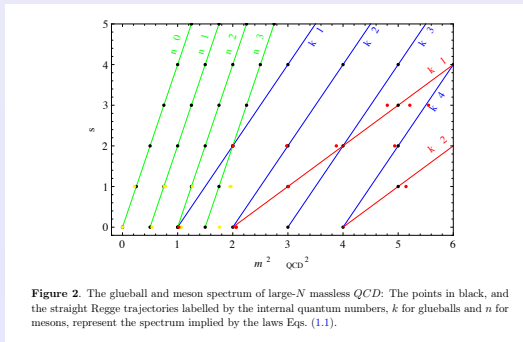
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[M. Bochicchio, *Glueball and meson spectrum in large-N massless QCD*, arXiv:1308.2925 [hep-th]]

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# Casimir scaling of the string tension and of the scalar glueball mass

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## The conjecture

In a Yang-Mills theory, the ratio  $m_{0^{++}}^2 / \sigma$  is proportional to the ratio of the quadratic Casimir of the adjoint over that of the fundamental representation of the gauge group, with the proportionality constant  $\eta$  being **universal** (i.e. dependent only on the dimensionality):

$$\frac{m_{0^{++}}^2}{\sigma} = \eta(0^{++}) \frac{C_2(A)}{C_2(F)}$$

## Relevance

Mechanisms of colour confinement

## Support

- Lattice data on glueball masses
- Semi-analytic arguments

[Hong, Lee, Lucini, Piai and VDACCHINO, Phys. Lett. B775 (2017) 89, arXiv:1705.00286]

# Testing with lattice results

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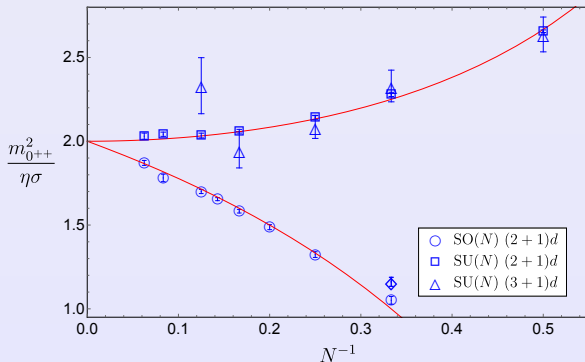
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SU(N) data in  $d = 3 + 1$  from Lucini, Teper, Wenger, hep-lat/0404008

SU(N) data in  $d = 2 + 1$  from Athenodorou, Lau, Teper, arXiv:1504.08126

SO(N) data in  $d = 2 + 1$  from Lau, Teper, arXiv:1701.06941

(Also Sp(4) result in  $d = 3 + 1$  from Bennett *et al.*, arXiv:1712.04220)

# Universality of $\eta$

## Universality in gauge theories

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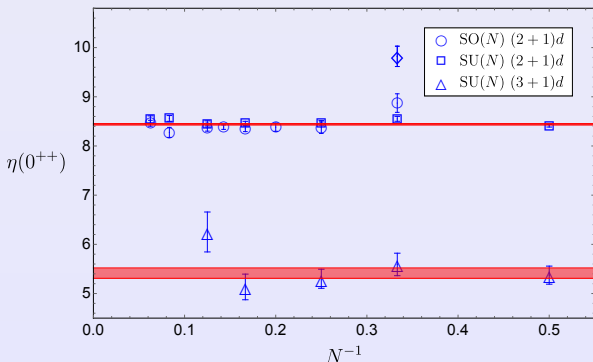
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$$\eta(0^{++}) \equiv \frac{m_{0^{++}}^2}{\sigma} \cdot \frac{C_2(F)}{C_2(A)} = \begin{cases} 5.41(12), & \chi^2 \simeq 1 \text{ for } d = 3 + 1 \\ 8.440(14)(76), & \chi^2 \simeq 1.9 \text{ for } d = 2 + 1 \end{cases}$$



# Some (semi-)analytic derivations

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- Ground-state calculation in  $d = 2 + 1$  (Leigh, Minik, Yelnikov, 2007)
- Constituent gluon model (Buisseret, Bicudo, . . .)
- Bethe-Salpeter equation (Hong *et al.*, 2017)
- Glueball as a dilaton (Hong *et al.*, 2017)
- Sum rules (Hong *et al.*, 2017)
- Coulomb gauge Hamiltonian (Greensite, private communication, 2017)

# Casimir scaling of $m_{2^{++}}^2/\sigma$

## Universalities in gauge theories

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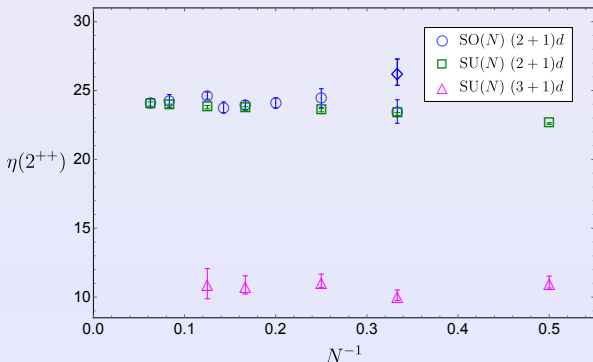
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Data are inconclusive: bad constant ansatz for  $d = 2 + 1$ , plausible constant ansatz for  $d = 3 + 1$

# Universality of $m_{2^{++}}/m_{0^{++}}$ ?

## Universalities in gauge theories

B. Lucini

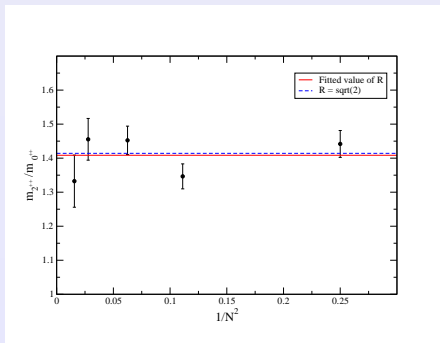
SU(N) Gauge Theories on the lattice

Existence of the 't Hooft large-N limit

Universality of  $m_{0^{++}}^2/\sigma$

Glueball masses and mass anomalous dimension

Conclusions



Fit result:  $m_{2^{++}}/m_{0^{++}} = 1.409(26)$ ,  $\chi^2 \simeq 1.5$

In  $d = 3 + 1$ , is  $m_{2^{++}}/m_{0^{++}} = \sqrt{2}$  independently of the gauge group?

# (No) Casimir scaling of $m_{0^{+++}}^2/\sigma$

## Universalities in gauge theories

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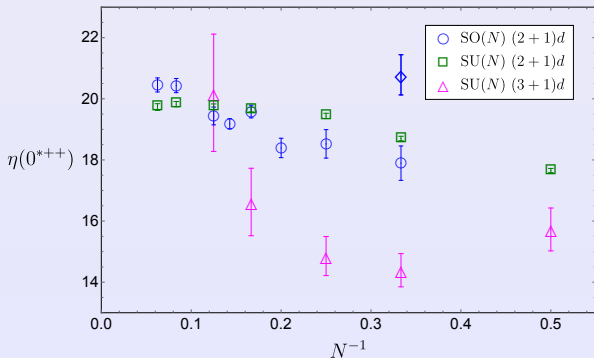
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No clear sign of universality in either  $d=2+1$  or  $d=3+1$

# Outline

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# Mass anomalous dimension and glueballs

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## The conjecture

In an asymptotically free SU( $N$ ) gauge theory with  $N_f$  fermions in the fundamental or a two index irreducible representation of the gauge group, the anomalous dimension of the fermion condensate is a monotonically increasing function of the glueball mass ratio  $m_{2^{++}} / m_{0^{++}}$

## Relevance

Studies of nearly-conformal and conformal gauge theories in relation to novel strongly interacting dynamics beyond the standard model

## Support

- Gauge-string duality
- Lattice calculations

[A. Athenodorou *et al.*, JHEP 1606 (2016) 114, arXiv:1605.04258]

# Perturbative IR fixed point

Universalities in gauge theories

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The running of the coupling in SU(N) gauge theories with  $N_f$  fermion flavours transforming in the representation  $R$  is determined by the  $\beta$ -function

$$\mu \frac{dg}{d\mu} = -b_0 g^3 - b_1 g^5 + \dots,$$

with

$$b_0 = \frac{1}{(4\pi^2)} \left( \frac{11}{3} N - \frac{4}{3} T_R N_f \right), \quad b_1 = \frac{1}{(4\pi)^4} \left[ \frac{34}{3} N^2 - \frac{20}{3} N T_R N_f - 4 \frac{N^2 - 1}{d_R} N_f \right]$$

Banks-Zaks (perturbative) fixed point (two-loops):

$$\left. \frac{dg}{d\mu} \right|_{2-L} = 0 \quad \Rightarrow \quad g^* \simeq -\frac{b_0}{b_1} \ll 1$$

Starting from  $g < g^*$  in the ultraviolet, in the infrared  $g \rightarrow g^*$  (IR fixed point)

# Phases of a gauge theory

## Universalities in gauge theories

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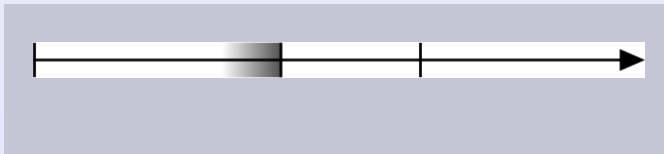
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Conclusions

At fixed  $N$  a critical number of flavours  $N_f^{cu}$  exists above which asymptotic freedom is lost

Banks and Zaks conjectured that an  $N_f^{lu}$  exists such that a non-trivial infrared fixed point appears for  $N_f^{lu} \leq N_f \leq N_f^{cu}$  (conformal window)



At fixed fermion representation  $N_f^{lu}$  depends on the number of flavours

Near the BZ point naive scaling arguments can not be applied and walking can arise



# Phases of a gauge theory

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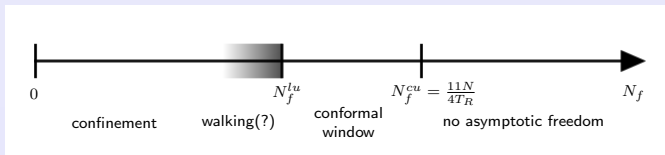
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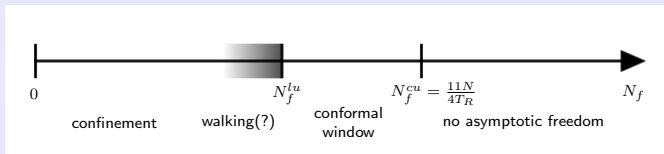
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# Glueball masses and anomalous dimension in the GPPZ model

## Universalities in gauge theories

B. Lucini

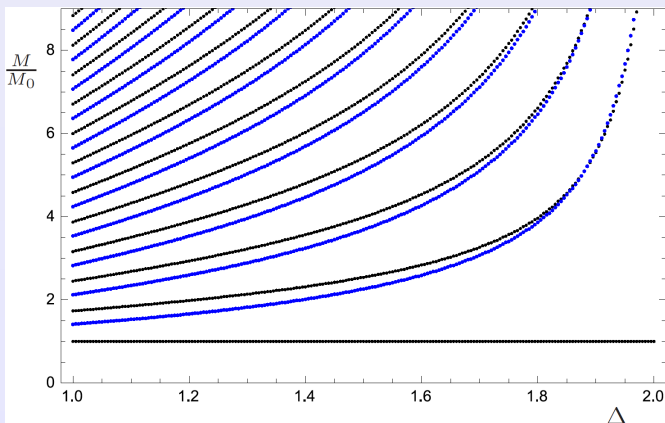
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Mass of the  $2^{++}$  glueball monotonically increases with  $\Delta = 1 + \gamma^*$

# Near-conformality and lattice calculations

## Universalities in gauge theories

B. Lucini

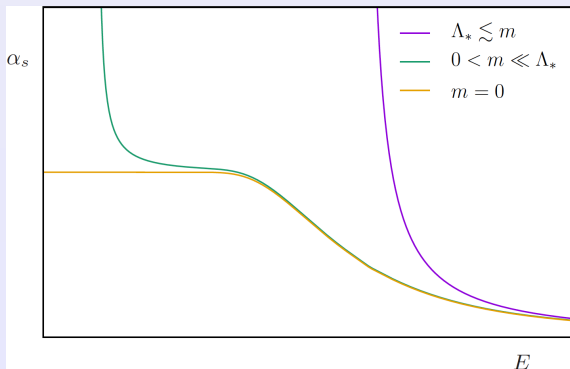
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## Strategy

Compute  $R = m_{2^{++}}/m_{0^{++}}$  as a function of the box size measured in units of  $m_{0^{++}}$

# Results for SU(2) with two adjoint flavours

## Universalities in gauge theories

B. Lucini

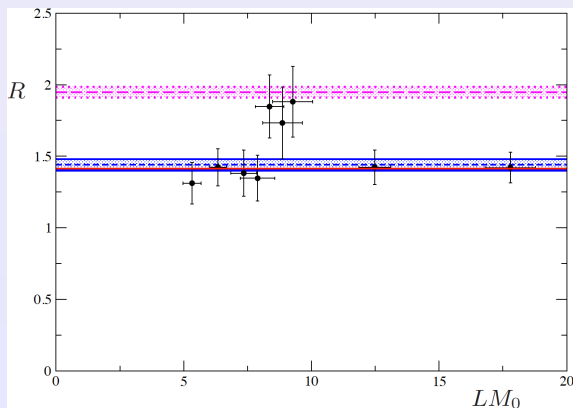
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Reference value  $\gamma^* = 0.371(20)$   
[value from Del Debbio *et al.*, arXiv:1512.08242]

# Results for SU(2) with one adjoint flavour

## Universalities in gauge theories

B. Lucini

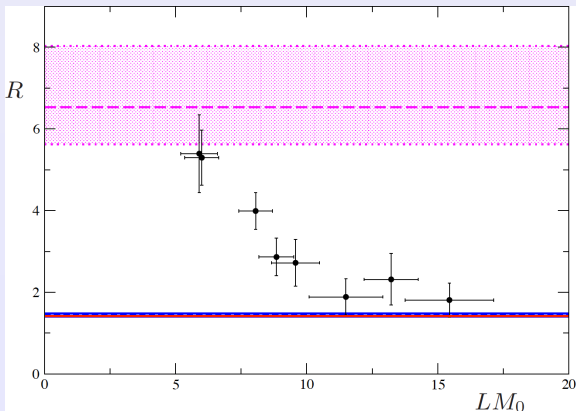
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Reference value  $\gamma^* = 0.925(25)$

[value from Athenodorou *et al.*, arXiv:1412.5994]

# Outline

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# Conclusions

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Numerical results obtained in the framework of lattice gauge theories suggest that

- A large- $N$  limit *à la* 't Hooft can be constructed in SU( $N$ ) gauge theories, with finite- $N$  observables being close (in the sense of a perturbative expansion in  $1/N$  or  $1/N^2$ ) to the latter all the way down to the physical case of SU(3)
- In Yang-Mills gauge theories, the ratio of the scalar glueball mass squared over the string tension is proportional to a ratio of quadratic Casimirs, with the proportionality constant being universal (i.e. depending only on the dimension)
- The ratio of the tensor and scalar glueball is  $\sqrt{2}$
- In theories with fermions in the fundamental or a two-index representation, the ratio of the tensor over the scalar glueball is a universal monotonically increasing function of the chiral condensate anomalous dimension

Future directions include

- to further explore numerically those conjectures
- to obtain more information from analytic arguments
- to understand the mechanisms that determine those universalities
- to identify potential violations



## Relevant quadratic Casimir ratios

$$\frac{C_2(A)}{C_2(F)} = \begin{cases} \frac{2N^2}{N^2-1} & \text{for SU}(N) \\ \frac{2(N-2)}{N-1} & \text{for SO}(N) \\ \frac{4(N+1)}{2N+1} & \text{for Sp}(2N) \end{cases}$$